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**ON THE RISK OF STUDYING PRACTICES IN ISOLATION:  
LINKING WHAT, WHO AND HOW IN STRATEGY RESEARCH**

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Abstract:	This paper challenges the recent focus on practices as stand-alone phenomena, as exemplified by the so-called "Practice-Based View of Strategy (PBV)" by Bromiley and Rau (2014). While the goal of "PBV" points to the potential of standard practices to generate performance differentials (in contrast to the Resource Based View), it marginalizes well-known insights from practice theory more widely. In particular, by limiting its focus to practices, i.e. "what" practices are used, it underplays the implications of "who" is engaged in the practices and "how" the practices are carried out. In examining practices in isolation, the "PBV" carries the serious risk of misattributing performance differentials. In this paper, we offer an integrative practice perspective on strategy and performance that should aid scholars in generating more precise and contextually-sensitive theories about the enactment and impact of practices as well as about critical factors shaping differences in practice outcomes.

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**ON THE RISK OF STUDYING PRACTICES IN ISOLATION: LINKING WHAT, WHO  
AND HOW IN STRATEGY RESEARCH**

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## ON THE RISK OF STUDYING PRACTICES IN ISOLATION: LINKING WHAT, WHO AND HOW IN STRATEGY RESEARCH

This paper challenges the recent focus on practices as stand-alone phenomena, as exemplified by the so-called “Practice-Based View of Strategy (PBV)” by Bromiley and Rau (2014). While the goal of “PBV” points to the potential of standard practices to generate performance differentials (in contrast to the Resource Based View), it marginalizes well-known insights from practice theory more widely. In particular, by limiting its focus to practices, i.e. “what” practices are used, it underplays the implications of “who” is engaged in the practices and “how” the practices are carried out. In examining practices in isolation, the “PBV” carries the serious risk of misattributing performance differentials. In this paper, we offer an integrative practice perspective on strategy and performance that should aid scholars in generating more precise and contextually-sensitive theories about the enactment and impact of practices as well as about critical factors shaping differences in practice outcomes.

**Key words:** practice perspective; strategy as practice; strategy formulation; strategy practitioners

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**ON THE RISK OF STUDYING PRACTICES IN ISOLATION: LINKING WHAT, WHO  
AND HOW IN STRATEGY RESEARCH**

**INTRODUCTION**

Economists have recently built up a stream of research identifying best practices in management and determining the effect of their implementation (Bloom and Van Reenen 2007, 2010; Bloom et al. 2011, 2012). This work, primarily relying on survey methods and experimental manipulation of practice implementation, has highlighted the performance impacts of practice differences. It is on this basis that Bromiley and Rau (2014: 1249) have proposed their so-called “Practice-Based View of Strategy (PBV)”, focused on the “actual techniques managers might use to develop strategies or generally applicable firm practices.” Their goal is to take on the assumptions in the Resource Based View that performance differentials cannot accrue from publicly known (and therefore not rare and inimitable) techniques. Yet, in focusing on practices in isolation, the PBV neglects insights drawn from two decades of practice-theoretic and related research, notably in the field of strategy-as-practice (Golsorkhi et al. 2015). We argue that partial models that focus only on “best” practices in isolation are liable to misattribute performance effects. The aim of this paper is to build an integrative model in which the complex links between practices, the ways in which they are engaged, who engages them and their potential outcomes can be fully recognized.

In particular, this integrative model goes beyond the issue of *what* practices matter, the main focus of economists’ work on practices. Drawing on practice theory, we first introduce the issue of *who* applies and transfers practices, including middle managers and consultants as well as senior executives. We argue that without recognizing the status and backgrounds of those who

transfer and apply practices, we risk confusing effects arising from practices with effects arising from the legitimacy or skills of the practitioners involved. Second, we emphasize the importance of *how* these practices are actually enacted in the field. We argue that without close attention to the situated enactment of practices, observers are liable to overvalue formal practices, while undervaluing practice adaptations in context. In what follows, we explain the “what”, “who” and “how” (what those in the strategy-as-practice field call practices, practitioners and praxis) that underpin a practice perspective. Next we propose an integrative model that relates the what, who and how to a range of performance outcomes. We then illustrate how our integrated practice perspective can uncover surprising and significant findings for strategic management by presenting recent research that helps rethink core strategy topics. We conclude with some final reflections on the risk of misattributing performance differentials by focusing narrowly on “best” practices as proposed by Bromiley and Rau (2014). We offer general guidelines for a more comprehensive program of practice-oriented strategy research and highlight implications of this model for managers and educators.

