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1	Novel coumarin-based pH sensitive fluorescent probes for the highly
2	alkaline pH region
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7	
8	Abstract:
9	The design, synthesis and spectroscopic properties of novel polymerizable coumarin-
10	based pH indicators are reported. They show a fluorescence response to pH in the highly
11	alkaline pH region with calculated pK_a values in the range of 12.2 – 12.5. Covalent
12	immobilization of the indicators to polymer supports provides novel pH-sensitive
13	materials that possess excellent photostability. The materials are sensitive to pH in a
14	similar range and can be applied in optical pH sensors for measuring pH in various
15	alkaline media.
16	Keywords: Fluorescent sensor, fluorescent polymer, high pH sensor, coumarin dye.
17	
18	1. Introduction
19	The development of reliable techniques to monitor pH has received significant attention
20	because of the importance of pH measurement in various areas of scientific research
21	and other practical applications[1-8]. The determination of pH is routinely performed
22	using glass electrodes. However, glass electrodes have several disadvantages and thus
23	are not suitable for certain applications. They are bulky, invasive and potentially create
24	the risk of electric shock during in vivo measurements. They show poor performance
25	when measuring solutions of low ionic strength and suffer from surface potentials. In

highly alkaline media, glass electrodes suffer from chemical deterioration and are
subject to large alkaline errors[9, 10].

28 pH sensing using optical methods provides an attractive alternative for measuring pH 29 due to the advantages offered in terms of immunity to electrical interference, enhanced 30 safety and the possibility of remote sensing. Most optical pH sensors function through 31 monitoring the changes in the absorbance or fluorescence properties of certain pH 32 sensitive indicators which are immobilized on/in proton-permeable solid 33 substrates/supports[11]. A number of pH indicators therefore have been designed or 34 investigated for this application. However, the majority of them were demonstrated to 35 work in the physiological/near neutral[12-16] or acidic pH region[5, 8, 11, 17, 18]. 36 Materials that have been reported for use in the highly alkaline pH region are mainly 37 non-immobilized forms that are not ready for use in certain sensing applications[19, 38 20] or restricted to the absorbance/transmission based group[6, 21-23], which has 39 certain disadvantages compared to the fluorescence-based counterpart. In recent years, 40 a few fluorescent sensors for the alkaline pH region have been prepared[24-27]. 41 However, the number of reports is still very limited. Considering the importance of 42 monitoring pH in highly alkaline media as occurring in numerous industrial 43 processes[9], scrubbers, waste water treatment plants and concrete structures[28, 29], 44 there is essentially a need for accurate, sensitive and reliable sensing materials suitable 45 for measurement of high basicity.

In this paper, the synthesis and characterization of novel polymerizable coumarins bearing imidazolyl groups of which the deprotonation/protonation allows for the determination of pH in the alkaline region of the pH scale are described and their spectral and sensing properties are reported. Coumarins have been chosen because they have many advantages including high fluorescence quantum yield, large Stokes shift

51 and excellent photo-stability[30]. In addition to the development of appropriate pH 52 indicators, the choice of solid supports and the effective immobilization of the 53 indicators to the supports are also discussed in the paper as they are key factors in the 54 development of an optimum optical pH sensor since they govern the lifetime and signal 55 stability of the sensor. The fluorescence detection method was employed rather than the 56 simpler and more commonly-used method based on absorbance/transmission 57 measurements as fluorescent sensors are usually more precise, having less interference 58 from the light source, and offer higher sensitivity than their absorbance counterparts[7, 59 31].

60

61 **2. Experimental**

62 2.1. General

63 All chemicals were of analytical grade, purchased from Sigma-Aldrich and were used 64 without further purification. All solvents used were of HPLC grade from Fisher 65 Scientific or Sigma-Aldrich. All aqueous solutions were prepared using distilled deionized water. ¹H and ¹³C NMR spectra were recorded on a Bruker Avance 500 66 67 spectrometer. Mass spectra were run by electron ionisation (EI) mode on a Thermo Finnigan MAT900xp mass spectrometer. IR spectra were recorded on a Bruker Alpha 68 69 Fourier Transform Infrared Spectrophotometer and were run neat. Melting points were 70 recorded using a Stuart SMP30 melting point apparatus and were uncorrected. 71 Elemental analyses were carried out at the Microanalytical Laboratory, Department of 72 Chemistry at University College London. Absorption and fluorescence measurements 73 of aqueous solutions containing fluorophores were carried out on a PerkinElmer 74 spectrophotometer and a Horiba Jobin Yvon Fluoromax-4 Lambda 35 spetrofluorometer system with FluorEssenceTM as driving software, respectively. 75

Refractive indices were measured on an Abbe refractometer. Quantum yields of 77 fluorescence were determined using quinine sulfate as the standard ($\Phi = 0.55$)[32, 33].

78

79 2.2. Synthesis of the fluorescent dyes

80 3-N-(Carbethoxy)aminophenol (1): 1 was prepared similarly to the method reported in the literature[34]. To a two necked flask equipped with a condenser and a septum 81 82 were added 3- aminophenol (10.9 g, 100 mmol) and EtOAc (40 mL). The mixture was 83 heated to reflux for 30 min. Ethyl chloroformate (5.4 g, 4764 µL, 50 mmol) was added 84 via syringe over a 10 min period. The reaction mixture was left stirred for a further 20 85 min then allowed to cool to room temperature to form a white precipitate. The 86 precipitate was removed by filtration and washed with EtOAc (50 mL) and petroleum 87 ether (50 mL). The combined filtrate was concentrated to give 1 as an off-white solid 88 which was further purified by recrystallization from toluene to afford 1 (8.4g, 93%) as 89 white crystals, mp 92 °C [lit. mp 94-95 °C (benzene/cyclohexane)]; IR (neat) v_{max} (cm⁻ ¹) 3294 (NH), 1684 (C=O), 1556, 1449, 1249, 1061; ¹H-NMR (500 MHz, CDCl₃) 90 91 $\delta(\text{ppm})$: 7.35 (s, 1H), 7.13 (t, 1H, J = 8.08), 6.69 (s, 1H), 6.64 (m, 1H), 6.57 (m, 1H), 92 6.33 (m, 1H), 4.23 (q, 2H, -CH₂-, J=7.12 Hz), 1.30 (t, 3H, -CH₃, J = 7.12); MS (EI): 93 Calcd. m/z = 181.07334 (C₉H₁₁O₃N). Found m/z = 181.07296 (M⁺). 94 4-Chloromethyl-7-N-(Carbethoxy)aminocoumarin (2): H₂SO₄ (80%, 40 mL) was 95 pre-cooled in an ice bath. 1 (1.8 g, 10 mmol) was added, followed by ethyl 4-

