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# ON BLOCKS OF DEFECT TWO AND ONE SIMPLE MODULE, AND LIE ALGEBRA STRUCTURE OF $HH^1$

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ABSTRACT. Let  $k$  be a field of odd prime characteristic  $p$ . We calculate the Lie algebra structure of the first Hochschild cohomology of a class of quantum complete intersections over  $k$ . As a consequence, we prove that if  $B$  is a defect 2-block of a finite group algebra  $kG$  whose Brauer correspondent  $C$  has a unique isomorphism class of simple modules, then a basic algebra of  $B$  is a local algebra which can be generated by at most  $2\sqrt{I}$  elements, where  $I$  is the inertial index of  $B$ , and where we assume that  $k$  is a splitting field for  $B$  and  $C$ .

## 1. INTRODUCTION

The purpose of this paper is to examine certain algebras of dimension  $p^2$  over a field of odd characteristic  $p$ , which occur as the basic algebras of blocks of finite groups with normal defect groups of order  $p^2$  and a unique simple module. The goal is to understand the Brauer correspondents of such blocks. To this end, we make a detailed examination of the degree one Hochschild cohomology as a Lie algebra.

**Theorem 1.1.** *Let  $k$  be a field of odd prime characteristic  $p$  and let  $q \in k^\times$  be an element of finite order  $e$  such that  $e \geq 2$  and such that  $e$  divides  $p - 1$ . Let*

$$A = k\langle x, y \mid x^p = 0 = y^p, yx = qxy \rangle.$$

*Set  $\mathcal{L} = HH^1(A)$  and let  $\mathcal{L}'$  be the derived Lie subalgebra of the Lie algebra  $\mathcal{L}$ . Denote by  $\text{soc}_{Z(A)}(\mathcal{L})$  the socle of  $\mathcal{L}$  as a left  $Z(A)$ -module. Then  $A$  is a split local symmetric  $k$ -algebra of dimension  $p^2$ , and the following hold.*

- (i) *We have  $\dim_k(\mathcal{L}) = 2(p + (\frac{p-1}{e})^2)$ .*
- (ii) *We have  $Z(\mathcal{L}) = \{0\}$ .*
- (iii) *There is a 2-dimensional maximal toral subalgebra  $\mathcal{H}$  of  $\mathcal{L}$  such that  $\mathcal{L} = \mathcal{H} \oplus \mathcal{L}'$ .*
- (iv) *The derived subalgebra  $\mathcal{L}'$  is nilpotent; in particular,  $\mathcal{L}$  is solvable.*
- (v) *We have  $\dim_k(\text{soc}_{Z(A)}(\mathcal{L})) = 2e$  and  $\text{soc}_{Z(A)}(\mathcal{L}) \subseteq Z(\mathcal{L}')$ .*
- (vi) *We have  $J(Z(A))\mathcal{L} = \mathcal{L}'$  and  $\dim_k(\mathcal{L}/\mathcal{L}') = 2$ .*
- (vii) *We have  $\dim_k(Z(\mathcal{L}')) = 2e + 2$ . In particular,  $\mathcal{L}'$  is abelian if and only if  $e = p - 1$ .*
- (viii) *The subalgebra  $\mathcal{H}$  is  $p$ -toral, and we have  $(\mathcal{L}')^{[p]} = \{0\}$ .*

See Section 5 for the proof. Other papers examining Hochschild cohomology of similar algebras include Bergh and Erdmann [2] and Oppermann [17], but their results and goals lie in different directions. For example, in [2] it is assumed that  $q$  is not a root of unity.

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The last statement in Theorem 1.1 regarding the  $p$ -restricted structure of  $\mathcal{L}$  is motivated by invariance results of  $p$ -power maps in Hochschild cohomology under derived and stable equivalences in work of Zimmermann [25] and Rubio y Degraffi [20].

To exploit Theorem 1.1 we prove the following general theorem, which provides an upper bound for the number of loops in the quiver of a symmetric split algebra over an arbitrary field.

**Theorem 1.2.** *Let  $k$  be a field and let  $A$  be a symmetric split  $k$ -algebra. We have*

$$\sum_S \dim_k(\mathrm{Ext}_A^1(S, S)) \leq \dim_k(\mathrm{soc}_{Z(A)}(\mathrm{HH}^1(A)))$$

where in the sum  $S$  runs over a set of representatives of the isomorphism classes of simple  $A$ -modules. In particular, if  $A$  is a symmetric split local  $k$ -algebra, then

$$\dim_k(J(A)/J(A)^2) \leq \dim_k(\mathrm{soc}_{Z(A)}(\mathrm{HH}^1(A))) .$$

This will be proved in Theorem 3.1 and Corollary 3.2. Combining the two theorems above with standard properties of stable equivalences of Morita type yields the following consequence.

**Corollary 1.3.** *Let  $A$  be as in Theorem 1.1, and let  $B$  be a split local symmetric  $k$ -algebra such that there is a stable equivalence of Morita type between  $A$  and  $B$ . We have*

$$\dim_k(J(B)/J(B)^2) \leq 2e .$$

The motivation for the above results comes from local-global considerations in the modular representation theory of finite groups. Let  $G$  be a finite group and let  $B$  be a block of the group algebra  $kG$  of  $G$  over a field  $k$  of odd characteristic. Let  $P$  be a defect group of  $B$ ,  $C$  the block of  $kN_G(P)$  in Brauer correspondence with  $B$  and let  $I$  be the inertial index of  $B$ . Suppose that  $k$  is a splitting field for  $B$  and  $C$ . If  $P$  has order  $p^2$ , then it is known that there is a stable equivalence of Morita type between  $B$  and  $C$ . If in addition  $P$  is elementary abelian and  $C$  has a unique isomorphism class of simple modules, then  $C$  is a matrix algebra over a quantum complete intersection as in Corollary 1.3. Moreover, in this case  $e \leq \sqrt{I}$  and if  $I > 1$ , then  $e > 1$ . Thus, Corollary 1.3 yields the following local-global result.

**Corollary 1.4.** *Let  $G$  be a finite group and let  $B$  be a block of the group algebra  $kG$  of  $G$  over a field  $k$  of odd characteristic  $p$ . Let  $P$  be defect group of  $B$ ,  $C$  the block of  $kN_G(P)$  in Brauer correspondence with  $B$  and let  $I$  be the inertial index of  $B$ . Suppose that  $P$  is elementary abelian of order  $p^2$ , that  $C$  has a unique isomorphism class of simple modules, and that  $k$  is a splitting field for  $B$  and  $C$ . Then  $B$  has a unique isomorphism class of simple modules, and*

$$\dim_k(J(B)/J(B)^2) \leq 2\sqrt{I} .$$

Corollaries 1.3 and 1.4 are proved at the end of Section 5. We note that in the situation of Corollary 1.4, Broué's abelian defect group conjecture [4] would imply that the blocks  $B$  and  $C$  are derived equivalent, and therefore by a result of Roggenkamp and Zimmermann [24, Proposition 6.7.4], that  $B$  and  $C$  are Morita equivalent. Hence, it would follow that the dimension of  $J(B)/J(B)^2$  is two. If  $p = 3$ , it is known that  $B$  and  $C$  are Morita equivalent in this situation [9].

If  $e = 2$ , then it follows from results in [1] that the algebra  $A$  in Theorem 1.1 is Morita equivalent to the unique nonprincipal block algebra of the finite group algebra  $kG$ , where

$G = (C_p \times C_p) \rtimes Q_8$ , with  $Z(Q_8)$  acting trivially on  $C_p \times C_p$ , such that the induced action of  $Q_8/Z(Q_8) \cong C_2 \times C_2$  is given by each copy of  $C_2$  acting by inversion on the corresponding copy of  $C_p$ . Thus  $A$  lifts to an  $\mathcal{O}$ -free  $\mathcal{O}$ -algebra  $\hat{A}$  which is Morita equivalent to the unique nonprincipal block  $B_1$  of  $\mathcal{O}G$ . Here  $\mathcal{O}$  is a complete discrete valuation ring of characteristic zero with residue field  $k$  of odd prime characteristic  $p$ ; we assume that  $\mathcal{O}$  contains a primitive  $4p$ -th root of unity. This algebra  $\hat{A}$  can be described, using the normalised polynomials  $f_n(u) = 2T_n(\frac{u}{2})$  of the Chebyshev polynomials of the first kind  $T_n$  (see §6 for a more detailed review of the notation).

**Theorem 1.5.** *With the notation above, the  $\mathcal{O}$ -algebra*

$$\hat{A} = \mathcal{O}\langle \gamma, \delta \mid \gamma\delta + \delta\gamma = 0, f_p(\gamma) = 0 = f_p(\delta) \rangle$$

*is a basic algebra of  $B_1$ . In particular, we have  $k \otimes_{\mathcal{O}} \hat{A} \cong A$ .*

This will be proved in §6. If  $e > 2$ , it turns out that it is much harder to describe  $\hat{A}$ .

## 2. BASIC BACKGROUND FACTS

Let  $k$  be a field. For  $A$  a finite-dimensional  $k$ -algebra, we denote by  $\ell(A)$  the number of isomorphism classes of simple  $A$ -modules. We write  $A^e = A \otimes_k A^{\text{op}}$ . We consider  $A^e$ -modules as  $A$ - $A$ -bimodules and vice versa, whenever convenient. We denote by  $[A, A]$  the additive commutator space, spanned by the set of elements  $[a, b] = ab - ba$ , with  $a, b \in A$ . If  $A$  is split local, then every element in  $A$  is of the form  $\lambda \cdot 1 + r$  for some  $\lambda \in k$  and some  $r \in J(A)$ . This yields immediately the following well-known fact:

**Lemma 2.1.** *Let  $A$  be a finite-dimensional split local  $k$ -algebra. We have  $[A, A] \subseteq J(A)^2$ .*

A  $k$ -algebra  $A$  is *symmetric* if  $A$  is isomorphic to its  $k$ -dual  $A^\vee$  as an  $A$ - $A$ -bimodule (this implies that  $A$  is finite-dimensional). If  $A$  is symmetric, then the socle of  $A$  as a left  $A$ -module and as a right  $A$ -module coincide. If  $A$  is also split, then this coincides with the socle of  $A$  as an  $A$ - $A$ -bimodule. The image  $s \in A^\vee$  of  $1_A \in A$  under an  $A$ - $A$ -bimodule isomorphism  $A \cong A^\vee$  is called a *symmetrising form*. Note that it satisfies  $s(ab) = s(ba)$ . If  $A$  is symmetric with a fixed choice of a symmetrising form  $s$ , for any subspace  $U$  of  $A$  we denote by  $U^\perp$  the subspace consisting of all  $a \in A$  satisfying  $s(au) = 0$  for all  $u \in U$ . We have  $\dim_k(U) + \dim_k(U^\perp) = \dim_k(A)$ , and hence  $U^{\perp\perp} = U$ . It is well-known that  $[A, A]^\perp = Z(A)$  and that  $\text{soc}(A)^\perp = J(A)$ . The space  $[A, A]$  is contained in any symmetrising form of  $A$ . If  $A$  is split local symmetric, then  $\text{soc}(A)$  has dimension 1 and is the unique minimal ideal in  $A$ ; thus, in that case, we have  $[A, A] \cap \text{soc}(A) = \{0\}$ . Dualising yields the following, which appears in the proof of [15, Lemma 2].

