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Architectural Specification and Analysis with XCD: The Aegis Combat System Case Study

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Abstract: Despite promoting precise modelling and analysis, architecture description languages (ADLs) have not yet gained the expected momentum. Indeed, practitioners prefer using far less formal languages like UML, thus hindering formal verification of models. One of the main issues with ADLs derives from process algebras which practitioners view as having a steep learning curve. In this paper, we introduce a new ADL called XCD which enables designers to model their software architectures through a Design-by-Contract approach, as for example in the Java Modelling Language (JML). We illustrate how XCD can be used in architectural modelling and analysis using the Aegis combat software system.

1 INTRODUCTION

Architectural modelling and analysis of complex software systems has always been a crucial aspect of system development for two reasons. First modelling of architectures enables a highly abstracted view of systems making their complexity tractable. Second, models, if specified formally, can be analysed mechanically thus enabling the detection of errors long before the implementation phase.

Unified Modelling Language (UML) [Rumbaugh et al., 1999] gained wide popularity in modelling design of software systems. Despite partially serving the first reason of modelling, i.e, tractability of large and complex systems, it however does not do so for the second reason – analysis of models for early error detection. This is because UML lacks in formally precise semantics thus leading to informal and ambiguous models which cannot be mechanically analysed. Apart from UML, since nineties, several architecture description languages (ADLs) [Medvidovic and Taylor, 2000] have been proposed. Unlike UML, many of these ADLs are based on formally precise semantics, so as to enable analysis of software architectures too.

Despite enabling modelling and analysis, ADLs unfortunately have not gained the expected momentum among practitioners. As stated in [Malavolta et al., 2012], this could be due to the steep learning curve these languages require. According our ADL study [Ozkaya and Kloukinas, 2013a], indeed ADLs are based on process algebras (e.g, FSP [Magee and Kramer, 2006] and CSP [Hoare, 1978]) which most practitioners are unfamiliar with.

In this paper, we present our new ADL called XCD that aims at architectural modelling and analysis in a more practitioner-friendly manner. To this end, XCD is based on widely known Design-by-Contract (DbC) approach [Meyer, 1992]. So, just like Java Modelling Language (JML) [Chalin et al., 2006], behaviour of architectural elements is specified with contracts, but, in a more systematic and comprehensive way. Indeed, we consider a number of extensions to DbC facilitating the specification of components and connectors. To enable formal verification of contractual software architectures, we provide formal mapping of XCD constructs to SPIN’s formal ProMeLa language [Holzmann, 2004] which is not only supported by a powerful model checker but also developer-friendly language resembling C programming.

2 XCD ADL via Aegis Case Study

Figure 1 depicts the meta-model of the XCD ADL\(^1\). XCD offers two main architectural elements, components and connectors. As introduced in [Ozkaya and Kloukinas, 2013b], components are used to specify the abstractions of computational units and

\(^{1}\text{Although XCD supports emitter/consumer ports too for emitting/consuming asynchronous events, we have not mentioned them herein due to lack of space.}\)
connectors the interaction protocols for the interacting components. In the rest of this section, using the Aegis combat software system, we illustrate the contractual specifications of components and connectors.

The Aegis system has been developed for navy ships to make them capable of controlling their weapons against enemies. The Aegis has firstly been tackled by Wright [Allen, 1997] in [Allen and Garlan, 1996], which can informally be specified as Figure 2 comprising a set of components interacting with each other. The Experiment_Control, at the top of the diagram, essentially provides linked components the information obtained via sensors. The track information is, for instance, required by the Track_Server which stores it and provides other components (Doctrine_Validation and Geo_Server) the location information about the enemies operating around the ship. The Doctrine_Authoring requires doctrine rules from the Experiment_Control and provides them to the other components (Geo_Server, Doctrine_Validation, and Doctrine_Reasoning) that require rules to take actions. Using the doctrine rules and track information from its environment, the Geo_Server provides to the Doctrine_Reasoning the precisely calculated region information for enemies. Lastly, the Doctrine_Reasoning makes the decision of which task(s) to take against the enemies.

