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LQR distributed cooperative control of a formation of low-speed experimental UAVs

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Abstract—The paper presents a cooperative scheme for controlling arbitrary formations of low speed experimental UAVs based on a distributed LQR design methodology. Each UAV acts as an independent agent in the formation and its dynamics are described by a 6-DOF (degrees of freedom) nonlinear model. This is linearized for control design purposes around an operating point corresponding to straight flight conditions and simulated only for longitudinal motion. It is shown that the proposed controller stabilizes the overall formation and can control effectively the nonlinear multi-agent system. Also, it is shown via numerous simulations that the system provides reference tracking and that is robust to environmental disturbances such as nonuniform wind gusts acting on a formation of four UAVs and to the loss of communication between two neighbouring UAVs.

I. INTRODUCTION

Cooperative control of multi-vehicle systems has been an active research area in recent years due to its broad spectrum of applications, such as surveillance and area exploration [1], load transportation [2], intelligent highways [3], formation control [4]-[6], etc. These systems are formed from a large number of dynamical subsystems, such as unmanned aerial vehicles (UAVs), unmanned ground vehicles (UGVs), unmanned underwater vehicles (UUVs), satellites or mobile robots.

UAVs are autonomous flying vehicles equipped with sensing devices that have many potential military and civil applications, but are also of great scientific significance in academic research. The problem of coordinating a predefined multi-vehicle formation while moving in space, known as formation control, is a topic of considerable interest to the control community. This is mainly due to its advantages over conventional systems, such as a reduction of system cost, an increase in the efficiency and robustness of the system, etc., see e.g. [7].

Linear Quadratic Regulator (LQR) theory has been widely used in multi-agent systems' control due to its guaranteed robustness properties, see e.g. [8]-[10]. Literature tends to favour distributed LQR-based control designs, as centralized solutions become infeasible as the number of subsystems and the distance between them increases [11].

The problem of controlling a formation of interacting and cooperating identical subsystems, where the communication topology of the network was modelled using graph theory, was first considered in [5]. Further, the formation stability analysis for a given communication topology was proposed.

In [12] the framework was extended to the robust formation control method for an arbitrary communication topology and any number of subsystems, whereas previous design methods were adequate only for undirected communication networks. Also, the framework was used later in [13]-[15] to establish different distributed LQR-based control designs for controlling the formation.

In the present work we use the distributed LQR design strategy for uncoupled continuous-time multi-agent systems that has been introduced in [13] for controlling the multi-agent formation. The authors proposed an approach which leads to an elegant and powerful result: the synthesis of stabilizing distributed control laws can be obtained by using a simple local LQR problem whose size depends on the maximum vertex degree of the graph. Compared to [13], where the model with double-integrator dynamics was analyzed, we consider a formation of N identical low-speed experimental UAVs, known as X-RAE1, that can communicate with each other to achieve the common goal. Individual subsystems are described by 6-DOF (degrees of freedom) nonlinear model, which is linearized at certain operating points for the straight level flight dynamics. The graph-theory framework proposed in [5] is used to model the communication network of the subsystems. It is shown that the proposed controller stabilizes the system. Also, it can be used to control effectively the nonlinear multi-agent system for a standard set of initial conditions. Further, it is shown that both multi-agent systems, linear and nonlinear, are able to provide asymptotic reference tracking and are robust to environmental disturbances such as nonuniform wind profiles for a formation of UAVs and to the loss of communication between any two agents.

The remainder of this paper is organized as follows. In Section II, notation and brief preliminaries on algebraic graph theory are presented. In Section III the nonlinear and linear models of a single X-RAE1 are briefly described. Section IV presents the procedure for modelling the multi-UAV system together with the distributed controller design procedure for this system. The proposed design is able to achieve asymptotic tracking to step height commands and asymptotic rejection of impulsive disturbances modelling wind gusts. Simulation results are presented and discussed in Section V. Finally, the paper's conclusions appear in Section VI.