96 chloroacetoacetate (2.3 g, 1906 µL, 14 mmol) in portions. The mixture was stirred at 97 room temperature under Ar for 19 h, after which it was poured into ice-water (50 mL) 98 and left stirred for a further 30 min. The white precipitate formed was filtered, washed 99 with H₂O and recrystallized from EtOH to afford 2 (2.0 g, 71%) as fine needles, mp 100 242 °C (decomp.) [lit.[35] mp 247 °C (MeOH)]; IR (neat) v_{max} (cm⁻¹) 3280 (NH), 3084, 101 2992, 2929, 1704 (C=O), 1619, 1588, 1520, 1404, 1332, 1204, 1075; ¹H-NMR (500 102 MHz, CDCl₃) δ(ppm): 7.59 (d, 1H, H5, J_{5.6}=8.7 Hz), 7.50 (d, 1H, H8, J_{8.6}=2.14 Hz), 103 7.36 (dd, 1H, H6, J_{6.5}=8.7 Hz, J_{6.8}=2.14), 6.82 (s, 1H, NH), 6.45 (s, 1H, H3), 4.65 (s, 104 2H, -CH₂Cl), 4.27 (q, 2H, OCH₂-, J = 7.13), 1.34 (t, 3H, -CH₃, J = 7.13); MS (EI): 105 Calcd. m/z = 281.04494 (C₁₃H₁₂O₄NCl). Found m/z = 281.04550 (M⁺). 106 4-Chloromethyl-7-aminocoumarin (3): 2 (563.4 mg, 2 mmol) was suspended in a 107 mixture of concentrated H₂SO₄ (1.7 mL) and glacial acetic acid (1.7 mL). The mixture 108 was heated to 125 °C for 2h. After cooling to room temperature, the yellow solution 109 was poured into H₂O and a voluminous precipitate was formed. 4 M NaOH aqueous 110 solution was added to the mixture to bring pH to ~ 9 . The yellow precipitate was 111 filtered, washed with H₂O, dried in vacuo to afford 3 (366 mg, 87%) as a pale yellow 112 solid which was used for synthesis without further purification, mp 182 °C [lit.[35] mp 187 °C]; IR (neat) v_{max} (cm⁻¹) 3445 (NH₂), 3352 (NH₂), 1686 (C=O), 1601 (NH₂), 1548, 113 114 1404, 1263, 1210, 1145; ¹H-NMR (500 MHz, DMSO) δ(ppm): 7.47 (d, 1H, H5, J_{5,6}=8.7

114 1404, 1203, 1210, 1143, 111000 (300 000) (ppm). 7.47 (a, 111, 113, <math>33, 0-0.7

- 115 Hz), 6.58 (dd, 1H, H6, *J*_{6,5}=8.7 Hz, *J*_{6,8}=2.00), 6.43 (d, 1H, H8, *J*_{8,6}=2.00 Hz) 6.19 (s+s,
- 116 nonres., 3H, $-NH_2 + H3$), 4.86 (s, 2H, $-CH_2Cl$); MS (EI): Calcd. m/z = 209.02381
- 117 (C₁₀H₈O₂NCl). Found m/z = 209.02417 (M⁺).

7-amino-4-((2-methyl-4-nitro-1*H*-imidazol-1-yl)methyl)-coumarin (4): NaH in 118 119 mineral oil (60%, 60 mg) was added to a solution of 2-methyl-4-nitroimidazole (203 120 mg, 1.6 mmol) in DMF (6 mL). The mixture was heated to 100 °C for 10 min and then 121 cooled down to 60 °C, after which 3 (314 mg, 1.5 mmol) dissolved in DMF (6 mL) was 122 added. The reaction mixture was left stirring at the same temperature for 18 h then 123 poured into ice. The yellow precipitate formed was collected by filtration, washed with 124 water and recrystallized from EtOH to afford 4 (390 mg, 87%) as yellow fine crystals, 125 mp 298-300 °C (decomp.); IR (neat) v_{max} (cm⁻¹) 3430 (NH₂), 3324 (NH₂), 3221, 3144, 126 1695 (C=O), 1595 (NH₂), 1542, 1498, 1402, 1328, 1290, 1132; ¹H-NMR (500 MHz, 127 DMSO) δ(ppm): 8.37 (s, 1H, 5-H-imidazole), 7.44 (d, 1H, H5, J_{5.6}=8.69 Hz), 6.61 (dd, 1H, H6, J_{6.5}=8.69 Hz, J_{6.8}=2.14), 6.45 (d, 1H, H8, J_{8.6}=2.14 Hz) 6.27 (s, 2H, -NH₂), 128 5.51 (s, 2H, -CH₂-), 5.05 (s, 1H, H3), 2.31 (s, 3H, -CH₃); ¹³C-NMR (DMSO) δ(ppm): 129 130 160.7 (C2), 155.9 (C9), 154.0 (C7), 151.1 (4-C-imidazole), 146.1 (C4), 125.7 (C5), 131 123.1 (5-C-imidazole), 111.7 (C6), 106.2 (C10), 104.5 (C3), 99.0 (C8), 46.6 (-CH₂-), 132 12.8 (-CH₃); MS (EI): Calcd. m/z = 300.08531 (C₁₄H₁₂O₄N₄). Found m/z = 300.08584133 (M⁺); Elem. Anal. Calcd. for C₁₄H₁₂O₄N₄ (300.27): C 56.00, H 4.03, N 18.66. Found: 134 C 56.72, H 4.50, N 18.19.

135 **7-(4-vinylbenzylamino)-4-((2-methyl-4-nitro-1***H***-imidazol-1-yl)methyl)-coumarin**

136 (5): A mixture of 4 (300 mg, 1 mmol), vinylbenzylchloride (155 µL, 168 mg, 1.1 mmol, 137 1 mmol), potassium carbonate (401 mg, 2.9 mmol), posstasium iodide (49 mg, 0.3 138 mmol) in dry MeCN (20 mL) was heated under argon at 80 °C for 2 days. After cooling 139 to room temperature, H₂O was added to dissolve the inorganic salts. The mixture was 140 filtered to remove insoluble materials. EtOAc was then added. The organic phase was 141 washed with H₂O (2 x 50 mL) and saturated aqueous NaCl (50 mL), dried over MgSO₄, 142 filtered, and concentrated in vacuo. The resulting orange-red residue was 143 chromatographed on silica gel using CH₂Cl₂-EtOAc (4:6, v/v) as eluent to give an 144 orange solid which was further purified by recrystallization from ethanol to afford 5 145 (40 mg, 10 %) as a yellow-orange solid, mp 194 °C; IR (neat) v_{max} (cm⁻¹) 3335 (NH), 3144, 1694 (C=O), 1601, 1540, 1500, 1404, 1321, 1291, 1148; ¹H-NMR (500 MHz, 146 CDCl₃) δ(ppm): 7.69 (s, 1H, 5-H-imidazole), 7.41 (d, 2H, aromaticH J=8.13 Hz), 7.30 147 148 (d, 2H, aromaticH J=8.15 Hz), 7.20 (d, 1H, H5, J_{5.6}=8.72 Hz), 6.71 (dd, 1H, CH=CH₂, 149 J=10.91 Hz, J=17.60 Hz), 6.57 (dd, 1H, H6, J_{6,5}=8.72 Hz, J_{6,8}=2.36 Hz), 6.53 (d, 1H, 150 H8, $J_{8,6}=2.32$ Hz), 5.75 (d, 1H, CH=C H_a Hb J=17.61 Hz), 5.43 (s, 1H, H3), 5.26 (d, 151 1H, CH=CH_a H_b , J=10.90 Hz), 5.17 (s, 2H, C4CH₂-), 4.85 (t, 1H, -NH-, J_{NH-CH2} = 5.52 Hz), 4.41 (d, 2H, CH₂NH-, $J_{CH2-NH} = 5.55$ Hz), 2.44 (s, 3H, -CH₃); ¹³C-NMR (CDCl₃) 152 153 δ(ppm): 156.3 (C2), 155.0 (2-C- imidazole), 154.0 (C9), 147.7 (C7), 141.1 (C4), 140.0 154 (4-C-imidazole), 137.3 (CCH2NH-), 136.2 (CH=CH2), 128.0 ((CCH=CH2), 127.6 155 (aromaticC), 126.8 (aromaticC), 123.7 (C5), 119.9 (5-C-imidazole), 114.8 (CH=CH₂), 156 107.6 (C3), 107.1 (C10), 99.1 (C8), 47.5 (-CH₂NH-), 46.9 (C4CH₂-), 13.1 (-CH₃); MS 157 (EI): Calcd. m/z = 416.14791 (C₂₃H₂₀O₄N₄). Found m/z = 416.14827 (M⁺); Elem. Anal. 158 Calcd. for C23H20O4N4 (416.43): C 66.34, H 4.89, N 13.45. Found: C 65.76, H 4.66,

