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Towards Design-by-Contract Based Software Architecture Design

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Abstract—Design-by-Contract (DbC) gained wide familiarity among software developers for specifying software. It aids in documenting the behaviour of class methods as contracts between clients of the methods (pre-) and their suppliers (post-condition). This not only allows developers to document software behaviour precisely at such a high-level that can more easily be communicated, but also enables the formal verification of the behaviour.

In this paper, we provide a comprehensive extension to DbC so that it can also be applied to the level of software architecture design. We illustrate this through our architecture description language XCD. Components in XCD have four different types of interfaces: provided and required interfaces of methods or emitter and consumer interfaces of events where methods/events are contractually specified. Contract specification is separated into functional and interaction contracts thus modularising the functional and interaction component behaviours. Furthermore, treating interaction protocols as connectors, XCD allows to specify connectors with interaction contracts that participating components adhere to.

The formal semantics of XCD are defined using Finite State π-calculus [22] by Wright or LEDA) in specifying the behaviour of software architectures. Those addressing formal analysis mostly adopt process algebras (e.g., FSP [16] by Darwin and CONNECT, CSP [11] by Wright or π-calculus [22] by LEDA) in specifying the behaviour of software architectures. The process algebras provide formally defined, mathematical syntax and semantics leading to formal specifications which can be rigorously analysed through model checker tools. However, the syntax of process algebras looks unfamiliar to the practising designers who might find it hard to specify their systems as parallel composition of processes [1]. Indeed, a recent survey about architecture description languages [18] states that process algebraic ADLs result in steep learning curve which would make designers invest considerable amount of effort and time to learn and use the algebraic notations. Therefore, formal analysis of software architectures goes far beyond the capabilities of designers, instead requiring expert-level knowledge of process algebras.

Algebraic ADLs, due to their steep learning curve, have not been successful in attracting industry’s attention; except some minority they remained within the focus of research communities only. But, given the importance of formal analysis of software architectures and thus the early detection of system-level critical issues, formal behaviour specification is highly desirable. Thus, it has always been sought a more user-friendly approach making formal behaviour specification and analysis as easy as programming in Object Oriented Languages.

One solution that can be considered is the adaptation of the well-known Design-by-Contract approach [20] to the software architecture specification. DbC has not only wide familiarity among developers but also has its formal foundation that is based on Hoare’s logic [10] and VDM’s rely-guarantee [4] specification approach. DbC basically allows for specifying software behaviour in terms of formal contracts. A contract with one side only applies in general to class methods and is specified as a pair of pre- and post-condition where the former states the caller of the method is obliged to do and the latter what benefits are guaranteed by the method supplier. Practitioners prefer DbC essentially in test-driven developments to specify test conditions which are used to verify the software quality [13], [19]. Originally intended for Eiffel [21], DbC has so far been adopted by many programming languages, e.g., Java through JML [8], [9]. Allowing contract based behaviour specification for Java modules, JML is found highly practical by developers and furthermore supported by various verification tools [6].

With the advent of languages, such as JML, DbC has proven to be invaluable by developers in specifying and verifying the behaviour of software components (e.g., Java classes and their methods). Therefore, considering the steep learning curve with algebras, we strongly believe that if instead DbC were adopted in specifying the behaviour of software architectures, practitioners could specify their software architectures both in a more comfortable way and formally. However, since a software component is not specified at the same level of abstraction as an architectural component, current DbC approaches (e.g., JML) do not help on this. Indeed, while classes only provide methods to their environment as their interface, architectural components are additionally specified with required services too that they require from their environment. Furthermore, objects of classes perform method-based communication only, whereas architectural components can perform event-based communication too.

In this paper, we focus on extending DbC to the level of
software architecture design; so, designers can specify software architectures in a both formal and user-friendly way. To this end, we present herein our XCD ADL adopting our extensions to the DbC and thus enabling DbC-based software architecture specifications. The rest of the paper firstly describes syntactically and semantically how XCD components, connectors, and their configuration are specified in the form of contracts. Next, the formal semantics of components, connectors and also their configuration are given in Finite State Process (FSP) enabling the formal analysis of XCD software architectures. Last part is the related work where similar works are discussed.

