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Citation: Velthorst, E., Fett, A-K., Reichenberg, A., Perlman, G., van Os, J., Bromet, E. J. & Kotov, R. (2017). The 20-year longitudinal trajectories of social functioning in individuals with psychotic disorders. *The American Journal of Psychiatry*, 174(11), pp. 1075-1085. doi: 10.1176/appi.ajp.2016.15111419

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Permanent repository link: <https://openaccess.city.ac.uk/id/eprint/19162/>

Link to published version: <https://doi.org/10.1176/appi.ajp.2016.15111419>

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The 20-year longitudinal trajectories of social functioning in individuals with psychotic disorders

Journal:	<i>The American Journal of Psychiatry</i>
Manuscript ID	AJP-15-11-1419.R3
Manuscript Type:	Article
Date Submitted by the Author:	28-Sep-2016
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Keywords:	Psychosis - AJP0029, Schizophrenia - AJP0031, Mood Disorders-Bipolar - AJP0014, Mood Disorders-Unipolar - AJP0015, Outcome Studies - AJP0092

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3
4
5
6
7

Word count:
Abstract: 267
Manuscript: 4,520
Figures: 2
Tables: 3
Supplementary material: 1

8 **The 20-year longitudinal trajectories of social functioning in individuals**
9 **with psychotic disorders**

10

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35 **Abstract**

36 **Objective:** Social impairment is a long recognized core feature of schizophrenia and common in
37 other psychotic disorders. Still, to date the long-term trajectories of social impairment in
38 psychotic disorders have rarely been studied systematically.

39 **Methods:** Data came from the Suffolk County Mental Health Project, a 20-year prospective study
40 of first-admission patients with psychotic disorders. A never psychotic comparison group was
41 assessed. We applied Latent Class Growth Analysis to longitudinal data on social functioning
42 from 485 respondents with schizophrenia spectrum disorders and psychotic mood disorders and
43 examined associations of the empirically derived trajectories with premorbid social adjustment,
44 diagnosis, and 20-year outcomes.

45 **Results:** Four mostly stable trajectories of preserved ($n = 82$; 59th percentile of comparison group
46 sample distribution), moderately impaired ($n = 148$; 17th percentile), severely impaired ($n = 181$;
47 3rd percentile), and profoundly impaired ($n = 74$; 1st percentile) functioning best described the 20-
48 year course of social functioning across diagnoses. Functioning in the preserved group did not
49 differ from that of never psychotic individuals at 20-years, but the other groups functioned worse
50 (all $p < 0.001$). Differences among trajectories were already evident in childhood. The two most
51 impaired trajectories started to diverge in early adolescence. Poorer social functioning trajectories
52 were strongly associated with other real-world outcomes at 20-years. Multiple trajectories were
53 represented within each disorder. However, relatively more participants with schizophrenia
54 spectrum disorders were in the impaired trajectories, and relatively more with mood disorders in
55 the better functioning ones.

56 **Conclusions:** The results highlight substantial variability of social outcomes within diagnoses –
57 albeit overall worse social outcomes in schizophrenia spectrum disorders- and show remarkably
58 stable long-term impairments in social functioning after illness onset across all diagnoses.

59
60

61 1. Introduction

62 Impairment in social functioning is a core feature of schizophrenia. It is characterized by
63 difficulties in achieving social milestones and establishing relationships, such as social network
64 involvement, and marriage or family life (1-4). Real-world indices of functioning have gained
65 increasing importance in investigations into recovery (5,6) and social functioning, defined as
66 involvement in social interactions and social activities, has been recognized as a key outcome
67 measure for determining treatment success (7,8).

68 In contrast to the growing awareness about its importance for tracking outcome, previous
69 reports have left several issues unresolved. First, it has been shown that social outcomes in
70 schizophrenia are poor (9) but prospective evaluations reported mixed findings, with improving
71 (10-12) stable (13,14) and declining (15) social functioning after illness onset. In addition,
72 studies generally examined group averages without taking differences between individuals within
73 psychotic disorders into account. Averages can mask functional recovery or deterioration present
74 in subgroups of patients. It is important to explicate the different long-term trajectories of social
75 functioning in order to identify critical periods and specific trajectories that warrant intervention.

76 While considerable research has been done in schizophrenia, social outcomes in other
77 psychotic illnesses have been less studied (15-17). It is generally assumed that schizophrenia is
78 associated with worse social functional outcomes compared to other psychotic disorders, but the
79 few studies that directly tested this assumption by comparing the longitudinal courses of social
80 functioning between affective and non-affective psychoses have yielded conflicting findings. The
81 pioneering work of Harrow and colleagues found evidence that social impairment was more
82 severe in schizophrenia than other psychotic disorders at 7.5 and 15-year follow-up (9,18).
83 However, two other studies reported comparable levels of social functioning between
84 schizophrenia and affective psychosis. The first, a cross-sectional study, compared individuals
85 with schizophrenia and bipolar disorder (19) and the second study compared affective disorders

86 and schizophrenia 6-months after hospitalization (17). Thus, the evidence for diagnosis-specific
87 differences in psychosocial functioning is inconsistent.

88 Moreover, while a wealth of research has shown that poor premorbid functioning is
89 associated with poorer outcomes after illness onset at cross-sectional time-points, it remains
90 unclear whether poor premorbid functioning is associated with continuously poor social
91 trajectories. Finally, the findings across studies have been mixed in terms of how strongly social
92 functioning is related to other daily life outcomes with results ranging from fairly weak to strong
93 associations (20).

94
95 The current study aims to address these questions by examining differences in the trajectories of
96 social functioning over 20 years across and within diagnostic groups in a large, countywide
97 sample of first-admission individuals with affective and non-affective psychosis (21). We also
98 sought to (a) examine associations of these trajectories with premorbid social functioning and (b)
99 evaluate their associations with other areas of functioning at 20-year follow-up. Finally, we
100 examined the severity of impairment of social functioning 20-years post-admission by comparing
101 the trajectory groups to a never psychotic comparison group that was matched on demographic
102 characteristics and neighborhood.