**Lemma 2.2.** *Let  $A$  be a split local symmetric  $k$ -algebra. Then  $\text{soc}^2(A) \subseteq Z(A)$ .*

*Proof.* Choose a symmetrising form of  $A$ . The statement follows from Lemma 2.1, since  $(J(A)^2)^\perp = \text{soc}^2(A)$  and  $[A, A]^\perp = Z(A)$ .  $\square$

For  $A$  a split finite-dimensional  $k$ -algebra, the semisimple quotient  $A/J(A)$  is a direct product of matrix algebras, hence symmetric. Thus  $(A/J(A))^\vee \cong A/J(A)$  as  $A$ - $A$ -bimodules. Moreover, we have an  $A$ - $A$ -bimodule isomorphism  $A/J(A) \cong \bigoplus_S S \otimes_k S^\vee$ , where  $S$  runs over a set of representatives of the isomorphism classes of simple  $A$ -modules. If  $A$  is split and symmetric, then  $A/J(A) \cong \text{soc}(A)$  and  $(A/\text{soc}(A))^\vee \cong J(A)$  as  $A$ - $A$ -bimodules.

**Lemma 2.3** ([6, Chapter IX, Corollary 4.4]). *Let  $A$  be a finite-dimensional  $k$ -algebra, and let  $S, T$  be finite-dimensional  $A$ -modules. There is a canonical graded  $k$ -linear isomorphism*

$$HH^*(A; S \otimes_k T^\vee) \cong \text{Ext}_A^*(T, S).$$

*Proof.* A standard adjunction, with  $T$  viewed as an  $A$ - $k$ -bimodule, yields for any projective  $A^e$ -module  $P$  a natural isomorphism

$$\text{Hom}_A(P \otimes T, S) \cong \text{Hom}_{A^e}(P, \text{Hom}_k(T, S)) \cong \text{Hom}_{A^e}(P, S \otimes_k T^\vee).$$

By naturality, replacing  $P$  by a projective resolution of  $A$  as an  $A^e$ -module yields an isomorphism of cochain complexes. Taking cohomology yields the statement.  $\square$

**Lemma 2.4.** *Let  $A$  be a split symmetric  $k$ -algebra. We have a graded  $k$ -linear isomorphism*

$$HH^*(A; \text{soc}(A)) \cong \bigoplus_S \text{Ext}_A^*(S, S),$$

where  $S$  runs over a set of representatives of the isomorphism classes of simple  $A$ -modules. In particular, we have

$$\begin{aligned} \dim_k(\text{Hom}_{A^e}(A, \text{soc}(A))) &= \ell(A), \\ \dim_k(HH^1(A; \text{soc}(A))) &= \sum_S \dim_k(\text{Ext}_A^1(S, S)), \end{aligned}$$

where  $S$  runs over a set of representatives of the isomorphism classes of simple  $A$ -modules.

*Proof.* As mentioned above, we have  $A$ - $A$ -bimodule isomorphisms

$$\text{soc}(A) \cong A/J(A) \cong \bigoplus_S S \otimes_k S^\vee,$$

where  $S$  runs over a set of representatives of the isomorphism classes of simple  $A$ -modules. Thus the isomorphism follows from the previous lemma. Comparing dimensions in degree 0 and in degree 1 yields the two equalities.  $\square$

### 3. CALCULATING DERIVATIONS ON SYMMETRIC ALGEBRAS

Let  $k$  be a field and let  $A$  be a finite-dimensional  $k$ -algebra. We will use the description of  $HH^1(A)$  as outer derivations. A  $k$ -linear map  $f: A \rightarrow A$  is a *derivation* if  $f(ab) = af(b) + f(a)b$  for all  $a, b \in A$ . If  $z \in Z(A)$  and  $f$  is a derivation on  $A$ , then  $z \cdot f$  defined by  $(z \cdot f)(a) = zf(a)$  is a derivation on  $A$ . In this way, the set of derivations  $\text{Der}(A)$  on  $A$  becomes a  $Z(A)$ -module. If  $x \in A$ , then the map  $[x, -]$  sending  $a \in A$  to  $[x, a] = xa - ax$  is a derivation; any derivation of this form is called an *inner derivation*, of  $A$ , and the set  $\text{IDer}(A)$  of inner derivations of  $A$  is a  $Z(A)$ -submodule of  $\text{Der}(A)$ . We have a canonical isomorphism  $HH^1(A) \cong \text{Der}(A)/\text{IDer}(A)$ ; see e.g. [23, 9.2.1]. The  $HH^0(A)$ -module structure and the  $Z(A)$ -module structure on  $\text{Der}(A)/\text{IDer}(A)$  correspond to each other through the canonical isomorphism  $HH^0(A) \cong Z(A)$ . Any derivation  $f$  on  $A$  satisfies  $f(1) = 0$ , since  $f(1) = f(1 \cdot 1) = f(1) \cdot 1 + 1 \cdot f(1) = 2f(1)$ , hence  $\ker(f)$  is a unitary subalgebra of  $A$ . The space  $\text{IDer}(A)$  is isomorphic to the quotient of  $A$  by the kernel of the map  $x \mapsto [x, -]$ , hence  $\dim_k(\text{IDer}(A)) = \dim_k(A) - \dim_k(Z(A))$ . Thus if  $A$  is symmetric, then  $\dim_k(\text{IDer}(A)) = \dim_k([A, A])$ . For any  $Z(A)$ -module  $H$  we denote by  $\text{soc}_{Z(A)}(H)$  its socle as a  $Z(A)$ -module.

**Theorem 3.1.** *Let  $A$  be a symmetric split  $k$ -algebra and let  $E$  be a maximal semisimple subalgebra. Let  $f: A \rightarrow A$  be an  $E$ - $E$ -bimodule homomorphism satisfying  $E + J(A)^2 \subseteq \ker(f)$  and  $\text{Im}(f) \subseteq \text{soc}(A)$ . Then  $f$  is a derivation on  $A$  in  $\text{soc}_{Z(A)}(\text{Der}(A))$ , and if  $f \neq 0$ , then  $f$  is an outer derivation of  $A$ . In particular, we have*

$$\sum_S \dim_k(\text{Ext}_A^1(S, S)) \leq \dim_k(\text{soc}_{Z(A)}(HH^1(A)))$$

where in the sum  $S$  runs over a set of representatives of the isomorphism classes of simple  $A$ -modules.

*Proof.* Let  $a, b \in A$ . By the Wedderburn–Malcev theorem, we have  $A = E \oplus J(A)$ . Thus  $a = c + r$  and  $b = d + s$  for some  $c, d \in E$  and  $r, s \in J(A)$ . The hypotheses on  $f$  imply that

$$f(ab) = f(cd + cs + rd + rs) = f(cs + rd) = cf(s) + f(r)d = af(b) + f(a)b.$$

This shows that  $f$  is a derivation. Suppose that  $f$  is an inner derivation. Then  $\text{Im}(f) \subseteq [A, A] \cap \text{soc}(A)$ . But  $\text{Im}(f)$  is also an  $E$ - $E$ -bimodule. Any  $E$ - $E$ -bimodule contained in  $\text{soc}(A)$  is in fact an ideal. The space  $[A, A]$  contains no nonzero ideal as  $A$  is symmetric. Thus  $f$  is either zero or an outer derivation. Since  $J(Z(A))$  is contained in  $J(A)$ , any such derivation is annihilated by  $J(Z(A))$ . This shows that  $\text{Hom}_{E^e}(J(A)/J(A)^2, \text{soc}(A))$  is isomorphic to a subspace of  $\text{soc}_{Z(A)}(HH^1(A))$ . Since  $J(A)$  annihilates  $J(A)/J(A)^2$  and  $\text{soc}(A)$ , this subspace is isomorphic to  $\text{Hom}_{A^e}(J(A)/J(A)^2, \text{soc}(A))$ . As  $A$  is symmetric, we have

$$\text{soc}(A) \cong A/J(A) \cong \bigoplus_S S \otimes_k S^\vee,$$

with  $S$  running over a set of representatives of the isomorphism classes of simple  $A$ -modules. The dimension of  $\text{Hom}_{A^e}(J(A)/J(A)^2, S \otimes_k S^\vee)$  is equal to the number of summands of the  $A^e$ -module  $J(A)/J(A)^2$  isomorphic to  $S \otimes_k S^\vee$ . If  $i$  is a primitive idempotent such that  $iS \neq \{0\}$ , then  $S$  is the unique simple quotient of  $Ai$ , hence  $S^\vee$  is the unique simple quotient of  $iA$ , and thus  $S^\vee i$  is one-dimensional. It then follows that the dimension of  $\text{Hom}_{A^e}(J(A)/J(A)^2, S \otimes_k S^\vee)$  is equal to the number of summands of  $J(A)i/J(A)^2i$  isomorphic to  $S$ , and that is precisely  $\dim_k(\text{Ext}_A^1(S, S))$ .  $\square$

**Corollary 3.2.** *Let  $A$  be a split local symmetric  $k$ -algebra. Let  $f: A \rightarrow A$  be a  $k$ -linear map satisfying  $1 + J(A)^2 \subseteq \ker(f)$  and  $\text{Im}(f) \subseteq \text{soc}(A)$ . Then  $f$  is a derivation on  $A$  in  $\text{soc}_{Z(A)}(\text{Der}(A))$ , and if  $f \neq 0$ , then  $f$  is an outer derivation of  $A$ . In particular, we have*

$$\dim_k(J(A)/J(A)^2) \leq \dim_k(\text{soc}_{Z(A)}(HH^1(A))).$$

*Proof.* Since  $A$  is split local, we have  $\dim_k(J(A)/J(A)^2) = \dim_k(\text{Ext}_A^1(S, S))$ , where  $S = A/J(A)$  is the unique simple  $A$ -module, up to isomorphism. Moreover,  $k \cdot 1_k$  is the unique maximal semisimple subalgebra of  $A$ . The result follows from Theorem 3.1.  $\square$

Combining Theorem 3.1 and Corollary 3.2 implies Theorem 1.2.

**Remark 3.3.** The projective ideal  $Z^{pr}(A)$  of  $Z(A)$  is the ideal corresponding via the canonical isomorphism  $Z(A) \cong \text{End}_{A \otimes_{\mathcal{O}} A^{\text{op}}}(A)$  to the ideal of bimodule endomorphisms of  $A$  which factor through a projective bimodule. Note that  $HH^1(A)$  is annihilated by  $Z^{pr}(A)$ , hence  $HH^1(A)$  is a module over the stable center  $\bar{Z}(A) = Z(A)/Z^{pr}(A)$ , and we have

$$\text{soc}_{Z(A)}(HH^1(A)) = \text{soc}_{\bar{Z}(A)}(HH^1(A)).$$

This shows that  $\text{soc}_{Z(A)}(HH^1(A))$  is invariant under stable equivalences of Morita type.

It is possible to give a more structural proof of the inequality in Theorem 3.1, based on the following result.