II. PRELIMINARIES

A. Notation and Definitions

Let I_n denote the identity matrix of dimension n , $I_n \in \mathbb{R}^{n \times n}$. Let M^T and \mathbf{a}^T denote, respectively, the transpose of matrix M and the transpose of column vector $\mathbf{a} = [a_1, \dots, a_n]^T$. $A \otimes B$ denotes the Kronecker product of A and B . Let $A \in \mathbb{R}^{m \times n}$ and $B \in \mathbb{R}^{p \times q}$, then:

$$A \otimes B = \begin{pmatrix} a_{11}B & a_{12}B & \dots & a_{1n}B \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1}B & a_{m2}B & \dots & a_{mn}B \end{pmatrix} \in \mathbb{R}^{mp \times nq}.$$

A matrix $M \in \mathbb{R}^{n \times n}$ is called *stable* or *Hurwitz* if all its eigenvalues have negative real part, i.e. $\mathcal{S}(M) \subseteq \mathbb{C}_-$.

B. Graph Theory Preliminaries

Let $\mathcal{G} = (\mathcal{V}, \mathcal{E})$ be an *undirected graph* with the set of nodes (or vertices), $\mathcal{V} = \{1, 2, \dots, N\}$, and the set of edges $\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$, $\mathcal{E} \subseteq \{(i, j) : i, j \in \mathcal{V}, j \neq i\}$. If $i, j \in \mathcal{V}$ and $(i, j) \in \mathcal{E}$, then i and j are said to be adjacent (or neighbors) which is denoted as $i \sim j$. For an undirected graph the communication between two nodes (or agents) is bidirectional and we assume that there is no edge from a node to itself (i.e. no self loops). The number of neighboring nodes, d_i for $i = 1, 2, \dots, N$, is called the *degree* or *valency* of a node. Let $d_{max}(\mathcal{G})$ denote the maximum node degree of the graph \mathcal{G} . Any undirected graph can be represented by its *adjacency matrix*, $\mathbf{A}(\mathcal{G})$. Let $\mathbf{A}_{i,j} \in \mathbb{R}$ be the (i, j) element of $\mathbf{A}(\mathcal{G})$, then $\mathbf{A}_{i,i} = 0$, $\forall i = 1, 2, \dots, N$, and

$$\mathbf{A}_{i,j} = \begin{cases} 0 & \text{if } (i, j) \notin \mathcal{E} \quad \forall i, j = 1, 2, \dots, N, \quad i \neq j, \\ 1 & \text{if } (i, j) \in \mathcal{E} \quad \forall i, j = 1, 2, \dots, N, \quad i \neq j. \end{cases}$$

III. DYNAMICAL MODEL OF X-RAE1

A. Nonlinear Model

The 6-DOF equations of motion of X-RAE1 with respect to the body-fixed axes are:

1) Translational equations of motion

$$\begin{aligned} \dot{U} &= RV - QW - g \sin \Theta \\ &\quad + [\bar{q}S(C_L \sin \alpha - C_D \cos \alpha) + T]/m \\ \dot{V} &= PW - RU + g \cos \Theta \sin \Phi + (\bar{q}SC_y)/m \\ \dot{W} &= QU - PV + g \cos \Theta \cos \Phi \\ &\quad + [\bar{q}S(-C_L \cos \alpha - C_D \sin \alpha)]/m \end{aligned}$$

2) Rotational equations of motion

$$\begin{aligned} \dot{P}I_x - \dot{R}I_{xz} &= QR(I_y - I_z) + PQI_{xz} + \bar{q}SbC_l \\ \dot{Q}I_y &= PR(I_z - I_x) - (P^2 - R^2)I_{xz} + \bar{q}ScC_m + \\ &\quad \bar{q}S(C_L \sin \alpha - C_D \cos \alpha)h_0 + Te_T \\ \dot{R}I_z - \dot{P}I_{xz} &= PQ(I_x - I_y) + QR I_{xz} + \bar{q}ScC_n \end{aligned}$$

where

- U, V, W are forward, side and downward velocities along the x, y and z body axes, respectively;

- P, Q, R are roll, pitch and yaw angular velocities around the x, y and z body axes, respectively;
- Φ, Θ, Ψ are roll, pitch and yaw angles;
- T is the thrust;
- C_L, C_D, C_y are lift, drag and side force coefficients;
- C_l, C_m, C_n are rolling, pitching and yawing moment coefficients;
- I_x, I_y and I_z are moments of inertia about the corresponding body axes;
- I_{xz} is the product of inertia. As the aircraft has the xz plane as a plane of symmetry $I_{xy} = I_{yz} = 0$;
- α, \bar{q} are the angle of attack and the dynamic pressure;
- m, g, S, e_T, h_0, b and c are known parameters.

