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1 **A numerical study of the unsteady flow phenomena in human swimming**

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6 wake vortices

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13

14 **Abstract**

15 Vortex dynamics around the body of a female swimmer was investigated for
16 several successive underwater Dolphin kicks. This is a typical motion sequence
17 of swimmers after they have pushed off the wall. The method of Computational
18 Fluid Dynamics (CFD) was used in combination with a digital reproduction of
19 the kinematics of the body surface to investigate the unsteady flow phenomena
20 involved therein. The results showed the formation of larger vortices near the
21 swimmer's body, part of it being used to enhance thrust generation at the legs
22 (vortex re-capturing). At each downstroke, a vortex ring was shed into the wake
23 forming a street of vortices behind the swimmer. Further downstream these rings
24 re-combined into streamwise oriented parallel vortex tubes. Within the motion
25 cycle a distinct variation of drag and thrust force were observed as a
26 characteristic footprint of the kinematics. Mean drag force over the complete
27 cycle was about twelve times higher during dolphin kick when compared to
28 passive, gliding swimming. Maximum mean thrust was reached in 3 motion
29 cycles after the swimmer has pushed off the wall and remained constant from
30 thereon.

31 [199 words]

32

33 **Introduction**

34 A more in-depth understanding of the unsteady flow phenomena and vortex dynamics in
35 human undulatory underwater swimming can bring about innovations in both **sports** and
36 engineering. A prominent example in swimming is the so-called dolphin kick, an undulatory
37 movement similar to that of a fish, typically used after a swimmer's start or turn. Therein, a
38 wave-like body motion is generated, running from the fingertips to the toes. While much
39 research has been carried out for vortex dynamics and interaction in wave-like undulatory fish
40 locomotion (e.g. **Hanke, Brücker, & Bleckmann, 2000; Przybilla, Kunze, Rudert, Bleckmann,**
41 **& Brücker, 2010**), only little is known about its importance to undulatory human swimming.
42 Earlier studies mainly focussed on kinematics and overall performance. As a result, adopting
43 a more lateral body position during the dolphin kick led to an increase in stroke amplitude.
44 This caused higher amount of ankle plantar flexion and higher thrust (Alves, Lopes, Veloso,
45 and Martins-Silva (2006). Futhermore, humans performed higher kick frequencies than the
46 cetaceans, resulting in higher Strouhal numbers (von Loebbecks, Mittal, Fish and Mark,
47 2009a), which indicated that human's Dolphin kick in general is less efficient than undulatory
48 fish locomotion. This is because drag forces are seemingly excessively **increased for the**
49 **active propulsive motion (active drag)**. Unfortunately there is no satisfactory experimental
50 method for a direct measure of the active drag **without altering the swimmer's natural gait**.
51 Only for front crawl swimming **did** the MAD-system (**Measure Active Drag; Hollander et al.,**
52 **1986; Toussaint, 2000**) judge **the** forces. However this method cannot be used for the dolphin
53 kick.

54 Alternatively, computational fluid dynamics (CFD) offers the potential to calculate the
55 swimmer's forces out of the simulated time-dependent 3D flow field around a swimmer. Such
56 numerical flow simulations were carried out to quantify the forces on an isolated forearm in
57 steady underwater motion (Bixler and Riewald 2002). **The** flow behind a rigid swimmer
58 model in gliding phase was investigated by **Bixler, Pease, and Fairhurst (2007)**. They

59 compared their numerical results with experimental data of a towed body to quantify the
60 accuracy of their simulated drag forces. Individual states within the dolphin kick cycle were
61 studied by Lyttle and Keys (2006) in a quasi-steady simulation. They argued that propulsion
62 is mainly generated by the forces acting on the legs. Later, these results were confirmed by
63 simulations for the undulatory dolphin kick cycle (von Loebbecke, Mittal, Fish, and Mark
64 (2009b). Recently, Cohen, Cleary, and Mason (2012) extended the numerical work on the
65 Dolphin kick using the simulation method called ‘smoothed particle hydrodynamics’. They
66 claimed the strong need for parametric studies of different kick frequencies and the influence
67 of hand oscillations on drag forces.