### “WHAT”, “WHO” AND “HOW” IN PRACTICE THEORY

There are three elements of practice theory important to the “practice turn” current within the social sciences generally: we identify them here as the what, who and how of practice (e.g. Feldman and Orlikowski, 2011; Schatzki et al., 2001; Whittington, 2006; Jarzabkowski et al., 2007). Crucially, outcomes depend on the interaction of all three aspects.

The “what” aspect refers to those practices that are taken up within organizations. Research in strategic management has already elucidated some of these practices (e.g., Bromiley and Rau, 2014; Knott 2003; Dutta et al., 2003). Other, economics-based studies have named

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3 eighteen management practices and clustered them into three broad areas of monitoring, targets  
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5 and incentives (as summarized by Bloom and van Reenen, 2010). In surveys across vastly  
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7 different contexts of firms, industries and countries, these scholars have shown that substantial  
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9 gains in outcomes such as profitability and sales growth are correlated with best practice  
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11 adoption. In short, they find that practices matter; and we agree.  
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15         However, from a practice theory perspective, it is important also to attend to who  
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17 performs particular practices. Practices are developed, transferred and enacted by practitioners,  
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19 for instance senior and middle managers (e.g. Floyd and Lane, 2000; Balogun and Johnson 2004)  
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21 or strategy consultants (McKenna, 2012). Practices and practitioners are entangled. Hence, the  
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23 effect of practitioners may be an important omitted variable in the evaluation of the impact of  
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25 practices. For example, when standard best practices are implemented in a poorly performing  
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27 factory in India (Bloom et al., 2011), it may matter whether the people recommending the  
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29 practice are prestigious international consultants (as in their study) or local middle managers.  
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31 When attributing outcomes, therefore, it is necessary to consider how practice effects are  
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33 intertwined with practitioner effects.  
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39         The practice perspective also insists on the importance of understanding how practices  
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41 are enacted (i.e. praxis). Practices do not occur automatically and un-problematically. Rather,  
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43 they are enacted in context, often in ways that vary considerably from their espoused pattern  
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45 (Feldman and Pentland, 2003). Such variations are not necessarily failures of practice, but rather  
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47 necessary adaptations or improvisations in changing circumstances. Lozeau, Langley and Denis  
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49 (2002), for example, showed how public-sector organizations adapted planning practices and  
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51 quality management practices originating from the private sector into their specific contexts.  
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53 Sometimes such adaptations of practices are also strategic, such as when firms deliberately  
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3 decouple what they say they do from what they actually do (Fiss and Zajac 2004). These  
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5 deviations can be enormously generative, enabling, for example, changes in firm strategy  
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7 (Mirabeau and Maguire, 2014). In other words, there is often a gap between apparent practice  
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9 and what happens on the ground, with improvisations and workarounds important for achieving  
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11 desired outcomes. Hence when assessing the effectiveness of espoused practices (i.e., those that  
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13 can be described in a survey), scholars would be well advised to include an understanding for  
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15 how practices are actually enacted in context.  
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20 A more integrated practice perspective holds that it is important to examine practices in  
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22 context, attending to who engages them and how they work. Such a practice perspective also  
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24 emphasizes that strategic outcomes depend on the interaction of the what, who and how of  
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26 practices. This leads us to propose an integrated model of strategy practice.  
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### 31 32 **AN INTEGRATIVE MODEL OF STRATEGY PRACTICE**

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34 Figure 1 offers a model for an integrated practice-theoretic approach to strategic management  
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36 research. This model contrasts with the “PBV” proposed by Bromiley and Rao (2014), which  
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38 focuses exclusively on the existence of standard, imitable practices across firms. In that model,  
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40 practices are shown to mediate between frequently considered explanatory variables in strategic  
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42 management and firm performance. Our model separates the elements and linkages between  
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44 them in order to facilitate direct comparison with Bromiley and Rao’s Figure 3. As such, the  
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46 model is deliberately schematic. In practice, the elements are highly entangled with each other:  
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48 for example, practitioners are inseparably carriers of practices, while practices have only a  
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50 virtual existence outside of praxis. However, with this model we show that the relationship  
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52 between practices and economic performance cannot be understood without taking into account  
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not only “what” practices exist but also “who” implements them and “how.” By comparison with earlier strategy-as-practice frameworks (Whittington, 2006; Jarzabkowski et al, 2007), our model is also more explicit with regard to linkages between its elements, particularly with regard to outcomes.