Additionally, we have three differential equations that are used to relate the body system rates P, Q and R to the three Euler rates $\dot{\Phi}, \dot{\Theta}$ and $\dot{\Psi}$. These are:

$$\begin{aligned} \dot{\Phi} &= P + Q \tan \Theta \sin \Phi + R \tan \Theta \cos \Phi \\ \dot{\Theta} &= Q \cos \Phi - R \sin \Phi \\ \dot{\Psi} &= (R \cos \Phi + Q \sin \Phi) / \cos \Theta. \end{aligned}$$

For more details see [16].

B. Linearized Model

In order to design a linear controller, the nonlinear model is linearized and decomposed into two motions, longitudinal and lateral, by assuming small perturbations around the operating point. For a straight, steady, symmetric and horizontal flight at a constant velocity $V_{T_0} = 30$ m/s, the following trimmed values are considered:

$$\begin{aligned} U_0 &= V_{T_0} \cos \alpha_0, \quad W_0 = V_{T_0} \sin \alpha_0, \\ V_0 &= P_0 = Q_0 = R_0 = 0, \\ \Theta_0 &= \alpha_0 \quad \text{and} \quad \Phi_0 = \Psi_0 = 0. \end{aligned} \quad (1)$$

Then, the state space longitudinal model

$$\dot{\mathbf{x}}_i = \mathbf{A}\mathbf{x}_i + \mathbf{B}\mathbf{u}_i, \quad \mathbf{x}_i(0) = \mathbf{x}_{i0} \quad (2)$$

where $\mathbf{x}_i = [u_i \ w_i \ q_i \ \theta_i]^T$, $\mathbf{u}_i = [\eta_i \ \delta_{T_i}]^T$ are the state and input vectors of the i th system at time t , respectively, can be expressed as

$$\begin{aligned} \begin{bmatrix} \dot{u}_i \\ \dot{w}_i \\ \dot{q}_i \\ \dot{\theta}_i \end{bmatrix} &= \begin{bmatrix} -0.142 & -0.227 & 2.493 & -9.771 \\ -1.033 & -4.476 & 28.639 & 0.837 \\ -0.042 & -2.744 & -15.351 & -0.134 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} u_i \\ w_i \\ q_i \\ \theta_i \end{bmatrix} \\ &\quad + \begin{bmatrix} -1.136 & 1.444 \\ -13.060 & 0 \\ -137.157 & -2.036 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} \eta_i \\ \delta_{T_i} \end{bmatrix} \end{aligned} \quad (3)$$

where u_i, w_i, q_i and θ_i denote forward velocity, downward velocity, pitch angular velocity and pitch angle, respectively, while η_i and δ_{T_i} are elevator deflection and throttle setting, respectively; the lower case notation denotes the deviation of each motion quantity from the trim value, i.e. $dU_i = u_i$. For more details see [16].

For simulation purposes the disturbance in system (3) is introduced as an arbitrary impulse to the downward velocity

variable w_i , which is equivalent to the presence of environmental disturbances such as nonuniform wind for a collection of agents.

IV. FORMATION MODELLING AND CONTROLLER DESIGN

A. Modelling Multi-UAV System with LQR-based Control

The collective dynamics of N identical and decoupled dynamical agents can be described as:

$$\dot{\mathbf{x}}(t) = A_a \mathbf{x} + B_a \mathbf{u}, \quad \mathbf{x}(0) = \mathbf{x}_0 \quad (4)$$

where $\mathbf{x}(t) = [\mathbf{x}_1^T(t), \dots, \mathbf{x}_N^T(t)]^T$ and $\mathbf{u}(t) = [\mathbf{u}_1^T(t), \dots, \mathbf{u}_N^T(t)]^T$ are the vectors which collect the states and inputs of the N systems, while $A_a = I_N \otimes A$ and $B_a = I_N \otimes B$, where A and B are defined as in (3).

