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1 **A Hybrid Stabilization Technique for Simulating Water Wave – Structure**  
2 **Interaction by Incompressible Smoothed Particle Hydrodynamics (ISPH)**  
3 **Method**

4  
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17  
18  
19 **ABSTRACT**

20 The Smoothed Particle Hydrodynamics (SPH) method is emerging as a potential tool  
21 for studying water wave related problems, especially for violent free surface flow and  
22 large deformation problems. The incompressible SPH (ISPH) computations have been  
23 found not to be able to maintain the stability in certain situations and there exist some  
24 spurious oscillations in the pressure time history, which is similar to the weakly  
25 compressible SPH (WCSPH). One main cause of this problem is related to the  
26 non-uniform and clustered distribution of the moving particles. In order to improve  
27 the model performance, the paper proposed an efficient hybrid numerical technique  
28 aiming to correct the ill particle distributions. The correction approach is realized  
29 through the combination of particle shifting and pressure gradient improvement. The  
30 advantages of the proposed hybrid technique in improving ISPH calculations are  
31 demonstrated through several applications that include solitary wave impact on a  
32 slope or overtopping a seawall, and regular wave slamming on the subface of  
33 open-piled structure.

34  
35  
36 **Keywords:**

37 Hybrid stabilization; ISPH; minimum pressure; particle shift; wave impact

## 38 **1. Introduction**

39 The Smoothed Particle Hydrodynamics (SPH) technique is a Lagrangian mesh-free  
40 numerical method, which was originally introduced by Lucy (1977), and Gingold and  
41 Monaghan (1977) to solve the astrophysical problems. In recent years, the SPH  
42 method has been successfully used in free surface flow simulations. In an SPH  
43 computation, the particles are discretized by the moving nodes and they carry field  
44 variables such as the pressure, density and velocity. The smoothing kernels are used to  
45 approximate a continuous flow field.

46 The incompressibility of fluid can be imposed in two different ways in an SPH  
47 numerical scheme. Originally, the simulation of incompressible fluid flows was  
48 through a weakly compressible SPH formulation (WCSPH), in which the water was  
49 considered as slightly compressible and its pressure was related to the density through  
50 an equation of state. Thus an artificially specified sound speed has to be introduced  
51 (Monaghan, 1994). The WCSPH approach has quite a few advantages, such as that it  
52 is easy to program and does not need to solve the pressure boundary value problem.  
53 However, at least two weaknesses emerged during its application to the water wave  
54 problems (Lee et al., 2008; Rafiee et al., 2012): (a) the use of very small time steps;  
55 and (b) significant spurious pressure fluctuations in the spatial and temporal domains.

56 To overcome the limitation of WCSPH, a strictly incompressible SPH (ISPH)  
57 approach has been proposed by Shao and Lo (2003) based on the SPH projection  
58 method initiated by Cummins and Rudman (1999) to simulate the free surface flows.  
59 In ISPH approach the water is considered as truly incompressible with a constant  
60 density. The method projects the intermediate velocity field to a divergence-free space  
61 by solving a Poisson equation of pressure (PPE). It employs a strictly incompressible  
62 SPH formulation, and thus the CFL condition is based on the fluid velocity rather than  
63 the speed of the sound. Therefore, the pressure is not an explicit thermodynamic  
64 variable obtained through an equation of the state such like in WCSPH, but obtained  
65 through a hydrodynamic equation. For the ISPH modeling techniques, there are  
66 mainly two types of the formulation, i.e. the density-invariant ISPH (Shao and Lo,  
67 2003) and velocity divergence-free ISPH (Lee et al., 2008). The ISPH has also been  
68 widely applied in the field of water wave dynamics (Khayyer et al., 2008; Lind et al.,  
69 2012). According to the comparative studies carried out by Lee et al. (2008) and  
70 Violeau and Leroy (2015), the time step used for the ISPH can be five times larger. In  
71 addition, the computational results from ISPH could be much more stable and  
72 accurate than those from the WCSPH without extra smoothing techniques (Zheng et

73 al., 2014). However, Shadloo et al. (2011; 2012) and Hughes and Graham (2010)  
74 noted that the inclusion of certain numerical treatments could significantly enhance  
75 the performance of WCSPH. On the other hand, we should also realize that the  
76 turbulent flows involve more complex particle convections and free surface  
77 deformations, which has more stringent requirement on the pressure solution schemes.  
78 In addition, as indicated by Gotoh and Khayyer (2016), one distinct advantage of  
79 ISPH corresponds to its superior volume conservation properties. It should be realized  
80 that the SPH approaches have been recently expanded to solve the shallow-water  
81 equations (SWEs) where the flow is over large domain and the vertical variation of  
82 parameters of interest is not demanding (Chang et al., 2016; Chang et al. 2017).

83 The wave impact loadings on structure constitute an important practical problem  
84 with highly distorted free-surface motion. For the SPH application in this field,  
85 considerable progress has been made in the investigation of wave-structure  
86 interactions, such as documented by Khayyer and Gotoh (2011), Rudman and Cleary  
87 (2016) and Ren et al. (2016). According to the extensive computations in engineering  
88 practice, it has been found that the homogeneity of particle distributions plays an  
89 important role in the accuracy and robustness of the SPH models. The formation of ill  
90 particle distributions could significantly degrade the SPH numerical accuracy and lead  
91 to the failure of correct solutions.