159 N 12.89.

160 **7-acrylamido-4-((2-methyl-4-nitro-1***H***-imidazol-1-yl)methyl)-coumarin (6):**

161 Acryloyl chloride (76 µL, 75 mg, 0.93 mmol) in dry THF (4 mL) was added slowly to 162 a suspension of 4 (112 mg, 0.373 mmol) in dry THF (10 mL) under argon. The reaction 163 mixture was left stirred at room temperature overnight. The resulting precipitate was 164 collected by filtration, washed with THF, H₂O and ethyl acetate then dried in vacuo to 165 afford 6 (124 mg, 94%) as a pale yellow solid, mp 291 °C (decomp.); IR (neat) v_{max} (cm⁻¹) 3301, 1695 (C=O), 1608, 1501, 1414, 1332, 1285, 1211, 1188, 1141; ¹H-NMR 166 167 (500 MHz, DMSO) δ(ppm): 10.65 (s, 1H, -CONH-), 8.38 (s, 1H, 5-H-imidazole), 7.90 (d, 1H, H8, J_{8,6}=1.68 Hz), 7.77 (d, 1H, H5, J_{5,6}=8.70 Hz), 7.59 (dd, 1H, H6, J_{6,5}=8.72 168 169 Hz, J_{6.8}=1.71), 6.46 (dd, 1H, CH=CH₂, J=10.08 Hz, J=16.91 Hz), 6.33 (dd, 1H, 170 CH=CH_aH_b, J=16.95 Hz, J=1.54 Hz), 5.85 (dd, 1H, CH=CH_aH_b, J=10.19 Hz, J=1.43 Hz), 5.61 (s, 2H, -CH₂-), 5.48 (s, 1H, H3), 2.35 (s, 3H, -CH₃); ¹³C-NMR (DMSO) 171 δ(ppm): 163.8 (*C*=ONH-), 160.7 (C2), 153.8 (C9), 149.9 (C7), 145.8 (4-C-imidazole), 172 173 142.8 (C4), 131.3 (CH=CH₂), 129.0 (CH=CH₂), 125.2 (C5), 122.7 (5-C-imidazole), 174 115.5 (C6), 112.0 (C10), 110.1 (C3), 106.1 (C8), 46.3 (-CH₂-), 12.5 (-CH₃); MS (EI): 175 Calcd. m/z = 354.09587 (C₁₇H₁₄O₅N₄). Found m/z = 354.09630 (M⁺); Elem. Anal. 176 Calcd. for C₁₇H₁₄O₅N₄ (354.32): C 57.63 , H 3.98, N 15.81. Found: C 56.14 , H 3.61,
177 N 14.05.

178 4-Chloromethyl-7-bromocoumarin (7): H₂SO₄ (80%, 40 mL) was pre-cooled in an ice bath. 3-bromophenol (1.73 g, 10 mmol) was added, followed by ethyl 4-179 180 chloroacetoacetate (2.3 g, 1906 µL, 14 mmol) in portions. The mixture was stirred at 181 r.t under Ar for 22 h, after which it was poured into ice-water (50 mL) and left stirred 182 for a further 30 min. The white precipitate formed was filtered, washed with H₂O, dried 183 over phosphorus pentoxide and recrystallized from EtOH to afford 7 (1.87 g, 68%) as 184 a white solid, mp 211 °C; IR (neat) v_{max} (cm⁻¹) 3065, 1736 (C=O), 1598, 1395, 1271, 1243, 1173, 1151, 1081; ¹H-NMR (500 MHz, CDCl₃) δ(ppm): 7.56 (d, 1H, H8, 185 186 *J*_{8,6}=1.84 Hz), 7.54 (d, 1H, H5, *J*_{5,6}=8.51 Hz), 7.47 (dd, 1H, H6, *J*_{6,5}=8.37 Hz, *J*_{6,8}=1.68), 187 6.58 (s, 1H, H3), 4.64 (s, 2H, -CH₂Cl); ¹³C-NMR (DMSO) δ(ppm): 159.1 (C2), 153.8 188 (C4), 150.2 (C9), 127.5 (C6), 126.9 (C8), 125.1 (C7), 119.8 (C5), 116.4 (C10), 115.8 189 (C3), 41.1 (-CH₂-); MS (EI): Calcd. m/z = 271.89501 (C₁₀H₆O₂BrCl). Found m/z =190 271.89465 (M⁺). Elem. Anal. Calcd. for C10H6O2BrCl (273.51): C 43.91, H 2.21. 191 Found: C 43.35, H 2.19.

192 7-bromo-4-((2-methyl-4-nitro-1*H*-imidazol-1-yl)methyl)-coumarin (8): NaH in 193 mineral oil (60%, 80 mg) was added to a solution of 2-methyl-4-nitroimidazole (254.2 194 mg, 2 mmol) in DMF (8 mL). The mixture was heated to 110 °C for 10 min and then 195 cooled down to 60 °C, after which 7 (547.0 mg, 2 mmol) suspended in DMF (8 mL) 196 was added. The reaction mixture was left stirring at the same temperature for 20 h then 197 poured into ice. The precipitate formed was collected by filtration, washed with water, 198 dried and recrystallized from EtOH to afford 8 (538 mg, 74%) as an off-white solid, mp 199 289-291 °C (decomp.); IR (neat) v_{max} (cm⁻¹) 3135, 1738 (C=O), 1597, 1541, 1498, 1398, 1342, 1299, 1244, 1171, 1147; ¹H-NMR (500 MHz, DMSO) δ(ppm): 8.36 (s, 1H, 200

201 5-H-imidazole), 7.81 (d, 1H, H8, J_{8,6}=1.90 Hz), 7.73 (d, 1H, H5, J_{5,6}=8.53 Hz), 7.66 202 (dd, 1H, H6, J_{6.5}=8.52 Hz, J_{6.8}=1.92 Hz), 5.68 (s, 1H, H3), 5.63 (s, 2H, -CH₂-), 2.35 (s, 203 3H, -CH₃); ¹³C-NMR (DMSO) δ(ppm): 158.8 (C2), 153.4 (C9), 149.5 (4-C-imidazole), 204 145.9 (C4), 145.8 (2-C-imidazole), 127.6 (C6), 126.2 (C5), 125.4 (C7), 122.6 (5-C-205 imidazole), 119.8 (C8), 116.4 (C10), 112.8 (C3), 46.3 (-CH₂-), 12.5 (-CH₃); MS (EI): 206 Calcd. m/z = 362.98492 (C₁₄H₁₀O₄N₃Br). Found m/z = 362.98521 (M⁺); Elem. Anal. 207 Calcd. for C14H10O4N3Br (364.15): C 46.18, H 2.77, N 11.54. Found: C 45.19, H 2.36, 208 N 10.88.