68 The purpose of this study was the detailed understanding of vortex dynamics and the
69 generated time-traces of forces acting on the swimmer during successive Dolphin kicks after
70 push-off from the wall. The major interest was the formation and interaction of vortices near
71 the swimmer’s surface and in the swimmer’s wake. Therefore, a multiple hinged 5-ankle
72 model was generated, where each segment represents the segmented shape of a digitized
73 swimmer body (hand, arm, body, upper legs, lower legs and feet). The segments of the model
74 were moved in a prescribed motion function to replicate the motion cycle of a Dolphin kick.
75 Numerical simulations of the three-dimensional unsteady flow were carried for the phase of 6
76 successive Dolphin kick cycles. As a reference, the motion and body shape were taken from
77 the same swimmer as used in the study by Hochstein and Blickhan (2011). Therefore, it
78 allowed us to validate our numerical results with their flow visualization studies. Special
79 focus was laid on possible relevance of any energy-saving mechanisms which might help to
80 increase efficiency of propulsion such as vortex preformation or vortex re-capturing within
81 the different motion cycles. The flow phenomena were correlated with the time-traces of the
82 propulsion, as well as drag and lift forces in comparison to a passive gliding motion cycle.

83

84 **Methods**

85 A female swimmer (personal best 200m butterfly: 2:12.9) **was** the template to generate
86 the digital swimmer model with a realistic shape. Therefore, her body **was** scanned with a 3D
87 laser body scanner (VITUS Smart XXL 3D, Human Solutions GmbH, Kaiserslautern,
88 Germany) and the surface contour data **were** saved into a digital file format. The surface of
89 the digital body is then subdivided into separate segments, which belong to the regions:
90 hands, arms, head, body, legs and feet. Those segments are connected at the corresponding
91 ankles. The undulatory motion function of the swimmer for successive Dolphin kicks after
92 push-off has been recorded in a test pool earlier (published in Hochstein & Blickhan, 2011).
93 If no relative angular motion of the segments is applied and the body is in stretched state, this
94 surface then represents that of the swimmer in gliding phase. This is named in the following
95 the static swimmer model. Based on this model, the dynamic model was generated by
96 transferring the recorded undulatory motion function of the swimmer to the individual
97 segments of the digital swimmer model. After discretising the ambient fluid domain, the
98 governing equations of fluid dynamics **were solved** in OpenFOAM, and the main forces
99 influencing the swimmer segments **were determined**. The coordinate system was chosen in a
100 co-moving reference frame such that the axial position of the swimmer remained constant in
101 the flow domain.

102

103 The following table provides the necessary data of the swimmer and the parameter of the
104 motion cycle from which we estimated the characteristic flow parameters in form of the
105 Reynolds-number and the Strouhal-number.

106

107 (1)

108

109
$$St = f \cdot a / |v| \quad (2)$$

110

111 The former represents the ratio of characteristic inertia forces to viscous forces in the
112 flow and the latter the ratio of time-scales between the undulatory motion and the time a fluid
113 particles needs to travel the distance equal to the body length of the swimmer. These flow
114 parameters are useful for comparison of the forces with other body shapes and swimming
115 (towing) speeds. The highest deflection **was** located at the feet with an amplitude of $a = 0.53$
116 m at a steady frequency of $f = 2.20$ Hz. This **resulted** in $St \approx 1$ at a velocity of $|\mathbf{v}| = 1.18$ m/s
117 corresponding to the swimmer's speed as recorded in the test pool. This is well in the range
118 documented for human undulatory swimming at $0.8 \leq St \leq 1$ depending on the style of
119 swimming and the athlete's physical attributes (e.g. Hochstein & Blickhan, 2011).

120

121

122 Table 1:

123 *Static swimmer model*

124 To remove holes and sharp corners as a consequence of inaccuracies of the scanning
125 process, original surface contour data of the swimmer needed to be processed in a first step
126 with a triangulation and smoothing procedure. Therein, the number of triangles representing
127 the surface was reduced from 200,000 down to 12,000. This allowed a more convenient data
128 transfer and time-efficient grid generation of the fluid domain for dynamic mesh conditions.
129 Non-essential details such as swimsuit and face composition were smoothed during this
130 process, too. The details of the mathematics of the smoothing procedure are given in
131 Appendix A.