92 There have been some remedies which were proposed to address this issue. For  
93 example, Monaghan (2000) introduced an additional set of stress node at the points  
94 other than the SPH particle locations to address the tensile instability, which was  
95 mainly proposed for WCSPH. As for ISPH, Khayyer and Gotoh (2011) and Gotoh et  
96 al. (2014) proposed an error compensating scheme to minimize such numerical errors.  
97 Following the similar concept, to maintain a more uniform particle distribution,  
98 Sriram and Ma (2012) proposed that the pressure of reference particle should be  
99 replaced by the minimum pressure of all neighboring particles when calculating the  
100 pressure gradient, based on the original idea of Koshizuka and Oka (1996) and  
101 improved by Khayyer and Gotoh (2013) in the Moving Particle Semi-implicit (MPS)  
102 method.

103 Another numerical scheme to improve the particle distribution is through the  
104 shifting of particle positions directly. Xu et al. (2009) initially used this idea to correct  
105 the non-uniformity of particle distributions. Recently a more efficient method based  
106 on the Fick's law for adjusting the particle distributions has been introduced by Lind  
107 et al. (2012) and Skillen et al. (2013). Besides, Shadloo et al. (2012) also proposed

108 a particle fracture repairing procedure and a corrected SPH discretization scheme to  
109 eliminate the instability induced by the particle clustering. The improved model  
110 performance has been demonstrated in the benchmark water wave propagations and  
111 wave-body interactions. However, we have found from various tests of violent water  
112 wave impact on fixed structures, especially those involve longer simulation time, the  
113 above-mentioned approaches could face some challenges at the free surface because  
114 the shifting scheme is a function of the gradient of concentration field. This challenge  
115 is highlighted by Khayyer et al.(2017a), where a correction for elimination of shifting  
116 normal to the free-surface is proposed. Despite that the particle shifting algorithm may  
117 partially violates the principle of volume conservation for free-surface flows (Nair and  
118 Tomar, 2015; Pahar and Dhar, 2016), the issues of particle non-homogeneity have  
119 been well resolved.

120 To make full use of the potentials of available practice, this paper introduces a  
121 hybrid ISPH model by combining the particle shifting algorithm of Xu et al. (2009)  
122 and minimum pressure idea of Sriram and Ma (2012). The improved numerical  
123 scheme would be expected to effectively eliminate the particle clustering/stretching  
124 issues and make the particle/pressure distributions more stabilized in wave impact  
125 simulations.

## 126 **2. Review of ISPH Methodology**

127 The governing equations used to solve the fluid problems in an ISPH method are  
128 the mass and momentum conservation equations. As there is no major improvement in  
129 the fundamental ISPH theory in present paper, Tab.1 briefly summarizes the ISPH  
130 solution algorithms, spatial derivative approximations and boundary treatments.  
131

## 132 **3. Hybrid Particle Stabilization Scheme**

133 This section first reviews the available stabilization approaches, followed by the  
134 proposal of a hybrid technique. Then a benchmark test is done to validate the accuracy  
135 of this new method.

### 136 **3.1. Existing stabilization techniques**

137 Among a variety of the particle stabilization algorithms reported in the literature, we  
138 have found the minimum pressure (MP) approach of Sriram and Ma (2012) provided  
139 an effective solution. When computing the pressure gradient, the minimum pressure  
140  $P_{\min}$  as illustrated in Fig. 1 in the influence domain of reference particle  $i$  is used  
141 instead of  $P_i$ , which is shown in Eq. (1). Here this approach is named as ISPH\_MP.

$$\nabla P_i = \sum_{j=1, j \neq i}^N \frac{n_{i,x_m} B_{ij,x_m} - n_{i,xy} B_{ij,x_k}}{n_{i,x} n_{i,y} - n_{i,xy}^2} (P_j - P_{\min}) \quad (1)$$

143 Nevertheless, we should realize that the force exerted on particle  $i$  by particle  $j$ ,  
 144 and on particle  $j$  by particle  $i$ , would not be the same, and thus the momentum is  
 145 not exactly conserved even if the number of particles in the sub-domain is identical  
 146 and also whether it is uniformly or irregularly distributed.

147 On the other hand, Xu et al. (2009) introduced an artificial particle displacement  
 148 (APD) method to prevent the particle clustering, which is named as ISPH-APD in this  
 149 paper. In this approach the trajectory of particles is re-distributed by adding a small  
 150 artificial displacement  $\delta \mathbf{r}_i^\zeta$  to the advection of the particles as

$$\delta \mathbf{r}_i^\zeta = \beta \sum_{j=1}^N \frac{r_{ij}^\zeta}{r_{ij}^3} r_0^2 V_{\max} \Delta t \quad (2)$$

152 where  $\beta$  is a problem-dependent parameter;  $\zeta$  is the direction  
 153 component;  $r_0 = \sum_{j=1}^N r_{ij} / N$  is the cut-off distance; and  $V_{\max}$  is the largest particle  
 154 velocity in the computational system. Here,  $N$  is the number of neighbours for  
 155 particle  $i$  in its support domain. The problem-dependent parameter  $\beta$  was  
 156 recommended to be 0.01 ~ 0.1 by Xu et al. (2009). It should be noted that  $\beta$  must be  
 157 selected carefully such that it should be small enough not to affect the physics of the  
 158 flow, but large enough to prevent the occurrence of particle clustering and fracture in  
 159 SPH simulation. The artificial particle displacement approach has also been used by  
 160 Shadloo et al. (2011), where  $\beta$  was kept constant as 0.01. Fig. 2(a) gives the  
 161 comparison between the experimental data and ISPH-APD results for the pressure  
 162 time history of a solitary wave impacting on the vertical wall (detailed in Section  
 163 4.2.1) with the parameter  $\beta = 0.01$ . From the stability in the pressure results and  
 164 reasonable agreement with the experimental data, we could fix this value in other  
 165 simulations as well.