**Proposition 3.4.** *Let  $A$  be a split symmetric  $k$ -algebra. We have canonical short exact sequences*

$$\begin{aligned} 0 &\longrightarrow \mathrm{Hom}_{A^e}(A, \mathrm{soc}(A)) \longrightarrow \mathrm{Hom}_{A^e}(A, A) \longrightarrow \mathrm{Hom}_{A^e}(A, A/\mathrm{soc}(A)) \longrightarrow 0 \\ 0 &\longrightarrow \mathrm{Hom}_{A^e}(A/J(A), A) \longrightarrow \mathrm{Hom}_{A^e}(A, A) \longrightarrow \mathrm{Hom}_{A^e}(J(A), A) \longrightarrow 0 \end{aligned}$$

In particular, we have

$$\dim_k(Z(A)) - \ell(A) = \dim_k(\mathrm{Hom}_{A^e}(A, A/\mathrm{soc}(A))) = \dim_k(\mathrm{Hom}_{A^e}(J(A), A)) .$$

*Proof.* We may assume that  $A$  is basic. Any  $A^e$ -homomorphism from  $A$  to  $A/\mathrm{soc}(A)$  is in particular a homomorphism of left  $A$ -modules. As such, it lifts to an endomorphism of  $A$ , and hence is induced by right multiplication with an element  $y \in A$ , followed by the canonical map  $A \rightarrow A/\mathrm{soc}(A)$ . For this to induce a bimodule homomorphism from  $A$  to  $A/\mathrm{soc}(A)$  a necessary condition is  $[y, a] \in \mathrm{soc}(A)$  for all  $a \in A$ . Using that  $A$  is basic, one can show that this forces  $y \in Z(A)$ . Indeed, for any primitive idempotent  $i$  we have

$$[y, i] = yi - iy = yi - iyi - iy(1 - i) \in \mathrm{soc}(A).$$

Since  $A$  is basic, this forces  $iy(1 - i) = 0$  because  $\mathrm{soc}(A(1 - i))$  has no submodule isomorphic to the simple module  $Ai/J(A)i$ . Thus  $iy = iyi$ , and a similar argument shows  $iyi = yi$ . Thus  $y$  commutes with all primitive idempotents. For  $a \in Ai$  we have  $[y, a] = yai - ayi \in \mathrm{soc}(Ai)$ , so this is annihilated by  $1 - i$ , hence equal to  $iyiai - iaiyi \in \mathrm{soc}(iAi) \cap [iAi, iAi]$ , which is zero because the local algebra  $iAi$  is symmetric. Thus  $y \in Z(A)$ , which means precisely that the induced homomorphism  $A \rightarrow A/\mathrm{soc}(A)$  lifts to a bimodule homomorphism  $A \rightarrow A$ , whence the exactness of the first sequence as stated. The second sequence is obtained from applying duality to the first. By Lemma 2.4, the dimension of the left term in the first sequence is  $\ell(A)$ , and the middle term is isomorphic to  $Z(A)$ , which proves the first equality. The second equality is obtained via duality.  $\square$

**Remark 3.5.** The inequality in Theorem 3.1 can be proved using Proposition 3.4 as follows. We consider the long exact sequence obtained from applying the functor  $\mathrm{Hom}_{A^e}(A, -)$  to the short exact sequence of  $A^e$ -modules

$$0 \longrightarrow \mathrm{soc}(A) \longrightarrow A \longrightarrow A/\mathrm{soc}(A) \longrightarrow 0$$

This yields in particular an exact sequence

$$\mathrm{Hom}_{A^e}(A, A) \longrightarrow \mathrm{Hom}_{A^e}(A, A/\mathrm{soc}(A)) \longrightarrow HH^1(A; \mathrm{soc}(A)) \longrightarrow HH^1(A)$$

By Proposition 3.4 the first map is surjective. Thus the second map is zero, hence the third map is injective. Thus  $HH^1(A; \mathrm{soc}(A))$  is isomorphic to a subspace of  $HH^1(A)$ . Since  $J(Z(A)) \subseteq J(A)$ , this subspace is contained in  $\mathrm{soc}_{Z(A)}(HH^1(A))$ . The inequality in Theorem 3.1 follows from 2.4.

The surjectivity of the first map in the above exact sequence can be used to give a proof of a result of Brandt [3], as follows. Identify  $\mathrm{Hom}_{A^e}(J(A)/J(A)^2; A)$  with a subspace of  $\mathrm{Hom}_{A^e}(J(A); A)$  via the canonical surjection  $J(A) \rightarrow J(A)/J(A)^2$ . If  $J(A)^2$  is nonzero, then

$\text{Hom}_A(J(A)/J(A)^2, A)$  is strictly smaller than  $\text{Hom}_A(J(A), A)$ , because the inclusion map  $J(A) \subseteq A$  does not factor through  $J(A)/J(A)^2$ . Since  $J(A)/J(A)^2$  is semisimple, we have

$$\text{Hom}_{A^e}(J(A)/J(A)^2, A) = \text{Hom}_{A^e}(J(A)/J(A)^2, \text{soc}(A)),$$

which in turn (as observed in the proof of 3.1) is isomorphic to  $\bigoplus_S \text{Ext}_A^1(S, S)$ , where  $S$  runs over a set of representatives of the isomorphism classes of simple  $A$ -modules. Thus, if  $A$  is split symmetric such that  $J(A)^2 \neq \{0\}$ , then the dimension of  $\text{Hom}_{A^e}(J(A), A)$  is strictly greater than that of  $\bigoplus_S \text{Ext}_A^1(S, S)$ . Proposition 3.4 implies in that case the inequality

$$\dim_k(Z(A)) - \ell(A) \geq 1 + \sum_S \dim_k(\text{Ext}_A^1(S, S))$$

due to Brandt [3, Theorem B].

It follows that the integers  $\dim_k(Z(A)) - \ell(A) - 1$  and  $\dim_k(\text{soc}_{Z(A)}(HH^1(A)))$  are both upper bounds for  $\sum_S \dim_k(\text{Ext}_A^1(S, S))$ . These two upper bounds are not comparable in general, since they arise from unrelated parts of a long exact sequence with a zero map. The following two examples illustrate this. Suppose that  $k$  has odd prime characteristic  $p$ . If  $A = k(C_p \rtimes C_{p-1})$ , with  $C_{p-1}$  acting regularly on the nontrivial elements of  $C_p$ , then standard calculations yield

$$\dim_k(Z(A)) - \ell(A) - 1 = 0 < \dim_k(\text{soc}_{Z(A)}(HH^1(A))) = 1 .$$

By contrast, if  $A$  is as in Theorem 1.1, then, using Lemma 4.3 below, we have

$$\dim_k(Z(A)) - \ell(A) - 1 = \left(\frac{p-1}{e}\right)^2 + 2p - 3 \geq \dim_k(\text{soc}_{Z(A)}(HH^1(A))) = 2e ,$$

with equality if and only if  $e = p - 1$ .

Derivations with image in the second socle layer are characterised as follows.

**Proposition 3.6.** *Let  $A$  be a split local symmetric  $k$ -algebra, let  $\{x_1, x_2, \dots, x_r\}$  be a  $k$ -basis of a complement of  $J(A)^2$  in  $J(A)$ , and let  $z$  be a nonzero element in  $\text{soc}(A)$ . There is a basis  $\{y_1, y_2, \dots, y_r\}$  of a complement of  $\text{soc}(A)$  in  $\text{soc}^2(A)$  such that  $x_i y_i = y_i x_i = z$  for  $1 \leq i \leq r$ , and such that  $x_i y_j = y_j x_i = 0$ , for  $1 \leq i, j \leq r$ ,  $i \neq j$ . Let  $f: A \rightarrow A$  be a  $k$ -linear map satisfying  $1 + J(A)^2 \subseteq \ker(f)$ , such that*

$$f(x_i) = \sum_{j=1}^r \sigma_{i,j} y_j$$

for some coefficients  $\sigma_{i,j} \in k$ ,  $1 \leq i, j \leq r$ .

- (i) *The map  $f$  is a derivation if and only if  $\sigma_{i,j} = -\sigma_{j,i}$  for all  $i, j$ ,  $1 \leq i, j \leq r$ . In particular, if  $\text{char}(k) \neq 2$  and if  $f$  is a derivation, then  $\sigma_{i,i} = 0$  for  $1 \leq i \leq r$ , and the space of derivations obtained in this way has dimension  $\frac{r(r-1)}{2}$ .*
- (ii) *If  $f$  is an inner derivation, then  $\text{Im}(f) \subseteq \text{soc}(Z(A)) \cap \text{soc}^2(A) \cap [A, A]$ , and  $\text{Im}(f)$  is contained in a complement of  $\text{soc}(A)$  in  $\text{soc}(Z(A)) \cap \text{soc}^2(A)$ .*

*Proof.* Let  $a, b \in A$ . In the following sums, the indices  $i$  and  $j$  run from 1 to  $r$ . Write

$$a = \sum_i \alpha_i x_i + \lambda \cdot 1 + u$$

with coefficients  $\alpha_i$  and  $\lambda$  in  $k$ , and  $u \in J(A)^2$ . Similarly, write

$$b = \sum_j \beta_j x_j + \mu \cdot 1 + v$$

with  $\beta_j, \mu \in k$  and  $v \in J(A)^2$ . Thus

$$\begin{aligned} f(a) &= \sum_i \alpha_i x_i = \sum_{i,j} \alpha_i \sigma_{i,j} y_j, \\ f(b) &= \sum_i \beta_i x_i = \sum_{i,j} \beta_i \sigma_{i,j} y_j. \end{aligned}$$

Since  $u, v$  annihilate the  $y_i$ , short calculations, using the hypotheses on  $f$ , yield

$$\begin{aligned} f(a)b &= \left( \sum_{i,j} \alpha_i \sigma_{i,j} \beta_j \right) z + \mu f(a), \\ af(b) &= \left( \sum_{i,j} \beta_i \sigma_{i,j} \alpha_j \right) z + \lambda f(b), \\ f(ab) &= \mu f(a) + \lambda f(b). \end{aligned}$$

Thus  $f$  is a derivation if and only if

$$\sum_{i,j} (\alpha_i \sigma_{i,j} \beta_j + \beta_i \sigma_{i,j} \alpha_j) = 0$$

for all choices of coefficients  $\alpha_i, \beta_j$ . This holds if and only if  $\sigma_{i,j} = -\sigma_{j,i}$  for all  $i, j$ . Statement (i) follows. Suppose now that  $f$  is an inner derivation, say  $f = [w, -]$  for some  $w \in A$ . By the assumptions on  $f$ , we have  $[w, J(A)^2] = \{0\}$  and  $[w, A] \subseteq \text{soc}^2(A) \subseteq Z(A)$ , where the last inclusion is from Lemma 2.2. Note that  $\text{Im}(f)$  is spanned by the  $[w, x_i]$ ,  $1 \leq i \leq r$ . If  $c \in J(Z(A))$ , then

$$[w, x_i]c = wx_i c - x_i w c = w(x_i c) - (x_i c)w = 0,$$

since  $x_i c$  is contained in  $J(A)^2$ , hence commutes with  $w$ . Thus  $\text{Im}(f)$  is annihilated by  $J(Z(A))$ , implying  $\text{Im}(f) \subseteq \text{soc}(Z(A)) \cap \text{soc}^2(A)$ . Since also  $\text{Im}(f) \subseteq [A, A]$ , which intersects  $\text{soc}(A)$  trivially as  $A$  is symmetric split local, statement (ii) follows.  $\square$

For monomial algebras, the Lie algebra structure of  $HH^1$  has been calculated in work of Strametz [21]. Maximal diagonalisable Lie subalgebras of  $HH^1$  have been calculated by Le Meur [13] for certain algebras without oriented cycles. The dimension of  $\dim(HH^1(A))$  is related to combinatorial data of the quiver of  $A$  in work of de la Peña and Saorín [18].

#### 4. THE DIMENSION OF $HH^1(A)$

Let  $k$  be a field of odd prime characteristic  $p$ , let  $1 \neq q \in k^\times$  have order  $e$  dividing  $p-1$ , and let

$$A = k\langle x, y \mid x^p = y^p = 0, yx = qxy \rangle.$$

Then  $A$  is a symmetric local  $k$ -algebra of dimension  $p^2$ , having the set of monomials

$$V = \{x^i y^j \mid 0 \leq i, j \leq p-1\}$$

as a  $k$ -basis. The linear map  $A \rightarrow k$  sending  $x^{p-1} y^{p-1}$  to 1 and all other monomials in  $V$  to 0 is a symmetrising form for  $A$ .