132

133 INSERT Figure 1 HERE!

134

135 As a result of this procedure, a smooth surface geometry of the female swimmer was
136 reproduced as a digital surface. This surface represents the geometry of the swimmer in
137 stretched form during gliding motion. It is used for reference and as a start for the
138 segmentation process. In a second step, the main pivots (shoulder, hip, knee, and ankle) were
139 assigned to the swimmer model. All pivots were used also as reference points for
140 implementation of the swimmer kinematics (Pacholak, Rudert & Brücker, 2011b). Finally,
141 the data points along the surface of the swimmer next to the pivots were assigned to
142 individual segments of the body (arms, body, upper and lower legs, feet).

143

144 *Kinematic swimmer model*

145 All parameters of the swimmer's kinematics during the dolphin kick cycle were
146 previously captured by video analysis in a test pool (Hochstein & Blickhan, 2011). Each
147 pivot of the female swimmer was marked with a self-luminous marker (Fig. 1a) and tracked
148 with a video camera (Basler A602fc, Basler AG, Ahrensburg, Germany) at 30 Hz. The marker
149 positions over time were curve-fitted with MATLAB 2010b (The MathWorks, Natick, MA,
150 USA) and transferred into kinematic functions for each joint. Undulatory motion was then
151 considered as a segmented coupled motion where each segment behaves like a rigid body
152 whose length remains constant and whose time-trace of the angle is known. The origin of the
153 coordinate system in the co-moving reference frame was chosen as the average vertical
154 position of the shoulder pivot. The axial position of the shoulder pivot (y-coordinate)
155 remained therefore fixed at the origin, while the vertical position (z-coordinate) was
156 oscillating with the amplitude A_z around the zero-position z_0 in a harmonic manner as follows:

$$157 \quad z = z_0 + A_z \cdot \sin(\omega t + \varphi_z) \quad (4)$$

158 Then, the positions of the other pivots P_t could be calculated iteratively from one joint to the
 159 next joint, starting with the pivot point nearest to the shoulder (hip) and terminating with the
 160 ankle. The relative angles θ_i and absolute pivot angles α_i around the x-axis were interrelated
 161 as follows:

$$162 \quad \theta_i = \theta_{0i} + A_i \cdot \sin(\omega t + \alpha_i), \quad \alpha_i = \sum_{k=2}^i \theta_k \quad (5)$$

163 All absolute angles and the lengths of the segments were known from previous video
 164 measurements. The new pivot positions P_t of pivot points P_0 (Fig. 2) were then determined
 165 with the rotation matrix R_x (around the x-axis) which contained the relative pivot angles θ
 166 from Equation (5).

$$167 \quad P_t = R_x P_0. \quad (6)$$

168

169 INSERT Figure 2 HERE !

170

171 Actually, this rigid transformation rule holds only for the pivot positions and surface patches
 172 in the middle of the rigid segments. However, at larger angles θ the surface points near to the
 173 joints start to overlap leading to an unnatural deformation of the surface. This problem could
 174 be overcome by adding an additional translational and rotational shift of the patches relative
 175 to each other plus a smoothing kernel which scales with the distance r_{Patch} of the patch to the
 176 pivot. The surface coordinates were then determined as follows:

$$177 \quad p_t = R_x (p_0 - P_0) + P_t + r_{\text{smooth}} + r_{\text{patch}}. \quad (7)$$

178 As a consequence, surface points near to joints were shifted to a larger amount relative to
 179 their neighbours than points close to the middle of the rigid segments. This finally led to a

180 realistic skin-like surface motion in good qualitative agreement with the video recordings of
181 the swimmer.

182 . (8)

183 *Computational fluid dynamics*

184 As fluid, water was assumed herein to have constant density ρ and constant viscosity
185 η . The governing equations of mass (9) and momentum conservation (10) for an unsteady
186 three-dimensional flow (named in fluid mechanics the Navier-Stokes equations) then read
187 (see e.g., [Schade & Kunz, 1989](#)):

$$188 \quad \nabla \cdot \mathbf{v} = \mathbf{0} \quad (9)$$

$$189 \quad \frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} = -\frac{1}{\rho} \nabla p + \frac{\eta}{\rho} \Delta \mathbf{v} \quad (10)$$

190 with pressure p and fluid velocity \mathbf{v} . The open source CFD program OpenFOAM was **used to**
191 **solve the equations using** the finite volume method with 2nd order discretization in space and
192 implicit discretisation in time (see e.g. Ferziger & Peric 2002; Versteeg & Malalasekera
193 2007). The solver is able to treat deforming mesh geometries in 2D and 3D and thus is well
194 suited for the simulations of the unsteady flow around the swimmer model. No turbulence
195 model was applied.