166 Moreover, Lind et al. (2012) proposed another approach based on the Fick's law for  
 167 adjusting the particle distribution. This was further improved by Skillen et al. (2013),  
 168 in which a particle displacement vector  $\delta \mathbf{r}_s$  was used to update the particle position

$$\delta \mathbf{r}_s = -A h \|\mathbf{U}\|_i \Delta t \nabla C \quad (3)$$

170 where a value of  $A=2$  has been found to provide good compromise in Lind et al.  
 171 (2012),  $\|\mathbf{U}\|_i$  is the velocity amplitude of particle  $i$ , and  $\nabla C = \sum_{j=1}^N V_j \nabla_i W(\mathbf{r}_{ij})$  is  
 172 defined, in which  $V_j$  is the volume of particle.

173 Fig. 2(b) gives the comparison between experimental data and SPH results for the

174 same case as Fig. 2(a) but using the particle shifting method of the Fick's law. It is  
 175 shown that this approach still generates some spurious oscillations in the pressure  
 176 time history. As mentioned before, the reason could be attributed to that the shifting  
 177 scheme is based on the function of the concentration gradient, which cannot be  
 178 accurately calculated near the free surface. Therefore, we would use ISPH\_APD as a  
 179 viable approach in this work.

### 180 3.2. A hybrid stabilization scheme

181 In order to further improve the ISPH modelling capacity, here we introduce a hybrid  
 182 particle stabilization technique to improve the numerical stability through correcting  
 183 the irregular particle distributions, by combining the ISPH\_MP and ISPH\_APD in  
 184 Section 3.1. In principle it uses the minimum pressure in the influence domain of  
 185 reference particle  $i$  to replace the actual pressure of this particle for calculating the  
 186 pressure gradient, and meanwhile adds a small artificial displacement  $\delta \mathbf{r}_i^\zeta$  to the  
 187 advection of the particle. This hybrid approach is named as ISPH\_MPAPD in the  
 188 paper. After some numerical trials, it has been found that a value of  $\beta = 0.001 \sim$   
 189  $0.01$  for  $\delta \mathbf{r}_i^\zeta$  would be appropriate for modelling the violent water wave impact. It  
 190 has also been noted that since the physical velocity of a particle is different from the  
 191 velocity with which the particle position is shifted with  $\delta \mathbf{r}_i^\zeta$ , we should interpolate  
 192 the physical velocity to the new position of the particles in the next computational  
 193 cycle. The same interpolation technique as used by Xu et al. (2009) is also adopted  
 194 here as

$$195 \quad \mathbf{u}_{i'} = \delta \mathbf{r}_{i'} \mathbf{u}_i \quad (4)$$

196 where  $i$  and  $i'$  refer to the old and new values, respectively; and  $\delta \mathbf{r}_{i'}$  is the  
 197 distance vector between the two particles.

198 To examine whether or not Eq. (4) still satisfies the pressure Poisson equation PPE,  
 199 Fig. 3(a) and (b) give the time history of the averaged velocity divergence and the  
 200 impact pressure, computed with and without the SPH interpolation technique.  
 201 Meanwhile, the analytical solutions and experimental data (Zheng et al., 2015) are  
 202 also provided for the validation purpose. The numerical test is for the solitary wave  
 203 propagation which will be detailed in Section 4.2.1. It can be seen that there is almost  
 204 no difference observed between the two ISPH results. So we could judge that this  
 205 interpolated velocity field should still satisfy the PPE.

### 206 3.3. Model test on vortex spin-down

207 To validate the proposed hybrid method, a vortex spin-down simulation following  
 208 Xu et al. (2009) is conducted. In this study a vortex is bounded by the four walls and



209 placed in the middle of the domain, as shown in Fig. 4. The initial velocity field is  
 210 given by  $u = U_0(y - 0.5)$  and  $v = U_0(0.5 - x)$  inside a unit square, where  $D = 1.0$   
 211 m is the width of the square and  $U_0 = 1$  m/s is the velocity scale. The kinematic  
 212 viscosity  $\nu$  is taken  $0.001$  m<sup>2</sup>/s and the vortex spin-down process is simulated for  
 213 the Reynolds number  $Re = 1000$ .

214 Fig. 5(a) - (d) show the comparisons of particle distribution computed by using the  
 215 standard ISPH, ISPH\_MP, ISPH\_APD and ISPH\_MPAPD, respectively, at time  $t =$   
 216  $1.0$  s. The particle number in the  $x$  direction is  $N_x = 60$ . The traditional ISPH model  
 217 cannot achieve the converged result and the computation breaks at  $t = 0.53$  s. From  
 218 the comparisons between three particle stabilization methods, the result of ISPH\_APD  
 219 and ISPH\_MP still demonstrates particle clustering and stretching patterns near the  
 220 corner region, as clearly demonstrated by the enlarged portion of the particle  
 221 distributions at  $0 < x < 0.25$  and  $0 < y < 0.25$ . In contrast, the hybrid ISPH\_MPAPD  
 222 computation has obtained the most satisfactory particle distributions.