2.1 XCD Specification of Aegis

We specify three types of primitive components to be able model the components depicted in Figure 2. These are client, server, and mixedComp types. Each component comprises a set of data variables representing their state and ports representing the points of interactions with their environment. To model the interactions between the components, we also specify a connector type, client2server which is specified as a set of roles played by the components representing their interaction protocols. It also has built-in connectors establishing the communication links between the component ports. Finally, we specify a composite component type aegis_configuration which represents a configuration of client, server, and mixed-Comp components interacting via the client2server connectors.

2.1.1 Client Component

Listing 1 gives the client component type specification, from which client components are instantiated (i.e., Doctrine_Validation and Doctrine_Reasoning) that only require services of server components to be able perform their tasks. The state of the component is specified with two data variables (lines 2-3): the data holds any information maintained by the component and openedConns holds the number of client ports that open their connections with their servers (by making call for the method open).

Listing 1: Client Type Specification

```java
1 component client (int numOfPorts) {
2   int data = 0,
3   int openedConns = 0;
4   required port service [numOfPorts] {
5     @interaction
6       waits: openedConns < numOfPorts;
7     @functional{
8       ensures: post(opened[@]):=true
9       && post(openedConns)++;
10     void open();
11   }
12   @interaction waits:openedConns > 0;
13   @functional{
14     ensures: post(opened[@]):= false
15     && post(openedConns)--;}
16   void close();
17 }
18 @interaction
19 waits:openedConns==numOfPorts;
```
The client includes an array of required ports `service` (lines 4–22) for making method calls to the connected server ports. The size of the `service` is specified as the component parameter `numOfPorts`. Each port of the `service` includes three methods that can be requested from the connected server ports: `open`, `close`, and `request`. Methods are augmented with `@interaction` and `@functional` contracts comprising a set of constraints to satisfy the ultimate goal: a client can make request for a service only after it opens the connection to all of its connected servers.

The methods of a required port firstly get their parameters promised via their functional constraint’s `promise` expression sequence (`FCPromises` in Figure 1). However, since none of the methods in the port `service[@]` has parameters, they do not have `FCPromises`. So, if the port interaction constraint guard (`ICWaits`) on the method `open` (lines 5–10 in Listing 1) is satisfied, i.e., the data `openedConns` is less than the component parameter `numOfPorts`, the request is made for the `open`. When the respective response is received, if the pre-condition of the functional constraint (`FCRequires`) is met, its post-assignment (`FCEnsures`) may then be performed. Since `FCRequires` is not specified (i.e., therefore, `true`) for the `open`, its `FCEnsures` increments the `openedConns`. Note that a while `FCPromises` assigns promised values to method parameters, the `FCEnsures` assigns new values to data variables. The other port method `close` (lines 12–16) is requested if the `openedConns` is greater than `false` (`ICWaits`). Upon receiving the response, `FCEnsures` decrements the `openedConns` data directly, without any pre-conditions (`FCRequires`). For the method `request` (lines 18–21), it is called if the `openedConns` data is equal to the `numOfPorts` parameter (`ICWaits`) indicating that all the port of the client opened their connections. Upon calling the method and receiving the response, `FCEnsures` updates the `data` directly (with no `FCRequires`) assigning it the received `result` from the connected server port.

2.1.2 Server Component

Listing 2 gives the server type specification from which server components (i.e., `Experiment_Control`) instantiated that provide services to the client components. The component state is specified with two data variables (lines 2-3): the `open` array variable holding for each port `true` if a method-call `open` is received (`false` otherwise) and the `data` holding the information maintained by the server.

```java
@functional
ensures: post(data) := result;
int request();
}
```

The server includes an array of provided ports `service` (lines 4-18) each of which is to receive method-calls from a required port of the client. Note that the server ports are connected with the client ports via the connectors which we will discuss shortly. The interaction (`ICWaits`) and functional constraints (`FCRequires` pre-condition and `FCEnsures` post-assignment) attached to the `service` port methods serve to meet the goal: requests for services can be received after the respective connection is open. The method `open` of the port `service[@]` (lines 5–8) is delayed by `ICWaits` until the data `opened[@]` is `false`. Upon receipt of the request, if the `FCRequires` is satisfied, `FCEnsures` post-assignment is performed. So, since `FCRequires` is not specified for the method `open` (i.e., therefore, `true`), `FCEnsures` assigns `true` to the data `opened[@]` directly. Then, the response is sent back with no result due to the method `open` holding void type. For the method `close` (lines 10–13), its requests are delayed until the `opened[@]` is `true`. When received, `FCEnsures` assigns `false` to the same data directly again, and, the response is sent. The calls for the method `request` (lines 15–17) are also delayed until the data `opened[@]` is `true`. Then, `FCEnsures` assign the value of `data` to `result` that is sent back to the client port. Note that provided ports process method opera-