II. A Design-by-Contract Based Architecture Description Language

Inspired from JML, XCD offers a contractual way of behaviour specification; but unlike JML XCD serves at the level of software architecture design which requires further considerations. Following our initial attempt [14], XCD adapts the notion of DbC to the features commonly found in component models such as CCM [23] and OSGi [24], [28]. Therefore, contracts can be considered for not only provided services but also required services, and event services too that explicitly emit or consume events. Furthermore, complex interaction protocols obeyed by components can also be contractually specified as connector elements of architecture designs.

A. Component Specification

XCD component serve as a high level specification of functional units in systems. Listing 1 shows the structure of a component type. Consisting essentially of data and ports, the former represents the state of the components. Ports are typically the interaction points with outside that are specified with a type and size declaration (i.e., the number of instances derived from the types).

Listing 1: Generic component structure

```
component Name (parameter*) {  
  (data.type data.id;)*  
  method;+ };*  
  required port Name[Size] {  
    method;+ };*  
  emitter port Name[Size] {  
    event;+ }  
  consumer port Name[Size] {  
    event;+ }  
}
```

As also depicted in Listing 1, the types of ports can be either required and provided for making method-call to outside and providing methods to outside respectively or emitter and consumer for emitting events to outside and receiving events from outside respectively. Port type specification consists of contractually defined method or event actions, where contracts are two fold: interaction (@Interaction) and functional (@Functional) contracts. Interaction contracts are specified with a set of interaction constraints (IC*) in Figure 1, while functional contracts with a set of functional constraints (FC*). The former is for specifying the state at which the action can be taken, the latter for specifying the acceptable set of parameters for the actions.

Interaction constraints have precedence over the functional in that the the former has to be met which then leads to the latter being checked for a successful action execution. Moreover, as shown in Figure 1, each port type has its own specific constraints that are imposed on its action. The rest of this section illustrates these different constraint types.

```
fig. 1: Meta-model of component ports
```

1) Required Port: Listing 2 exemplifies a required port specification through which a client components can make a request call to a server. Constrained with IC_promises in lines 2-4, the call for request is delayed until the promised (pre) condition is met, the component data opened evaluating to true. When a connection is opened, then the FC_promises requires ensures in lines 5-12 can be evaluated. There in line 6, the parameter of the request are promised to be equal to self (i.e., the id of the component). In this case, upon receiving the response from the provided port of a server, if the requirement that an exception is not thrown is satisfied, the data serverReply is ensured to be equal to the received result; otherwise (lines 10-11), the component state is not changed.

```
Listing 2: Required port specification

1) required port client_port1{  
  @Interaction{  
    @promises: \when(opened);  
  }  
  @Functional{  
    @promises: caller == self;  
    @requires: !\exception;  
    @ensures: serverReply=\result ;  
    @otherwise  
      @requires: \exception;  
      @ensures: true;  
  }  
  int request(ID caller);  
}
```

2) Provided Port: Listing 3 exemplifies a provided port specification. The port server_port1 receives calls for the method request from clients. Upon receiving a call for the request, first the IC_accepts_rejects in lines 2-6 are evaluated. The call is accepted when the initialised data is true. However, the call is rejected (line 5) if the initialised evaluates to false, indicating chaotic behaviour. When the accepts condition is met, then the FC_requires_ensures in lines 7-13 are evaluated. If the requirement that the caller parameter of the received method-call is non-null is met, then the component data numOfRequests is incremented and the

1Events in XCD differ from methods in that the former serves for one-way while the latter for two-way (request-response) communication.
result to be returned is assigned to 3. If however the caller is unassigned (lines 11-12), then a `NullID_Exception` is ensured to be thrown to the client.

**Listing 3: Provided port specification**
```java
@Interaction
@Functional

@accepts: \when(initialised);
@otherwise
@rejects: \when(!initialised);

@accepts: !\{client == null\};
@rejects: \when(initialised);

@Functional;
@requires: client == self;
@ensures: initialised = true && initialiser = client;

initialise(ID client);
```

3) Emitter Port: Listing 4 exemplifies an emitter port specification. There, the port `client_port2` emits an event `initialise` to a server. Note that unlike methods, event are specified without return types – only names and parameters allowed in its signature. Constrained with an `IC_promises`, the emission of the event `initialise` is delayed until what is `promised` is met, i.e., the component data `opened` is true. When the client opens its connection, then the `FC_promises_ensures` in lines 5-8 is evaluated. It states that the actual parameter of the `initialise` to be emitted is `promised` to be the id of the client which then ensures that the data `isInitialised` is true. Note also that due to supporting one-way communication, unlike two-way required ports emitter event ports do not wait for a response from the connected consumer ports. Nor do the consumer ports, unlike provided ports, send response after they receive an event.