**Remark 4.1.** One can define an algebra  $A$  as above for arbitrary  $q \in k^\times$ , but unless the order of  $q$  divides  $p-1$ , this yields a selfinjective algebra which is not symmetric. Indeed, if  $A$  is symmetric, then any symmetrising form  $s$  of  $A$  is nonzero on the socle element  $x^{p-1}y^{p-1}$ . Thus

$$0 \neq s(x^{p-1}y^{p-1}) = s(x^{p-2}y^{p-1}x) = q^{p-1}s(x^{p-1}y^{p-1}),$$

and hence  $q^{p-1} = 1$ . Thus the algebras arising for  $q$  not of order dividing  $p-1$  are not Morita equivalent to block algebras of finite groups.

The purpose of this section is to determine the dimension of  $HH^1(A)$ .

**Proposition 4.2.** *We have  $\dim(HH^1(A)) = 2(p + (\frac{p-1}{e})^2)$ .*

We start with some technical observations. The subset

$$V' = \{x^i y^j \mid 0 \leq i, j \leq p-1, (i, j) \neq (0, 0)\}$$

of  $A$  is a  $k$ -basis of  $J(A)$ , and the element  $x^{p-1}y^{p-1}$  spans  $\text{soc}(A)$ . For  $r \geq 0$  the subset

$$V_r = \{x^i y^j \mid 0 \leq i, j \leq p-1, i+j \geq r\}$$

of  $V$  is a  $k$ -basis of  $J(A)^r$

**Lemma 4.3.**

- (i) *The set  $\{x^i y^j \mid 0 \leq i, j \leq p-1, i$  and  $j$  divisible by  $e$ , or  $i = p-1$ , or  $j = p-1\}$  is a  $k$ -basis of  $Z(A)$ . In particular, we have*

$$\dim_k(Z(A)) = \left(\frac{p-1}{e}\right)^2 + 2p - 1.$$

- (ii) *The set  $\{x^i y^{p-1}, x^{p-1} y^j \mid p-e \leq i, j \leq p-1\}$  is a  $k$ -basis of  $\text{soc}(Z(A))$ ; in particular, we have  $\dim_k(\text{soc}(Z(A))) = 2e - 1$ .*

- (iii) *We have  $J(Z(A)) \subseteq J(A)^e$ , and if  $e = 2$ , then  $\text{soc}(Z(A)) = \text{soc}^2(A) \subseteq J(A)^2$ .*

*Proof.* If  $x$  and  $y$  commute with a linear combination of monomials in the set  $V$ , then  $x$  and  $y$  commute with the monomials with nonzero coefficients in that linear combination. Thus  $Z(A)$  has a basis which is a subset of  $V$ . Clearly  $x, y$  commute exactly with the monomials  $x^i y^j$  where either both  $i, j$  are divisible by  $e$  or one of  $i, j$  is  $p-1$ . This shows that  $Z(A)$  has a basis as stated in (i). Since  $x^e$  and  $y^e$  are in  $J(Z(A))$ , hence annihilate  $\text{soc}(Z(A))$ , it follows that  $\text{soc}(Z(A))$  is contained in the span of the elements of

$$\{x^i y^j \mid p-e \leq i, j \leq p-1\} \cap Z(A) = \{x^i y^{p-1}, x^{p-1} y^j \mid p-e \leq i, j \leq p-1\}.$$

On the other hand, every element of  $\{x^i y^{p-1}, x^{p-1} y^j \mid p-e \leq i, j \leq p-1\}$  is annihilated by  $J(Z(A))$ , whence (ii). Statement (iii) follows easily from the previous statements.  $\square$

**Lemma 4.4.** *The set*

$$\{x^i y^j \mid 1 \leq i, j \leq p-1, i \text{ or } j \text{ not divisible by } e\}$$

*is a  $k$ -basis of  $[A, A]$ . In particular, we have*

$$\dim_k([A, A]) = (p-1)^2 - \left(\frac{p-1}{e}\right)^2$$

*and the space  $[A, A]$  is contained in the ideal  $Axy = xyA$ .*

*Proof.* Let  $1 \leq i, j \leq p-1$ . If  $j$  is not divisible by  $e$ , then

$$[x, x^{i-1}y^j] = xx^{i-1}y^j - x^{i-1}y^jx = (1 - q^j)x^i y^j \neq 0$$

whence  $x^i y^j \in [A, A]$ . Similarly, if  $i$  is not divisible by  $e$ , then

$$x^i y^j = (1 - q^i)^{-1}[y, x^i y^{j-1}] \in [A, A].$$

Thus the given set is contained in  $[A, A]$  and it spans a subspace of  $[A, A]$  of dimension  $(p-1)^2 - \left(\frac{p-1}{e}\right)^2$ . Since  $\dim_k([A, A]) = \dim_k(A) - \dim_k(Z(A))$ , the formula for  $\dim_k([A, A])$  follows from Lemma 4.3. This dimension coincides with the dimension of the subspace spanned by the given set, whence the result.  $\square$

Let  $f: A \rightarrow A$  be a derivation. Then  $f(1) = 0$ , and  $f$  is uniquely determined by its values at  $x$  and  $y$ . An easy induction shows that for any positive integer  $n$  and  $a_1, a_2, \dots, a_n \in A$ , we have

$$f(a_1 a_2 \cdots a_n) = \sum_{i=1}^n a_1 a_2 \cdots a_{i-1} f(a_i) a_{i+1} \cdots a_n ;$$

in particular, for any  $a \in A$  we have  $f(a^n) = \sum_{i=1}^n a^{i-1} f(a) a^{n-i}$ .

**Lemma 4.5.** *For  $0 \leq i, j \leq p-1$ , let  $\alpha_{i,j}, \beta_{i,j} \in k$ . There is a derivation  $f: A \rightarrow A$  satisfying*

$$f(x) = \sum_{0 \leq i, j \leq p-1} \alpha_{i,j} x^i y^j, \quad f(y) = \sum_{0 \leq i, j \leq p-1} \beta_{i,j} x^i y^j$$

*if and only if the following hold.*

- (1)  $\alpha_{i,j-1}(1 - q^{i-1}) + \beta_{i-1,j}(1 - q^{j-1}) = 0$  for  $1 \leq i, j \leq p-1$ .
- (2)  $\alpha_{0,j-1} = 0$  for  $1 \leq j \leq p-1$ .
- (3)  $\beta_{i-1,0} = 0$  for  $1 \leq i \leq p-1$ .

*In particular, if  $f$  is a derivation on  $A$ , then  $f$  maps  $J(A)$  to  $J(A)$ .*

*Proof.* Suppose that  $f$  is a derivation with the given values for  $x$  and  $y$ . In the following sums, unless otherwise indicated, the indices  $i$  and  $j$  run from 0 to  $p-1$ . We have

$$\begin{aligned} 0 &= f(0) = f(qxy - yx) = qf(x)y - yf(x) + qxf(y) - f(y)x \\ &= \sum_{i,j} \alpha_{i,j}(qx^i y^{j+1} - yx^i y^j) + \sum_{i,j} \beta_{i,j}(qx^{i+1} y^j - x^i y^j x) \\ &= \sum_{i,j} \alpha_{i,j}(q - q^i)x^i y^{j+1} + \sum_{i,j} \beta_{i,j}(q - q^j)x^{i+1} y^j. \end{aligned}$$

The term in the first sum with  $j = p-1$  is zero, as is the term in the second sum with  $i = p-1$  (this is where we use the  $p$ -power relations  $x^p = 0 = y^p$ ). We reindex the first sum with  $j$  running from 1 to  $p-1$ , and the second sum with  $i$  running from 1 to  $p-1$ , and we then separate the terms with  $i = 0$  or  $j = 0$ . This yields

$$0 = (q-1) \left( \sum_{j=1}^{p-1} \alpha_{0,j-1} y^j + \sum_{i=1}^{p-1} \beta_{i-1,0} x^i \right) + q \sum_{i,j=1}^{p-1} (\alpha_{i,j-1}(1 - q^{i-1}) + \beta_{i-1,j}(1 - q^{j-1})) x^i y^j.$$

Since  $q \neq 1$ , the first two sums above yield the conditions (2) and (3). The third sum yields the condition (1). In particular,  $\alpha_{0,0} = \beta_{0,0} = 0$ , and hence  $f(x), f(y) \in J(A)$ . This implies that  $f$  sends  $J(A)$  to  $J(A)$ .

Conversely, there is a derivation  $g$  from the free algebra  $k\langle x, y \rangle$  in two generators (abusively again denoted  $x$  and  $y$ ) to the algebra  $A$  which takes on  $x$  and  $y$  the values as given in the statement. By construction,  $g$  vanishes on  $qxy - yx$ . The properties (1), (2) and (3) imply that  $g$  vanishes also on  $x^p$  and  $y^p$ . Thus  $g$  induces a derivation on  $A$  with the required values for  $x$  and  $y$ .  $\square$

**Lemma 4.6.** *We have  $\dim_k(\text{Der}(A)) = p^2 + 1 + \left(\frac{p-1}{e}\right)^2$ .*

*Proof.* A derivation  $f: A \rightarrow A$  is determined by the  $2p^2$  coefficients  $\alpha_{i,j}, \beta_{i,j}$  as in Lemma 4.5. Any assignment of values  $f(x), f(y)$  satisfying the conditions (1) to (3) in that lemma determines a unique derivation. If  $e$  divides both  $i - 1$  and  $j - 1$ , then the condition (1) is trivially satisfied, otherwise (1) yields a relation. Thus the condition (1) yields  $(p-1)^2 - \left(\frac{p-1}{e}\right)^2$  relations. The conditions (2) and (3) each yield  $p - 1$  relations. Thus, the total number of relations from Lemma 4.5 is

$$(p-1)^2 - \left(\frac{p-1}{e}\right)^2 + 2(p-1) = p^2 - 1 - \left(\frac{p-1}{e}\right)^2$$

and it follows that  $\dim_k(\text{Der}(A)) = p^2 + 1 + \left(\frac{p-1}{e}\right)^2$ .  $\square$

*Proof of Proposition 4.2.* We have  $\dim_k(A) = p^2$  and  $\dim_k(Z(A)) = \left(\frac{p-1}{e}\right)^2 + 2p - 1$  from Lemma 4.3. Thus  $\dim_k(\text{IDer}(A)) = p^2 - \left(\frac{p-1}{e}\right)^2 - 2p + 1$ . It follows from Lemma 4.6 that

$$\dim_k(HH^1(A)) = 2 \left(\frac{p-1}{e}\right)^2 + 2p,$$

which completes the proof of Proposition 4.2.  $\square$

## 5. THE LIE ALGEBRA STRUCTURE OF $HH^1(A)$

A Lie subalgebra  $\mathcal{H}$  of a Lie algebra  $\mathcal{L}$  is called *toral* if the image of  $\mathcal{H}$  in the adjoint representation on  $\mathcal{L}$  is simultaneously diagonalisable (hence abelian). For semisimple complex Lie algebras, the maximal toral Lie subalgebras are exactly the Cartan subalgebras. As in the previous section, let  $k$  be a field of odd prime characteristic  $p$ , let  $1 \neq q \in k^\times$  have order  $e$  dividing  $p - 1$ , and let

$$A = k\langle x, y \mid x^p = y^p = 0, yx = qxy \rangle.$$

The technicalities needed for the proof of Theorem 1.1 are contained in the following series of lemmas. We start by identifying inner derivations.