209 7-vinylphenyl-4-((2-methyl-4-nitro-1*H*-imidazol-1-yl)methyl)-coumarin (9): 9 was 210 prepared from 8 via a Suzuki coupling reaction[36-38] with vinylphenylboronic acid. 211 A mixture of 8 (400.6 mg, 1.1 mmol), 4-vinylphenylboronic acid (244.2 mg, 1.65 212 mmol, 1.5 mol equiv), potassium carbonate (570 mg, 4.125 mmol, 3.75 mol equiv) and 213 dioxane (11 mL) was stirred in a two necked flask at room temperature under argon for 214 0.5 h. Tetrakis(triphenylphosphine)palladium(0) (63.6 mg, 0.055 mmol, 5 mol %) was 215 added. A condenser was fitted and the flask was evacuated and filled with argon three 216 times before being heated to 80 °C. The reaction was left at 80 °C in the dark for 41 h. 217 After cooling to room temperature, EtOAc was added. The organic phase was washed with water $(3 \times 50 \text{ mL})$, saturated aqueous NaCl (50 mL), dried over MgSO₄, filtered, 218 219 concentrated in vacuo to give the crude product which was purified by flash 220 chromatography on silica gel with EtOAc-petroleum ether (95:5, v/v) as eluent to give 221 9 as an orange solid, which was further purified by recrystallization from EtOH-EtOAc (5:1, v/v) to give orange crystals (176 mg, 41%), mp 215 °C; IR (neat) v_{max} (cm⁻¹) 3147, 222 223 1716 (C=O), 1612, 1538, 1496, 1395, 1322, 1290, 1160; ¹H-NMR (500 MHz, DMSO) 224 δ(ppm): 8.40 (s, 1H, 5-H-imidazole), 7.89-7.78 (m, 5H, H5, H6, H8, aromaticH), 7.62 225 (d, 2H, aromaticH J=8.34 Hz), 6.80 (dd, 1H, CH=CH₂, J=10.98 Hz, J=17.67 Hz), 5.94

226	(d, 1H, CH=CH _a H _b , J=17.70 Hz), 5.68 (s, 2H, -CH ₂ -), 5.63 (s, 1H, H3), 5.34 (d, 1H,
227	CH=CH _a <i>H</i> _b , <i>J</i> =11.00 Hz), 2.37 (s, 3H, -CH ₃); ¹³ C-NMR (DMSO) δ(ppm): 159.5 (C2),
228	153.6 (C9), 149.8 (4-C-imidazole), 145.9 (C4), 145.8 (C7), 143.6 (2-C-imidazole),
229	137.6 (CC7), 137.2 (CCH=CH2), 136.0 (CH=CH2), 127.3 (aromaticC.), 126.9
230	(aromaticC.), 125.1 (C5), 122.7 (C6), 122.6 (5-C-imidazole), 116.1 (C10), 115.3
231	(CH= <i>C</i> H ₂), 114.1 (C8), 112.0 (C3), 46.4 (-CH ₂ -), 12.5 (-CH ₃); MS (EI): Calcd. m/z =
232	387.12136 (C ₂₂ H ₁₇ O ₄ N ₃). Found $m/z = 387.12164$ (M ⁺); Elem. Anal. Calcd. for
233	$C_{22}H_{17}O_4N_3\ (387.39): C\ 68.21$, H 4.42, N 10.85. Found: C 66.92 , H 4.14, N 10.15.

- 234
- 235 2.3. Preparation of polymers

236 The compositions of the different polymers are given in Table 1.

Fluorescent dye		MAA	BAP	P84	DMF
Туре	/mmol	/mmol	/mmol	/mmol	$/\mu L$
VIC (5)	0.05	0.1	0.5	0.02	500
AIC (6)	0.05	0.1	0.5	0.02	500
SIC (9)	0.05	0.1	0.5	0.02	500
	Type VIC (5) AIC (6)	Type /mmol VIC (5) 0.05 AIC (6) 0.05	Type /mmol /mmol VIC (5) 0.05 0.1 AIC (6) 0.05 0.1	Type /mmol /mmol /mmol VIC (5) 0.05 0.1 0.5 AIC (6) 0.05 0.1 0.5	Type /mmol /mmol /mmol /mmol VIC (5) 0.05 0.1 0.5 0.02 AIC (6) 0.05 0.1 0.5 0.02

Table 1. Composition of polymers prepared using different coumarin-based pH
indicators. MAA: methylmethacrylate, BAP: 1,4-bis(acryloyl)piperrazine, P84:
Pluronic P84.

Coumarin, methacrylic acid, 1,4-bis(acryloyl)piperrazine and pluronic P84 were weighed into a borosilicate glass vial and dissolved in dimethylformamide. Azobis(isobutyronitrile) (AIBN) (5 mg) was then added. The vial was placed in a sonicating water bath until AIBN was fully dissolved, then purged thoroughly with argon for about 2 min before being tightly capped and sealed. Polymerization was carried out at 80 °C in the dark for approximately 20 h. The resulting hard bulk polymer was then hand ground with a mortar and pestle until fine particles were obtained. The polymer particles were washed to remove unreacted materials by repeated incubation in MeOH (50 mL solvent each), centrifugation and re-suspension (4×0.5h incubations), followed by the same procedure with H₂O (2×0.5 h incubations) and finally on a sintered filter with MeOH (50 mL). After washing, polymer particles were dried in vacuum and stored in the dark until use.

253

254 2.4. Fluorescence measurements

Emission spectra of fluorescent indicators and polymers were recorded using a 4 mL ($1 \times 1 \times 4$ cm) quartz cuvette. Slit widths were adjusted to give emission below the saturating limit (1000000 cps) of the detector, and then the same settings were used for a complete set of experiments.

0.8 mM indicator stock solutions were prepared by dissolving 2x10⁻³ mmol of each
indicator in 2.5 mL EtOH. PVIC (6.3 mg/mL, containing 2.5 µmol coumarin/mL),
PAIC (5.7 mg/mL) and PSIC (5.8 mg/mL) stock solutions were prepared in deionized
distilled water.

50 mM phosphate at various pH values ranging from 10 to 13.6 was prepared by dissolving Na₂HPO₄ (1.77 g, 12.5 mmol) in distilled deionized water (240 mL) in a conical flask. The buffer was adjusted to the desired pH with aqueous NaOH. The solution was then transferred to a 250 mL volumetric flask and made up to the volume with distilled water.

268 20 μ L of each coumarin stock solution or 50 μ L of each polymer stock solution was 269 added to 3 mL of buffer in a cuvette, followed by measurement of emission spectra.