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2. @ symbol used inside contracts represents the index of the executing port, where `0 ≤ @ < numOfPorts – 1`.

3. A component may be in one of the two states at a time: pre-state is when a method operation is ready to be started and post-state is when it is to be completed. So, the post-state values (`post(d)`) are updated via `FCEnsures` which may refer to their pre-states (`d`).
tions atomically; that is, upon receiving a request successfully, the response is to be sent back immediately.

### 2.1.3 MixedComponent Component

Listing 3 gives the mixedComp component type specification which represents those acting both as server and clients (i.e., **Doctrine_Authoring**, **Track_Server**, and **Geo_Server**) That is, they not only require services from outside, but also offer too.

The component state is represented via three data variables (lines 2–3): the `server_opened` array variable holds for each server port `true` if a method-call is received for `open`. The data `openedConns` holds the number method-calls made for `open` via the client ports. Finally, the `data` holds any information maintained by the component.

**Listing 3: Mixed-Component Type Specification**
```java
component mixedComp [int CSize, int SSize] {
    bool server_opened[SSize] = false;
    int openedConns = 0, data = 3;
    required port client[CSize][
        @interaction[waits: openedConns < CSize];
        @functional[ensures: post(openedConns)++;
            void open();
        ];
    ]
    @interaction[waits: openedConns > 0;]
    @functional[ensures: post(openedConns)-->;
        void close();
    ];
    @interaction[waits: openedConns==CSize;]
    @functional[ensures: post(data)==result;]
    int request();
}.
```

The mixedComp has an array of required ports `client` (lines 4–16) with the size equal to the component parameter `CSize`. Herein, the ports `client[@]` behave in the same way as those of the client component type aiming to meet the same goal.

There is also an array of provided ports `server` specified (lines 17–37) with the size equal to the `SSize` parameter. The ports `server[@]` comprises complex methods, i.e., upon successful receipt of the request, the response does not have to be sent immediately, as the component may need to require some services via its client ports, to calculate the response result. In complex method specifications, interaction and functional contracts are split into two atomic parts: the request part (`@req`) evaluated upon the receipt of the method request and the response (`@res`) part evaluated when the port is ready to send the method response. The methods of the server ports are attached with such contracts to meet the goal: the ports `server[@]` may not operate until all the client ports open their connections. To this end, the request `@req` for the method `open` (lines 18–23) delays the method request until the `openedConns` is equal to the `CSize` and the respective data `server_opened[@]` is `false`. Upon receiving the method request, the request `@req` assigns `true` to the `server_opened[@]` directly as the request `@req` is not specified (i.e., therefore, `true`). There is no constraints specified for the method response, indicating that it may be sent back randomly at any time after receiving the request.

The server port operates the method `close` (lines 25–30) in the opposite way of the `open`, receiving the request when the `server_opened[@]` is `true`; and the request `@req` of the `close` assigns `false` to the same data. For the method `request`, its request is accepted when the port’s already received a call for `open` (i.e., `server_opened[@]` is `true`) and all of the clients’ve called `open` (i.e., the `openedConns` being equal to `CSize` is `true`). Unlike the `open` and `close`, the method `request` (lines 32–36) is attached with a `@functional_res` that includes `@res` to assign the value of `data` to the result directly. Indeed, its return type is `int` requiring a result to be sent back in the response.

### 2.1.4 Client2Server Connector

The connectors of the **Client2Server** type essentially represent the complex interactions between client and server components.