196

197 INSERT Figure 3 HERE !

198

199 The basis for highly resolved results **was** a combination of an outer block mesh consisting of
200 hexahedral cells with a finer central structure which was adapted to the shape of the swimmer
201 model with the OpenFOAM tool SnappyHexMesh. Cells inside the swimmer model **were**
202 deleted and cells containing a part of the surface structure **were sub-divided into smaller units**

203 until a specified cell size or cell number **was** reached. Additionally, surface layers **were** added
204 along the contour of the swimmer model to get better wall resolution (Fig. 3). The final mesh
205 size **was** $2.5 \times 7 \times 2.5 \text{ m}^3$ (x-y-z) **containing** about one million cells.

206

207 INSERT Figure 4 HERE !

208

209 Figure 4 shows the boundary conditions of the system of Equations (9) and (10). The motion
210 functions that deform the computational mesh in a prescribed way over time **were**
211 implemented as moving mesh boundary conditions. Note that the origin of the swimmer's
212 coordinate system remains at the same position within the grid as if the swimmer is observed
213 in a co-moving reference frame. Therefore, flow is imposed at the inflow boundary conditions
214 with a prescribed constant velocity that is equal to the mean swimming velocity of the
215 swimmer. This situation resembles a swimmer in a counter-flow pool who is forced to keep
216 his streamwise position constant over time. Each supporting geometry and surface motion
217 **was** created by applying the boundary condition on the static swimmer model. A phase of
218 steady gliding was chosen as initial conditions for the equation system. It simulated the
219 swimmer's push-off from the wall after a short period of gliding to reach the terminal swim
220 speed of $|\mathbf{v}| = 1.18 \text{ m/s}$ where the cycles of Dolphin kick start. One single kick cycle of period
221 **T consisted** of more than **4,550 time** steps in the simulation. After every tenth time step the
222 old mesh was updated with a new deformed one and the data of \mathbf{v} and p **were** interpolated
223 onto the new one using bilinear interpolation. The Courant number Co defined as

224

$$Co = |\mathbf{v}| dt/dx . \quad (11)$$

225 with **the** minimal cell size dx and time step dt was always in the range $Co < 0.5$ throughout
226 the simulations.

227

228 **Force calculation**

229 The total force acting on the body of the swimmer is in general the sum of a steady
 230 component F_{Static} which does not change with time and a time-varying component F_{Motion}
 231 related to the swimmer's motion cycle:

$$232 \quad \mathbf{F}_{\text{Result}} = \mathbf{F}_{\text{Static}} + \mathbf{F}_{\text{Motion}} \quad (12)$$

233 The static force **consisted** of the gravitation component $F_G = mg$ and the opposing
 234 Archimedes force $F_A = -V\rho_w g$, where g **was** gravitation, m and V **were** the mass and
 235 volume of the swimmer, respectively, and ρ_w **was** the density of water. Those are not further
 236 considered herein and were left out in the following discussion. In addition, any lateral force
 237 $F_x = F_{\text{Drift}}$ representing a side-drift of the swimmer **was** neglected, too. Finally, the dynamic
 238 force F_{Motion} **varied** during a kick cycle and **was** determined by integration of the pressure p
 239 over **the** swimmer's total surface area A_S :

$$240 \quad \mathbf{F}_{\text{Motion}} = \int_{A_S} p \mathbf{n} dA_S \quad (15)$$

241 Note that the contribution of the viscous wall friction **was herein** neglected, since the wake
 242 effect and the unsteady propulsion **dominated** the generated forces. The vertical component of
 243 F_{Motion} represented the lift force $F_z = F_{\text{Lift}}$ which is the hydrodynamic counterpart to
 244 aerodynamic lift generated with an airfoil. In swimming direction (y-direction), the resulting
 245 force $F_y = F_{\text{Propulsion}} + F_{\text{Drag}}$ was the sum of thrust and drag. The former was calculated via the
 246 axial momentum added into the wake by the balance across two planes A_p (Fig. 4) normal to
 247 the swimming direction \mathbf{v} (**Schade & Kunz, 1989**):

$$248 \quad \mathbf{F}_{\text{Propulsion}} \cong \int_{A_1}^{A_2} \rho_w \mathbf{v} (\mathbf{v} \cdot \mathbf{n}) dA_p + \int_{A_1}^{A_2} p \mathbf{n} dA_p \quad (16)$$