The LQR problem for the system (4) is described through the cost function which contains terms for weighting the difference between i th and j th system states, as well as the i th system state and input:

$$J(\mathbf{u}(t), \mathbf{x}_0) = \int_0^\infty \left(\sum_{i=1}^N (\mathbf{x}_i(t)^T Q_{ii} \mathbf{x}_i(t) + \mathbf{u}_i(t)^T R_{ii} \mathbf{u}_i(t)) + \sum_{i=1}^N \sum_{\substack{j=1 \\ j>i}}^N \left((\mathbf{x}_i(t) - \mathbf{x}_j(t))^T Q_{ij} (\mathbf{x}_i(t) - \mathbf{x}_j(t)) \right) \right) dt.$$

which can be rewritten using the more compact notation:

$$J(\mathbf{u}(t), \mathbf{x}_0) = \int_0^\infty (\mathbf{x}(t)^T Q_a \mathbf{x}(t) + \mathbf{u}(t)^T R_a \mathbf{u}(t)) dt \quad (5)$$

where the matrices Q_a and R_a have the following structure:

$$Q_a = \begin{pmatrix} Q_{a11} & Q_{a12} & \cdots & Q_{a1N} \\ \vdots & \vdots & \ddots & \vdots \\ Q_{aN1} & Q_{aN2} & \cdots & Q_{aN N} \end{pmatrix}, R_a = I_N \otimes R, \quad (6)$$

with $Q_{a_{ii}} = \sum_{k=1}^N Q_{ik}$ for $i = 1, \dots, N$, $Q_{a_{ij}} = -Q_{ij}$ for $i, j = 1, \dots, N$, $i \neq j$, and $R_{ii} = R_{ii}^T > 0$, $\forall i$. Further, $Q_{ii} = Q_{ii}^T \geq 0$, $\forall i$ and $Q_{ij} = Q_{ij}^T = Q_{ji} \geq 0 \forall i \neq j$.

We are assuming that the pairs (A, B) , (A_a, B_a) are stabilizable and the pairs (A, C) , (A_a, C_a) are observable for any $Q = Q^T \geq 0$ and Q_a as in (6) (where $C^T C = Q$, $C_a^T C_a = Q_a$).

Then, for the given initial conditions, \mathbf{x}_0 , the control input $\mathbf{u} = -R_a^{-1} B_a^T P_a \mathbf{x}$ minimizes the cost function in (5) subject to $\dot{\mathbf{x}}(t) = A_a \mathbf{x} + B_a \mathbf{u}$, $\mathbf{x}(0) = \mathbf{x}_0$. Also, P_a is the symmetric positive definite stabilizing solution of the following (large-scale) Algebraic Riccati Equation (ARE):

$$A_a^T P_a + P_a A_a - P_a B_a R_a^{-1} B_a^T P_a + Q_a = 0. \quad (7)$$

For $Q_{a_{ii}} = Q_1 \forall i = 1, \dots, N$, and $Q_{a_{ij}} = Q_2 \forall i = 1, \dots, N, i \neq j$ P_a has the structure:

$$P_a = \begin{pmatrix} P_{a11} & P_{a12} & \cdots & P_{a12} \\ P_{a12} & P_{a11} & \cdots & P_{a12} \\ \vdots & \vdots & \ddots & \vdots \\ P_{a12} & \cdots & \cdots & P_{a11} \end{pmatrix} \quad (8)$$

with $P_{a11} = P - (N-1)P_{a12}$ where $P \in \mathbb{R}^{n \times n}$ is the symmetric positive definite solution of the ARE:

$$A^T P + P A - P B R^{-1} B^T P + Q_1 = 0. \quad (9)$$

Further, the same structure of diagonal and off-diagonal blocks will be preserved in the gain matrix K_a , as $K_a = R_a^{-1} B^T P_a$. For more details and proofs see [13].