**Listing 4: Emitter port specification**
```java
emitter port client_port2{
  @Interaction;
  @Functional;
  @promises: \when(opened);

  @promises: client == self;
  @ensures: isInitialised = true;

  initialise(ID client);
}
```

4) Consumer Port: Listing 5 exemplifies a consumer port specification. The `server_port1` receives event `initialise` from the emitter port of its clients specified in Listing 4. Constrained with `IC_accepts_rejects`, the event `initialise` is accepted when the component data `initialised` is `false`. Otherwise, when `initialised` is `true`, the `rejects` condition holds leading to chaotic behaviour. When the server is not yet initialised, the event `initialise` is received successfully leading to the `IC_accepts_rejects` in lines 7-11 being evaluated. There, the `client` parameter of the received `initialise` event is `required` to be non-null which then ensures that the `client` argument is stored in the data `initialiser`.

**Listing 5: Consumer port specification**
```java
@Interaction

@accepts: \when(!initialised);
@otherwise
@rejects: \when(initialised);

@Functional;
@requires: !\{client == null\};
@ensures: initialised = true && initialiser = client;

initialise(ID client);
```

**B. Connector Specification**

XCD connectors serve to represent decentralised protocols for the components interacting with each other. Given its structure in Listing 6, a connector type is specified with roles and channels. Each role represents a component interacting via the connector and it defines the protocol which the component obeys for avoiding chaotic behaviour. A role is described with data, and port-variables. The port-variables of a role essentially represent the respective ports of the components playing the role. Channels of an XCD connector represent the communication links between interacting role port-variables and can have different types, e.g., synchronous, buffered, etc.

![Fig. 2: Meta-model of connector role port-variables](image)

As shown in Figure 2, port-variable actions are specified solely with interaction constraints (IC_waits_ensures). IC_waits_ensures is specified as interaction contracts that serves to delay the respective port actions occurring until a certain condition holds. Through the interaction contracts, port-variables of roles essentially impose high-level interaction protocols on the component(s) acting as the roles. The interaction protocols are intended for enforcing components to behave in a particular manner (i.e., through execution of certain action order). In doing so, components can be avoided from getting involved in unexpected (chaotic) interactions with other components associated with the same connector. The end result is then a set of components interacting with their environments successfully to compose the whole system.

As depicted in Listing 6, connector type includes also parameters to be specified. These parameters represent the associations between (i) components and connector roles and (ii) component ports and role port-variables. At configuration time when component and connector types are instantiated, the
components, along with their ports, are passed as parameters to the connectors whose roles they play.

Listing 6: Generic connector structure

```java
class connector Name (rName|pvName,,..,,) {
    role rName {
        data;*
        provided port_variable pvName {
            method;* ];*
        } provided;
        required port_variable Name {
            method;* ];*
        } required;
        emitter port_variable Name {
            event;* ];*
        } emitter;
        consumer port_variable Name {
            event;* ];*
        } consumer;
    }
    channel;*
}
```

Listing 7 exemplifies a connector type specification for mediating the interaction between a server and a client. Client role in lines 4-20 are played by client components; server role in lines 21-37 by server components. The port-variable `client_pv1` (lines 6-12) in the client role constrains the interaction behaviour of the `client_port1` in Listing 2; the `@interaction` contract herein delays the calls for method `request` until the role data `isInitialised` is true. The `client_pv2` is matched with `client_port2` and it updates the `initialised` role data when the `client_port2` emits event `initialise`.

The `@interaction` specified in the `server_pv1` of the server role (lines 23-29) constrains the `server_port1` in Listing 3 so that call for method `request` cannot be accepted until the role data `initialised` becomes true. Therefore, client and server components are prevented from interacting before they ensure that server is initialised thus avoiding chaos. Just like `client_pv2`, the `server_pv2` matching with `server_port2` updates the `initialised` role data when the event `initialise` is received by the port.