249 The first plane was 1.1 m in front of the swimmer and the second plane cut the swimming
250 domain 0.1 m behind the swimmer. A non-dimensional representation of the drag and lift
251 forces was given in form of coefficients which relate the forces to the dynamic pressure of the
252 flow multiplied with the projection area of the swimmer in swimming direction.

253

254 *Visualisation of flow structures*

255 Illustrations of the numerical results were given in form of a series of vector field plots
256 or isosurfaces representing the shape of vortical structures. Reconstruction of vortical
257 structures out of the flow field is often based on post-processing to obtain either the so called
258 Q-value or the λ_2 value (Hussein XXX). Herein, the Q-value was used for visualization of
259 the flow structures. However, an isosurface with a single Q-value was not suitable to highlight
260 both the vortex structures near the swimmer's body as well as the structures in the near- and
261 far wake. The body vortices would be overshadowed if the isosurface was chosen with a low
262 Q-value while the wake vortices would disappear if the Q-value was high. This problem was
263 overcome by normalizing the Q-values by the magnitude of slip-velocity of the vortex relative
264 to the swimming speed $|\mathbf{v} - \mathbf{v}_\infty|$:

$$265 \quad Q_{\text{mod}} = \frac{Q}{|\mathbf{v} - \mathbf{v}_\infty|}, \quad (18)$$

266 where \mathbf{v} was the local velocity and \mathbf{v}_∞ was the swimmer's mean velocity. In the wake, the
267 vortices decayed slowly but slip velocity decayed, too. Thus, the quotient remained nearly
268 constant. In comparison, near the body the vortices were strong but slip velocity was high,
269 too, therefore the quotient did not change. Hence, isosurfaces of constant normalized Q-value
270 gave good impression of the shape of the vortex structures and their interaction in the wake.

271 Additional colouring of the surfaces with the Q-value in logarithmic scaling resulted in
272 suitable information about vortex strength (Fig. 6b).

273

274 INSERT Figure 6 HERE !

275 The results of the numerical simulations were qualitatively compared with results from
276 previous 2D flow-field measurements around the same swimmer in our group (Hochstein,
277 Pacholak, Brücker and Blickhan 2012). Main focus was laid on comparison of location and
278 evolution of characteristic flow structures in the saggital plane of the swimmer.

279

280 **Results**

281 *Forces on the swimmer*

282 The amount of (passive) drag for gliding is $F_D = 15.9$ N at a velocity of $|\mathbf{v}| = 1.18$ m/s
283 (Table I). For an active dolphin kick the (active) drag is 200.8 N for period 2 and 216.8 N for
284 period 6. As a result, the drag force of a moving swimmer is about 12 times higher than for
285 the swimmer in gliding phase. In addition, the results indicate a slight increase in propulsion
286 of 8% during the four periods which means that maximum performance is only reached after
287 a fey kick cycles. Average lift force and average net thrust/drag remain close to zero. Fig. 12
288 shows the time-varying traces of the propulsion and drag forces.

289

290

291 INSERT Table I HERE !

292 *Vortex structures*

293 Various flow structures were identified during the successive dolphin-kick cycles as
294 illustrated by the isosurfaces in Figs. 7 and 8 which show a comparison of the results for kick

295 cycle #2 and kick cycle #6. At cycle #2 there exist vortex structures (Fig. 7a) in the
296 swimmer's wake which are the remainder of the transition from the gliding phase (after push
297 off from the wall) to the first kick cycle. These vortices resemble horse-shoe type vortices. In
298 addition, one can recognize two ring-type vortices which were generated in the first cycle by
299 the upstroke (upper ring) and downstroke (lower ring) of the feet (Fig. 7a). Thus each stroke
300 generates a separate ring-type vortex which is shed into the wake of the swimmer.