**Lemma 5.1.** *For  $i, j$  such that  $0 \leq i, j \leq p-1$ , consider the inner derivation  $d_{i,j} = [x^i y^j, -]$  on  $A$ .*

- (i) *We have  $d_{i,j}(x) = (q^j - 1)x^{i+1}y^j$ , where  $0 \leq i, j \leq p-1$ . In particular, we have  $d_{i,j}(x) = 0$  if and only if  $i = p-1$  or  $e$  divides  $j$ .*
- (ii) *We have  $d_{i,j}(y) = (1 - q^i)x^i y^{j+1}$ , where  $0 \leq i, j \leq p-1$ . In particular, we have  $d_{i,j}(y) = 0$  if and only if  $j = p-1$  or  $e$  divides  $i$ .*
- (iii) *Let  $d$  be an inner derivation of  $A$ . Then  $d(x)$  is a linear combination of monomials  $x^i y^j$  with  $1 \leq i, j \leq p-1$  such that  $e$  does not divide  $j$ . Similarly,  $d(y)$  is a linear combination of monomials  $x^i y^j$  with  $1 \leq i, j \leq p-1$  such that  $e$  does not divide  $i$ .*

*Proof.* Let  $i, j$  be integers such that  $0 \leq i, j \leq p - 1$ . We have

$$d_{i,j}(x) = [x^i y^j, x] = x^i y^j x - x^{i+1} y^j = (q^j - 1)x^{i+1} y^j.$$

This expression vanishes precisely if  $q^j = 1$  or if  $i + 1 = p$ , whence (i). As similar calculation proves (ii). An inner derivation on  $A$  is a linear combination of the inner derivations  $d_{i,j}$ , where  $0 \leq i, j \leq p - 1$ . Thus (iii) follows from (i) and (ii).  $\square$

Using Lemma 4.5, we determine all derivations on  $A$  mapping one of the generators to a single monomial and the other to zero.

**Lemma 5.2.** *Let  $a, b, c, d$  be integers such that  $0 \leq a, b, c, d \leq p - 1$ .*

- (i) *There is a derivation  $f_{a,b}$  on  $A$  satisfying  $f_{a,b}(x) = x^a y^b$  and  $f_{a,b}(y) = 0$  if and only if  $b = p - 1$  or  $a \geq 1$  and  $e$  divides  $a - 1$ . Moreover, in that case we have*

$$f_{a,b}(x^c y^d) = \left( \sum_{s=0}^{c-1} q^{bs} \right) x^{a+c-1} y^{b+d},$$

*with the convention that this is zero if  $c = 0$ . In particular, if  $e$  divides  $a - 1$  and  $b$  or if  $b = p - 1$ , then*

$$f_{a,b}(x^c y^d) = c x^{a+c-1} y^{b+d}.$$

- (ii) *There is a derivation  $g_{a,b}$  on  $A$  satisfying  $g_{a,b}(x) = 0$  and  $g_{a,b}(y) = x^a y^b$  if and only if  $a = p - 1$  or  $b \geq 1$  and  $e$  divides  $b - 1$ . Moreover, in that case we have*

$$g_{a,b}(x^c y^d) = \left( \sum_{t=0}^{d-1} q^{at} \right) x^{a+c} y^{b+d-1},$$

*with the convention that this is zero if  $d = 0$ . In particular, if  $e$  divides  $a$  and  $b - 1$ , or if  $a = p - 1$ , then*

$$g_{a,b}(x^c y^d) = d x^{a+c} y^{b+d-1}.$$

*Proof.* With the notation of Lemma 4.5, the condition  $f_{a,b}(y) = 0$  is equivalent to the vanishing of all coefficients  $\beta_{i,j}$ , where  $0 \leq i, j \leq p - 1$ . The condition  $f_{a,b}(x) = x^a y^b$  is equivalent to  $\alpha_{a,b} = 1$  and the vanishing of all remaining coefficients  $\alpha_{i,j}$ . If  $1 \leq a \leq p - 1$  and  $0 \leq b \leq p - 2$ , then the relation (1) from Lemma 4.5 yields  $0 = \alpha_{a,b}(1 - q^{a-1}) = 1 - q^{a-1}$ , hence that  $e$  divides  $a - 1$ . If  $a = 0$ , then relation (2) from Lemma 4.5 forces  $b = p - 1$ . Suppose now that  $f_{a,b}$  is a derivation; that is,  $b = p - 1$  or  $a \geq 1$  and  $e$  divides  $a - 1$ . Since  $f_{a,b}(y) = 0 = f_{a,b}(1)$ , an easy induction shows that  $f_{a,b}(y^d) = 0$ . Thus  $f_{a,b}(x^c y^d) = f_{a,b}(x^c) y^d$ . Another straightforward induction shows that  $f_{a,b}(x^c) = (\sum_{s=0}^{c-1} q^{bs}) x^{a+c-1} y^b$ . Combining these facts yields the first formula in (i). If in addition  $e$  divides  $b$ , then  $q^b = 1$ , whence the second formula. This proves (i), and the proof of (ii) is similar.  $\square$

Note the slight redundancy in the statement of Lemma 5.2: if  $0 \leq a \leq p - 1$  and  $e$  divides  $a - 1$ , then necessarily  $a \geq 1$ , since we assume that  $e \neq 1$ . We determine next a linearly independent subset of  $\text{Der}(A)$  whose image in  $HH^1(A)$  is a  $k$ -basis.

**Lemma 5.3.** *Let  $a, b, c, d$  be integers such that  $0 \leq a, b, c, d \leq p - 1$ . Let  $X$  be the disjoint union of the two sets of derivations*

$$\begin{aligned} & \{f_{a,b} \mid 0 \leq a, b \leq p - 1, e \text{ divides } a - 1 \text{ and } b, \text{ or } b = p - 1\} \\ & \{g_{a,b} \mid 0 \leq a, b \leq p - 1, e \text{ divides } a \text{ and } b - 1, \text{ or } a = p - 1\} \end{aligned}$$

The set  $X$  is linearly independent, and its span  $\mathcal{H}$  is a complement of  $\text{IDer}(A)$  in  $\text{Der}(A)$ .

*Proof.* By Lemma 5.2, the set  $X$  indeed consists of derivations. The linear independence of the set  $X$  of derivations follows immediately from the fact that the set  $V$  of monomials in  $x$  and  $y$  is a basis of  $A$ . The cardinality of the set  $X$  is equal to  $\dim_k(\text{HH}^1(A))$ , by Proposition 4.2. Any nonzero linear combination of the derivations in  $X$  map either  $x$  or  $y$  to a nonzero element in  $A$ . If  $x$  is mapped to a nonzero element, this element involves a monomial  $x^a y^b$  with  $b$  divisible by  $e$ . But then Lemma 5.1 implies that this linear combination is not an inner derivation. A similar argument applies if  $y$  is mapped to a nonzero element. This shows that the space  $\mathcal{H}$  spanned by  $X$  intersects  $\text{IDer}(A)$  trivially. Since  $\dim_k(\mathcal{H}) = |X| = \dim_k(\text{HH}^1(A))$ , it follows that  $\mathcal{H}$  is a complement of  $\text{IDer}(A)$  in  $\text{Der}(A)$ .  $\square$

We calculate next the Lie brackets between the elements of the basis  $X$  of  $\mathcal{H}$ .

**Lemma 5.4.** *With the notation of Proposition 5.3, let  $a, b, c, d$  be integers such that  $0 \leq a, b, c, d \leq p-1$ .*

- (i) *Suppose that  $e$  divides  $a-1$  and  $b$ , or that  $b = p-1$ ; similarly, suppose that  $e$  divides  $c-1$  and  $d$ , or that  $d = p-1$ . If  $a+c-1 \leq p-1$  and  $b+d \leq p-1$ , then*

$$[f_{a,b}, f_{c,d}] = (c-a)f_{a+c-1, b+d}$$

*and we have  $b+d = p-1$  or  $e$  divides both  $a+c-2$  and  $b+d$ ; in particular, we have  $f_{a+c-1, b+d} \in X$ . If one of  $a+c-1$  or  $b+d$  is at least  $p$ , then*

$$[f_{a,b}, f_{c,d}] = 0.$$

- (ii) *Suppose that  $e$  divides  $a$  and  $b-1$ , or that  $a = p-1$ ; similarly, suppose that  $e$  divides  $c$  and  $d-1$ , or that  $c = p-1$ . If  $a+c \leq p-1$  and  $b+d-1 \leq p-1$ , then*

$$[g_{a,b}, g_{c,d}] = (d-b)g_{a+c, b+d-1}$$

*and we have  $a+c = p-1$  or  $e$  divides both  $a+c$  and  $b+d-2$ ; in particular, we have  $g_{a+c, b+d-1} \in X$ . If one of  $a+c$ ,  $b+d-1$  is at least  $p$ , then*

$$[g_{a,b}, g_{c,d}] = 0.$$

- (iii) *Suppose that  $e$  divides  $a-1$  and  $b$ , or that  $b = p-1$ ; similarly, suppose that  $e$  divides  $c$  and  $d-1$ , or that  $c = p-1$ . If  $a+c > p-1$  or  $b+d > p-1$ , then*

$$[f_{a,b}, g_{c,d}] = 0.$$

- (iv) *Suppose that  $e$  divides  $a-1$  and  $b$ , or that  $b = p-1$ ; similarly, suppose that  $e$  divides  $c$  and  $d-1$ , or that  $c = p-1$ . Suppose that  $a+c \leq p-1$  and that  $b+d \leq p-1$ . We have  $a+c < p-1$  if and only if  $b+d < p-1$ , and in that case, we have*

$$[f_{a,b}, g_{c,d}] = -bf_{a+c, b+d-1} + cg_{a+c-1, b+d}.$$

- (v) *Suppose that  $e$  divides  $a-1$  and  $b$ , or that  $b = p-1$ ; similarly, suppose that  $e$  divides  $c$  and  $d-1$ , or that  $c = p-1$ . Suppose that  $a+c \leq p-1$  and that  $b+d \leq p-1$ . We have  $a+c = p-1$  if and only if  $b+d = p-1$ , and in that case we have  $(a, b, c, d) = (0, p-1, p-1, 0)$ , and*

$$[f_{0, p-1}, g_{p-1, 0}] = (q^{-1} - 1)^{-1} [x^{p-2} y^{p-2}, -] = (q^{-1} - 1)^{-1} d_{p-2, p-2}.$$

*Proof.* With the assumptions as in (i), both sides vanish at  $y$ , and we need to show that they coincide at  $x$ . It follows from Lemma 5.2 (i) that

$$[f_{a,b}, f_{c,d}](x) = f_{a,b}(x^c y^d) - f_{c,d}(x^a y^b) = cx^{a+c-1} y^{b+d} - ax^{a+c-1} y^{b+d}$$