The channel specification in lines 38-41 essentially describes the component port pair that are to communicate with each other. Indeed, the client port playing the `client_pv1` communicates with the server port playing the `server_pv1`, while the one playing the `clients_pv2` with the one playing the `server_pv2`.

C. Configuration Specification

Component types explained in section 2.A can also be composite thus embodying configuration of component and connector instances. In doing so, they can represent either the abstractions for complex functional units where the internal behaviour is specified via configuration and external via the ports, or system architectures without any port specifications.

Listing 8 is the composite component type representing the system architecture of a client-server system. The component instance `cIns` is instantiated from the client component type whose ports are specified in Listing 2 and Listing 4; `sIns` is from the server component whose ports are specified in Listing 3 and Listing 5. The connector type in Listing 7 is instantiated as `csIns`. The `csIns` receives as actual parameters the component instances and their ports thus associating the components and the roles they play.

III. FORMAL SEMANTICS OF XCD

In section 2, we informally explain the semantics of XCD elements. However, to enable formal verification of software architectures, a formal-based semantics definition is needed. Therefore, we provide in this section the formal mappings of XCD elements to Finite State Process (FSP) [17] process algebra. This allows encoding XCD architecture specifications into formal FSP specifications that can be verified for deadlock and liveness properties via LTS model checker. Therefore, designers can detect system errors (e.g., missing or wrong protocols) early on during the architecture design and correct their specifications accordingly.
A. Component Semantics

For simplicity, we only consider herein the mappings of primitive components that do not include component/connector instances. The semantics below is given in terms of parallel interaction of FSP processes.

**Definition 1** The semantics of a component with data \( D \) and ports \( p_1, \ldots, p_n \) is the composite FSP process:

\[
P_D = D[p_1 \ldots p_n]
\]

where \( P_D \) is the data process and \( p_1, \ldots, p_n \) each is a composite port process whose definition is:

\[
P_Ic \ || P_{FC_{a_1}} \cdots \ || P_{FC_{a_n}}
\]

where \( P_Ic \) is the interaction constraints process and \( P_{FC_{a_1}} \ldots P_{FC_{a_n}} \) each is a process for a functional constraints imposed on a single method/event action taken via the port.

1) **Component Data:** Acting as component memory, the data process \( P_D \) stores the component data as index variables of its sub-process \( D \). The process \( D \) executes \( read \) and \( write \) actions in a random order where the read has index variables holding the current data values \( (V) \), and the write has variables \( (V_n) \) holding the new data values to overwrite the current values of the \( D \).

2) **Component Interaction Constraints:** As aforementioned, the interaction constraints for a port are mapped to \( P_{FC} \). \( P_{FC} \) includes a sub-process \( Port \) which firstly locks component data and performs \( read \) action to obtain the component state. Upon reading the data, then, for each event/method action of the port, a code snippet is produced in the body part.

Below is the FSP process for instance that is obtained by transforming the required port of the client component, \( client\_port1 \) specified in Listing 2:

If the port is of consumer/provided type, the body part follows the below pattern, includes a single \( when \) wait statement, with its guard \( \lor.ic\_action.promises(ic) \). This states that an action is performed when at least one of the interaction constraints \( (promises) \) is met. Upon its satisfaction, the event/method action is emitted/sent, as in line 3, which stores the promised values of the parameters (obtained via \( fc \)) as its index variables. In case the port is required type, the process is blocked until it gets synchronised with the provided port on the response action, as in line 4, which includes in its index variables the result/exception. Then, the control is passed to the process \( P_{FC} \) through the internal action in line 5. Note that it is the \( P_{FC} \) that executes the functional constraints thus updating component data. \( P_{FC} \) then responds with another internal action as in line 7 where new data values are stored in the index variables \( (V_n) \). The component memory is updated with the new data values by executing \( write \) action, and then the memory is released with \( unlock \).

\[\]

2Note that star (*) implies zero or more, while question mark (?) zero or one in the FSP mapping patterns.
when statement is for rejects, as in lines 11-12, whose satisfaction leads to ERROR state due to chaotic behaviour.