301

302 INSERT Figure 7 HERE !

303

304 The dynamics of the vortex formation and shedding process is shown in Fig 8 and fig 9 by
305 means of a series of flow states in the kick cycle. Vortex structures and their three-
306 dimensional shape are indicated by isosurfaces of Q_{mod} (Fig. 8). Additional information is
307 given by a cut through the isosurfaces in the sagittal plane of the swimmer shown in Fig. 9
308 which illustrates the vortex positions along the body more in detail. First we focus on the
309 vortices generated along the main body. Close to the shoulder, a larger vortex structures is
310 seen dorsally (A). When the shoulder blades move up while the arms push down (B), this
311 vortex structure is transported along the upper body surface to the hip (C). **Due to the flexion**
312 **of the knee joint another vortex structure is generated dorsal of the knee (B).** While the hip
313 moves up (C-D), both vortex structure merge together and extended into a horse-shoe vortex,
314 gaining strength through the lowering of the legs (D). On the ventral side, a larger vortex
315 structure is formed near the shoulder and breast at state B,C. It moves further downstream
316 (D), grows in size and strength and finally forms a horse-shoe type vortex below the legs (A).
317 Both body vortices the dorsal and the ventral one interact with the pedal region in such a way,
318 that they induce a strong fluid motion relative to the feeds at the state where the down- and
319 upstroke start. At the end of upstroke and start of the downstroke in C, the shear layer is
320 being shed into the wake and rolls up in form of a vortex ring (start vortex) that is left behind

321 the feed on the upper side. On the other hand, at the end of downstroke and start of upstroke
322 in A, another vortex ring is shed on the lower side. Due to the induced relative motion by the
323 presence of the body vortices near the feed the relative velocity between body and fluid is
324 increased at the up- and downstroke. This generates higher thrust since the generated
325 momentum depends only on the relative velocity.

326

327

328 INSERT Figure 8 HERE !

329 INSERT Figure 9 HERE !

330

331 In the wake of the swimmer, vortex interaction leads to re-configuration of the flow structure
332 in form of longitudinal vortex tubes (Fig. 7b). This process is illustrated in more detail in Fig.
333 10. The successively shed upstroke vortex rings split and form two upper longitudinal vortex
334 tubes (Fig. 10) while successively shed downstroke vortex rings form two lower longitudinal
335 vortex tubes. Split-up and merging happens at the region, where the counter-rotating parts of
336 the two successive vortex rings are next to each other. As a consequence, the vorticity cancels
337 out to zero, the vortex lines break-up and recombine into column-like vortex tubes (Fig. 10
338 B2-B3).

339

340

341 INSERT Figure 10 HERE !

342

343 *Comparison to experiments*

344 The comparison of the numerical results (Figs. 8, 9 and 10) with the experimental
345 observations (Fig. 11) for similar time steps shows similar dynamics of vortex generation and

346 vortex transport. The capital letters represent the movement time steps assigned in Figure 5.
347 Due to the flexion of the knee joint a vortex is generated dorsal of the knee (compare Fig. 11B
348 and Fig. 8B). After the downstroke (Figs. 9D and 9A vs. Figs. 11A and 11A') both results
349 show the generation and the pedal transport of a vortex dorsal of the knee (Fig. 9B vs. Figs.
350 11B and 11D). These vortex structures are comparable in size and location.

351

352 INSERT Figure 11 HERE !

353

354 **Discussion and implications**

355 High level swimmers usually perform about 5–8 periods after the start and turn. In our
356 simulation the start is a simple push-off that might be a simplification of the actual
357 competitive swimming start or turn. Thus the following motion cycles have to be examined
358 separately to investigate the **progress** of unsteady structures and their constructive or
359 destructive influence on the flow.

360 *Forces on the swimmer*

361

362 If the fluid velocity and swimmer's silhouette match the used motion function, all
363 components of the resultant force acting on the swimmer must be balanced. In this study the
364 resultant force in the swim direction (net thrust/drag; F_y) and perpendicular to the swim
365 direction (lift; F_z) differ from zero (Table I). There is about a 3% difference between the drag
366 and propulsion, which depends on the varying reference amount caused by the time shift and
367 calculation impreciseness. Both the average lift force and the average net thrust/drag are
368 (slightly) different from zero, indicating that the motion cycle of the swimmer is not exactly
369 balanced. Reasons for this difference could be the inaccuracy of the motion function used

370 (sinusoidal fit of swimmer's tracked motion). Furthermore, during undulatory motion there
371 are intra-cyclic variations in the swimming speed. In contrast, this study used a constant flow
372 velocity of $v \approx 1.18$ m/s (average swimming speed). Additionally, the shape of the body
373 (mainly the legs) varies during the kick cycle (more open or more closed). The frontal area
374 during the kick cycle (Fig. 12a) is similar to that of the underwater undulatory backstroke
375 motion of Cohen et al. (2012).