223 In order to quantify the accuracy of different particle stabilization methods, Fig. 6(a)  
 224 gives the comparison of horizontal velocity components at  $x = 0.5$  m and  $t = 1.0$  s.  
 225 Here the particle number in the  $x$  direction is  $N_x = 200$ . The reference value of the  
 226 velocity component was provided by Xu et al. (2009) using the STAR-CD. It shows  
 227 that all ISPH computations achieved good agreement with the STAR-CD results.  
 228 Besides, Fig. 6(b) gives the convergence test on the horizontal velocity component,  
 229 where  $N_t$  is the total particle number at different values of 3600, 6400, 10000 and  
 230 40000, respectively. The relative error  $Err$  is defined as

$$231 \quad Err = \frac{1}{N_y} \sum_{j=1}^{N_y} \sqrt{(u_j - u_{j,s})^2} \quad (5)$$

232 where  $u_j$  and  $u_{j,s}$  are the horizontal velocity components computed by ISPH and  
 233 STAR-CD, respectively,  $N_y$  is the particle number in the  $y$  direction. It is shown  
 234 that the hybrid ISPH\_MPAPD computation achieved the smallest errors as compared  
 235 with either ISPH\_MP or ISPH\_APD results. However, we should also realize that all  
 236 three ISPH numerical schemes are below first-order accurate in the convergence  
 237 behaviour when the particle distribution becomes disordered, in spite of the use of  
 238 various correction techniques.

239 To demonstrate the time history of velocity variations, Fig. 7(a) gives the maximum  
 240 velocity computed by different ISPH particle stabilization methods with  $N_x = 200$ ,  
 241 in which  $u_{\max} = \max(|U_i|)$  is defined and  $i$  is the index of particle. It shows that the  
 242 ISPH\_MP computations demonstrate some kinds of oscillation in the velocity time  
 243 histories, while both the ISPH\_APD and ISPH\_MPAPD results are quite stable and  
 244 smooth. To further investigate the convergence behaviour of ISPH\_MPAPD, Fig. 7(b)

245 gives the comparison of maximum velocity time histories for different particle  
246 numbers at  $N_x = 60, 80, 100$  and  $200$ , respectively. Again the close overlap of four  
247 computational curves and the noise-free velocity profiles indicate the convergence of  
248 the model.

249 Since pressure field is the most sensitive one to the particle disorder and instability,  
250 Fig. 8(a) - (c) give the comparisons of pressure distribution computed by  
251 ISPH\_MPAPD at time  $t = 1.0$  s with different total particle numbers of  $N_t = 3600,$   
252  $10000$  and  $40000$ , respectively. It shows that with an increase in the particle number,  
253 the pressure distributions become much more reasonable. This is further supported by  
254 the enlarged portion near the corner regions. Besides, Fig. 9 gives the comparison of  
255 pressure profiles at  $x = 0.0$  m between different ISPH results with  $N_x = 200$  and the  
256 STAR-CD computation made by Xu et al. (2009). From this it is shown that  
257 ISPH\_MPAPD can get the best agreement with STAR-CD, while ISPH\_MP and  
258 ISPH\_APD significantly underestimate the pressure values in the centre domain.

259 To study the computational efficiency, Fig. 10 gives the comparisons of CPU time  
260 versus total particle number  $N_t$  for different particle stabilization schemes, where  
261  $T$  is the CPU time measured in seconds. It demonstrates that ISPH\_MP consumes  
262 the longest CPU time especially at high particle numbers, since it requires more  
263 iterations to solve the pressure Poisson equation under particle clustering or stretching.  
264 On the other hand, the irregular particle distributions have less influence on the  
265 numerical iterations in an ISPH-APD scheme, which takes similar CPU expenses as  
266 the ISPH\_MPAPD.

#### 267 **4. Model Applications in Wave Impact**

268 In this section, to test the effectiveness of the hybrid ISPH\_MPAPD on modelling  
269 the violent water wave impact, we consider five practical applications. These include  
270 a dam break flow, solitary wave impact on the vertical and inclined walls, wave  
271 overtopping of an impermeable structure, and wave slamming on subface of an  
272 open-piled structure. The enhanced performance of ISPH\_MPAPD will be  
273 demonstrated through the quantitative comparisons with standard techniques such as  
274 ISPH\_MP and ISPH\_APD, as well as the experimental data.

##### 275 **4.1. Dam-break flow impact on a vertical wall**

276 In this test a rectangular column of water is confined between the two vertical walls  
277 as shown in Fig. 11. The width of water column is  $L$  and the height is  $H$ . At  
278 beginning the dam is instantaneously removed and water is allowed to flow out along  
279 the dry horizontal bed.  $D$  is the length of horizontal section of water tank and a

280 pressure sensor  $P_1$  is located on the right wall at a vertical distance of  $h_1$  from the  
281 bottom. In the interpretation of numerical result, all variables and parameters are  
282 non-dimensionalised by the characteristic dam height  $H$  and gravitational  
283 acceleration  $g$ .

284 The following parameters are studied here:  $L = 0.5$  m,  $H/L = 2.0$  and  $D = 4L$ .  
285 To show the convergence of ISPH\_MPAPD model results, the time history of impact  
286 pressures at  $P_1$  computed by using different time steps and particle numbers are  
287 presented in Fig. 12(a) and (b), respectively. Here it should be mentioned that the  
288 computed pressures are obtained by the particle nearest to the measuring location  
289 which does not involve the samplings from neighbouring particle. It is shown from  
290 Fig. 12 that as the time step or particle spacing becomes smaller (i.e. when the particle  
291 number becomes larger), the difference between two adjacent numerical results  
292 becomes smaller. Also the numerical results become smoother and less fluctuating,  
293 following the refinement in spatial and temporal resolutions. These have clearly  
294 evidenced the convergence of numerical results in the temporal and spatial domains.