From the server ports specified in Listing 3 and Listing 5, one can conclude that the server component has three data types: initialised, initialiser, and numOfRequests whose types are bool, int, and int respectively. Thus, the provided port of the server component, the server_port1 specified in Listing 3, is for instance encoded as follows.

Following is, for instance, the FSP process for functional constraints on the method request provided by the the server_port1 specified in Listing 3.

B. Connector Semantics

Like components, the semantics of connectors are also defined in terms of parallel interaction of FSP processes.

Definition 2 The semantics of a connector with roles r_1,..., r_n channels ch_1,..., ch_n is the composite process:

\[ P_{r_1} \ldots \parallel P_{r_n} \] (3)

where \( P_{r_1}, P_{r_2} \) each is a role process whose definition is:

\[ P_{D_r} \parallel P_{p_{v_1}} \ldots \parallel P_{p_{v_n}} \] (4)

where \( P_{D_r} \) is the data process and \( P_{p_{v_1}}, \ldots, P_{p_{v_n}} \) each is a port-variable process that represents the interaction constraints imposed on method/event actions taken by the port-variable.

While role data is mapped to a process in the same way as the component data, port-variables in a role are mapped in a different way from component ports. This is due to port-variable imposing solely interaction constraints on actions. Below is the pattern followed in mapping a port-variable of any of the four types into an FSP process (\( P_{p_{v}} \)). Firstly, role memory is locked and data are read as in line 2. Next, in lines 5-10, the interaction constraints of the port-variable are evaluated. For each action of the port-variable, a set of when wait statement is produced each corresponding to a unique waits clause specified in the action’s \( \@interaction \) contract. The guard of each when is the condition specified via the respective waits clause. Upon satisfaction of any of the when guards, i.e., the \( \@interaction \) of the action is met, then the event/method action is executed as in line 8. This is followed by the write action which updates the role memory with the new data values (\( V_{n} \)) imposed by the ensures of the ic.

Following the above pattern, the server_port1 in Listing 7 is, for instance, transformed to the following FSP process.
Channels of a connector are mapped to relabelling functions (/) employed in the composite process corresponding to the connector. The relabelling function, for each channel, re-names the actions taken by the provided/consumer port-variable in one end of the channel to the names of the respective actions taken by the required/emitter port-variable in the other end. This enables the port-variable processes to synchronise on these actions.

C. Configuration Semantics

Just like component and connector types, configuration of system architectures is encoded into a set of FSP processes.

Definition 3 The semantics of a configuration with its component instances \( C_1, \ldots, C_n \) and connector instances \( C_{n+1}, \ldots, C_{n+m} \) is the composite process:

\[
\langle c \in C_1, \ldots, C_n : P_C \rangle \ || \ r_1 : P_{D_{r1}} \cdots || r_n : P_{D_{rn}} \ || \ P_{C2rs} \rangle
\]

where, for each component \( c \), its data process is \( P_D_c \), the data process for each role the component plays is \( P_{D_{r}} \); and \( P_{C2rs} \) for the component \( c \) playing a set of roles \( rs \) is another composite process:

\[
\langle P_{p1,2pvs} \cdots \ || \ P_{p_n,2pvs} \rangle
\]

where \( P_{p1,2pvs} \) each represents a port of the component matching with a set of port-variables \( pvs \) and is also a composite process:

\[
c_1 : P_{p1} \ | | \ r_1 : P_{p1,1} \cdots || r_n : P_{p1,n}
\]

where \( P_{pv} \) represent a single port of the component and \( P_{p1} \) each a single port-variable matching with the port and all are primitive processes.

Note that component data, role data, port, and port-variable processes are all produced in the way explained in section 3. For each instance of the component, role, port and port-variable, these respective processes are instantiated through prefixing feature of FSP (i.e., process actions are prefixed with labels). As shown above, we use labels as ids of the elements, e.g., component id \( c_{id} \). It is then the prefix labels that determines during the verification which action belongs to whom.