This is a nonzero derivation only if  $a+c-1 \leq p-1$  and  $b+d \leq p-1$ . If  $b+d < p-1$ , then  $b < p-1$  and  $d < p-1$ , hence  $a-1, c-1, b, d$  are divisible by  $e$ , and therefore  $a+c-2$  and  $b+d$  are divisible by  $e$ . This shows (i), and the proof of (ii) is similar. With the assumptions as in (iii), we have

$$[f_{a,b}, g_{c,d}](x) = f_{a,b}(g_{c,d}(x)) - g_{c,d}(f_{a,b}(x)) = -g_{c,d}(x^a y^b) = -bx^{a+c} y^{b+d-1}$$

where the last equation uses Lemma 5.2 (ii). A similar calculation yields  $[f_{a,b}, g_{c,d}](y) = cx^{a+c-1} y^{b+d}$ , whence (iii). If  $a+c = p-1$ , then  $e$  divides  $a$  (since  $e$  divides  $c$  and  $p-1$ ), so  $e$  does not divide  $a-1$ , and hence  $b = p-1$ . The hypothesis  $b+d \leq p-1$  forces  $d = 0$ , so  $e$  does not divide  $d-1$ , and hence hence  $c = p-1$ , which in turn forces  $a = 0$  by the hypothesis  $a+c \leq p-1$ . This shows that under the assumptions in (iv) and (v), we have  $a+c = p-1$  if and only if  $b+d = p-1$ , which in turn holds if and only if  $(a, b, c, d) = (0, p-1, p-1, 0)$ . For the proof of (iv), assume that  $a+c < p-1$  and  $b+d < p-1$ . Then all of  $a, b, c, d$  are strictly smaller than  $p-1$ . Thus  $e$  divides  $a-1, d-1, b$ , and  $c$ , and therefore  $e$  divides  $b+d-1$  and  $a+c-1$ . Hence  $f_{a+c, b+d-1}$  and  $g_{a+c-1, b+d}$  are in  $X$ . We have

$$[f_{a,b}, g_{c,d}](x) = f_{a,b}(0) - g_{c,d}(x^a y^b) = -bx^{a+c} y^{b+d-1},$$

where the last equation is from Lemma 5.2 (ii). This is equal to  $-bf_{a+c, b+d-1} + cg_{a+c-1, b+d}$  evaluated at  $x$ . Similarly,

$$[f_{a,b}, g_{c,d}](y) = f_{a,b}(x^c y^d) - g_{c,d}(0) = cx^{a+c-1} y^{b+d},$$

where the last equation is from Lemma 5.2 (i). This is equal to  $-bf_{a+c, b+d-1} + cg_{a+c-1, b+d}$  evaluated at  $y$ . The formula in (iv) follows. In order to prove (v), we need to calculate

$$[f_{0, p-1}, g_{p-1, 0}](x) = f_{0, p-1}(0) - g_{p-1, 0}(y^{p-1}) = x^{p-1} y^{p-2},$$

where the last equation uses Lemma 5.2 (ii) and  $-(p-1) = 1$  in  $k$ . Similarly, we have

$$[f_{0, p-1}, g_{p-1, 0}](y) = f_{0, p-1}(x^{p-1}) - g_{p-1, 0}(0) = -x^{p-2} y^{p-1}.$$

Note that  $q^{p-2} = q^{-1}$  since  $e$  divides  $p-1$ . By Lemma 5.1, we have

$$d_{p-2, p-2}(x) = (q^{-1} - 1)x^{p-1} y^{p-2}, \quad d_{p-2, p-2}(y) = -(q^{-1} - 1)x^{p-2} y^{p-1}.$$

Statement (v) follows. □

The space  $\mathcal{H}$  in Lemma 5.3 is not a Lie subalgebra of  $\text{Der}(A)$  because of the relation (v) in 5.4; this relation implies that the images of  $f_{0, p-1}$  and  $g_{p-1, 0}$  in  $HH^1(A)$  commute (because their Lie bracket is an inner derivation). The Lie brackets between basis elements in  $X$  determine the Lie algebra structure of  $HH^1(A)$ . In order to describe this structure, we first identify those elements in  $X$  which are commutators.

**Lemma 5.5.** *Let  $a, b, c, d$  be integers such that  $0 \leq a, b, c, d \leq p-1$ .*

(i) If  $e$  divides  $a - 1$  and  $b$ , or if  $b = p - 1$ , then

$$[f_{1,0}, f_{a,b}] = (a - 1)f_{a,b} \text{ and } [g_{0,1}, f_{a,b}] = bf_{a,b} .$$

In particular, if  $e$  divides  $b$ , then

$$[f_{1,0}, f_{1,b}] = 0 \text{ and } [g_{0,1}, f_{1,b}] = bf_{1,b} .$$

(ii) Suppose that  $e$  divides  $c$  and  $d - 1$ , or that  $c = p - 1$ . We have

$$[f_{1,0}, g_{c,d}] = cg_{c,d} \text{ and } [g_{0,1}, g_{c,d}] = (d - 1)g_{c,d} .$$

In particular, if  $e$  divides  $c$ , then

$$[f_{1,0}, g_{c,1}] = cg_{c,1} \text{ and } [g_{0,1}, g_{c,1}] = 0 .$$

- (iii) The linear endomorphisms  $\text{ad}(f_{1,0})$  and  $\text{ad}(g_{0,1})$  of  $\text{Der}(A)$  restrict to linear endomorphisms of the subspace  $\mathcal{H}$  spanned by  $X$ , and, with respect to the basis  $X$ , these endomorphisms of  $\mathcal{H}$  are represented by diagonal matrices.
- (iv) All basis elements in  $X$  except  $f_{1,0}$  and  $g_{0,1}$  are commutators in  $\text{Der}(A)$ .
- (v) We have  $[f_{1,0}, g_{0,1}] = 0$ .

*Proof.* The statements (i) and (ii) are special cases of Lemma 5.4, and the statements (iii), (iv), and (v) follow from (i) and (ii).  $\square$

**Lemma 5.6.** *Let  $a, b, c, d$  be integers such that  $0 \leq a, b, c, d \leq p - 1$ .*

- (i) *Suppose that  $e$  divides  $a - 1$  and  $b$ , or that  $b = p - 1$ . If  $a + b \geq 2$ , then  $a \geq e + 1$  or  $b \geq e$ . In particular,  $a + b - 1 \geq \min\{e, p - 2\}$ .*
- (ii) *Suppose that  $e$  divides  $c$  and  $d - 1$ , or that  $c = p - 1$ . If  $c + d \geq 2$ , then  $c \geq e$  or  $d \geq e + 1$ . In particular,  $c + d - 1 \geq \min\{e, p - 2\}$ .*

*Proof.* Assume that  $b < e$ . Then  $b < p - 1$ , so  $e$  divides  $a - 1$  and  $b$ . The inequality  $b < e$  forces  $b = 0$ . Since  $a + b \geq 2$ , this implies  $a \geq 2$ , hence  $a - 1 \geq 1$ . Since  $e$  divides  $a - 1$ , it follows that  $a - 1 \geq e$ , and hence  $a + b - 1 \geq e$ . If  $b \geq p - 1$ , then  $a + b - 1 \geq p - 2$ , whence (i). A similar argument yields (ii).  $\square$

*Proof of Theorem 1.1.* Statement (i) is proved in Proposition 4.2. We use the same notation as in Theorem 1.1; in particular,  $\mathcal{L} = HH^1(A)$  and  $\mathcal{L}'$  is the derived Lie subalgebra of  $\mathcal{L}$ . It follows from Lemma 5.5 that  $\mathcal{L}'$  contains the images of all elements of  $X$  except possibly the images of  $f_{1,0}$  and  $g_{0,1}$ .

The relations in Lemma 5.4 imply that  $\mathcal{L}'$  contains no nonzero linear combination of the images of  $f_{1,0}$  and  $g_{0,1}$ . Thus  $\mathcal{L}'$  has codimension 2 in  $\mathcal{L}$ .

A complement of  $\mathcal{L}'$  is spanned by the image of  $\{f_{1,0}, g_{0,1}\}$ , and this complement is a 2-dimensional abelian Lie subalgebra of  $\mathcal{L}$ , by Lemma 5.5 (v). Moreover,  $\mathcal{L}'$  has as a basis the image in  $HH^1(A)$  of the set

$$X' = X \setminus \{f_{1,0}, g_{0,1}\} .$$

Equivalently,  $X'$  consists of all  $f_{a,b}, g_{c,d}$  in  $X$  with  $a + b \geq 2$  and  $c + d \geq 2$ .

It follows from Lemma 5.5 (iii) that the images of  $f_{1,0}$  and  $g_{0,1}$  span a toral subalgebra. Lemma 5.5 implies that the centraliser in  $\mathcal{L}$  of the image of  $f_{1,0}$  is spanned by the images of  $f_{1,b}, g_{0,d}$  with  $b$  and  $d - 1$  divisible by  $e$ . Similarly, the centraliser in  $\mathcal{L}$  of the image of  $g_{0,1}$  is spanned by the images of  $f_{a,0}, g_{c,1}$ , with  $a - 1$  and  $c$  divisible by  $e$ . Thus  $Z(\mathcal{L})$  is contained in the span of the images of  $f_{1,0}$  and  $g_{0,1}$ , but it follows again from Lemma 5.5 that no nonzero

linear combination of these two elements is in the center. This shows that  $Z(\mathcal{L}) = \{0\}$  and that the toral subalgebra  $\mathcal{H}$  is maximal. This proves (ii) and (iii).

For  $m \geq 1$  denote by  $\mathcal{L}_m$  the subspace of  $\mathcal{L}$  spanned by the images of those  $f_{a,b}, g_{c,d}$  in  $X$  for which  $a + b \geq m$  and  $c + d \geq m$ . Thus  $\mathcal{L}_1 = \mathcal{L}$ ,  $\mathcal{L}_2 = \mathcal{L}'$ , and  $\mathcal{L}_m = \{0\}$  for  $m \geq 2p$ . The relations in Lemma 5.4 imply that  $[\mathcal{L}', \mathcal{L}_m] \subseteq \mathcal{L}_{m+1}$ , which in turn implies that  $\mathcal{L}'$  is nilpotent, whence (iv).

The socle of  $\mathcal{L}$  as a  $Z(A)$ -module is contained in the subspace of  $\mathcal{L}$  which is annihilated by  $x^e$  and  $y^e$ . We have  $x^e f_{a,b} = f_{a+e,b}$  if  $a + e \leq p - 1$ , and  $x^e f_{a,b} = 0$  if  $a \geq p - e$ . Similarly, we have  $y^e f_{a,b} = f_{a,b+e}$  if  $b + e \leq p - 1$  and  $y^e f_{a,b} = 0$  if  $b \geq p - e$ . It follows that the socle of  $\mathcal{L}$  as a  $Z(A)$ -module is equal to the subspace of  $HH^1(A)$  which is annihilated by  $x^e$  and  $y^e$ . Thus the image of  $f_{a,b}$  in  $\mathcal{L}$  is contained in  $\text{soc}_{Z(A)}(\mathcal{L})$  if  $a \geq p - e$  and  $b \geq p - e$ . Since also  $e$  divides both  $a - 1$  and  $b$  or  $b = p - 1$ , this forces  $b = p - 1$ . Similarly, the image of  $g_{a,b}$  in  $\mathcal{L}$  is contained in  $\text{soc}_{Z(A)}(\mathcal{L})$  if and only if  $b \geq p$  and  $a = p - 1$ . It follows that  $\text{soc}_{Z(A)}(\mathcal{L})$  is equal to the space spanned by the image in  $\mathcal{L}$  of the set

$$S = \{f_{a,p-1} \mid p - e \leq a \leq p - 1\} \cup \{g_{p-1,b} \mid p - e \leq b \leq p - 1\}$$

This shows in particular that

$$\dim_k(\text{soc}_{Z(A)}(\mathcal{L})) = 2e .$$

The relations in Lemma 5.4 imply that we have  $[X', S] = \{0\}$ , and hence we have an inclusion

$$\text{soc}_{Z(A)}(\mathcal{L}) \subseteq Z(\mathcal{L}') .$$

This proves (v).

By the above and Lemma 5.6,  $\mathcal{L}'$  is spanned by the images of elements  $f_{a,b}, g_{c,d}$  where at least one of  $a, b$  is greater or equal to  $e$ , and where at least one of  $c, d$  is greater or equal to  $e$ . Thus  $\mathcal{L}'$  is contained in  $x^e \mathcal{L} + y^e \mathcal{L}$ . Since no nonzero linear combination of the images of  $f_{1,0}, g_{0,1}$  is contained in  $J(Z(A))\mathcal{L}$ , statement (vi) follows.

In order to prove (vii), suppose first that  $e = p - 1$ . In that case we have

$$X' = \{f_{a,p-1} \mid 0 \leq a \leq p - 1\} \cup \{g_{p-1,b} \mid 0 \leq b \leq p - 1\}$$

The images in  $\mathcal{L}$  of any two elements of  $X'$  commute; more precisely, any two elements in  $X'$  commute already in  $\mathcal{H}$ , except for  $[f_{0,p-1}, g_{p-1,0}]$ , which is inner by Lemma 5.4 (v). This shows that  $\mathcal{L}'$  is abelian if  $e = p - 1$ .