376 The drag and lift forces over a complete period have a similar progression for period 2
377 and period 6 (Fig. 12b). In detail the dolphin kick in period 6 generates more propulsion in the
378 first part of the motion cycle ($0 \leq t \leq 0.4T$), between $0.4T \leq t \leq 0.8T$ the propulsion of period
379 2 becomes more effective but decreases after this short phase below the level of period 6. The
380 mean drag and mean propulsion of period 6 is about 8% higher than in period 2 (Table I).
381 This means that vortex re-capturing is only achieved after several kick cycles. As a
382 consequence, fine-tuning of push-off velocity, body kinematics and vortex preformation is
383 necessary to obtain a constructive body-vortex interaction. In contrast a mismatch between
384 the swimmer's motion and swimming speed may lead to destructive interferences.

385

386 INSERT Figure 12 HERE !

387

388 *Vortex structures*

389 Our investigations discussed herein differ from former studies that we have run the
390 simulations for 6 successive kick cycles with an additional initial gliding phase. Other studies
391 were focussing only on a single cycle without any starting phase (Loebbecke et al. 2009b,
392 Cohen et al. 2012). Therefore, we are able to investigate the transient from the gliding to the
393 kicking phase and possible cycle-to-cycle variations. As the results have shown there is an
394 increase of 8% of propulsion when comparing cycle #2 and cycle #6. The reason therefore is

395 assumed to be related to the mechanism of vortex re-capturing as discussed in the following.
396 The basic idea of vortex re-capturing in locomotion is to use the kinetic energy of **vortices**
397 **generated** near the body to enhance propulsion (Hochstein & Blickhan, 2011). As documented
398 herein, vortex re-capturing occurs at the swimming cycle when the body vortex is transported
399 caudally along the body's surface (circle in Fig. 9) to a position where the legs in the next
400 kick within the cycle would cross the vortex (B). Due to the presence of this body vortex in
401 the region near the feet, there is an induced fluid motion which – in case of constructive
402 interaction - is counter to the stroke motion of the feet. In consequence, there is a higher
403 relative velocity between feet and fluid during the stroke. This causes an increase of
404 momentum added to the fluid.

405 It is important to note that the formation of the body vortices needs a certain number
406 of cycles to be established in full strength. As shown in Fig. xxx. after push-off from the
407 wall, flow at the cycle #2 is still influenced largely by the presence of vortices generated in
408 the starting phase and the body vortices are not yet fully developed. Thus there is only limited
409 use of any vortex-recapturing in the initial phase. In contrast, the illustrated vortex dynamics
410 in the later cycles clearly revealed the presence of vortex re-capturing. We therefore conclude
411 that the increase of propulsion about 8% from cycle #2 to cycle #6 is due to vortex re-
412 capturing in the later kick cycles.

413

414

415 *Comparison to experiments*

416 we validate the results of the numerical simulation of the 3D flow field with the
417 experimental results of the same swimmer with the same motion (Hochstein & Blickhan,
418 2011; Hochstein et al., 2012). In fact this comparison is limited by the constraint that the
419 experimental method of Particle Image Velocimetry only provides a 2D flow field in a single

420 plane, the sagittal midplane. The good qualitative agreement between the results from
421 experiment and numerical simulations in the sagittal plane supports the herein deduced
422 discussions about the three-dimensional nature of the vortex structure and the resulting forces.