The cuvette was shaken vigorously before each measurement. All samples wereprepared in duplicate or triplicate and the data presented were average values.

It should be noted that phosphate does not act as a good buffer at pH values higher than 12 -12.5. However, phosphate was used for all pH to avoid any differences in fluorescence caused by the difference in buffer composition. It has also been confirmed by measuring pH of the solutions before and after adding the dyes/polymers that a small amount of either the free dyes or the polymers does not cause any change in the pH values of the solutions.

278

279 **3. Results and discussion**

280 3.1. Design and synthesis of fluorescent pH indicators

Although there has been a variety of pH indicators, both commercially available 281 282 including azo dyes[6, 21, 23, 39, 40], trinitrobenzensulfonic acid[28], thymol blue[29, 283 41-43], cresol red[41], phenolphthalein[44], aniline blue[45], rhodamine 19[46], 284 Victoria blue[47] etc. as well as reported in the literature such as fluorescent Schiff 285 bases[48], porpholactones[49] or a benzo[de]anthracen-7-one derivative[31], that are 286 known to be useful for the spectroscopic determination of high pHs, the majority of them are absorptive dyes rather than fluorescent dyes and only a few meet the 287 288 requirements of being stable for a long period of time in highly alkaline media, 289 possessing a pK_a in the range between 11-12.5, having reasonable fluorescence 290 quantum yield and good photostability and bearing one or more functional chemical 291 groups suitable for covalent immobilization of the indicator. Coumarins have been 292 employed and investigated in this work as they are widely used as laser dyes for single-293 molecule fluorescence and so they are 'tried and tested' in terms of the key property of 294 being photo-stable [50, 51]. It has also been reported that a coumarin with an imidazole

substituent shows response to pH in the alkaline region [19]. The design of the indicator
dyes was tailored based on this core structure and suitable functional group(s) for
immobilization of the indicator to a substrate.

298

299 Having created appropriate indicator dyes, it is equally important to perform an 300 effective immobilization of the dyes to a suitable solid support/substrate. Prior work 301 has shown that poor immobilization results in dye leaching and consequently a drifting 302 of the calibration of the probe, which leads to the gradual breakdown of its useful 303 sensing ability[11]. Among several widely used immobilization methods such as 304 absorption or entrapment[52-54], layer-by-layer (LbL) electrostatic self-assembly [55, 305 56] and covalent binding[21, 57-60], the covalent binding method is believed to 306 produce more reliable and durable sensors, as the indicators are virtually bonded to the 307 substrate therefore they are unlikely to leach out under normal conditions, although the 308 fabrication process is relatively complicated and time-consuming.

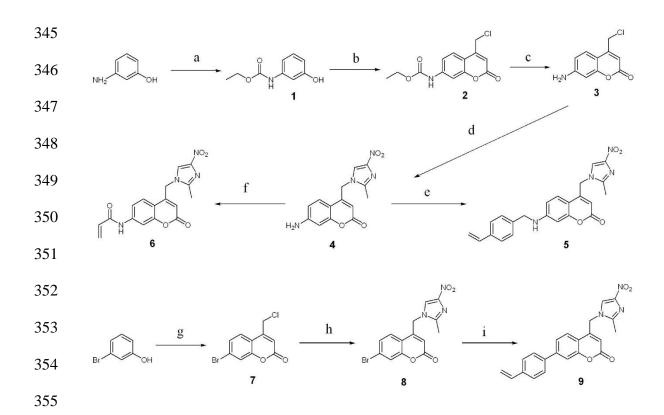
309 Regarding solid supports, various materials have been employed as the supports for dye 310 immobilization including cellulose membrane[21, 23, 57, 61], silica sol-gels[15, 62] 311 and synthetic organic polymers[39, 60] with cellulose and sol-gels being more 312 commonly used than the other due to the optical transparency of their matrices and in 313 many cases, the convenience of attachment process. However, cellulose degrades easily 314 in highly alkaline media[22, 39] and sol-gels are completely deteriorated at pH higher 315 than 10 within relatively short time. Therefore, they are not suitable for this study, 316 leaving synthetic polymers the favourable option. The indicator dye can be connected 317 into polymer matrix by either co-polymerisation of the dye monomer and appropriate 318 co-monomer(s) or by attaching the reactive dye to a readily prepared polymer. In this 319 work, the former method was used because it is easier to design different polymer

matrices and control their properties to meet the requirements of the sensor in terms of
polymer rigidity, hydrophilicity and optical density by using different mixtures of comonomers.

323

324 To meet the desired requirements discussed above, the fluorescent dyes used in this 325 work were designed to include a polymerizable group and the coumarin core structure 326 with an imidazole substituent. The linkage between the polymerizable group and the 327 coumarin should be stable enough to sustain strongly alkaline media. Three novel 328 polymerisable coumarins: vinylbenzylamino imidazolylmethyl coumarin (VIC, 5), 329 acrylamido imidazolylmethyl coumarin (AIC, 6) and styryl imidazolylmethyl coumarin 330 (SIC, 9) were prepared in multiple steps starting from commercially available phenolic 331 compounds as outlined in Scheme 1. The Pechmann reaction of phenols with a β -332 carbonyl ester is a versatile approach for the synthesis of 4-substituted coumarins. 2 333 and 7 were prepared similarly to the method reported in the literature[35]. The 334 substitution of chlorine for an imidazolyl group was achieved by performing a reaction 335 with 2-methyl-4-nitroimidazole, following the method described by Al-Soud et al. [63]. 336 Polymerizable groups were introduced into the coumarin structures either via a Suzuki 337 coupling[36-38] of the Br-substituted coumarin 8 with vinylphenylboronic acid using 338 K₂CO₃ in dioxane as a base/solvent mixture or by reacting the amine substituted 339 coumarin 4 with acryloyl chloride to give the amide 6 or with 4-vinylbenzylchloride to 340 give the secondary amine 5.

- 341
- 342
- 343
- 344



Scheme 1. Preparation of fluorescent monomers. (a) CICOOEt, EtOAc, 100 °C, 93%; (b) ethyl 4-chloroacetoacetate, H₂SO₄, H₂O, r.t, 19 h, 71%: (c) conc.H₂SO₄, glacial AcOH, 125 °C, 2 h, 87%; (d) NaH (60%), 2-methyl-4-nitroimidazole, DMF, 100 °C to 60 °C, 18 h, 87%; (e) vinylbenzylchloride, K₂CO₃, KI, MeCN, 80 °C, 2 days, 10%; (f) CH₂=CHCOCl, dry THF, r.t., 12 h, 94%; (g) ethyl 4-chloroacetoacetate, H₂SO₄, H₂O, r.t, 22 h, 68%; (h) NaH (60%), 2-methyl-4-nitroimidazole, DMF, 110 °C to 60 °C, 20 h, 74%; (i) CH₂=CHC₆H₄B(OH)₂, Pd(PPh₃)₄, K₂CO₃, dioxane, 80 °C, 41 h, 41%.