B. Distributed Controller Design Approach

Consider the multi-agent system composed of N_d identical and decoupled agents described as:

$$\dot{\tilde{\mathbf{x}}}(t) = \tilde{A} \tilde{\mathbf{x}} + \tilde{B} \tilde{\mathbf{u}}, \quad \tilde{\mathbf{x}}(0) = \tilde{\mathbf{x}}_0 \quad (10)$$

where $\tilde{\mathbf{x}}(t)$ and $\tilde{\mathbf{u}}(t)$ are the states and inputs of the N_d systems, while $\tilde{A} = I_{N_d} \otimes A$ and $\tilde{B} = I_{N_d} \otimes B$, where A and B are defined as in (3). Systems (4) and (10) differ only in the number of subsystems. The *distributed optimal control problem* is given in [13], but instead of solving NP-hard problem, the suboptimal distributed design procedure is given next.

Theorem 4.1: [13] For the system in (10), with cost function:

$$J(\tilde{\mathbf{u}}(t), \tilde{\mathbf{x}}_0) = \int_0^\infty (\tilde{\mathbf{x}}(t)^T \tilde{Q} \tilde{\mathbf{x}}(t) + \tilde{\mathbf{u}}(t)^T \tilde{R} \tilde{\mathbf{u}}(t)) dt \quad (11)$$

where $\tilde{Q} = \tilde{Q}^T \geq 0$, $\tilde{R} = \tilde{R}^T > 0$ and \tilde{Q} is structured as: $\tilde{Q}_{ii} = \tilde{Q}_1$ for all $i = 1, \dots, N$ and $\tilde{Q}_{ij} = \tilde{Q}_2$ for all $j = 1, \dots, N, i \neq j$, the gain matrix can be constructed as

$$\tilde{K} = I_{N_d} \otimes R^{-1} B^T P - M \otimes R^{-1} B^T P_{a12} \quad (12)$$

where P is the symmetric positive definite solution to the single agent LQR problem in (9) and P_{a12} represents the off-diagonal blocks of the solution P_a in (7) for $N_{min} = d_{max}(\mathcal{G}) + 1$ agents.

Matrix M reflects the structure of the graph \mathcal{G} and is given by $M = aI_{N_d} - b\mathbf{A}(\mathcal{G})$, $b \geq 0$ where $\mathbf{A}(\mathcal{G})$ is the adjacency matrix. Also, a and b have to satisfy $a - b d_{max} \geq 0$ which follows from the gain margin properties of the proposed design. Then, the closed loop system:

$$\tilde{A}_{cl} = \tilde{A} - \tilde{B} \tilde{K} = I_{N_d} \otimes A + (I_{N_d} \otimes B) \tilde{K} \quad (13)$$

will be asymptotically stable and \tilde{P} is the unique solution of the following Lyapunov equation:

$$\tilde{A}_{cl}^T \tilde{P} + \tilde{P} \tilde{A}_{cl} + \tilde{Q} + \tilde{K}^T \tilde{R} \tilde{K} = 0. \quad (14)$$

Proof 4.1.1: See [13]

C. Reference tracking with LQR

In order to show that the distributed LQR controller proposed in Section IV-B provides the asymptotic tracking of step references the state-space system in (10) is augmented by an error vector $\mathbf{e}_h = \mathbf{h} - \mathbf{h}_d$, where \mathbf{h} is the vector that collects heights of each agent while \mathbf{h}_d is the vector of desired heights for each agent (step inputs). Then, the augmented distributed system is given by

$$\dot{\tilde{\mathbf{x}}}_I = \tilde{A}_I \tilde{\mathbf{x}}_I + \tilde{B}_I \tilde{\mathbf{u}}_I, \quad \tilde{\mathbf{x}}_I(0) = \tilde{\mathbf{x}}_{I_0} \quad (15)$$