The Client2Server is specified with two roles and one built-in connector. The role `client` is played by the participating client component, while the role `server` by the participating server component. Note also that the mixedComp components can play either of the roles in their interaction. Each role comprises `data-variables` representing their local state and a set of `port-variables` representing the ports of the components. The port-variables attach the port methods with
@interaction contracts that comprise interaction constraints further constraining the method behaviours. Unlike port interaction constraints, the port-variable interaction constraints may update the role state data too via their post-assignments and, thereby, comprising a pair of \texttt{RICWaits} and \texttt{RICEnsures} in Figure 1.

The role client (lines 3–18) imposes on the client an interaction protocol that the client may not request a service of the server before opening the connection of the respective server. To this end, the role client has a single data \texttt{opened}. Its port-variable \texttt{service} imposes interaction constraints on the methods. So the method \texttt{open} of the associated port may not be called until the \texttt{opened is false} (\texttt{RICWaits}). Upon the satisfaction of the interaction constraints, the respective post-assignment (\texttt{RICEnsures}) assigns \texttt{true} to the same data. This then allows the methods \texttt{request} and \texttt{close} to be called, whose role interaction guards delay them until the \texttt{opened is true}. Note also that the interaction constraint on the \texttt{close} has \texttt{RICEnsures} that assigns \texttt{false} to the \texttt{opened} allowing the method \texttt{open} to be called again.

The role server (lines 19–25) does not impose any interaction constraints on its port-variable methods allowing the associated component ports to receive method requests in any order.

There is a built-in connector specified (lines 26–27) which represents the communication link between the role port-variables. Therefore, the component port represented by the \texttt{service} port-variable of the server role may communicate with the component port represented by the \texttt{service} port-variable of the client role.

The matching between component ports and role port-variables are performed when the connector is instantiated in composite components and components are passed as parameters (see Section 2.1.5).

2.1.5 Aegis Configuration Component

Unlike the client and server component types, the \texttt{aegis\_configuration} is a composite type that consists of component and connector instances representing an architectural configuration for the Aegis.

The \texttt{aegis\_configuration} includes a component instance for each component depicted in the Figure 2. There is also a set of connector instances specified that represent the interactions between those component instances. Note that the connector instances receive as parameters the participating components and their ports (\texttt{component\{portList\}}). Indeed, this is how connector roles and their port-variables are associated with the components and their ports.

3 Formal Modelling/Representation

We implemented the semantics of XCD in SPIN’s formal ProMeLa language [Holzmann, 2004] which
enables formal reasoning about XCD specifications. We chose ProMeLa due to two main reasons. XCD semantics match those of ProMeLa well, facilitating the transformation from XCD constructs to ProMeLa. Finally, ProMeLa is supported by a powerful model checker whose implementation is open. The rest of this section summarises at an abstract level how components and connectors can be mapped to ProMeLa notation.

3.1 Transforming XCD to ProMeLa

3.1.1 Composite Component Transformation

A composite component \( C \) is mapped as shown in Listing 6. A request and response asynchronous channel arrays are produced from each sub-component provided port (lines 3–6). The arrays include a distinct channel for each required port connected to the provided port via built-in connectors. As shown in the port semantics, these channels are used by the provided ports and the connected required ports of other sub-components, to transfer request and response messages. Then, a process is declared for the composite component \( C \) (lines 7–10) that executes via ProMeLa’s \texttt{run} operator the processes corresponding to its sub-components and thereby enables their concurrent interaction.

Listing 6: Composite Component semantics in ProMeLa

```promla
forall subcomp \in C\texttt{.subcomponents}
forall pp \in subcomp.\texttt{ProvidedPorts}
chan requestChannel[pp.numOfConns]
forall pp \in subcomp.\texttt{ProvidedPorts}
chan responseChannel[pp.numOfConns]
proctype c.ID()
run subcomp.ID();
```

3.1.2 Primitive Component Transformation

A primitive component \( c \) is mapped as shown in Listing 7. The process declaration comprises a set of variable declarations corresponding to component data and the data of the role(s) the component assumes (lines 3–5). Each component data is mapped to two variables, one for storing the pre-state and the other for its post-state value. Besides data variables, a repetition construct (i.e., \texttt{do..od}) is included (lines 7–9) that repeatedly executes a set of guarded action sequences for the component port behaviours.