364 3.2. Spectroscopic properties and pK_a values of the free indicators

In neutral aqueous solution (with the addition of 1.5% EtOH to solubilize the compounds), the absorption spectra of VIC (**5**) and AIC (**6**) show only one main absorption band of each dye in the UV region, at 370 nm and 330 nm, respectively, (Fig. 1) whereas that of SIC (**9**) shows two main bands, at 266 nm with weaker absorbance and 334 nm with higher absorbance.

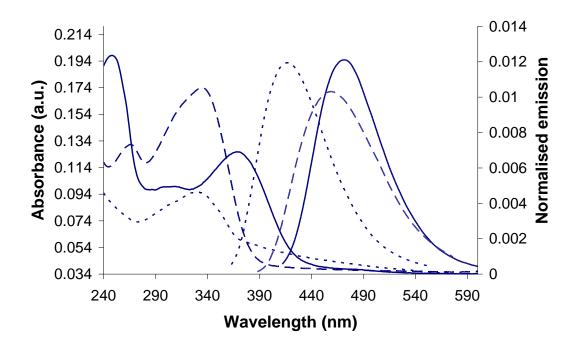


Fig.1. Absorption (left) and emission (right) spectra of VIC (5) (solid lines), SIC (9)
(broken lines) and AIC (6) (dotted lines) (5 μM) in H₂O. Emission spectra recorded

with $\lambda_{ex} = 330$ nm for **SIC** and **AIC** and 370 nm for **VIC**.

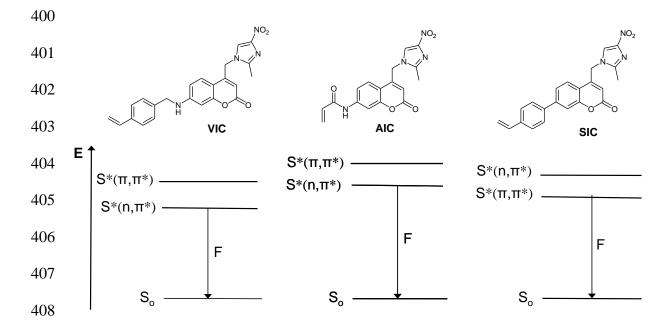
Dye	Solvent	UV max	Emission	pK _a	Φ(%)
		(nm)	max (nm)		
VIC (5)	EtOH	371	456	-	2.6
VIC (5)	H ₂ O	370	470	12.48 ± 0.06	0.8
AIC (6)	EtOH	334	407	-	1.7
AIC (6)	H ₂ O	330	416	12.22 ± 0.07	0.6
SIC (9)	EtOH	335	448	-	25.0
SIC (9)	H ₂ O	334	458	12.40 ± 0.24	2.0

³⁷⁴ **Table 2** Spectral data and pK_a values of the fluorescent dyes.

375 Emission spectra for each compound recorded in the same solvent using excitation at 376 the absorbance maxima include only one band in the 415-470 nm region. VIC absorbs 377 and emits at longer wavelengths compared to AIC and SIC but its fluorescence quantum 378 yield is fairly similar to that of AIC and much lower than that of SIC (Table 2). This 379 difference in fluorescence can be explained by the fact that coumarin molecules have close lying π,π^* and n,π^* states which are easily perturbed by changes in solvents, 380 381 substituents and other factors[64-66], and hence the excited state S₁ probably has both π,π^* and n,π^* character. It is proposed that in VIC, a n,π^* state may lie below the π,π^* 382 383 state and at a low energy level, due to the great conjugation of the lone-pair electrons 384 of the amine nitrogen at the 7 position with the π system. This degree of conjugation is 385 decreased in AIC where the amine nitrogen is converted to an amide counterpart, which 386 may result in the low lying excited state shifting to higher energy. In SIC where the π -387 electron system of coumarin is enhanced by the substituted styryl group, the π . π^* state may lie below the n,π^* state, as shown in Fig. 2. This could account for the lower 388 389 quantum yields of VIC and AIC compared to SIC, $n \leftarrow \pi^*$ transitions being generally 390 less intense than $\pi \leftarrow \pi^*$ transitions because they characteristically have longer lifetimes 391 which enhance intersystem crossing[67, 68]. The red-shifted fluorescence of VIC with 392 respect to AIC and SIC may be due to the smaller HOMO-LUMO gap.

It is also noted that all dyes exhibit very large Stokes shifts (the difference in wavelength between the absorption and the fluorescence spectral peaks) of 100 nm for VIC, 86 nm for AIC and 124 nm for SIC, which is very important for sensing applications since this minimizes the interference of the excitation light with the fluorescence emission.

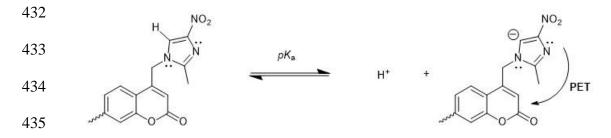
398



409 Fig. 2 Possible energy diagrams for VIC, AIC and SIC. S₀: ground state, S*: excited
410 single state, F: fluorescence.

In aqueous solution, all the dyes show no change in the fluorescence properties in the neutral and near neutral pH region (data not shown) and a decrease in fluorescence intensity with increasing pH in the alkaline region (Fig.3). This can be attributed to the equilibrium between the protonated and deprotonated forms of the imidazolyl group as shown in Scheme 2. The protonated form is fluorescent and the deprotonated form is much less so. Therefore, the fluorescence intensities of the dyes are lower at higher pH values.

The deprotonation of the imidazolyl group at high pH values was further confirmed by 13 C NMR titrations of VIC, AIC and SIC with sodium methoxide (MeONa), a very strong base, in DMSO-*d*₆. Initially, the ¹³C spectrum of each fluorophore in DMSO-*d*₆ (0.1 M concentration) was recorded. One mole equivalent of sodium methoxide in DMSO-*d*₆ was then added to each solution and ¹³C NMR spectra were recorded again. The addition of MeONa resulted in chemical shifts of all carbon nuclei. However, the largest changes in shift were for the carbon nuclei attached to the only proton of the 425 imidazolyl group (C5-imidazole) with changes of up to 1.71 ppm for the titration of 426 VIC and 1.63 ppm and 1.47 ppm respectively for the titrations of AIC and SIC. These 427 results confirm that the carbon nuclei C5 of the imidazolyl group is involved directly 428 in the interactions with the base through deprotonation. It has been reported that 429 imidazole is a very weak acid with $pK_a = 14.5[69]$. However, in the presence of a strong 430 electron acceptor – NO₂ group, its acidity increases and pK_a can be shifted to a lower 431 value.



436 Scheme 2. Protonation-deprotonation equilibria of the fluorescent indicators in aqueous437 solution.

438 It has been proposed that the difference in fluorescence intensity between two forms is

439 due to photoinduced electron transfer (PET) between the imidazole anion (proton

440 receptor) and coumarin (fluorophore)[19, 70]. At high pH, the electron-enriched

imidazole anion quenches the fluorescence of the coumarin through PET. At lower pH,

the presence of low concentration of hydrogen ions causes protonation of C5-imidazole,

443 resulting in PET from the imidazole to coumarin being hindered and hence the

444 fluorescence intensity increases.