It is by integrating the what, who and how of practices that scholars can trace the links between firm practices and heterogeneous firm performance. However, while economic performance is one extremely important outcome, a practice perspective can also help us understand other, often more proximate, outcomes. In particular, our model underlines potential feedback effects on the practices themselves as well as on the actors and the way they enact the practices. While the elements of this model are not new to the field – as they have been well-documented through 20 years of strategy-as-practice research and related studies in the broader strategic management field – the model does integrate the insights from practice research in a manner that explicitly connects practices to performance.

--- Insert Figure 1 about here --

**What practices?**

Our model is similar to Bromiley and Rau’s (2014) proposal in seeing practices as fundamental to performance, but treats these practices differently in two key respects: in highlighting multiple interdependencies between practices and in attending to non-standard, and especially new, practices.

Figure 1 highlights the potential for interdependency of effects between many practices (Practice<sub>1</sub> to Practice<sub>n</sub>). Strategy research has long highlighted the importance of considering complementarities in organizations (e.g., Teece, 1984, Whittington et al, 1999). This is equally important in the domain of practices. The effects of a practice will vary according to the presence

or absence of other practices. Indeed, practice theory generally analyzes practices as “bundles” rather than singly (Nicolini, 2012; Seidl and Whittington, 2014). Pascale’s (1990) analysis of General Electric, while not explicitly a study of practices, highlights the kinds of interdependencies that are important. Here, he finds that the introduction of new strategic planning practices relied upon the strong financial and analytical practices that the company already had in place. Similarly, Mirabeau and Maguire (2014) show how practices of strategy articulation are entangled with mobilizing support, manipulating strategic context, and altering structural context. Moving beyond studies of isolated practices to investigate which practices are typically combined and how their interdependence shapes performance outcomes should avoid mis-attribution of the effects of any single practice.

Further, it is important to understand not just existing “best” practices but the creation of new, non-standard strategy practices. As Ghemawat (2002) observes, the strategy field is particularly innovative in terms of practices. While there may be performance effects of the adoption of standard practices and techniques, practice innovations are potentially another source of competitive advantage. Strategy practices in particular are changing in contemporary conditions: for example, social media technologies are currently challenging old top-down strategic planning processes and generating new practices such as the strategy and innovation jams popularized by IBM (Bjelland and Wood, 2008; Whittington et al. 2011). With the decline of research on strategic planning practices (Ronda-Pupo and Guerras-Martin, 2012), such new practices are liable to be neglected.

### **Who engages in practices?**

An integrative practice perspective moves beyond practices to include the human actors who perform them (Feldman and Pentland, 2003; Feldman and Orlikowski, 2011; Whittington 2003).

As Figure 1 indicates, practices are strongly shaped by the practitioners who develop and advocate them. The specific characteristics of different types of practitioners – in terms of cognitive traits (Powell et al., 2011), roles (Mantere, 2008) and organizational positions (Balogun and Johnson, 2004) – will have strong implications for practice use in different firms. This is consistent with Gavetti and Rivkin’s (2007) insight that Internet company Lycos, an organization dominated by engineers, had different strategy search practices to those of Yahoo, an organization dominated by marketers.

Accordingly, inferences about the relationships between practices and performance are insecure if we do not account for the practitioners involved and the varying effects they can have (Suddaby et al, 2013). The same practice may have different performance outcomes when introduced by a prestigious consulting firm, by a powerful CEO or by a middle manager. Similarly, strategy practitioners may be more or less successful in their use of particular strategizing practices, according to their social skills and the contexts in which they operate (Jarzabkowski, 2008).

Our model also suggests certain feedback effects of the use of practices on practitioners in terms of their identities, skills and career prospects. For example, Oakes, Townley, and Cooper (1996) show how the introduction of planning practices in a new context can change actors’ professional identities, so altering their actions in successive planning episodes. Repeated engagement in strategy-making may improve practitioners’ skills, as they learn to perform their practices more effectively (Jarzabkowski, 2008). And, some strategy practices, such as coalition building around particular cognitive frames may enable some people who are quite low in an organizational hierarchy to gain power and therefore shape strategic choice and action (Kaplan 2008; Lechner and Floyd 2012). Indeed, Mantere (2005) shows that the adaptability of practices

is critical in either enabling or disabling managerial attempts to influence strategy.