103

104 **2. Method**

105 *2.1 Sample*

106 Participants came from the Suffolk County Mental Health Project, a longitudinal countywide
107 study of first-admission patients with a psychotic disorder (21,22). They were recruited from the
108 12 psychiatric inpatient units in the Suffolk County, NY between September 1989 and December
109 1995. Patients first hospitalized outside of Suffolk County or in non-psychiatric units were not
110 sampled unless they were re-hospitalized within 6 months in one of the 12 study sites. Inclusion

111 criteria were age 15–60, first admission either current or within six months, clinical evidence of
112 psychosis, the ability to understand assessment procedures in English, IQ higher than 70; and the
113 capacity to provide written informed consent. The study was approved annually by the Stony
114 Brook IRB and IRBs of participating hospitals. Written informed consent was obtained. For
115 participants aged 15–17, written consent was obtained from parents and verbal consent was
116 obtained from participants. The response rate for individuals approached for baseline assessment
117 during the recruitment period was 72%.

118 Initially, the Suffolk County Project interviewed 675 individuals. Of these 628 met the
119 eligibility criteria (22). Figure 1 provides a flow chart of the analysis sample. Among the 628
120 eligible participants, 511 had one of the three target diagnoses included in this paper;
121 schizophrenia spectrum disorder (schizophrenia, schizoaffective disorder, schizophreniform
122 disorder), major depressive disorder with psychosis, and bipolar disorder with psychosis.
123 Seventy-one patients with psychosis not otherwise specified and 46 individuals with drug-related
124 psychoses were excluded from the current study. Further, 66 individuals did not complete any
125 social functioning assessment, resulting in a final analysis sample of $n = 485$ individuals with at
126 least one data point. The 66 drop-outs did not differ from the analysis sample in terms of sex, age
127 or diagnosis (all $p > 0.05$). At the 20-year point, of the 485 included participants 262 were
128 assessed and 56 had died. Non-response was primarily accounted for by refusal to participate and
129 loss to follow-up. Overall, 40.6% of the 485 participants who took part in our study completed all
130 five assessments, 21.2% four, 21.7% three, 10.5% two, and 6.0% one assessment. Attrition within
131 the analysis sample seemed random, that is, the number of assessments was not associated with
132 age, sex, negative symptoms, positive symptoms, employment, public assistance, independent
133 living, homelessness, or baseline diagnosis.

134 Respondents completed face-to-face interviews at baseline, 6 months, 2 years, 4 years, 10
135 years, and 20 years. The initial social functioning assessment was taken at 6 months when

136 participants were no longer in the hospital. Thus, the 6 months assessment was used as the
137 starting point for the functional trajectories.

138 To obtain a benchmark for social functioning, a never-psychotic comparison group was
139 recruited at the 20-year time point for respondents living within a 50- mile radius of Stony Brook
140 University. We used a 2-step procedure approved by the Stony Brook IRB. Step 1, performed by
141 the Stony Brook University Center for Survey Research, involved random digit dialing within zip
142 codes selected in proportion to cases residing there. The goal was to obtain a sample with a
143 similar age and sex distribution and no history of psychosis. The initial number of randomly-
144 generated telephone numbers was 12,388; 2,594 were inactive, 4,321 went unanswered, and
145 4,291 were ineligible (outside the age/sex target for the zip code or had a psychosis diagnosis or
146 psychiatric hospitalization). Of the eligible households ($n = 1182$), 750 refused participation, and
147 432 agreed to consider participating in the study and provided a time when they could best be re-
148 contacted by study staff.

149 Step 2, conducted by trained study staff, involved telephone re-screening of the 432
150 potentially eligible participants. The re-screen included an adaptation of the 6-item psychosis
151 screening questionnaire (23) covering visual and auditory hallucinations, thought insertion,
152 paranoia, strange experiences, and diagnosis of schizophrenia or schizoaffective disorder. Twenty
153 individuals could not be reached or were unavailable for re-screening. Of the remaining 412, 58
154 refused participation, 49 could not be scheduled, and 35 disclosed psychotic symptoms. Of the
155 remaining 270 who participated in the study, 8 endorsed psychotic symptoms on the SCID and
156 were removed from the sample. The final comparison group was composed of 262 participants
157 and was closely matched to the cases on sex (55.94% vs. 56.70% male) and age (mean: 50.46
158 years ($SD= 9.02$) vs 48.14 years ($SD= 9.14$)).

159

160 *2.2 Measures of social functioning*

161 The social functioning index was based on a composite of three items relating to relationships,
162 and activities with other people (ranging from 0 (extremely poor) to 6 (satisfactory)) for social
163 activity and social sexual relationships, and 1 to 5 for relationships with friends, from the
164 Heinrichs-Carpenter Quality of Life Scale (24). The Quality of Life Scale is a semi-structured
165 interview with multiple probes providing information for each interviewer rating. For example,
166 questions in the ‘relationships with friends’ domain include: “Do you have friends with whom
167 you are especially close other than your immediate family or the people you live with?”, “How
168 many friends do you have?”, and “How often have you spoken with them recently, in person or
169 by phone?”. Ratings were based on information from the participant, as well as information from
170 significant others and medical records when available. Information of significant others was
171 available for 66.83% of participants who completed the 6 months assessment and decreasing to
172 48.1% of participants who completed the assessment at 20 years. The availability of this
173 information did not differ between classes at any of the time points. Medical records were
174 available for 82.58% of participants at 6 months and 55.3% of participants at 20 year follow-up.
175 At baseline significantly more records were available for lower functioning individuals (class 1 =
176 92%, class 2 = 84.5%, class 3 = 83.1% and class 4 = 73.1%). There was no difference between
177 classes at 20 year follow-up. The composite score ranged from 1 to 17 and showed acceptable
178 internal reliability at each assessment (α ranged from 0.79 to 0.88).

179

180 *Premorbid social functioning*

181 The Premorbid Adjustment Scale (25) was administered at 6 months follow-up. Ratings were
182 based on a semi-structured interview developed to match Premorbid Adjustment Scale criteria, as
183 well as information obtained from significant others, which was available for 79.6% of
184 participants and school records, which were available for 63% of participants. Overall, 88.45%
185 had additional information to complement PAS scores. Items were rated on a 7-point scale, with 6

186 reflecting lowest and 0 reflecting highest social functioning. To compare Premorbid Adjustment
187 Scale scores with the Quality of Life Scale, items were re-scaled so that higher scores indicated
188 better functioning. Three subscales relevant to social contact were included: sociability and social
189 withdrawal (frequency of, and interest in social contact), peer relationships (the quality of
190 relationships with people of own age), and socio-sexual relationships (sexual interest). Here we
191 report Premorbid Adjustment Scale social functioning scores in childhood (up to age 11), early
192 adolescence (age 12 to 15) and late adolescence (age 15 to 18). Childhood ratings did not include
193 socio-sexual relationships. For comparability, we multiplied the childhood score by 1.5.