295 Besides, Fig. 13 gives the comparisons of wave front and water column height of  
296 dam break flow computed by three alternative ISPH methods. The numerical results  
297 are compared with the experimental data of Martin and Moyce (1952). It seems that  
298 very minor differences are found between them, which may imply that the water  
299 surface profiles are not very sensitive to the particular choice of particle stabilization  
300 schemes as compared with the impact pressure.

301 In order to further quantify the accuracy of different particle stabilization schemes,  
302 another benchmark dam break flow as documented by Colagrossi and Landrini (2003)  
303 is considered, where the dimensions  $L = 2.0$  m,  $H = 0.5L$  and  $D = 5.3667L$   
304 are used in Fig. 11. On the right wall, there is also a pressure sensor point  $P_1$  with  
305 height  $h_1 = 0.14H$  to record the impact pressure time history. For all controlled SPH  
306 simulations in this case, the particle numbers keep the same at  $120 \times 60$   
307 corresponding to a particle size of 0.0167 m. The time step is taken to be constant  $\Delta t$   
308  $= 0.003$  s. Fig. 14 illustrates the particle distributions by using different ISPH  
309 stabilization methods and the snapshots were extracted at time  $t = 2.775$  s. We could  
310 observe that there is a slight particle strip distribution in the ISPH\_MP results as  
311 shown in Fig. 14(a), and the particle distribution becomes disordered in the  
312 ISPH\_APD results as shown in Fig. 14(b). Overall speaking, the particle distributions  
313 computed by ISPH\_MPAPD seem to be most satisfactory as shown in Fig. 14(c).

314 The time histories of pressure at  $P_1$  computed by using different ISPH particle  
315 correction methods (with total particle number  $N_t = 7200$ ) are compared with the  
316 experimental data of Zhou et al. (1999) in Fig. 15. It shows that the pressure obtained  
317 by ISPH\_MPAPD is much better than that from the other two methods, i.e. ISPH\_MP  
318 or ISPH\_APD. The ISPH\_MP result exhibits a more obvious phase shift in the second

319 pressure peak, while the ISPH\_APD result demonstrates a much larger pressure  
320 oscillation. For the three ISPH results, their major differences appear after the second  
321 pressure peak. One reason could be due to the lack of two-phase water-air modelling,  
322 since the influence of air becomes increasingly significant during the second violent  
323 wave impact when the water column plunges down onto the surface and forms a  
324 cavity region. It has been recorded that the CPU expense (Intel i7 3.4 GHz with RAM  
325 8 GB) of present simulation is 324 s by using ISPH\_MP, 332 s by ISPH\_MP and 326  
326 s by ISPH\_MPAPD, respectively.

#### 327 4.2. Solitary wave impact on a vertical wall

328 In order to further evidence the effectiveness of improved particle stabilization  
329 technique, the analysis of numerical results of solitary wave impact on a vertical wall  
330 is provided below. The experiment of solitary wave propagation and its impact on a  
331 vertical wall was carried out by Zheng et al. (2015) in a 3-D wave flume with piston  
332 wave maker in Harbin Engineering University (HEU). The schematic diagram of the  
333 wave tank is shown in Fig. 16. The wave tank is 10 m long and the water depth is  $d$   
334  $= 0.25$  m. The solitary wave height is  $h = 0.15$  m, thus the wave nonlinearity is  
335  $\varepsilon = h/d = 0.6$ . A measurement point  $P_1$  is located on the right wall at a distance of  
336 0.05 m from the tank bottom to monitor the pressure time history. In ISPH  
337 computation the initial particle spacing is 0.01 m and the time step is 0.001 s.

338 Fig. 17 illustrates the particle distributions with pressure contour by using the  
339 original ISPH (Shao and Lo, 2003) and improved ISPH with different particle  
340 stabilization methods. The snapshots were extracted at time  $t = 1.2$  s after the wave  
341 is initiated. Under such a high wave-to-depth ratio, it would be very easy to generate  
342 the particle clustering in standard ISPH computation, which is illustrated in Fig. 17(a).  
343 On the other hand, it can be seen that these abnormal particle distributions can be  
344 corrected effectively by using the different stabilization techniques as shown in Fig.  
345 17(b) - (d). However, we could still find that there is a slight particle strip distribution  
346 in ISPH\_MP result as shown in Fig. 17(b). Besides, the particle distribution is slightly  
347 disordered in ISPH\_APD result as shown in Fig. 17(c). Overall speaking, the  
348 distribution of particles in ISPH\_MPAPD result is the most desirable, as shown in Fig.  
349 17(d), which demonstrates its superiority in predicting the pressure fields.

350 To investigate the conservation of volume for all ISPH models, Fig. 18 shows the  
351 time history of water particle volume variations during the wave propagation. It can  
352 be seen that ISPH\_MP and ISPH\_APD cannot satisfy the strict volume conservation,  
353 namely the mass conservation, while the proposed ISPH\_MPAPD has the best  
354 conservation performance. By analysis it was found that the relative volume errors are  
355 about 1.45% for ISPH\_MP, 1.24% for ISPH\_APD and only 0.71% for ISPH\_MPAPD  
356 in Fig. 18. Besides, the comparisons of wave surface profile at two time instants of  $t$

357 = 2.0 s and 3.1 s are shown in Fig. 19(a) and (b), respectively, which shows that all  
358 ISPH simulated free surfaces have an overall agreement with the analytical solution,  
359 although there are some differences in the wave crest. Here the relative errors in wave  
360 height are about 1.013% for ISPH\_MP, 5.153% for ISPH\_APD and 0.433% for  
361 ISPH\_MPAPD in Fig. 19(a), while they are 5.31% for ISPH\_MP, 2.5% for  
362 ISPH\_APD and 2.86% for ISPH\_MPAPD in Fig. 19(b). Generally speaking,  
363 ISPH\_MPAPD computation also shows the best accuracy and stability in the wave  
364 surface profiles.