### **How are they practiced?**

As shown in Figure 1, how practices are enacted mediates between practices and outcomes. It is, therefore, risky to consider practices without taking these dynamics into account. Gibbons and Henderson (2013) warn that detailed observation in the field to elucidate what managers actually do should be an essential complement to the Bloom and van Reenen (2010) tradition of survey research on practices. The danger of superficial understandings of practices is one of misattribution: espoused practices are held out as examples to imitate and adopt, when it is improvisations and workarounds that are really making the practices work (e.g. Orlikowski, 1996). For example, the application of Total Quality Management (TQM) as a best practice has been shown to vary both from its technical specifications and in its use across firms, leading to varying attributions of success from practice adoption (Zbaracki, 1998; Kennedy and Fiss 2009).

Practices are rarely blueprints that can simply be plugged into a context in unproblematic ways, as their use will be shaped by practical adaptations associated with specific contexts or practitioners' needs (Mantere, 2005; Seidl 2007). Moreover, close observation of practice adaptation may reveal sources of potential practice innovation. If we move beyond views of practices as largely transferred intact between contexts and actors, to understanding *how* those practices are enacted locally in practice, often in ways that make them barely recognizable to their originators, we may develop theories about the critical role of practice adaptation or even practice transformation in generating performance outcomes (Jarzabkowski and Kaplan, 2015).

### **What are the outcomes?**

Our model also would inform a wider approach to practice outcomes to allow us to consider not just firm performance but also impacts on the practices themselves. That is, the enactment of

practices feeds back on those practices. For example, Fligstein’s (1993) study shows how adoption of practices of diversification and divisionalization by exemplar firms changes the stock of legitimate practices in other firms’ contexts, making them more likely to adopt these practices in following periods. Given these self-reinforcing effects, new practices need particular scrutiny. Strategy as a domain may be over-susceptible to novelty, thanks in part to consultants (McKenna 2012): market failures make it possible for specious or dangerous strategy practices to take hold, as for example those surrounding the “dotcom” boom and bust (Ghemawat, 2002). New strategy practices demand research, not only because they may be sources of advantage, but also because they can quickly have damaging impacts upon the economy at large (March 2006).

Close observation of practice enactment can also illuminate other outcomes salient to both firms and individual practitioners (Jarzabkowski and Spee, 2009; Guérard et al. 2014). For example, Kaplan (2011) shows how practices associated with working on PowerPoint documents can shift who participates in strategy making by giving voice to people at different levels of the hierarchy. Langley (1989) shows how strategic analysis practices not only assist in strategic choices, but serve social and symbolic functions such as group cohesion and communications. Which functions are served depends in part on how the strategic analysis practices are enacted.

**RETHINKING STRATEGY FROM AN INTEGRATED PRACTICE PERSPECTIVE**

To illustrate how the integrated practice perspective of our model can uncover surprising and significant findings for strategic management, we point to recent research in leading journals that helps rethink core strategy topics. For the purposes of illustration, we focus on insights for the resource-based view, the gap between deliberate and emergent strategy, and the alignment between corporate and business unit strategy. Each example attends to the what, who and how of

practices. In each case, we show how studies of strategy-as-practice call into question certain findings or suggest contextual or boundary conditions on what have been positioned as broad conclusions. We also highlight how in each case consideration of practices in isolation, as implied by Bromiley and Rau's (2014) "PBV", obscures important effects.

### **New ideas about the Resource-Based View**

The resource-based view of strategy assumes that initial conditions and path dependence lead to competitively distinctive capabilities across organizations (Barney, 1991; Peteraf, 1993; Amit and Schoemaker, 1993). Path dependence has traditionally been portrayed as a deterministic process (Stinchcombe, 1965) where changing paths is difficult (Dosi, 1982). By taking a practice perspective, however, Kaplan and Orlikowski (2013) demonstrate that it is possible to create new paths through attention to the temporal practices of strategy-making. By closely observing the daily practices of strategy makers, they find that the past is as much subject to interpretation as is the future. Their study concludes that forecasts that take an organization in a new direction are only possible to the extent that the organization's history is engaged in this new narrative. That is, the espoused practice for the organization was "forecasting," but the actual practice as enacted by strategy makers involved constructing strategic narratives. Thus, work to negotiate interpretations of the past, present and future shape the degree and direction of deviations from an original path. This research suggests that history matters but not in a purely deterministic way. Instead, when new projections of the future lead to reimagining the past, organizations can follow newly constructed paths. These findings offer insights into the degree to which resources and capabilities can be valuable, rare, inimitable and nonsubstitutable. For example, practices that were no longer valuable can become valuable again through new interpretations by actors that are constructed into new narratives about the future of the organization. That is, the how of

practice enactment transforms the what of the original practices.