Synchronous communication among processes Port \( (P_p) \) and port-variable \( (P_{pv}) \) processes in formula 7 communicate with each other through synchronisation on actions. As shown below, if a port-variable is of required/emitter type, its process actions are re-named to the respective actions of the matching port enabling them to get synchronised with each other.

\[
\text{port\_action\_e/r} / \ ny\_action\_e/r
\]

In the case of provided/consumer types, as shown below, the re-naming pattern is more complicated. (1) in the below pattern is performed by the provided/consumer port-variable processes as mentioned in section 4. Re-naming the provided/consumer port-variable actions to those of the connected required/emitter port-variables, synchronous communication between the connected port-variables are enabled. Then in step 2, the re-named actions of the consumer/provided port-variables are again named to the actions of the associated port, i.e., the required/emitter port due to having been renamed to required/emitter port-variable actions in (1). When the step 3 is completed and the provided/consumer port actions are also re-named to the same actions of the required/emitter ports as in (2), then the ports that are connected via their port-variables can communicate synchronously in a way that is restricted by their port-variable processes.

\[
\langle p\_action\_e/r \ || \ p\_action\_e/p \rangle
\]

IV. RELATED WORK AND DISCUSSION

DbC is quite new to the area of software architecture specification. It has so far been mainly considered for programming languages facilitating the checking of software correctness. JML is one of the well-known examples [6], [8], [9] allowing to specify executable contracts for Java classes and interfaces.

Beugnard et al.’s approach [3] is considered highly inspiring that applies DbC to component based software engineering. They proposed four types of component contracts: basic, behavioural, synchronisation, and quality-of-service contracts. However, components, just like Java classes, are considered here only with provided interfaces ignoring explicit specification of required interfaces and also interfaces of events. Furthermore, focussing on components only, Beugnard et al. do not consider contractual specification of complex connectors, i.e., interaction protocols.

There are a very few attempts towards applying DbC to software architecture specification, e.g., RADL [26] and CBabel [5]. RADL, above all, supports only methods that can be provided or required, neglecting explicit specification of events. Furthermore, their consideration of contracts serve basically to check compatibility of components, i.e., whether their required and provided ports interoperate as expected; the behaviour of components are specified using finite state machine. CBabel ADL, by contrast, supports explicit specification of events too. However, they apply contracts to coordination aspect of software architectures; component behaviour cannot be contractually specified.

As aforementioned, most of the formal ADLs (e.g., Darwin [15], Wright [2], LEDA [7], SOFA [25], CONNECT [12], and etc.) adopt process algebra notations which are found unusual among industry. Indeed, specifying behaviour of components and connectors in terms of concurrently executing processes and their parallel interaction is commonly considered as complicated thus error-prone. Whereas, with formal DbC contracts, component behaviours are specified simply by defining when a component is to take an action (pre-condition) and what is expected to happen then (post-condition). Indeed, the XCD specification of client-server system in section 2 describes exactly the same behaviour as its mapping to FSP presented in section 3 and 4, surely in a more user-friendly way.

To maximise the expressiveness of contracts in specifying the behaviours of components and connectors, we apply a number of extensions. First, contracts are specified in two forms, i.e., functional and interaction, where the former allows
to specify functional behaviour of components and the latter either to specify their interaction behaviour or the interaction protocol of connectors. Furthermore, with the introduction of new contract clauses, e.g., promises, waits, accepts, and rejects, designers are allowed to express behaviour of method/event actions in a more precise and complete way.

V. CONCLUSION

In this paper, we presented a series of extensions to DbC for adapting it to software architecture design. Unlike current DbC implementations, we considered a more systematic and comprehensive approach. Using our XCD language, designers can specify the behaviour of their components in terms of explicit interaction and functional contracts where the former describes the interaction behaviour and the latter the functional behaviour. Furthermore, components can have four types of ports (interfaces) – required, provided, emitter, and consumer – allowing designers to apply contracts not only on the actions provided to outside, but also required actions from them and on events emitted/consumed too.

Treating interaction protocols explicitly as connectors in architectural designs, XCD also enables the contractual specification of connectors. They are specified with interaction contracts for participating components. Thus, components interacting through the connectors are ensured to adhere to interaction protocols in their behaviours.

Furthermore, we present the formal semantics of XCD by means of the mapping algorithms of XCD elements to processes in Finite State Process (FSP). Therefore, it is made evident how easy it is to perform formal verification of XCD specifications against safety and liveness properties.

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