365 Furthermore, the comparisons of wave impact pressure at sensor point  $P_1$  between  
366 the experimental data (Zheng et al., 2015) and numerical results by using different  
367 ISPH particle stabilization methods, are illustrated in Fig. 20(a) - (d). It should be  
368 mentioned that Fig. 20(a) is the superposition of all the data, while Fig. 20(b) - (d)  
369 is the comparison with each individual ISPH correction scheme. It is shown that in Fig.  
370 20(b) there appear spurious oscillations around the ISPH\_MP pressure peak. In Fig.  
371 20(c) the pressure peaks computed by ISPH\_APD are larger than the experimental  
372 data. Again the proposed ISPH\_MPAPD achieves the best agreement in both the  
373 pressure peak and its evolutions, as shown in Fig. 20(d). Comparing Fig. 20 with Figs.  
374 17-19, it can be understood that the impact pressure simulations can best demonstrate  
375 the superiority of ISPH\_MPAPD than the other illustrations, such as the particle  
376 snapshot and volume and free surface profile.

#### 377 4.3. Solitary wave impact on a slope wall

378 In this section, the ISPH method with improved particle stabilization technique is  
379 used to the simulation of solitary wave impacting on a slope with angle of  $150^\circ$ . The  
380 computational domain is the same as that used in the laboratory experiment of Zheng  
381 et al. (2015), so a direct comparison can be made. Four pressure sensors, labelled as  
382  $P_1 - P_4$ , are placed along the slope at a distance of 0.05 m from the bed and  
383 subsequent intervals of 0.1 m upward. The schematic diagram of the domain is shown  
384 in Fig. 21.

385 As shown in Fig. 21 a solitary wave with wave amplitude  $h/d = 0.6$  is studied. The  
386 water depth is  $d = 0.25$  m and the length of horizontal section is  $L = 10.0$  m. The  
387 initial particle spacing is 0.01 m and approximately 25000 particles are involved in  
388 the ISPH computations.

389 Fig. 22 illustrates the process of solitary wave running up and down the slope at  
390 different times computed by ISPH\_MPAPD, whose particle snapshots coincide well  
391 with the laboratory photographs. It can be seen from Fig. 22(a) that the wave front  
392 reaches its maximum climbing point at time  $t = 6.5$  s. Then the run-down process  
393 starts and the main flow retreats from the slope. It is shown in Fig. 22(b) that a violent  
394 backflow occurs near the original shoreline at  $t = 7.0$  s, which explains the abrupt

395 pressure drop in its time history (as shown in later Fig. 24). Generally the agreement  
396 between numerical and experimental free surfaces is quite satisfactory.

397 Fig. 23 illustrates the particle distributions with pressure field computed by using  
398 different particle stabilization methods. The snapshots were extracted at time  $t = 7.1$  s  
399 and  $t = 7.25$  s after the model was run. It can be seen from Fig. 23(a1) and (a2) that  
400 there exist particle clustering and disorders in the pressure field, which was computed  
401 by using ISPH\_MP. In Fig. 23(b1) and (b2), the pressure fields computed by  
402 ISPH\_APD displayed obvious local chaos, especially at later stage of the wave impact.  
403 On the other hand, the distribution of particles and their pressure fields in  
404 ISPH\_MPAPD result shows much more stable and uniform patterns, as indicated in  
405 Fig. 23(c1) and (c2).

406 To quantify the accuracy of ISPH\_MPAPD, Fig. 24(a) - (d) show the comparisons  
407 of wave impact pressure at four measurement point ( $P_1 - P_4$ ) between the experimental  
408 data and different ISPH correction results. It is shown that good agreement has been  
409 found in spite of some discrepancies, due to that the pressure fields are always  
410 difficult to predict by any numerical model. Similar to experimental data, the  
411 computed pressures at  $P_1$  and  $P_2$  which are located below the surface of water, share  
412 similar evolution features. That is to say, the impact pressure first reaches its  
413 maximum value when the wave runs up to the maximum point, and then it gradually  
414 decreases to negative pressure as the wave runs down freely, until to the minimum  
415 pressure point. However, all ISPH computations exhibit much larger pressure  
416 oscillations than the experimental observations. It is also promising to note  
417 ISPH\_MPAPD computation demonstrates much less pressure noise and shows better  
418 agreement with the experiment. This conclusion has been further strengthened by the  
419 zoomed sub-figures of Fig. 24(a1 - a3) and (b1 - b3) with separate comparison with  
420 each ISPH model, which shows that ISPH\_MPAPD is superior to either ISPH\_MP or  
421 ISPH\_APD in obtaining the stable and accurate pressure predictions.