**Reconciliation of emergent and deliberate strategies**

Many studies of strategy process portray it as an emergent pattern in a stream of actions (Mintzberg and Waters, 1982; Brown and Eisenhardt, 1997). Yet there is substantial evidence that organizations engage in deliberate strategy making, often through strategic planning practices (Grant, 2003; Joseph and Ocasio, 2012; Menz and Sheef, 2014; Brews and Hunt 1999). A practice perspective helps to see that this tension between deliberate planning practices and emergent strategies represents a false dichotomy. In depth observations of practice show that managers' different strategizing (Jarzabkowski, 2008), discursive (Rouleau and Balogun, 2011) and legitimizing practices (Paroutis and Heracelous, 2013) advance deliberate strategies. Further, managers adapt their supposedly deliberate intentions continuously in response to the strategies that emerge from the autonomous actions of managers at multiple levels of the firm (Mirabeau and Maguire, 2014). Thus, the tension between deliberate and emergent strategizing in the literature exists because of assumptions that deliberate strategy practices, such as planning, are enacted as formally described.

By contrast, close study of strategy making as it happens shows how these practices are in fact interpreted, manipulated, and improvised in many different ways by actors, according to their social skill (Jarzabkowski, 2008; Paroutis and Heracleous, 2013), and their relative position as in the organization (Balogun and Johnson, 2004; Mantere and Vaara, 2009; Rouleau and Balogun, 2011). Hence, when we study the actual praxis of strategy making and widen the scope of study beyond top managers, we see that strategy is neither deliberate nor emergent. Rather, emergence involves continuous deliberation, in which who is doing the strategizing (e.g. operational, middle or top managers) and how they do it, shapes the pattern that emerges in



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3 distinctive ways. The association of formal strategic planning practices wholly with deliberate  
4 strategy is a misleading exaggeration.  
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### 7 8 **Rethinking the benefits of alignment between corporate and business unit strategy** 9

10 Strategic alignment of corporate and business unit strategies, particularly within the multi-  
11 divisional or multinational firm (Bouquet and Birkinshaw, 2008a; 2008b) is typically considered  
12 to be a desirable objective for firms. Hence, there has been considerable empirical focus on the  
13 relative effects of corporate or business unit influence on firm performance (McGahan and  
14 Porter, 1997) and examination of the structures that best enable such alignment (Collis et al.,  
15 2007; Joseph and Ocasio, 2008).  
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18 However, a practice perspective – in examining the interactions between managers at  
19 different levels of hierarchy and in different geographic regions – shows us that making  
20 alignment work on the ground involves a great deal of local adjustment that may deviate from  
21 corporate policies, albeit often in positive ways for the firm. For example, multi-level studies of  
22 managerial influence suggest that misalignment, far from being damaging, may be critical for  
23 preserving key strategic markets, branding and skills within business units (Balogun,  
24 Jarzabkowski and Vaara, 2011), generating strategic innovations that radically alter firm strategy  
25 (e.g., Regnér, 2003), and enabling firms to adjust to the complexities of delivering strategy in  
26 multiple contexts with diverse stakeholders (Rouleau and Balogun, 2011). Such findings run  
27 counter to the concepts of corporate control and strategic alignment that seek to understand how  
28 firms can perform consistently despite the complexity of the multiple contexts of their business  
29 units (Kreutzer et al., *forthcoming*; Luo and Park, 2001). Rather, an integrative practice  
30 perspective posits that perfect execution of alignment practices would actually be dysfunctional,  
31 while misalignments between the corporate center and divisions, with their associated conflicts,  
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may be important mechanisms for enhancing strategic performance.

The few studies referred to here only hint at the potential for an integrated practice perspective to change the way we think about core areas of strategic management research. A practice perspective can furnish insights into the who, what, and how of strategy-making, bringing to the fore a wider range of actors than are typically studied in strategic management research, and examining what practices they use, including their discourses, technologies, and formal meeting structures, in order to explain how strategy is done. This can include not only strategy formulation and change, but also how strategies emerge, how they are maintained, and what constitutes success for different actors in these endeavors.