194 To equate the metrics of pre-and post-admission functioning, we compared distributions
195 of the late adolescent Premorbid Adjustment Scale scores (ages 15-18) with Quality of Life Scale
196 scores of participants first assessed before age 19 ($n = 29$), where the scores should be identical if
197 they indeed reflected the same outcome. Distributions of the two composites were largely parallel,
198 but Premorbid Adjustment Scale scores (mean = 13.38; SD = 3.35; median = 14; 10th = 8; 25th =
199 11 ½; 75th = 16; 90th = 18) were around three points higher than Quality of Life Scale scores
200 (mean = 10.78; SD = 3.70; median = 11; 10th = 5; 25th = 9; 75th = 13; 90th = 15). To make the
201 scores on both scales comparable, we therefore applied a transformation whereby we adjusted the
202 Premorbid Adjustment Scale scores by subtracting three points. To avoid confounding of
203 premorbid and post-admission social functioning at 6 months, Premorbid Adjustment Scale data
204 for those whose age of first admission was <19 years ($n = 29$) were not included in the analyses.

205

206 *Diagnosis*

207 Face-to-face assessments were conducted by master-level mental health professionals at each
208 time point, including the Structured Clinical Interview for DSM-IV (26). The assessors were
209 blind to participants' research diagnoses. However, out of respect to the sample and to maximize
210 the accuracy of information gathered in the interview, raters were asked to review past interview
211 material. Thus they were aware of the SCID diagnoses (which did not always correspond with the

212 research diagnosis). Primary DSM-IV diagnosis was formulated by consensus of 4 or more
213 psychiatrists using all available longitudinal information, including SCID interviews, medical
214 records, and significant other information. We used the last available diagnosis to select the study
215 sample. For the majority of individuals, this was the 10 year follow-up consensus diagnosis. For
216 91 individuals without a 10 year diagnosis, we substituted the temporally most proximal prior
217 diagnosis.

218

219 *Symptom Measures*

220 At each time point, symptoms were assessed with the Scale for the Assessment of Positive
221 Symptoms (SAPS) (27) and the Scale for the Assessment of Negative Symptoms (SANS) (28)
222 which rate the presence of symptoms on a 6-point scale from absent (0) to severe (5). The SAPS
223 assesses hallucinations, delusions, bizarre behavior, and thought disorder. We were interested in
224 the psychosis subscale (SAPS-P), a composite of 16 ratings measuring hallucinations and
225 delusions (range 0-80; α internal consistency ranged from 0.81 to 0.89). Factor analysis identified
226 two dimensions within the SANS: inexpressivity and avolition/asociality, which parallel prior
227 findings (29). We were particularly interested in inexpressivity (SANS-E), a composite of 9
228 items measuring blunted affect and alogia (range 0-45; α ranged from 0.89 to 0.91), because
229 avolition/asociality is conceptually overlapping with social functioning.

230

231 *Other functional outcomes*

232 Other functional outcomes that were assessed in the 20 year follow-up interview were: having a
233 high school diploma (yes/no), employment status (being employed yes/no), homelessness in past
234 10 years (yes/no), financial independence (on public assistance yes/no), and living independently
235 (own household or not).

236

237 *2.3 Data analyses*

238 Analyses were conducted in STATA 13 (30) and MPlus version 7.2 (31). Demographic
239 characteristics were compared using regression analyses or Chi-square tests.

240 (1) To examine functioning trajectories of participants, we conducted Latent Class Growth
241 Analyses, a method used to discover subgroups (classes) of individuals following distinct
242 patterns of change over time. In our case, individual class membership was assigned on
243 the basis of social functioning scores from 6 months to 20 years, making use of all
244 available data with maximum likelihood estimation and robust standard errors to account
245 for missing data (i.e., Full Information Maximum Likelihood) (31,32). To determine the
246 appropriate number of latent classes, the analysis is run from a one-class model to
247 increasing numbers of classes. To compare models with the different numbers of classes
248 and determine the optimum model fit, we examined the recommended fit indices: entropy,
249 Akaike's Information Criterion and Bayesian Information. Highest entropy and lowest
250 Akaike's Information Criterion and Bayesian Information Criterion suggest the best fit
251 and parsimony of the model (31). Values of 0.4, 0.6, and 0.8 represent low, medium, and
252 high entropy (33). To assess model fit we also consulted the Vuong-Lo-Mendell-Rubin
253 test (in which a significant p-value indicates that this model fits significantly better than a
254 model with a lower number of classes (34,35)). Two piecewise multilevel regression
255 analyses accounting for multiple observations within individuals were conducted to
256 compare the slopes of the four different trajectories from 6 months to 4 years and from 10
257 to 20 years between classes.

258 (2) To determine how functional trajectories map onto the current diagnostic classification,
259 we calculated the distribution of schizophrenia spectrum disorder, major depressive
260 disorder with psychosis and bipolar disorder with psychosis diagnoses across the
261 resulting Latent Class Growth Analyses trajectories.

262 (3) Regression analyses were used to examine how the Latent Class Growth Analyses
263 trajectories were associated with premorbid functioning (childhood, early- and late
264 adolescence), with differences in the change from premorbid functioning in late
265 adolescence to functioning after illness onset, and with other 20-year functional outcomes.
266 Overall differences in social functioning at 20-years follow-up between the latent
267 trajectory groups and the comparison group were evaluated with Chi-square analyses.

268

269

270 3. Results

271 The sample consisted of 269 participants diagnosed with a schizophrenia spectrum disorder
272 (76.6% schizophrenia, 21.9% schizoaffective, 1.5% schizophreniform; 65.8% male; mean age at
273 baseline: = 29.0 (SD= 8.92, median=28.0), 77 with major depressive disorder with psychosis
274 (41.6% male; mean age at baseline= 30.81 (SD=10.84, median=30.0)), and 139 participants with
275 bipolar disorder with psychosis (47.5% male; mean age at baseline= 29.18, (SD= 9.81,
276 median=27.0)).