441

445 The equilibrium between the protonated (HA) and deprotonated (A^{-}) forms of the 446 indicators can be written in short as:

447 $HA \leftrightarrow H^+ + A^-$

448 The relationship between the protonation state of the indicator and the pH is governed

449 by the Henderson-Hasselbalch equation:

451
$$pH = pK_a + \log \frac{\left[A^{-}\right]}{\left[HA\right]} \quad (1)$$

452

where $[A^{-}]$ and [HA] are the concentrations of the deprotonated and protonated forms of the indicator and pK_a is the acid-base constant. $[A^{-}]$ and [HA] are related to fluorescence intensities by $[A^{-}] = F - F_{max}$ and $[HA] = F_{min} - F$ where *F* is a measured fluorescence intensity of the system, F_{max} is the fluorescence intensity of the fully protonated system and F_{min} is the fluorescence intensity of the deprotonated system. The expressions are then substituted into Equation 1 to provide Equation 2.

459

460
$$pH = pK_a + \log \frac{F - F_{\max}}{F_{\min} - F} \quad (2)$$

461

462 Equation 2 can be re-written in terms of *F* to give

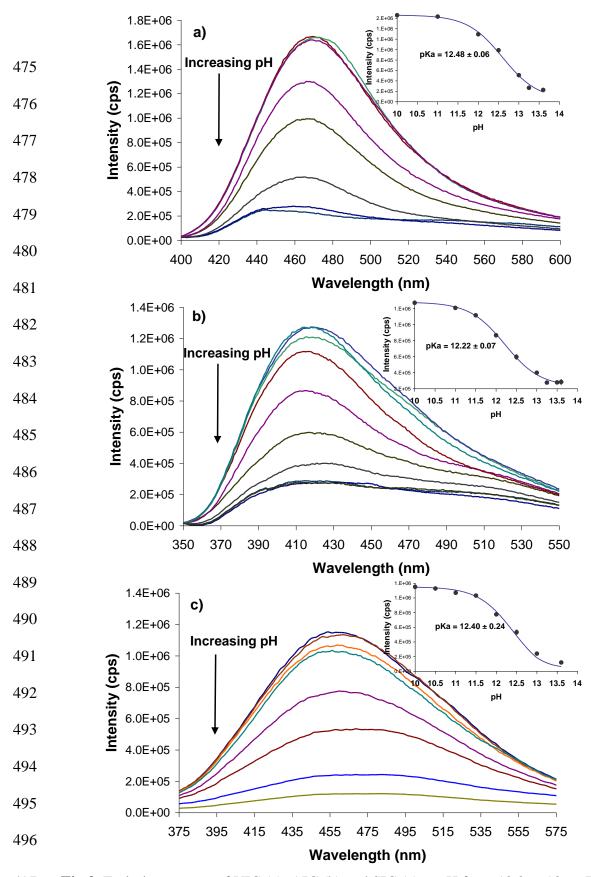
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464
$$F = \frac{F_{\max} + F_{\min} \times 10^{(pH - pK_a)}}{10^{(pH - pK_a)} + 1}$$
(3)

465

466 This results in an 'S-shaped' relation of the fluorescence intensity versus pH graph, 467 centered on the pK_a value, which is the pH where 50% of the dye population in solution 468 is protonated.

The calculation of the pK_a values for the indicators was performed based on the fluorescence intensities at a fixed maximum emission wavelength at different pH values using the model for nonlinear fitting method according to Equation 3. The data obtained are summarized in Table 2. The pK_a values for the three dyes are very similar, suggesting that the substitution at the 7 position of the coumarin has no significant effect on the protonation-deprotonation of the imidazolyl group.



497 **Fig 3.** Emission spectra of VIC (a), AIC (b) and SIC (c) at pH from 10.0 to 13.6. The 498 insets show the titration plots at emission maxima . $\lambda_{ex} = 370$ nm (a), 330 nm (b and 499 c).

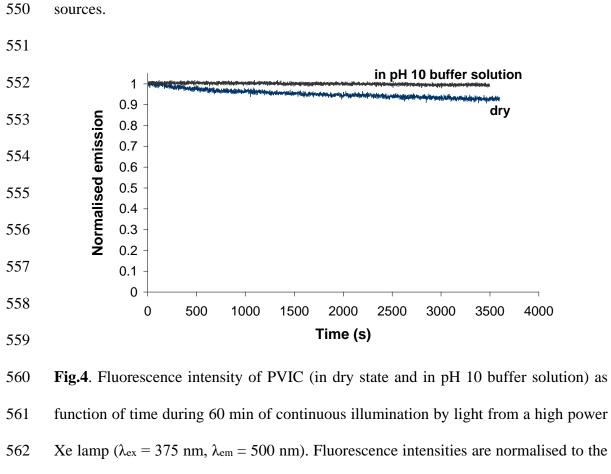
500 3.3. Polymer preparation

501 Polymers were prepared in dimethylformamide (DMF) by free-radical polymerization 502 using azobis(isobutyronitrile) as initiator (refer to Table 1 for the compositions of the 503 different polymers). These were prepared as monolithic polymers and ground into small 504 particles, since these are easier to produce than spherical beads which can be made by precipitation polymerisation and since a minimal amount of solvent is required. There 505 506 is no problem with scattering from the irregular-shaped particles because with the 507 coumarins used here the Stokes shift is sufficient that emission can be measured well 508 above the scattered wavelengths. Since the materials were designed to work in aqueous 509 environments, 1,4-bis(acryloyl)piperrazine was used as the cross-linker as it is water 510 soluble, hence producing polymers with a more hydrophilic backbone. Methacrylic acid 511 was included as a co-monomer so that the polymers should not be too rigid and it can 512 also increase the hydrophilicity of the polymers. Pluronic P84 was added to increase 513 the surface area and porosity. It is important that the polymer used has the right molar 514 ratio of cross-linker to monomers since lower cross-linking gives insufficiently robust 515 polymer and higher cross-linking gives too rigid polymer, hence preventing the 516 diffusion of hydrogen ions from surrounding environments to the fluorescent receptor. 517 After trying and testing different ratios in terms, it was found that a molar ratio of cross-518 linker to monomers of about 10:3-4 produced good polymers for this application. The 519 molar amount of fluorescent monomer used was fixed at 1:10 of the cross-linker. More 520 fluorescent monomer would be expected to give a stronger fluorescent signal but too 521 high a concentration of fluorophore could also result in fluorescence quenching by the 522 inner filter effect.

523

525 3.4. Photostability

526 Photostability is one of the critical properties of fluorescent materials used for sensor 527 applications. It is proposed that the substitution at the 7 position of the coumarin has no 528 significant effect on the photostability of the coumarin core structure. Photostability 529 was tested with one of the polymers PVIC by holding a layer consisting of 4 mg of the 530 polymer particles in between a quartz disc (6 mm dia.) and a nylon membrane (20 µm 531 pores, GE), which was kept in place by an O-ring and coupled into the fluorimeter 532 through a dichroic mirror using a fiber bundle. The excitation light (at a wavelength of 533 375 nm) was launched to the distal end of the probe illuminating the polymer with light 534 from the intense, high power Xe lamp of the fluorimeter continuously for 1 h. The 535 fluorescence intensity data from the polymer were collected over that period and 536 displayed. Fig.4 shows the fluorescence intensities of the polymer in dry state and in a 537 pH 10 buffer solution as function of time during 60 min of continuous illumination by 538 light from a high power Xe lamp. It was interesting to observe that the intensity of 539 fluorescence was reduced by 7-8% for the dry state over the time investigated and with 540 the high flux of photons onto the polymer. However, under the same conditions, no 541 photobleaching was seen when the polymer was immersed in a pH 10 buffer solution. 542 The reason for the difference in photostability between the dry state and wet state is 543 unclear. It could be because the excited single state of the fluorophore is stabilised by 544 solvation. When compared to the results of other materials, this still offers excellent 545 performance: the decrease observed in the fluorescence intensity was 65% for 546 carboxyfluorescein and 10-13% for iminocoumarin derivatives, again after 60 min of 547 continuous illumination using a mercury lamp[7]. Thus an important conclusion is that 548 the material prepared in this work possesses superior photostability, a feature that is



549 critically important with excitation of sensor probes by high intensity solid state

563 initial emission of the polymer for each data set.