**CONCLUSION**

This paper was motivated by a concern about the narrow focus on “best” practices in strategy research as advocated by Bromiley and Rau (2014). Drawing on a recent stream of economics-based studies by Bloom and colleagues on the distribution of standard practices across organizations, industries, and countries (Bloom and Van Reenen 2007; 2010; Bloom et al. 2011, 2012), Bromiley and Rau have called for studies in strategic management to focus on the effect of practices on firm performance. While we share their interest in practices, we warn against Bromiley and Rau’s neglect of two decades of practice-theoretic and related research.

Indeed, their attempt to relate practices statistically to performance may be inherently misguided and illusory for a variety of reasons, many of them raised by March and Sutton (1997). The chain of causality is too long and dependent and independent “variables” are not entirely separable (for example, achieving economic performance is a practice too). The practice-theoretical perspective raised here shifts attention away from such variable-based reasoning,

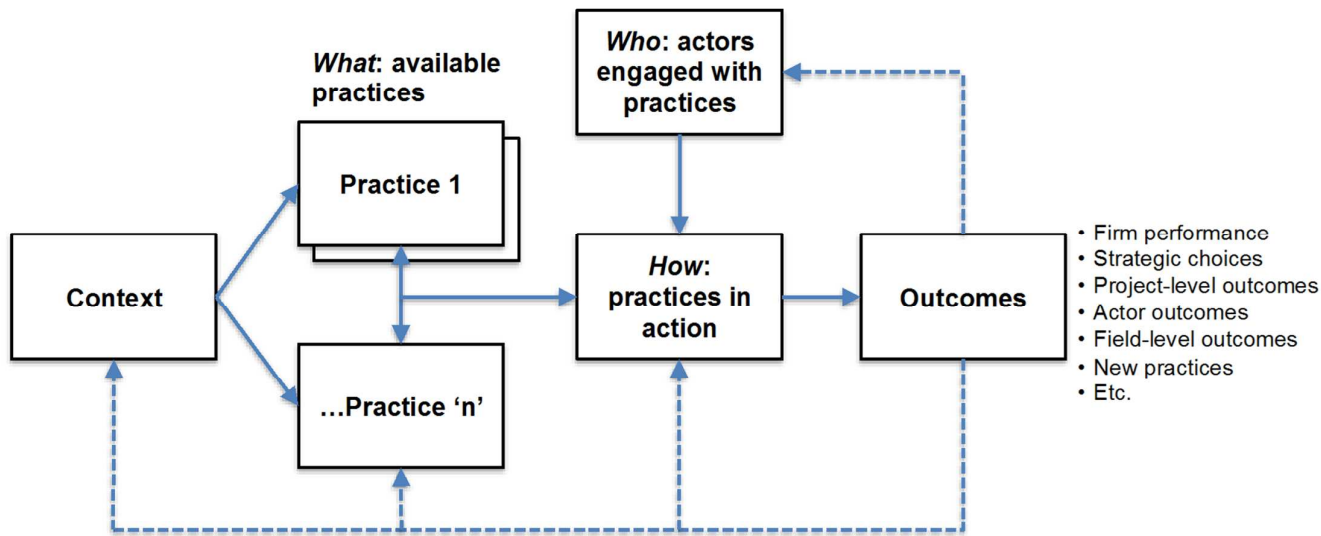
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3 highlighting entanglement and interdependence. Bromiley and Rau's (2014) elevation of  
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5 practices above praxis and practitioners is thus liable to lead to faulty conclusions. Without an  
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7 appreciation of the mutual dependence between the what, who and how, strategy research runs  
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9 the risk of misattributing performance differentials and, as a consequence, offering misleading  
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11 advice to strategy practitioners.  
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15 In conclusion, in this paper we offer a practice perspective on strategy that integrates  
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17 insights from different practice approaches and related research from the broader strategic  
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19 management field. We show how this integrated perspective can help rethink core strategy  
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21 topics, and generate further research in these areas. By extending research to incorporate both a  
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23 richer notion of interdependent practices and a more comprehensive attention to practitioners and  
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25 their enactment of practices, scholars are more likely to come to robust conclusions regarding the  
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27 efficacy of practices and their link to other outcomes. Our proposed integrative practice model  
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29 will therefore aid scholars in generating more accurate and contextually-sensitive theories about  
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31 the enactment and impact of practices and the critical factors shaping variation in both processes  
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33 and outcomes.  
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Figure 1: A Schematic Model of Strategy Practice



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For Peer Review



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