277

278 3.1 Trajectories of social functioning in psychotic disorders

279 We selected the 4-class model as it performed best on most fit indices (**Supplementary table**).

280 The 4-class model fit was best on the Akaike's Information Criterion and Bayesian Information
281 Criterion. The Vuong-Lo-Mendell-Rubin test indicated that the fit was significantly better for the
282 4-class than 3-class model ($p = 0.035$), but the 5-class model did not significantly improve fit.
283 Entropy was medium (0.65) for the 4-class model, and mean class probabilities were moderate to
284 high (0.76- 0.81), suggesting that with the 4-class model individuals were likely to be correctly
285 assigned to a latent class. Information clinical symptoms and antipsychotic treatment by
286 trajectory class is presented in **Table 1** and **Table 2**.

287

288 **Figure 2** and **Table 3** present the social functioning trajectories from 6 months to 20 years. The
289 classes represented groups with profoundly impaired (Class 1; $n = 74$; 1st percentile of
290 comparison group sample distribution), severely impaired (Class 2; $n = 181$; 3rd percentile),
291 moderately impaired ($n = 148$; 17th percentile) and preserved ($n = 82$; 59th percentile of
292 comparison group sample distribution) social functioning. Piecewise multilevel regression
293 analyses were conducted to compare the slopes of the trajectories from 6 months to 4 years and
294 from 10 to 20 years between classes. The results of the first analysis showed a significant effect
295 of class ($B = 3.55$, $SE = .12$, $p < .001$) and time point ($B = .54$, $SE = .23$, $p < .05$), but no
296 significant interaction. The second analysis from 10 to 20 years only revealed a significant class
297 effect ($B = 3.49$, $SE = .89$, $p < .001$). The trajectories of the 4 classes were largely parallel,
298 differing in degree of severity but not in shape. At the 20-year time point, the profoundly ($B = -$
299 8.61 , $SE = .55$, $p < 0.001$), severely ($B = -6.54$, $SE = .38$, $p < 0.001$) and moderately ($B = -3.02$,
300 $SE = .37$, $p < 0.001$) impaired trajectories showed significantly worse social functioning than the
301 comparison group individuals. There was no significant difference in 20-year social functioning
302 between those in the preserved class ($B = .81$, $SE = .45$, $p = 0.07$) and comparison group
303 individuals (mean = 14.17, $SD = 2.74$).

304

305 *3.2 Characteristics of the social functioning trajectory groups*

306 *3.2.1 Trajectories and diagnosis*

307 The distribution of the three diagnostic groups varied widely across the trajectory classes ($\chi^2(6) =$
308 171.26 , $p < .001$, see **Figure 2**), showing that there is substantial individual variation in social
309 functioning within each of the three disorders.

310

311 *3.2.2. Trajectories and premorbid functioning*

312 **Figure 2** also demonstrates the association of the social functioning trajectories with premorbid
313 social development. The two main findings are that, at group level, differences in social

314 functioning between the four classes are already evident in childhood, and that those with worse
315 social functioning in childhood experience a larger decline in social functioning from adolescent
316 Premorbid Adjustment Scale scores to Quality of Life Scale scores 6 months after first admission.
317 This decline from premorbid to post morbid functioning was significant in the two lowest
318 profoundly and severely impaired functioning classes (Class 1: mean difference = -4.49, SD =
319 4.06, $p < 0.001$; Class 2: mean difference = -1.98, SD = 3.94; $p < 0.001$). Functioning in the
320 moderately impaired Class 3 remained stable (mean difference = -.28, SD = 3.89; $p = .49$). In line
321 with normal developmental changes, there was a significant improvement in the level of social
322 functioning from premorbid to post-morbid functioning in Class 4 (mean difference = 2.22, SD =
323 2.96; $p < 0.001$).

324

325 *3.2.3. Trajectories and 20-year functional outcomes*

326 **Table 3** presents the associations of the social functioning trajectories with demographics and
327 outcomes at year 20. The trajectories of profoundly (Class 1) and severely impaired social
328 functioning (Class 2) were associated with worse 20-year real life functional outcomes in a
329 variety of domains, such as not having obtained a high school diploma, unemployment, not living
330 independently, and the use of public assistance. The moderately impaired (Class 3) and the
331 preserved trajectory (Class 4) only differed from each other in independent living and public
332 assistance.

333

334 **4. Discussion**

335 Psychotic disorders are associated with profound social impairments (32,33). It is often implicitly
336 assumed that these impairments fluctuate and that the course of social functioning is worse in
337 schizophrenia compared to other affective psychotic disorders (34). Yet, only limited research
338 directly addressed cross-diagnostic and individual variation in patients' social outcomes over time.

339

340 Our study went beyond investigations that considered individual disorders by examining latent
341 trajectories in the 20-year course of social functioning across three broad psychotic disorder
342 groups. Using Latent Growth Curve modeling we detected four remarkably stable trajectories of
343 preserved, moderately, severely, and profoundly impaired social functioning. Interestingly, our
344 findings reveal that multiple of these classes were found in schizophrenia spectrum disorders,
345 psychotic bipolar disorder and psychotic depression.

346

347 In addition, our findings suggest that differences in the level of social functioning among these
348 20-year trajectories were already evident in childhood. The years between early adolescence and
349 first hospitalization appear to be a period in which a substantial number of individuals who later
350 develop a psychotic disorder display a steep decline in social functioning. This extends the
351 findings of earlier research that investigated social functioning within diagnostic categories by
352 showing that premorbid adjustment is not only a strong predictor of social functioning over three
353 years following illness onset in schizophrenia spectrum disorders (35), but that premorbid
354 adjustment also predicts social outcome for patients with bipolar disorder with psychosis and
355 major depressive disorder with psychosis. Besides, the level of social functioning after the acute
356 illness phase in schizophrenia spectrum disorders, bipolar disorder with psychosis and major
357 depressive disorder with psychosis turned out to be relatively stable (12,15,36,37).

358

359 Particularly the two lower social functioning trajectories were associated with other unfavorable
360 psychosocial outcomes at 20-year follow-up. This suggests that social functioning is a valuable
361 indicator of long-term outcome and that it may be an important treatment target in psychotic
362 disorders that could lead to improvements in other areas of functioning. It also shows the value of
363 a recovery-oriented perspective of mental health services; in the sense of helping patients to
364 formulate adjusted but meaningful (social) goals (38).