Suppose that  $e < p - 1$ ; in particular,  $e \leq \frac{p-1}{2}$ . We consider the basis  $X' = X \setminus \{f_{1,0}, g_{0,1}\}$  of  $\mathcal{L}'$ . Since  $e < p - 1$ , there are derivations  $f_{e+1,0}, g_{0,e+1}, f_{1,e}$ , and  $g_{e,1}$  in  $X'$ . Using Lemma 5.4, one verifies that the Lie brackets of any of these four elements with any element in  $X'$  yield elements in  $X$ , possibly multiplied by scalars (which can be zero). It follows that in order to calculate centralisers in  $\mathcal{L}'$  of these four particular elements, it suffices to calculate centralisers in the space  $\mathcal{H}'$  spanned by  $X'$ . It follows further that if one of the above four elements centralises a linear combination of elements in  $X'$ , it centralises the elements of  $X'$  with nonzero coefficients individually. A tedious verification, using Lemma 5.4, shows that the centraliser of  $f_{e+1,0}$  in  $X'$  intersected with the centraliser of  $g_{0,e+1}$  is the set  $S_1 \cup S_2$ , where

$$S_1 = \{f_{a,p-1} \mid p - e \leq a \leq p - 1\} \cup \{f_{e+1,0}, f_{p-e,0}, f_{e+1,p-1}\}$$

and

$$S_2 = \{g_{p-1,d} \mid p - e \leq d \leq p - 1\} \cup \{g_{0,e+1}, g_{0,p-e}, g_{p-1,e+1}\}$$

The element  $f_{1,e}$  does not centralise any of the two elements  $f_{e+1,0}$  and  $f_{p-e,0}$ . Similarly,  $g_{e,1}$  centralises neither  $g_{0,e+1}$  nor  $g_{0,p-e}$ . Thus every element in  $Z(\mathcal{L}')$  is the image of a linear combination of the set

$$S_3 = \{f_{a,p-1} \mid p-e \leq a \leq p-1\} \cup \{f_{e+1,p-1}\} \cup \{g_{p-1,d} \mid p-e \leq d \leq p-1\} \cup \{g_{p-1,e+1}\}$$

One verifies that the image of  $S_3$  is contained in  $Z(\mathcal{L}')$ . The cardinality of  $S_3$  is  $2e+2$ . Statement (vii) follows.

For the last statement, note that the  $p$ -power map on  $\mathcal{L}$  is induced by the map sending a derivation  $f$  on  $A$  to the composition  $f^{[p]} = f \circ f \circ \cdots \circ f$  of  $f$  with itself  $p$  times. We clearly have  $(f_{1,0})^{[p]} = f_{1,0}$ , and  $(g_{0,1})^{[p]} = g_{0,1}$ ; that is, the images of  $f_{1,0}$  and  $g_{0,1}$  in  $\mathcal{L}$  are  $p$ -toral. Since the image of  $\{f_{1,0}, g_{0,1}\}$  in  $\mathcal{L}$  is a basis of  $\mathcal{H}$ , this shows that  $\mathcal{H}$  is  $p$ -toral. Any element of  $X' = X \setminus \{f_{1,0}, g_{0,1}\}$  is of the form  $f_{a,b}$  or  $g_{a,b}$  with  $a+b-1 \geq e$  or  $a+b-1 \geq p-2$  (the latter arises if  $a$  or  $b$  is equal to  $p-1$ ). Consider first the case where  $p \geq 5$ , so that  $p-2 \geq 3$ . Since  $e \geq 2$ , it follows that  $a+b-1 \geq 2$ . Lemma 5.2 implies that any derivation in  $X'$  sends a monomial in  $x, y$  of total degree  $m$  to a scalar multiple of a monomial of total degree at least  $m+2$ . Thus any composition of  $p$  elements in  $X'$  sends a monomial in  $x, y$  of degree at least 1 to a scalar multiple of a monomial  $x^c y^d$  of total degree  $c+d \geq 1+2p$ . This implies that at least one of  $c, d$  is greater than  $p$ , which in turn implies that  $x^c y^d = 0$  in  $A$ . It follows that any composition of  $p$  elements in  $X'$  is zero. Therefore, if  $f$  is a linear combination of elements in  $X'$ , then  $f^{[p]} = 0$ . Since the image in  $\mathcal{L}$  of  $X'$  is a basis of  $\mathcal{L}'$ , this proves (viii) in the case  $p \geq 5$ . If  $p = 3$ , then  $e = 2$ , and we have

$$X' = \{f_{0,2}, f_{1,2}, f_{2,2}, g_{2,0}, g_{2,1}, g_{2,2}\}.$$

A direct verification shows that the composition of any three derivations in this set is zero, completing the proof.  $\square$

*Proof of Corollary 1.3.* A stable equivalence of Morita type preserves the Tate analogue of Hochschild cohomology, hence preserves  $HH^1(A)$  as a module over  $HH^0(A) \cong Z(A)$  since the projective ideal in  $Z(A)$  annihilates Hochschild cohomology in positive degrees. The corollary follows from statement (v) in Theorem 1.1 together with Corollary 3.2.  $\square$

*Proof of Corollary 1.4.* First consider the case that  $B$  is nilpotent. By the structure theorem of nilpotent blocks [19, (1.4.1)],  $B$  is a matrix algebra over  $kP$ , hence  $\dim_k(J(B)/J(B)^2) = 2$ , and the result holds. Thus, we may assume that  $B$  is not nilpotent. In particular, since  $P$  is abelian,  $I > 1$  [5, (1.ex.3)]. By [10, Theorem 1.1], the inertial quotient of  $B$  is abelian. By the structure theory of blocks with normal defect group ([12, Theorem A] or [22, §45]),  $C$  is a matrix algebra over a twisted group algebra of the semidirect product of  $P$  with the inertial quotient of  $B$ . Hence, since  $C$  has a unique isomorphism class of simple modules, by [7, Lemma 2], the inertial quotient of  $B$  is a direct product of two cyclic groups of order  $\sqrt{I}$  (see for instance the proof of Theorem 1.1 and Proposition 5.3 of [10]). By Theorem 4.2 and Corollary 4.3 of [8] and their proofs, a basic algebra of  $C$  is isomorphic to the algebra  $A$  of Theorem 1.1 with  $1 < e \leq \sqrt{I}$ . By [10, Theorem 1.1],  $B$  is local. Finally, by [14, Theorem A.2], there is a stable equivalence of Morita type between  $B$  and  $C$ . The result now follows from Corollary 1.3.  $\square$

## 6. LIFTING QUANTUM COMPLETE INTERSECTIONS OVER $\mathcal{O}$

Let  $p$  be an odd prime and  $\mathcal{O}$  a complete discrete valuation ring containing a primitive 4-th root of unity, with residue field  $k$  of characteristic  $p$  and field of fractions  $K$  of characteristic 0.

We denote in this section by  $G$  a finite group obtained as a semi-direct product of an elementary abelian  $p$ -group

$$P = \langle g \rangle \times \langle h \rangle \cong C_p \times C_p$$

of rank two by a quaternion group  $Q_8 = \langle s, t \mid s^4 = 1, s^2 = t^2, sts^3 = t^3 \rangle$  of order 8, acting on  $P$  by  $sgs^{-1} = g^{-1}$ ,  $shs^{-1} = h$ ,  $tgt^{-1} = g$ , and  $tht^{-1} = h^{-1}$ . In particular, the unique central involution  $z = s^2 = t^2$  of  $Q_8$  acts trivially on  $P$ , hence  $Z(G) = \langle z \rangle$ . The group algebra  $\mathcal{O}G$  has two blocks, the principal block  $B_0 = \mathcal{O}Ge_0$ , where  $e_0 = \frac{1}{2}(1 + z)$ , and one nonprincipal block  $B_1 = \mathcal{O}Ge_1$ , where  $e_1 = \frac{1}{2}(1 - z)$ . The block  $B_1$  has a unique isomorphism class of simple modules, and more precisely, the quantum complete intersection

$$A = k\langle x, y \mid x^p = y^p = 0, xy + yx = 0 \rangle$$

is a basic algebra of  $k \otimes_{\mathcal{O}} B_1$ . We determine the structure of a basic algebra of  $B_1$ . To do this, we will require the Chebyshev polynomials  $T_n$  of the first kind. For  $n \geq 0$ , the polynomial  $T_n$  in the variable  $u$  is the unique polynomial in  $\mathbb{Z}[u]$  of degree  $n$  satisfying  $T_n(\cos(\theta)) = \cos(n\theta)$  for any  $\theta \in \mathbb{R}$ . Using  $\sin(\theta) = \cos(\theta - \frac{\pi}{2})$  we obtain for  $n$  odd the formula

$$\sin(n\theta) = (-1)^{\frac{n-1}{2}} T_n(\sin(\theta)) .$$

The polynomials  $T_n$  can be defined recursively by  $T_0(u) = 1$ ,  $T_1(u) = u$ , and  $T_{n+1}(u) = uT_n(u) - T_{n-1}(u)$  for  $n \geq 1$ . This recursion formula shows that the leading coefficient of  $T_n$  is  $2^{n-1}$ . It also shows that for  $n$  even (resp. odd), the polynomial  $T_n$  involves only even (resp. odd) powers of the variable  $u$ . For  $n \geq 0$ , define a polynomial  $f_n$  in the variable  $u$  by

$$f_n(u) = 2T_n\left(\frac{u}{2}\right) .$$

Then  $f_0(u) = 2$ ,  $f_1(u) = u$ , and  $f_{n+1}(u) = uf_n(u) - f_{n-1}(u)$ . In particular,  $f_n$  is a polynomial in  $\mathbb{Z}[u]$  with leading coefficient 1, and if  $n$  is even (resp. odd), then  $f_n$  involves only even (resp. odd) powers of  $u$ . The well-known explicit formulae for Chebyshev polynomials imply that if  $n = p$ , then all coefficients of  $f_p$  other than the leading coefficient of  $f_p$  are divisible by  $p$ , and hence  $f_p$  reduces to the monomial  $u^p$  in  $k[u]$ .