422 On the other hand, as shown in Fig. 24(c) and (d), the computed pressures at sensor  
423 point  $P_3$  and  $P_4$ , which is on and above the still-water shoreline, exhibit much more  
424 stable pressure patterns as compared with those at  $P_1$  and  $P_2$ . Both pressures increase  
425 rapidly to the maximum value when the solitary wave impacts on the slope and then  
426 fall to zero without generating the negative pressures. Again the numerical results of  
427 ISPH\_MPAPD show an overall better agreement with the experiment.

428 Since maximum pressure generated during the wave impact is quite important for  
429 the safety and reliability of marine structures, we carry out an error analysis and find  
430 out that the relative errors are around 10.25% for ISPH\_MP, 10.69% for ISPH\_APD,  
431 and only 0.3% for ISPH\_MPAPD, as compared with the experimental peak pressure  
432 in Fig. 24(a). In contrast these errors are about 11.83%, 9.24% and 5.6%, respectively,  
433 in Fig. 24(b).

#### 434 4.4. Solitary wave overtopping on an impermeable seawall

435 Here another robust test is carried out to investigate the tsunami-like solitary wave  
436 impinging and overtopping on an impermeable trapezoidal seawall located on a 1:20  
437 sloping beach. The numerical computation was based on the benchmark physical  
438 experiment documented by Hsiao and Lin (2010). In the study, the wave nonlinearity  
439  $\varepsilon = h/d$  is 0.35 and other relevant parameters are shown in Fig. 25(a) inside the  
440 wave tank. For analysis, the relative time  $t' = t - t_{MR}$  is used, where  $t_{MR}$  is the time  
441 of maximum wave run-up against the wall.

442 The ISPH computation used a particle spacing of 0.01 m and constant time step of  
443 0.001 s, involving 21360 particles. The solitary wave was generated by pushing a  
444 solid wave paddle on the offshore boundary. The numerical simulations were carried  
445 out to 10.0 seconds of the wave propagation. The experimental data of water surface  
446 profile and wave impact pressure are used to validate the ISPH results and evaluate  
447 the accuracy of different particle stabilization schemes. The measurement points of  
448 water surface “G” and impact pressure “P” are shown in Fig. 25(b). It should be noted  
449 that only selected results from the experiment of Hsiao and Lin (2010) are used here  
450 for the model comparisons.

451 Fig. 26 shows the particle snapshots with pressure field during the wave impinging  
452 and overtopping on the trapezoidal caisson at  $t' = 3.19$  s, computed by all ISPH  
453 particle correction schemes. It is shown that as the wave overtops over the seawall an  
454 overtopping tongue develops on the crown. In addition, the experimental photo and  
455 measured free surface profiles (Hsiao and Lin, 2010) indicated by the black dots are  
456 superimposed on the ISPH particle snapshots, quantifying the good accuracy of  
457 numerical simulations. From the enlarged portion of the sub-figures, we could observe  
458 that there is a slight particle strip distribution near the run-up boundary in ISPH\_MP  
459 results as shown in Fig. 26(a). On the other hand, the particle distribution seems to be  
460 noisy in ISPH\_APD results as shown in Fig. 26(b). In comparison, the distribution of  
461 particles and pressure patterns in ISPH\_MPAPD results are still the most satisfactory  
462 as shown in Fig. 26(c).

463 Fig. 27(a) - (d) show the time histories of free surface variation compared between  
464 experimental data (Hsiao and Lin, 2010) and numerical results at four wave gauging  
465 points (see Fig. 25(a)). Although the computed free surface elevations seem to be  
466 generally higher than the experimental values, the overall good agreement is quite  
467 promising. For Fig. 27(a) - (b) the ISPH\_APD gives a slight overestimation of the  
468 peak elevation as compared with the ISPH\_MP and ISPH\_MPAPD, while the time  
469 histories of ISPH\_MPAPD computation are much more stable than the ISPH\_APD  
470 and ISPH\_MP results as shown in Fig. 27(c) - (d). Besides, the small and narrow  
471 spread of free surface profile in Fig. 27(d) indicates that only a small portion of water  
472 overtops on the impermeable seawall, thus explaining the oscillation in numerical free

473 surfaces at  $G_{37}$  and the slightly larger discrepancy in predicting the maximum wave  
474 height, in contrast to the situations at  $G_3$ ,  $G_{10}$  and  $G_{28}$ .

475 Furthermore, Fig. 28(a) - (d) shows the time histories of experimental (Hsiao and  
476 Lin, 2010) and numerical impact pressures computed by using different ISPH particle  
477 correction schemes, at pressure gauge of  $P_1$ ,  $P_4$ ,  $P_7$  and  $P_8$  on the weather side of  
478 trapezoidal structure (see Fig. 25(b)). It is shown that the general trend of impact  
479 pressures computed by all ISPH models follows good consistency with the  
480 experimental measurement, in spite of unavoidable discrepancies due to the  
481 complication of the physical problem. The pressure time history of ISPH\_MP and  
482 ISPH\_MPAPD is much more stable than that of ISPH\_APD, in which larger pressure  
483 oscillations are observed. Also it is found that ISPH\_MP computation generates more  
484 pressure noises than the ISPH\_MPAPD, especially in Fig. 28(a) at the first pressure  
485 measuring point.

486 Although all ISPH computations underestimate/overestimate the peak pressures to  
487 some extent, the relative errors are about 34.77% for ISPH\_MP, 43.6% for  
488 ISPH\_APD and 32.55% for ISPH\_MPAPD in Fig. 28(a). On the other hand, these  
489 errors are around 20.2% for ISPH\_MP, 41.9% for ISPH\_APD and 5.6% for  
490 ISPH\_MPAPD, respectively, in Fig. 28(c). Overall speaking, the present wave  
491 overtopping simulation further provides the indication that the hybrid ISPH\_MPAPD  
492 stabilization technique is superior to existing ones in accurately predicting the wave  
493 impinging and overtopping process.