365

366 In sum, the current findings expand existing knowledge on social functioning in psychotic
367 disorders by showing that severe and persistent social impairment preceded by a drop in social
368 functioning in adolescence is common in schizophrenia spectrum disorders (75%), but is not
369 limited to the schizophrenia spectrum, because it is also present in about 35% of participants with
370 major depressive disorder with psychosis and about 18% of cases with bipolar disorder with
371 psychosis. On the other hand, a substantial number of individuals with bipolar disorder with
372 psychosis (42%) and major depressive disorder with psychosis (26%), but hardly any individuals
373 with schizophrenia spectrum disorders (1.5%), achieved levels of social functioning after illness
374 onset that were similar to that of the comparison group. Our results suggest that, at group level,
375 the trajectories of social functioning do not exhibit marked changes after illness onset (e.g.
376 showing improvement or deterioration) as previously suggested (39,40). Whereas small
377 improvements in social functioning are visible in all classes in the first years after onset, the
378 overall trajectories follow comparable, rather stable courses, which are mostly characterized by
379 differences in severity. These differences are also reflected by differences in medication intake:
380 the more severe social impairment, the higher the anti-psychotic medication intake. This finding,
381 of course, does not imply causality (arguably, it may be that both antipsychotic use and social
382 impairment are the direct consequences of symptom severity), yet it would be interesting to
383 investigate the effect of prolonged medication on real-life outcomes.

384

385 Our findings are in line with those of the FUNCAP study, wherein real-world outcomes and its
386 determinants were being examined in individuals with schizophrenia and bipolar disorder. Also
387 here, social impairment was found to be more prominent but not limited to schizophrenia (45,46).
388 Their results also provided important etiological clues, suggesting that social functioning in both
389 schizophrenia and bipolar disorder seems largely driven by performance on functional capacity
390 measures (measuring the capacity to perform everyday task, such as communication skills needed
391 in daily interactions). Although this hypothesis needs further testing, it may explain at least part

392 of our findings and suggests that similar pathways to poor social functioning apply across mental
393 disorders.

394 Of interest is our finding that, in contrast to research that compared patients diagnosed
395 with major depression versus bipolar disorder without psychosis (47), Suffolk County participants
396 with bipolar disorder had consistently better outcomes than individuals with psychotic depression.
397 A potential explanation is that psychotic depression is a more severe illness than major depressive
398 disorder without psychosis, which is the majority of what was examined in prior comparisons.

399

400 Our results should be interpreted in light of the following limitations. First, the Suffolk County
401 project provided a unique opportunity to prospectively follow-up a large sample for two decades;
402 however the gaps between the later follow-up assessments were large (6 and 10 years,
403 respectively) and may have overlooked short-term changes in social functioning. Second,
404 premorbid functioning was assessed retrospectively, which may limit the reliability of these data.
405 We sought to mitigate this issue by integrating participant data with information from family
406 members and school records. Third, critical data on factors that might more directly influence
407 unfavorable social outcomes in people with psychosis, such as social-cognitive ability; effects of
408 early social modeling from parents, relatives, and friends; and idiographic experiences (early
409 social reinforcement and social rejection), was not available and we were therefore not able to
410 perform analyses of the potential determinants of poor functional outcome. Fourth, raters were
411 aware of previous SCID diagnoses, which might be a source of bias. However, raters were
412 unaware of both the study diagnosis (decided by study psychiatrists) and hypotheses of the
413 current study, and social functioning was not a primary target of the study. Fifth, our focus was to
414 investigate associations of social functioning trajectories with other 20-year outcomes; however,
415 in order to assess the value of social functioning in relation to other real world outcomes, it will
416 be important to establish experimentally whether improvement in social functioning (e.g., with
417 treatment) can indeed lead to other favorable outcomes and to determine whether trajectories of

418 functioning in other domains (e.g., employment; life satisfaction) are parallel to the social
419 functioning trajectories. The current sample had no systematic treatment aimed at social
420 functioning and future studies should examine how specific treatment might influence social
421 functioning in the long run. Finally, Latent Class Growth Curve Analysis offers a powerful
422 method for studying between-person differences in longitudinal change. However, because it
423 models a single trajectory for all members of a class (35), we may have missed patterns where a
424 few individuals show greater change than the rest of the class. **Importantly, our results show large**
425 **individual variation within groups (as indicated by the error-bars in figure 2), and do not allow for**
426 **conclusions about individual performance.**

427

428 *Clinical implications*

429 Persistent impairments observed in approximately half of the sample emphasize the need for
430 targeted, long-term care aimed at improving social inclusion for those with low social functioning
431 at illness onset. Our findings indicate that 53% of the cases decline markedly in their social
432 functioning between late adolescence and first hospitalization, a finding that has been supported
433 by two other studies using Latent Class Growth Curve Analysis (41,42). This and the high
434 temporal stability of the trajectories extend previous findings suggesting that the level of social
435 functioning may be determined in adolescence. Consequently, our findings are consistent with
436 recent programs of research focused on adolescence as the critical intervention window and
437 support current early intervention strategies for high-risk individuals (43) and those that offer
438 intensive treatment to first admission patients (44) aimed to prevent social withdrawal in severe
439 psychotic illnesses.

440

441 **Disclosures and acknowledgments**

442 Dr. Velthorst and Dr. Fett contributed equally to this study.

443 Dr Kotov and Dr Bromet received grant support of National Institutes of Health [#MH094398
444 and #MH44801 respectively]. Dr. Velthorst received support from the Netherland Organization
445 for Scientific Research (NWO) VENI Grant [#916-15-005]. Dr. Fett received support from the
446 Netherland Organization for Scientific Research (NWO) VENI Grant [#451-13-035] and a [2015]
447 NARSAD Young Investigator Award from the Brain and Behavior Foundation. Dr. Perlman was
448 supported by a [2013] NARSAD Young Investigator Award from the Brain and Behavior
449 Foundation.

450 The National Institutes of Health and the Netherland Organization for Scientific Research had no
451 role in the design and conduct of the study; collection, management, analysis, and interpretation
452 of the data; preparation, review, or approval of the manuscript; and decision to submit the
453 manuscript for publication. All authors report no financial relationships with commercial interests.
454 We thank the mental health professionals in Suffolk County, the project psychiatrists and staff,
455 and most of all, the study participants and their families and friends. The project psychiatrists and
456 staff received their usual salary support; no others were paid for their services.