Listing 7: Primitive Component semantics in ProMeLa

```promla
proctype c.ID()
```

2 \texttt{forall} data \in C.\texttt{Data} \cup \bigcup_{\texttt{role}} \texttt{Role.}\texttt{Data} 
3 data.type \texttt{data.pre_state} = \texttt{data.initialValue}; 
4 data.type \texttt{data.post_state}; 
5 Start: 
6 do 
7 
8 
9 od 
10 

Listing 8 shows that each method of a required port is transformed into two guarded atomic actions. The request action (lines 3–8) is guarded by the \texttt{assign_params} method\(^2\) that selects method parameters via the functional constraint \texttt{FCPromises}. Then, if the chosen parameters satisfy the port interaction constraint \texttt{ICWaits} and the role interaction constraint \texttt{IRCEnsures} and the role interaction constraint \texttt{ICWaits} guards, the request message is written to the \texttt{requestChannel} (line 7), and, the port holds the lock. Otherwise, control moves back to the beginning of the component repetition construct executing the port behaviour (line 6 in Listing 7). The response action (lines 12–20) is guarded by the \texttt{responseChannel} which is satisfied if the channel includes a response message and the port holds the lock. Upon reading the response, if the functional constraint pre-condition is met (\texttt{FCRequires}), the \texttt{assign_data} method is used that updates component and role data via the constraint post-assignments (\texttt{FCEnsures} and \texttt{RICEnsures} respectively).

Listing 8: Required Port semantics in ProMeLa

```promla
forall rp \in C.\texttt{RequiredPorts}
forall m \in rp.\texttt{Methods}
::atomic
assign_params(\texttt{FCPromises}) \rightarrow
if
::\texttt{ICWaits} \land \forall_{\texttt{role}} \texttt{IRWaits} \rightarrow
requestChannel ! m, m.\texttt{parameters};
lock = true;
else \texttt{goto Start}
fi
}
```

Provided port methods are each mapped to a single atomic action as shown in Listing 9. The action is guarded by the \texttt{requestChannel} which is satisfied if there exist a request message that meets the port

\(^2\)The methods \texttt{assign_params} and \texttt{assign_data} represent an iterative execution of ProMeLa’s \texttt{select} statement to implement each assignment of the inputted sequence.
interaction constraint (ICWaits) and the role interaction constraint guards (aICWaits). Upon satisfaction of the guards, the component and role data are updated through the constraint post-assignments (lines 6–8), and, subsequently, the result is written to the responseChannel (line 9).

Listing 9: Provided Port semantics in ProMeLa

```
forall pp ∈ c.ProvidedPorts
forall m ∈ pp.Methods
::atomic|
  requestChannel ? m,m.parameters
  ICWaits ∧ ∃roleSet. RICWaits →
  assign_data[RICEnsures];
  forall role ∈ c.roleSet
  assign_data[RICEnsures];
  responseChannel ! m,m.result
```

Complex methods of provided ports are each mapped to two separate atomic actions as shown in Listing 10, one for receiving the request and another for sending the response. Just like the simple method, the top request action (lines 3–10) is guarded by the requestChannel which is satisfied if there exists a request that meets interaction constraint guards. Then, the component and role data are updated via the constraint post-assignments on the method request; and, the request flag is set to true. The bottom response action (lines 11–19) is guarded if the interaction guards on the method response part are met and the method request has been received. Then, again, the component and role data are updated via the post-assignments on the method response. Finally, the response is written to the responseChannel.

Listing 10: Provided Port semantics in ProMeLa– Complex Methods

```
forall pp ∈ c.ProvidedPorts
forall m ∈ pp.ComplexMethods
::atomic|
  requestChannel ? m,m.parameters
  ICWaits ∧ ∃roleSet. RICWaits ⇒
  assign_data[RICEnsures];
  forall role ∈ c.roleSet
  assign_data[RICEnsures];
  requested := true;
  responseChannel ! m,m.result
```

4 Automated Formal Verification

A tool is available [Ozkaya, 2013] to automatically translate XCD specifications into formal ProMeLa models. These produced models can be directly verified by the SPIN model checker. Having transformed the Aegis specification in Section 2.1 into a ProMeLa model via the tool, we were able to formally verify it via the model checker. Table 1 shows the verification results — no deadlock was identified.