423 **Conclusion**

424 This paper shows a complete approach from the scanning of a real swimmer with a
425 body scanner and reconstructing the surface data in order to implement the motion functions
426 and conduct open source CFD simulation with the dynamic model. This offers great
427 advantages when using steady moving models with implemented motion functions instead of
428 fitting a model position to some given image frames. Parametric studies are easier to
429 implement through varying the parameters, such as joint angle, phase or frequency. This
430 methodology allows greater influence on running calculations and the implementation of new
431 **numeric solvers**. The discovery of vortex merging in the swimmer's wake visualised by a
432 modified Q -criterion and the reusing (re-capturing) of vortices shows the **great** potential for
433 further studies on this topic, which will lead to a better understanding of the entire process of
434 human undulatory swimming. The study shows that the propulsion through underwater
435 undulatory swimming increases with a longer diving phase. Another important aspect is the
436 calculation of **the** active and passive drag. It is well known that the main propulsion is realised
437 by the legs and feet, but the questions of what percentage of overall forces are generated by
438 undulatory body motion and what detailed effect results from active drag have still not been
439 **determined**.

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534 represent the points in time defined in Figure 5.

535 Fig. 11: Validation of the numerical results with the experimental measured 2D flow field
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538 same swimmer and same motion observed in the present study. Each image is a
539 combination (divided by the black vertical line) of the same phase during the motion
540 cycle. The right part of the inset is always two motion periods before the left part. The
541 large blue (clockwise) and red circles (anticlockwise) indicate vortex structures, and
542 the black 3D structures stand for halved 3D vortex rings.

543 Fig. 12: Time dependency during a dolphin kick cycle of (a) the frontal area including
544 characteristic time steps (dotted lines and its corresponding front view), and (b) the

545 propulsion and drag force of period 2 and period 6 over a complete motion cycle
546 showing a vertical flip with a shift of $0.19T$ in time.

547

548 Appendix A

549

550 The smoothing procedure is described in detail in the following: first, to modify the
551 surface resolution the scanned data were embedded into a minimum cube. For the three
552 spatial directions this cube was divided recursively by two into eight sub-cubes. The more
553 often this division was performed, the higher the final resolution of the model. It was not
554 possible to gain a higher model resolution than the scan data without destroying surface
555 closure (holes, bricks). Depending on the geometry of the scanned object, several created sub-
556 cubes contained data points p_i . These data p_i were reduced into one balance point c_j for each
557 cube:

558
$$c_j = \frac{1}{n} \sum_{i=1}^n p_i \quad (1)$$

559 With Equation (1) it was possible to reduce the surface data to 8^b points, where b was
560 the number of recursions used for cube division. This amount was just an upper boundary
561 because not all cubes contained data.

562 The cube with balance point c_j obtaining the most neighbours of neighbours

563
$$N_j^2 = \left(\bigcup_{k \in N_j^1} N_k^1 \right) / \{c_j\} \quad (2)$$

564 was the starting point for the initial triangulation. Equation (2) determined a set of cubes, that
565 were neighbours of at least one neighbour of c_j but without c_j itself. Two cubes were

566 neighbours (N_j^1) if they had one side in common. There were at most six neighbours in 3D
 567 space. The amount N_j^2 contained all neighbours of the neighbours of cube c_j and had 18
 568 items or less. The balance point of c_j and its N_j^2 were projected onto their regression plane
 569 and triangulated with the planar Delaunay triangulation algorithm (Pacholak, Rudert, &
 570 Brücker, 2011a). This method created regular triangles with interior angles close to 60° . After
 571 re-projecting the planar triangulation onto the original c_j and its N_j^2 , the main triangulation
 572 started to add the remaining neighbouring balance points, step by step, to the existing
 573 triangulated surface.

$$574 \quad c_l \subseteq N_j^2 \cap N_i^2 \quad (3)$$

575 The points c_l had to fulfil the following conditions: (i) c_l was part of the outer border e_{ij} of
 576 the triangulation or was not already used, (ii) c_l was in the direction t of triangulation, (iii)
 577 the angle between the normals of the existing triangle and the triangle created was below
 578 105° , (iv) the distance between c_l and the outer border e_{ij} was below $\sqrt{2q}$, where q was the
 579 length of a sub-cube, and (v) there was no faces crossing between the triangle created and
 580 already-existing triangles.

581 If more than one point fulfilled these conditions, the most regular triangle was created
 582 (the regularity condition meant that all interior angles are close to 60°). The edges of the
 583 created triangle were added to the outer border e_{ij} of the triangulation or deleted if they were
 584 already part of it. The procedure for adding new neighbouring points started again and lasted
 585 as long as any outer edges remained.

586 :