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567 **Figures:**

568

569 **Figure 1. Flowchart of social functioning analyses sample**

570 Legend: Abbreviations: SZ=schizophrenia spectrum disorder; BDp =bipolar disorder with
571 psychosis; MMDp = major depression with psychosis. NP = never psychotic comparison group.

572 Diagnoses were made at the 10 -year follow-up point or last available assessment. The total

573 number of participants with at least one social functioning assessment was 485.

574

575 **Figure 2. Trajectories of functioning across psychotic disorders derived from Latent Class**

576 **Growth Analyses**

577 Legend: Abbreviations: SZ=schizophrenia spectrum disorder; BDp =bipolar disorder with

578 psychosis; MMDp = major depression with psychosis.

Table 1. Symptoms and medication

Variable	Class	Mean (SD)	Tukey Grouping*				Statistics	Variable	Class	Mean (SD)	Tukey Grouping				Statistics*
SANS-E** 6 mnths	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	12.26 (9.50) 9.75 (8.95) 5.53 (6.39) 1.44 (3.24)	A	B	C	D	t=-6.90, p<0.001	SAPS 20 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	8.58 (8.99) 6.52(10.16) 2.80 (4.99) 0.31 (1.0)	A	B			t=-3.48, p=0.001
SANS-E 2 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	12.42 (9.01) 8.31 (8.23) 3.80 (5.32) 1.00 (1.69)	A	B	C	D	t=-8.02, p<0.001								
SANS-E 4 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	12.12 (9.86) 7.93 (8.33) 3.76 (6.17) 0.72 (2.24)	A	B	C	C	t=-6.30, p<0.001	AP use*** BL-6 mnths	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	79.5 (63) 78.3 (114) 75.0 (111) 58.5 (48)	A	A	B		t=-3.75, p<0.001
SANS-E 10 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	10.26 (9.56) 7.17 (7.95) 3.41 (5.61) 1.29 (3.22)	A A	B B			t=-4.02, p<0.001	AP use 6 mnths - 2 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	79.5 (58) 65.2 (116) 52.7 (77) 36.6 (30)	A	B	C	D	t=-6.25, p<0.001
SANS-E 20 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	14.70 (10.59) 9.24 (9.93) 6.19 (8.42) 2.55 (4.02)	A	B B	C C		t=-4.70, p<0.001	AP use 2 -4 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	74.0 (54) 59.3 (105) 44.2 (65) 24.4 (20)	A	B	C	D	t=-7.35, p<0.001
SAPS 6 months	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	5.73 (8.90) 4.46 (7.47) 1.51 (3.20) 0.63 (2.45)	A A	B B			t=-4.66, p<0.001	AP use At 10 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	87.7 (50) 72.0 (103) 58.9 (63) 31.2 (19)	A	B	C	D	t=-7.36, p<0.001
SAPS 2 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	4.56 (6.62) 4.13 (6.34) 2.41 (5.19) 0.89 (3.91)	A A A	B			t=-2.33, p=0.020	AP use At 20 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	77.8 (28) 73.9 (65) 56.2 (50) 26.4 (14)	A A	B	C		t=-6.46, p<0.001
SAPS 4 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	4.20 (6.90) 3.80 (6.97) 1.98 (4.35) 0.82 (2.53)	A A A A				t=-1.99, p=0.048								
SAPS 10 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	6.28 (8.43) 6.36 (9.93) 3.25 (6.76) 0.42 (1.43)	A A A A	B			t=-2.02, p=0.044								

Note: BL= baseline, SANS-E= Scale for the Assessment of Negative Symptoms - inexpressivity, SAPS= Scale for the Assessment of Positive Symptoms, AP= antipsychotics * Tukey grouping: Means with the same letter are not significantly different. ** All SANS-E and SAPS scores are controlled for diagnosis, age and sex. *** All AP analyses are controlled for gender, and age. AP use for BL-6 mnths, 6 mnths- years and 2-4 years reflects the % time on AP between the two time points first (25% cut off). AP use at 10 years and 20 years reflects use at time of assessment (25% cut off)

Table 2. Associations of sample characteristics and outcomes with trajectory class

Variable	Class	Mean / %	Tukey grouping*			Statistics*	Variable	Class	Mean / %	Tukey grouping			Statistics
<i>Baseline / 6 mnths characteristics</i>		% (n)					<i>20 year outcomes</i>		% (n)				
Male	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	71.6 (53) 64.7 (117) 50.7 (75) 36.6 (30)	A A	B	C	$X^2(3)=27.06$, $p<0.001$	No diploma	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	9.46 (7) 10.50 (19) 3.38 (5) 1.22 (1)	A A	B B C	$X^2(3)=11.78$, $p<0.01$	
White-caucasian	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	64.8 (48) 69.9 (126) 80.4 (119) 92.7 (76)	A A	B B	C C	$X^2(3)=23.74$, $p<0.001$	Unemployed	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	97.2 (35) 80 (72) 52.8 (47) 40 (22)	A	B B C	$X^2(3)=46.36$, $p<0.001$	
Unemployed 6 mnths	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	76.1 (48) 64.2 (106) 35.5 (49) 10.7 (8)	A A	B	C	$X^2(3)=88.03$, $p<0.001$	Public Assistance	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	94.44 (34) 85.56 (77) 55.06 (49) 30.91 (17)	A A	B B C	$X^2(3)=62.83$ $p<0.001$	
Public assistance 6 mnths	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	47.6 (30) 46.3 (76) 23.9 (33) 10.7 (8)	A A	B	C	$X^2(3)=41.08$, $p<0.001$	Independent living	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	43.8 (14) 48.9 (46) 68.5 (63) 90.4 (47)	A A	B B C	$X^2(3)=30.77$ $p<0.001$	
Independent living 6 mnths	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	20.6 (13) 26.8 (44) 44.6 (62) 52.6 (40)	A A	B B		$X^2(3)=26.04$, $p<0.001$	Homelessness	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	16.67 (6) 14.77 (13) 13.48 (12) 14.5 (8)			$X^2(3)=0.22$, $p=0.98$	
Homelessness** Baseline	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	25 (14) 26.5 (36) 18.6 (22) 10.8 (7)				$X^2(3)=7.47$, $p=.06$							
		Mean (SD)											
Onset age***	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	30.15 (16.61) 29.72 (14.29) 28.64 (13.11) 29.80 (11.16)				$t=-1.65$, $p=.10$							
Age***	Profoundly Impaired Severely Impaired Moderately Impaired Preserved	30.32 (9.43) 29.51 (9.22) 28.11 (8.95) 30.30(10.99)				$t=-1.79$, $p=.074$							