Table 1: Verification results for Aegis

<table>
<thead>
<tr>
<th>State-vector (in Bytes)</th>
<th>States</th>
<th>Memory (in MB)</th>
<th>Time (in seconds)</th>
</tr>
</thead>
<tbody>
<tr>
<td>524</td>
<td>16734505</td>
<td>90863348</td>
<td>7024</td>
</tr>
</tbody>
</table>

Formal verification of software architectures is highly crucial for many reasons. Firstly, It aids in detecting design errors, e.g., incompatible component behaviours, causing deadlocks. If such issues were left to the implementation stage, the cost of correcting the errors would highly increase. Furthermore, different design choices can easily be explored that enables to determine the optimal one. Indeed, the current Aegis model in Section 2.1 includes components a single port that has all three methods (open, close, and request). However, this choice of design minimises the level of concurrency. In XCD, each port operates its method sequentially while the ports are operated concurrently by the components. So, designers may wish the components to operate port methods concurrently. In such a case, a distinct port is created per method. That is, client and server have three ports each including a unique method. When we analyse our modified model with this design choice, the state-vector size nearly doubles as shown in Table 2; indeed, fewer number of states could be stored in the same amount of memory. This is because each newly added provided port introduces extra communication channels which causes the state-vector size to grow. Thus, while maximised concurrency may be a desired choice for designers, it requires greater state space and memory for formal verification.

Table 2: Verification results – Maximised Concurrency

<table>
<thead>
<tr>
<th>State-vector (in Bytes)</th>
<th>States</th>
<th>Memory (in MB)</th>
<th>Time (in seconds)</th>
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</thead>
<tbody>
<tr>
<td>1024</td>
<td>8350876</td>
<td>47805585</td>
<td>7024</td>
</tr>
</tbody>
</table>

5Our verification is limited with 7024MB of memory; for a full verification, more memory seems to be required.
5 Evaluation – XCD vs Wright

As aforementioned, Aegis has also been specified and analysed with Wright [Allen, 1997]. We base our comparison with Wright’s Aegis specification on three key features that, we believe, affect designer’s choice in choosing an ADL to use.

Realisable connectors As mentioned in our ADL study [Ozkaya and Kloukinas, 2013a], Wright and those inspired from it include a glue in their connector structure which constrains the behaviour of the components globally. However, its global nature causes potentially unrealisable specifications for distributed systems, as explained in [Ozkaya and Kloukinas, 2013b]. Indeed, the Aegis connector in [Allen and Garlan, 1996] includes such a glue for coordinating the client and server component behaviours. Therefore, XCD connectors may only impose local constraints on the components via the roles; glues are not allowed. As shown in Section 2.1, the client2server connector has roles with local constraints only.

DbC-based behaviour specification To enable formal reasoning, Wright adopts an extended form of the CSP process algebra for behaviour specification. So Aegis is specified using CSP which is not found practical by practitioners [Malavolta et al., 2012]. In XCD, the behaviour of components and connectors are specified in an extended form of Design-by-Contract (DbC) approach which is more familiar to developers and easier to learn for them. For example, JML has been taught to undergraduate students for a number of years [Kiniry and Zimmerman, 2008].

SPIN’s Promela as the formal basis The semantics of XCD are defined using ProMeLa which allows the use of a free and open tool for analysing architectures.

6 Conclusion

XCD is a new ADL that extends Design-by-Contract approach and enables contractual architecture specification. While the functional and (minimal) interaction behaviours of components are specified via functional and interaction contracts respectively, the interaction protocols of connectors are via interaction contracts. Connectors in XCD are decentralised and do not impose global constraints on the components. In this way, the common problem of connector-supporting ADLs – potentially unrealisable software architectures – is avoided. XCD comes with a tool that translates architectures into ProMeLa models, which can be analysed by the SPIN model checker. As a further work, we are considering to improve our tool-set so that visual architecture specification can be possible. Designers might feel more comfortable if they could specify the structure of their components and connectors diagrammatically and attach contracts to them via a graphical user interface.

REFERENCES