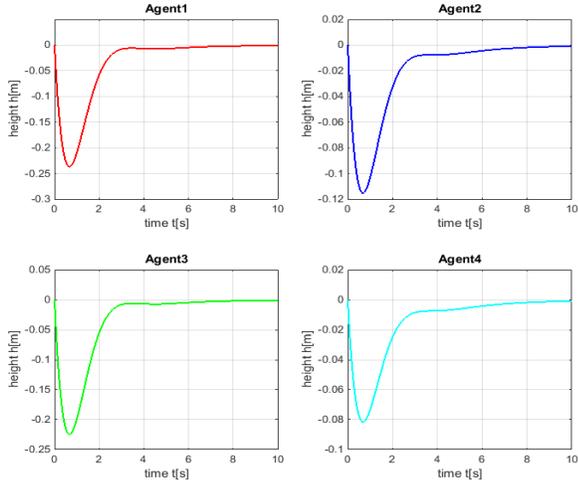


Fig. 3. Height response of the linear LQR system controlled by the distributed controller in the presence of the impulse disturbance

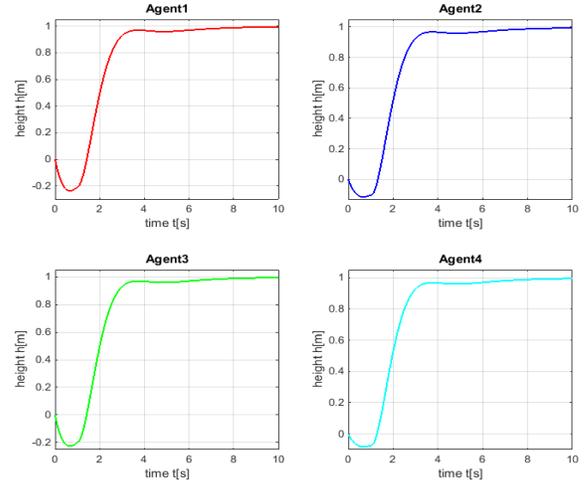


Fig. 5. Step height response of the linear LQR system controlled by the distributed controller in the presence of the impulse disturbance

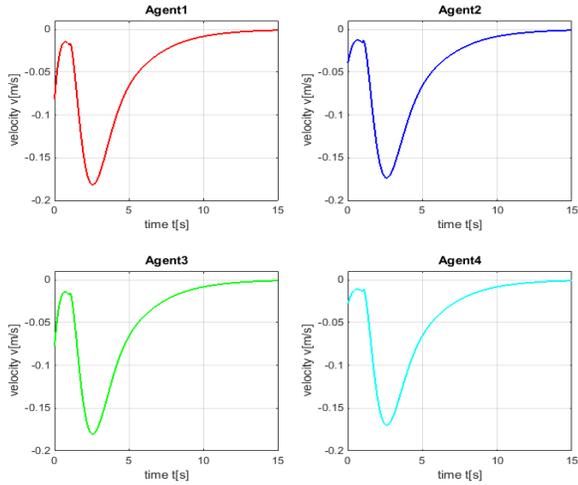


Fig. 4. Velocity response of the linear LQR system controlled by the distributed controller in the presence of the impulse disturbance

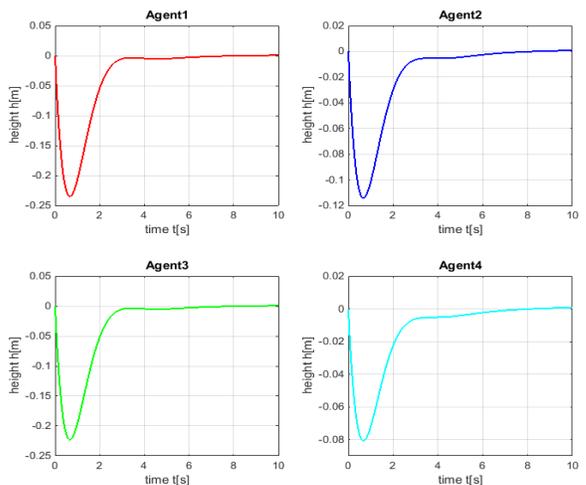


Fig. 6. Height response of the nonlinear LQR system controlled by the distributed controller in the presence of the impulse disturbance

in the model, the controller was able to reproduce results that are closely related to those obtained in the linear case.

Finally, we considered the case when the communication between two agents is lost shortly after a disturbance impulse was applied. We assume the impulse disturbance to agent 1 at $t = 9$ s which is followed by the failure of link communication between agent 1 and agent 2 (in both directions) at $t = 9.2$ s. Results are produced only for agent 1 for the nonlinear dynamics case where the distributed LQR controller in (15) is used as this can be considered as the most critical case for the stability of the formation. Thus, the height and velocity responses of agent 1 are depicted in Figure 9.