Note: BL = baseline, * Tukey grouping: Means with the same letter are not significantly different. ** homelessness rating is based on any time in lifetime before baseline and between 10-20 years, *** Controlled for diagnosis and sex

Table 3. Social functioning by time point and social engagement trajectory class

Variable	Class*	Mean (SD)	Tukey Grouping**			Statistics
PAS childhood***	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	8.12 (3.42) 8.77 (3.78) 9.41 (4.10) 12.00 (3.13)	A A B C			t=5.62, p<0.001
PAS Adolescence	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	8.25 (3.93) 9.07 (3.54) 10.28(3.39) 12.36 (2.69)	A A B C			t=6.38, p<0.001
PAS late adolescence	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	7.75 (4.25) 9.23 (3.88) 10.44 (3.52) 13.03 (2.541)	A B B C			t=5.67, p<0.001
Social functioning 6 mnths****	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	4.37 (2.60) 7.60 (2.79) 10.90 (2.96) 15.11 (1.93)	A B C D			t=21.81, p<0.001
Social functioning 2 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	4.05 (2.03) 8.62 (2.33) 11.85 (2.33) 15.43 (1.86)	A B C D			t=27.65, p<0.001
Social functioning 4 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	5.03 (2.08) 8.52 (2.58) 12.35 (2.39) 15.28 (1.76)	A B C D			t=24.81, p<0.001
Social functioning 10 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	5.35 (2.71) 7.60 (3.65) 11.78 (3.47) 15.05 (2.38)	A B C D			t=14.03, p<0.001
Social functioning 20 years	(1) Profoundly Impaired (2) Severely Impaired (3) Moderately Impaired (4) Preserved	5.56 (3.51) 7.64 (3.32) 11.16 (3.66) 14.98 (2.24)	A B C D			t=13.18, p<0.001

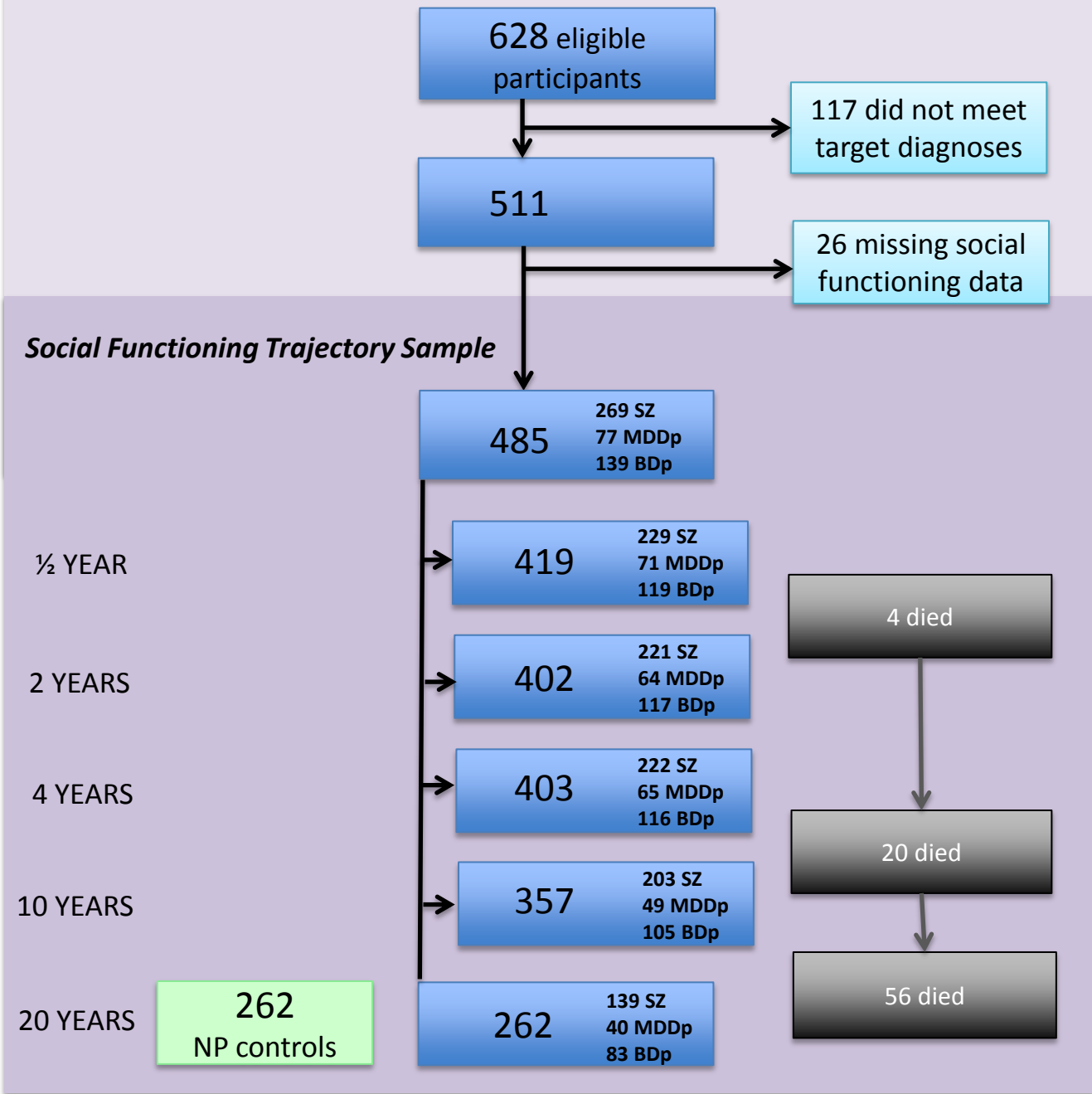
Note. * Tukey grouping: Means with the same letter are not significantly different