**Theorem 6.1.** *With the notation above, let  $\hat{A}$  be the  $\mathcal{O}$ -algebra*

$$\hat{A} = \mathcal{O}\langle \gamma, \delta \mid \gamma\delta + \delta\gamma = 0, f_p(\gamma) = 0 = f_p(\delta) \rangle$$

*Then  $\hat{A}$  is a basic algebra of  $B_1$ ; in particular, we have  $k \otimes_{\mathcal{O}} \hat{A} \cong A$ .*

*Proof.* Since  $e_1$  annihilates the  $\mathcal{O}Q_8$ -modules of rank one, it follows that  $S = \mathcal{O}Q_8e_1$  is the quotient algebra of  $\mathcal{O}Q_8$  corresponding to the unique irreducible character of  $Q_8$  of degree 2, hence isomorphic to the matrix algebra  $M_2(\mathcal{O})$ . The unique simple  $B_1$ -module (up to isomorphism) has dimension 2. Thus, setting  $\hat{A} = C_{B_1}(S) = B_1^{Q_8}$ , we get from [22, (7.5) Proposition] that

$$B_1 = S \otimes_{\mathcal{O}} \hat{A}$$

and then necessarily  $\hat{A}$  is a basic algebra of  $B_1$ , as its unique simple module has dimension 1. We need to show that  $\hat{A}$  has generators satisfying the relations as in the statement, and

then we need to show that there are no other relations. We use the generators  $g, h, s, t$  of the group  $G$  with the relations as stated at the beginning of this section. Define elements  $\gamma$  and  $\delta$  in  $B_1$  by

$$\gamma = (g - g^{-1})te_1, \quad \delta = (h - h^{-1})se_1.$$

Note that  $t$  commutes with  $g, g^{-1}$ , hence with  $g - g^{-1}$ . Similarly,  $s$  commutes with  $h, h^{-1}$ , and with  $h - h^{-1}$ . We have

$$\begin{aligned} ste_1 &= \frac{1}{2}st(1 - t^2) = \frac{1}{2}s(t - t^{-1}) \\ tse_1 &= \frac{1}{2}st^3(1 - t^2) = \frac{1}{2}s(t^{-1} - t) \end{aligned}$$

and hence  $tse_1 = -ste_1$ . Using this equality, we verify that  $se_1$  and  $te_1$  commute with  $\gamma$  and  $\delta$ . We have

$$s(g - g^{-1})te_1 = (g^{-1} - g)ste_1 = (g - g^{-1})tse_1$$

which shows that  $se_1$  and  $\gamma$  commute. Similar calculations show the remaining commutation relations. This shows that the elements  $\gamma$  and  $\delta$  are in  $\hat{A}$ , and we need to show that they generate  $\hat{A}$ . Note that  $g - g^{-1} = (g^2 - 1)g^{-1}$  is a generator of  $J(k\langle g \rangle)/J(k\langle g \rangle)^2$ ; similarly for  $h$ . Thus  $(g - g^{-1})e_1$  and  $(h - h^{-1})e_1$  generate the radical modulo the radical square of the image of  $\mathcal{O}P$  in  $B_1$ , hence these two elements together with  $e_1$  generate the algebra  $\mathcal{O}P_{e_1}$ . The two elements  $\gamma$  and  $\delta$  are obtained by multiplying  $(g - g^{-1})e_1$  and  $(h - h^{-1})e_1$  by  $te_1$  and  $se_1$ , respectively, and the two elements  $se_1$  and  $te_1$  generate  $S$  as an  $\mathcal{O}$ -algebra. It follows that the set  $\{se_1, te_1, \gamma, \delta\}$  generates  $B_1$  as an  $\mathcal{O}$ -algebra. But then  $\gamma$  and  $\delta$  necessarily generate  $\hat{A}$  as a unitary algebra.

We verify that  $\gamma$  and  $\delta$  satisfy the relations as stated. We have

$$\begin{aligned} \gamma\delta &= (g - g^{-1})t(h - h^{-1})se_1 = -(g - g^{-1})(h - h^{-1})tse_1 \\ &= (h - h^{-1})(g - g^{-1})ste_1 = -(h - h^{-1})s(g - g^{-1})te_1 = -\delta\gamma \end{aligned}$$

whence the anti-commutation relation for  $\gamma$  and  $\delta$ . For the remaining relations, we first consider the element  $g - g^{-1}$  in  $\mathcal{O}\langle g \rangle$ . This element acts on any  $\mathcal{O}\langle g \rangle$ -module of rank one as multiplication by  $\zeta - \zeta^{-1}$  for some  $p$ -th root of unity  $\zeta$ . This is an imaginary number; writing  $\zeta = e^{\frac{2\pi m}{p}}$  for some integer  $m$ , we get that  $\zeta - \zeta^{-1} = 2\sin(\frac{2\pi m}{p})\tau$ , where  $\tau$  satisfies  $\tau^2 = -1$ . Thus  $\frac{\tau}{2}(g - g^{-1})$  acts as multiplication by  $-\sin(\frac{2\pi m}{p})$ . Since  $T_p$  involves only odd powers of  $x$ , it follows that  $T_p(\frac{\tau}{2}(g - g^{-1}))$  acts as multiplication by  $\pm \sin(p\frac{2\pi m}{p}) = 0$ , and hence  $T_p(\frac{\tau}{2}(g - g^{-1})) = 0$  in  $\mathcal{O}\langle g \rangle$ , or equivalently,  $f_p(\tau(g - g^{-1})) = 0$ . We calculate the odd powers of  $\gamma$  and  $\delta$ . For  $n = 2m + 1$  for some integer  $m \geq 0$  we have

$$(te_1)^n = t(t^2e_1)^m = (-1)^m te_1 = \tau^{n-1}te_1,$$

and hence we have

$$\gamma^n = (g - g^{-1})^n t^n e_1 = \tau^{n-1}(g - g^{-1})^n te_1 = \tau^{-1}(\tau(g - g^{-1}))^n te_1$$

Thus, using again that  $f_p$  involves only odd powers of  $x$ , we have

$$f_p(\gamma) = \tau^{-1}f_p(\tau(g - g^{-1}))te_1 = 0.$$

A similar calculation yields  $f_p(\delta) = 0$ . This shows that  $\gamma$  and  $\delta$  satisfy the relations as stated. That is,  $\hat{A}$  is a quotient of the unitary  $\mathcal{O}$ -algebra

$$C = \mathcal{O}\langle \gamma, \delta \mid \gamma\delta + \delta\gamma = 0, f_p(\gamma) = 0 = f_p(\delta) \rangle.$$

As an  $\mathcal{O}$ -module,  $\hat{A}$  is free of rank  $p^2$ . The relations defining  $C$  imply that  $C$  is generated, as an  $\mathcal{O}$ -module, by the images of the  $p^2$  monomials  $\gamma^i \delta^j$ , with  $0 \leq i, j \leq p-1$ , and hence  $C$  is, as an  $\mathcal{O}$ -module, a quotient of a free  $\mathcal{O}$ -module of rank  $p^2$ . This forces  $C \cong \hat{A}$ , whence the result.  $\square$

If  $B$  is a nilpotent block of some finite group algebra, then the largest  $\mathcal{O}$ -free commutative algebra quotient of a basic algebra of  $B$  is symmetric. Indeed, in that case the basic algebras of  $B$  are isomorphic to  $\mathcal{O}Q$  for some defect group  $Q$  of  $B$ , and the largest  $\mathcal{O}$ -free commutative algebra quotient of  $\mathcal{O}Q$  is the symmetric  $\mathcal{O}$ -algebra  $\mathcal{O}Q/Q'$ , where  $Q'$  is the derived subgroup of  $Q$ . In [11, Remark 1.3], the question was raised whether this property characterises nilpotent blocks. Some evidence for this comes from a theorem of Okuyama and Tsushima in [16] which states that  $B$  has a commutative (and necessarily symmetric) basic algebra if and only if  $B$  is nilpotent with abelian defect groups. For the sake of testing this question, we calculate the largest commutative  $\mathcal{O}$ -algebra quotient of the basic algebra  $\hat{A}$  of the non-principal (and non-nilpotent) block  $B_1$  of  $\mathcal{O}G$ , and show that this is indeed not symmetric.

The irreducible characters of  $B_1$  have degree either 2 or 4. Thus the simple  $K \otimes_{\mathcal{O}} \hat{A}$ -modules have dimension either 1 or 2. The number of simple  $K \otimes_{\mathcal{O}} \hat{A}$ -modules of dimension 1 is equal to  $2p-1$ , and this is also equal to the  $\mathcal{O}$ -rank of the largest  $\mathcal{O}$ -free commutative quotient of  $\hat{A}$ . This quotient is of the form  $\hat{A}/I$ , where  $I$  is the smallest  $\mathcal{O}$ -pure ideal in  $\hat{A}$  which contains  $[\hat{A}, \hat{A}]$ . Its structure is as follows.

**Proposition 6.2.** *Let  $\hat{A} = \mathcal{O}\langle \gamma, \delta \mid \gamma\delta + \delta\gamma = 0, f_p(\gamma) = 0 = f_p(\delta) \rangle$  as in the previous theorem.*

- (i) *The set  $\{\gamma^i \delta^j \mid 1 \leq i, j \leq p-1, i \text{ or } j \text{ odd}\}$  is an  $\mathcal{O}$ -basis of  $[\hat{A}, \hat{A}]$ .*
- (ii) *The smallest  $\mathcal{O}$ -pure ideal in  $\hat{A}$  which contains  $[\hat{A}, \hat{A}]$  is equal to  $\hat{A}\gamma\delta = \gamma\delta\hat{A}$ , and the set  $\{\gamma^i \delta^j \mid 1 \leq i, j \leq p-1\}$  is an  $\mathcal{O}$ -basis of this ideal.*
- (iii) *The largest  $\mathcal{O}$ -free commutative quotient of  $\hat{A}$  is isomorphic to*

$$D = \mathcal{O}\langle \mu, \nu \mid \mu\nu = \nu\mu = 0, f_p(\mu) = f_p(\nu) = 0 \rangle .$$

*The set  $\{1\} \cup \{\mu^i, \nu^i \mid 1 \leq i \leq p-1\}$  is an  $\mathcal{O}$ -basis of  $D$ ; in particular, the  $\mathcal{O}$ -rank of this quotient is  $2p-1$ .*

- (iv) *We have  $k \otimes_{\mathcal{O}} D \cong k\langle \mu, \nu \mid \mu\nu = \nu\mu = 0, \mu^p = \nu^p = 0 \rangle$ , and the  $k$ -algebra  $k \otimes_{\mathcal{O}} D$  is not symmetric; in particular, the  $\mathcal{O}$ -algebra  $D$  is not symmetric.*

*Proof.* The algebra  $K \otimes_{\mathcal{O}} \hat{A}$  is split semisimple, and hence  $\text{rk}_{\mathcal{O}}(Z(\hat{A})) + \text{rk}_{\mathcal{O}}([\hat{A}, \hat{A}]) = \text{rk}_{\mathcal{O}}(\hat{A})$ . Since  $A$  is symmetric, we have  $\dim_k(Z(A)) + \dim_k([A, A]) = \dim_k(A)$ . Since the canonical map  $Z(\hat{A}) \rightarrow Z(A)$  is surjective, it follows that  $\text{rk}_{\mathcal{O}}([\hat{A}, \hat{A}]) = \dim_k([A, A])$ . Thus  $[\hat{A}, \hat{A}]$  is an  $\mathcal{O}$ -pure  $\mathcal{O}$ -submodule of  $\hat{A}$ . The same arguments as in the proof of Lemma 4.4 show that the set  $\{\gamma^i \delta^j \mid 1 \leq i, j \leq p-1, i \text{ or } j \text{ odd}\}$  is contained in  $[\hat{A}, \hat{A}]$ . This set spans an  $\mathcal{O}$ -pure  $\mathcal{O}$ -submodule of  $\hat{A}$  mapping onto  $[A, A]$ , and hence this set is an  $\mathcal{O}$ -basis of  $[\hat{A}, \hat{A}]$ . This proves (i). The ideal generated by the set  $\{\gamma^i \delta^j \mid 1 \leq i, j \leq p-1, i \text{ or } j \text{ odd}\}$  contains the set  $\{\gamma^i \delta^j \mid 1 \leq i, j \leq p-1\}$ . The  $\mathcal{O}$ -span of the latter is an ideal, whence (ii). It follows from (ii) that  $\hat{A}/\hat{A}\gamma\delta$  is the largest  $\mathcal{O}$ -free commutative quotient of  $\hat{A}$ . The relations of this quotient are obtained from those of  $\hat{A}$ , whence (iii). The image of the polynomial  $f_p(u)$  in  $k[u]$  is  $x^p$ . Thus the relations of  $k \otimes_{\mathcal{O}} D$  follow from those of  $D$ . The socle of the  $k$ -algebra

$k \otimes_{\mathcal{O}} D$  contains the images of  $\mu^{p-1}$  and  $\nu^{p-1}$ , hence has dimension at least 2. Since  $k \otimes_{\mathcal{O}} D$  is local, this shows that  $k \otimes_{\mathcal{O}} D$  is not symmetric, and hence neither is  $D$ .  $\square$

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