564

565 3.5. Fluorescence studies and response of polymers to pH

Fluorescence measurements of the polymers were performed in 50 mM phosphate buffer at various pHs as used for the free dyes. The cuvette was shaken vigorously before each measurement to enable the uniform dispersion of the polymer. No significant settling out was observed on the timescale of the measurements and the results were found to be reproducible.

571 It should be noted that incubation was not required before the measurements as the 572 response time of the material is very fast (within 10s). This was confirmed by 573 comparing the data obtained from immediate measurements with those obtained for the samples that were allowed to incubate for a couple of hours before measurements.

575 There was essentially no difference between two sets of data.

Fig. 5 shows emission spectra of different polymers at different pHs. It can be seen that
the fluorophores emit at lower wavelengths in the polymers than in their free forms.
The blue shift could be because when fixed in a rigid polymer network the excited states
of the fluorophores undergo less stabilization from solvent rearrangement.

All polymers exhibited a decrease in fluorescence intensity with increasing pH in the range from 10 to 13.6, which is similar to the dynamic response range of the free dyes. The p K_a value calculation for the polymers was performed using the Boltzmann model – Equation (4) where dpH is the slope of the curve within its linear zone.

584

$$F = \frac{F_{\max} + F_{\min} \times e^{(pH - pK_a)/dpH}}{e^{(pH - pK_a)/dpH} + 1}$$
(4)

586 587

588 p K_a values were found to be 12.65 ± 0.12, 12.75 ± 0.10 and 12.76 ± 0.14 for PVIC, 589 PAIC and PSIC, respectively. These values for the immobilized forms of the dyes are 590 slightly higher than that for their free forms in solution and this arises probably because 591 of the change in the polarity of the microenvironment.

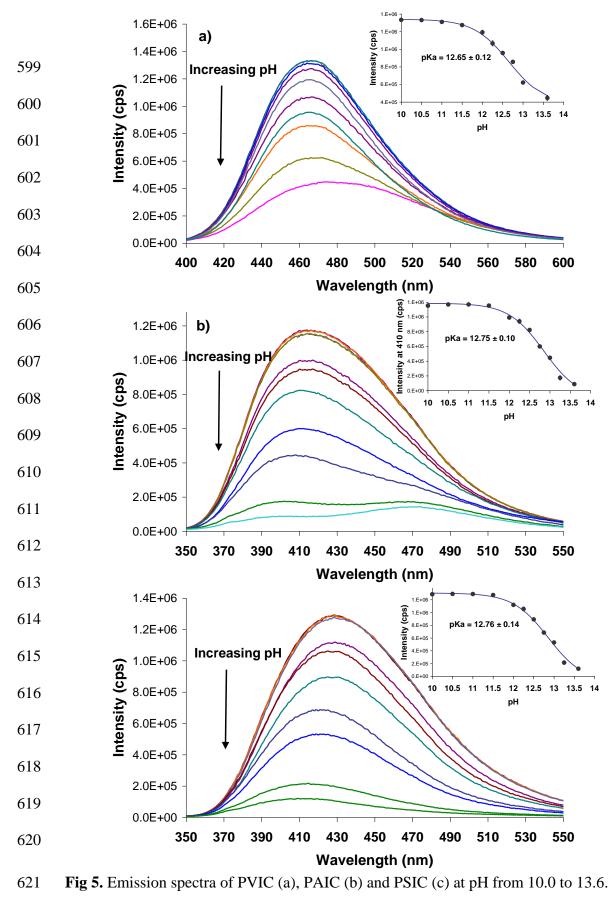
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622 The insets show the titration plots at emission maxima . $\lambda_{ex} = 370$ nm (a), 330 nm (b 623 and c).

624 3.6. Effect of ionic strength (IS)

625 Sensitivity to IS can be a serious problem in the cases of pH sensors as it affects pK_a 626 values, thus resulting in errors in pH determination. The effect of IS was investigated with one of the polymers PVIC in the prepared pH 10 phosphate buffer solution 627 628 adjusted with NaCl to different ionic strengths ranging from 10 mM to 2000 mM. The 629 fluorescence intensity obtained for each solution was converted to a pH value using the 630 calibration curve and the error was calculated. As can be seen from Fig.6, there appears to be no sensitivity to IS for the sensing polymer at pH 10, even at very high 631 632 concentrations of NaCl. The insignificant errors caused are probably due to the system error rather than the change in IS. 633



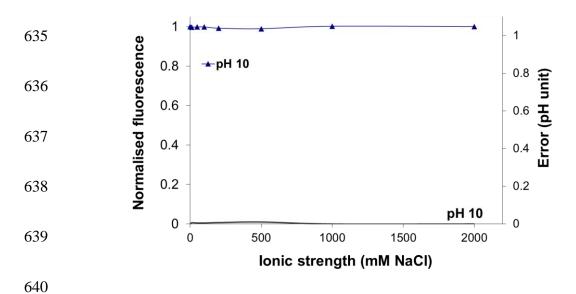


Fig 6. Effect of ionic strength (IS) on fluorescence intensity and calculated pH value.
Fluorescence intensities are normalised to the maximum emission at 470 nm of the
polymer at pH 10 with IS = 0.

644

646 **4. Conclusions**

Novel coumarin-based fluorescent pH indicators vinylbenzylamino imidazolylmethyl coumarin (VIC), acrylamido imidazolylmethyl coumarin (AIC) and styryl imidazolylmethyl coumarin (SIC) have been synthesized. Their fluorescence behaviours have been investigated in aqueous solution at different pHs. All coumarins exhibited a significant decrease in fluorescence intensity with increasing pH in the highly alkaline region. Photophysical properties of the indicators have been postulated to explain their fluorescence properties and behaviours.

The indicators were covalently immobilized to polymer supports by co-polymerising with methacrylic acid co-monomer and 1,4-bis(acryloyl)piperrazine cross-linker. These polymers are sensitive to pH in the similar response range of the free dyes and were highly photo-stable, showing no photo-bleaching, when immersed in a pH 10 buffer solution, after 60 minutes of continuous illumination using a high power Xe lamp.

The sensing materials developed in this study have been designed for use with optical fibre sensors and their characteristics shown indicate how well they are suited for use in optical pH sensors for measuring pH in various alkaline media. Discussion of the design and performance of such sensors will be the subject of future publications.

663

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