It can be seen that system stabilizes even in the case of link failure in the presence of disturbance as long as connectivity of network is preserved. These can be considered

as the preliminary results that can be extended along various directions. Future work will investigate the size of disturbances that can be rejected, as well as the minimum time that system needs to recover from a communications failure before the next disturbance happens.

VI. CONCLUSIONS

The paper has described a cooperative scheme for controlling a formation of low speed experimental UAVs based on distributed LQR control. The simulation results presented demonstrate the effectiveness of the method in dealing with nonlinear model dynamics, partial loss of communication between agents, rejection of external disturbances and asymptotic tracking requirements to step height demands.

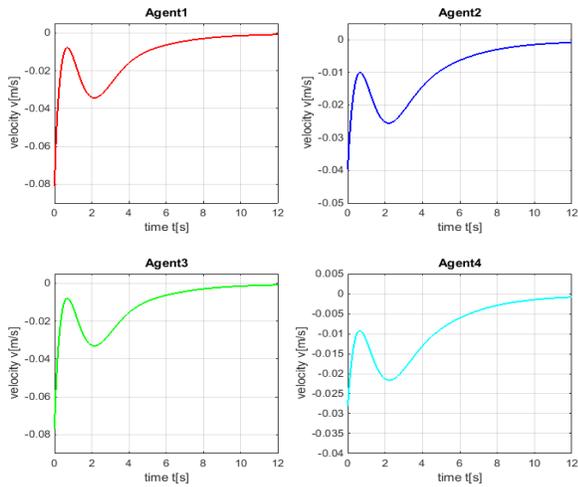


Fig. 7. Velocity response of the nonlinear LQR system controlled by the distributed controller in the presence of the impulse disturbance

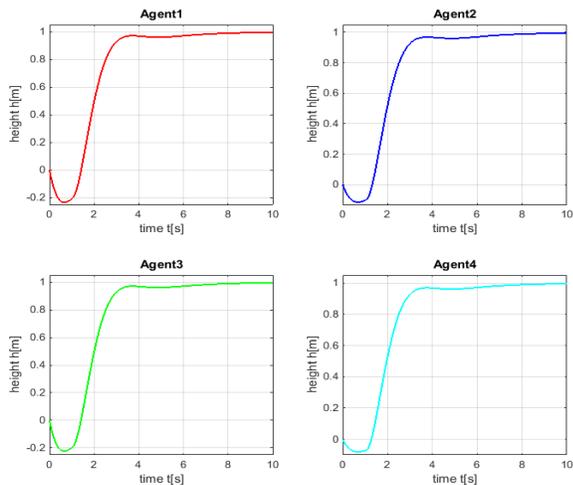


Fig. 8. Step height response of the nonlinear LQR system controlled by the distributed controller in the presence of the impulse disturbance

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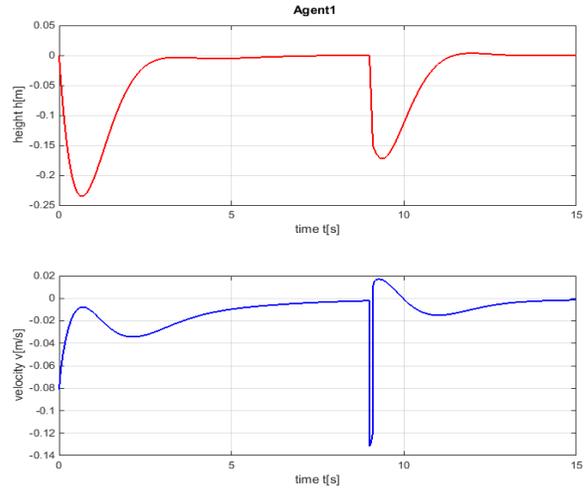


Fig. 9. Height and velocity responses of the nonlinear LQR system controlled by the distributed controller in the presence of an impulsive disturbance to agent 1 followed by the communication failure between agent 1 and agent 2

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