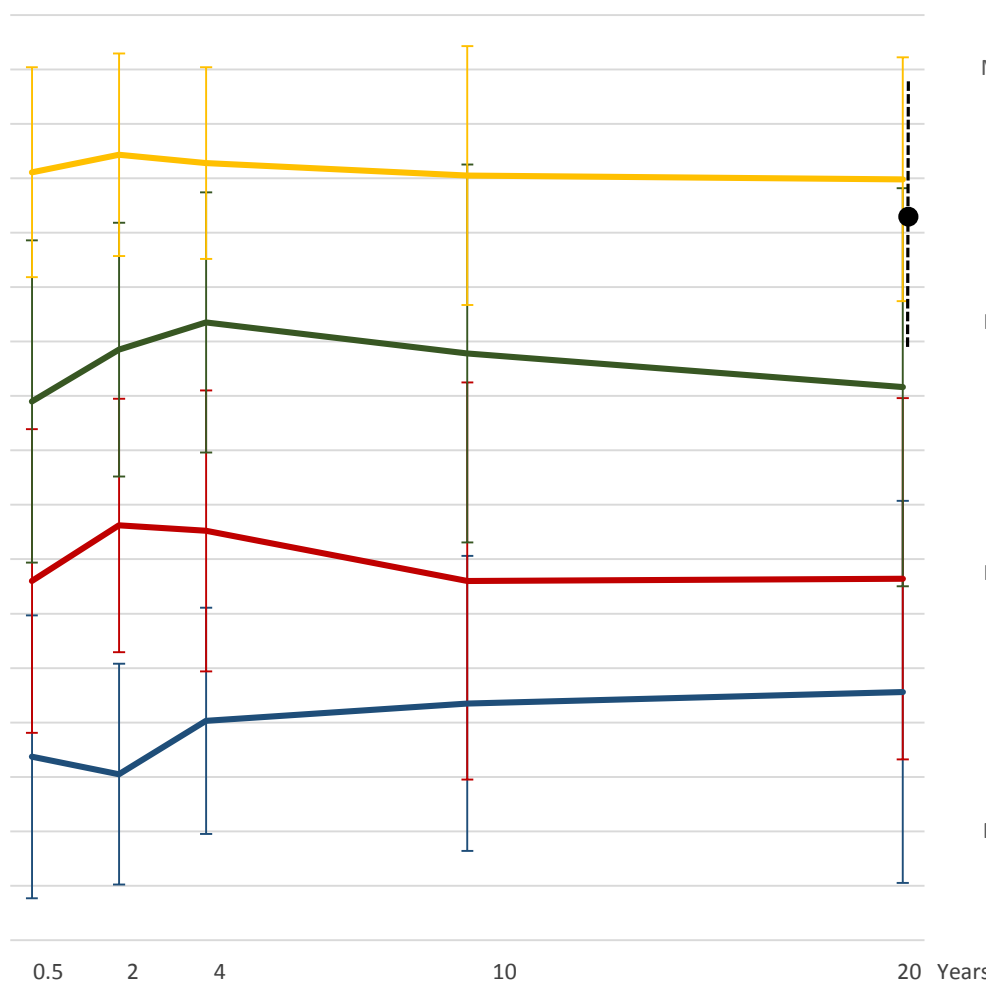
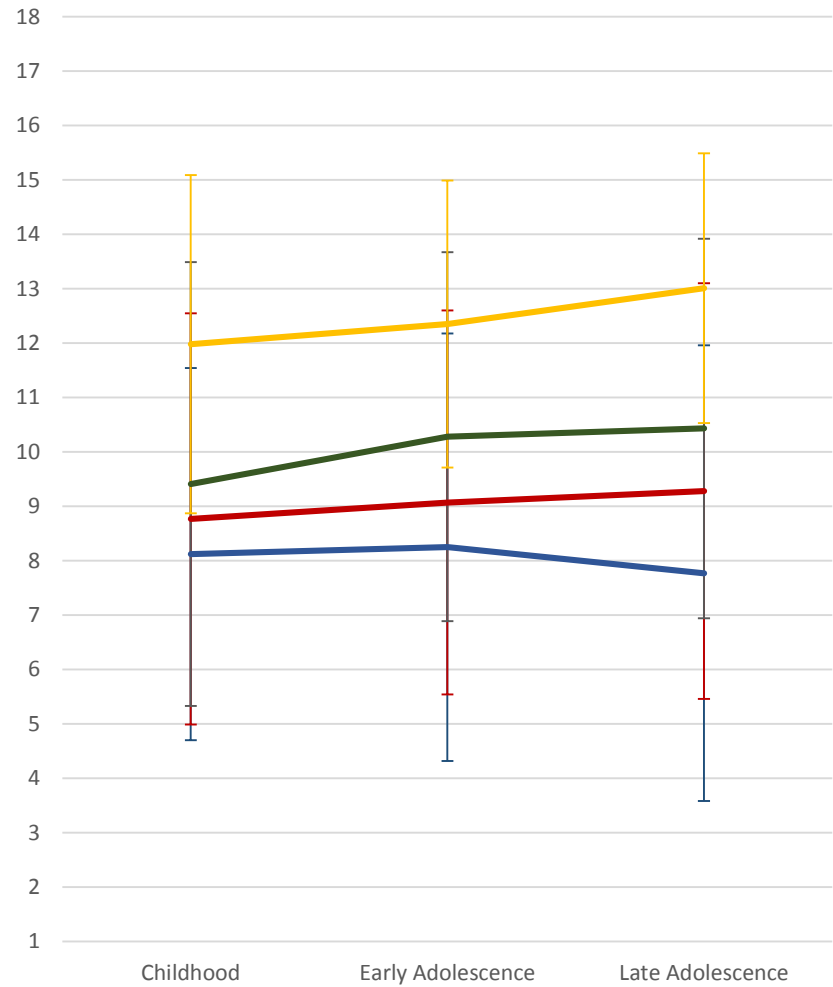
** Number of participants per class: Class 1=74, Class 2=181, Class 3=148, Class 4=82.

*** Adjusted Premorbid Adjustment Scale (PAS) scores. All PAS analyses are controlled for diagnosis and sex.

**** All Social functioning analyses are controlled for diagnosis, sex and age

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— Profoundly impaired (Class 1) — Severely impaired (Class 2)
— Moderately impaired (Class 3) — Preserved (Class 4)
● Comparison group

Distribution of diagnoses across classes in %

Supplementary table. Fit indices LCGA

Number of classes	Entropy	Bayesian information criterion	Aikiake information criterion	Vuong-Lo-Mendell-Rubin likelihood test p-value
1	N/A	10663.872	10634.583	N/A
2	0.775	10133.444	10091.602	<0.0001
3	0.700	10038.615	9984.221	0.0002
4	0.646	10030.558	9963.611	0.035
5	0.653	10032.728	9953.229	0.153