#### 494 4.5. Regular wave slamming on subface of an open-piled structure

495 To finally validate the computational accuracy and stability of the hybrid  
496 ISPH\_MPAPD model again, the simulation of a regular wave slamming on the  
497 subface of an open-piled structure is investigated in this section. The schematic setup  
498 of computational domain is shown in Fig. 29(a), where the wave flume is 14.0 m long  
499 with a wavemaker being located at  $x = 0.5$  m. The incident wave is a regular wave  
500 with a wave height  $H = 0.15$  m and wave period  $T = 1.2$  s. A horizontal platform  
501 is fixed at  $0.1H$  above the still water surface and 8.0 m away from the left-hand-side  
502 of the flume. Eleven pressure measuring points ( $P_1 - P_{11}$ ) on the subface of the  
503 horizontal structure are shown in Fig. 29(b). The detailed information on the physical  
504 experiment is illustrated in Ren and Wang (2005) and Gao et al. (2012). Similar  
505 problems have also been addressed in the benchmark work of Gomez-Gesteira et al.  
506 (2005).

507 By using a particle spacing of 0.015 m and totally 36000 particles, the ISPH  
508 simulations are carried out. The particle distributions with pressure field computed by  
509 different particle stabilization methods are shown in Fig. 30 at time  $t = 11.67$  s. It can  
510 be seen from Fig. 30(a) that there is a slight particle strip distribution in the ISPH\_MP  
511 results, such that a small blank area around the left corner of the platform is observed.



512 By examining Fig. 30(b), the particle distributions under the platform demonstrate  
513 irregularity and there also exists an obvious separation zone with the structure in the  
514 ISPH\_APD results. On the other hand, the distribution of particles in the  
515 ISPH\_MPAPD results is again much more stable and uniform than the other two  
516 results, as shown in Fig. 30(c). In addition, the comparisons of experimental (Gao et  
517 al., 2012) and ISPH wave profiles are also shown in Fig. 30 and the general  
518 agreement is acceptable, since there are unavoidable discrepancies found especially in  
519 the upper region of the platform.

520 Fig. 31(a) and (b) shows the time histories of experimental and ISPH impact  
521 pressures computed by different correction methods at pressure gauges  $P_2$  and  $P_8$  (see  
522 Fig. 29(b)), respectively. The numerical pressure at each measuring point is obtained  
523 by the spatial averaging of the pressures of neighboring fluid particles within a radius  
524 of three-time particle spacing. It can be seen that the computed impact pressures by all  
525 ISPH models reasonably coincide with the experimental data of Gao et al. (2012), in  
526 spite of the unavoidable discrepancies. Besides, the pressure history of ISPH\_MPAPD  
527 is much more promising than that of ISPH\_APD, which shows larger pressure  
528 oscillations, also more reliable than that of ISPH\_MP, which demonstrates severe  
529 pressure noises, especially in Fig. 31(a) at the measuring point  $P_2$ . The present regular  
530 wave slamming simulations once again evidence that the improved ISPH\_MPAPD  
531 stabilization technique has great potentials in wider wave application fields.

## 532 **5. Conclusions**

533 In this paper an improved hybrid particle stabilization scheme of ISPH is proposed  
534 to simulate violent wave impact with coastal structure. The method adopts an  
535 ISPH\_MPAPD approach, which combines the ISPH\_MP and artificial particle  
536 displacement ISPH\_APD algorithms to reduce particle clustering and instability so as  
537 to improve the ISPH modeling capacity. To validate the accuracy and stability of the  
538 model, ISPH\_MPAPD is applied to study five benchmark cases of wave-structure  
539 interaction, including the dam break flow and solitary wave impact on a vertical wall,  
540 solitary wave impact on a slope, solitary wave overtopping on an impermeable  
541 seawall and regular wave slamming on the subface of an open-piled structure.

542 According to the comparison between numerical results computed by ISPH\_MPAPD,  
543 ISPH\_MP and ISPH\_APD and experimental data, the performance of ISPH\_MPAPD  
544 is found to be most satisfactory in view of its accuracy, stability and efficiency in  
545 dealing with the instabilities caused by the particle clustering and fracturing. Future  
546 work is needed to improve the method for more challenging applications in the wave  
547 interactions with a movable structure.

548 However, as documented in the benchmark study of Nair and Tomar (2015) and  
549 Pahar and Dhar (2016), any particle shifting technique can violate the conservation of  
550 volume. The sensitivity test on the particle volume for solitary wave case in Fig. 18

551 disclosed that the relative volume errors are 1.45% for ISPH\_MP, 1.24% for  
552 ISPH\_APD and 0.71% for ISPH\_MPAPD, respectively, but this small deviation of  
553 the volume could significantly improve the stability of numerical results by  
554 effectively regularizing the particle distributions. So the benefit of shifting scheme  
555 well outweighs the drawback caused by the particle volume errors. On the other hand,  
556 as for ISPH\_MP, it may violate the momentum conservation but only to some extent.  
557 In the context of particle methods, it would be impossible to satisfy both the  
558 momentum conservation and the Taylor-series consistency at the same time.  
559 ISPH\_MP tends to provide approximate pressure gradient, i.e. not perfectly  
560 momentum conservative, but being closer to the Taylor-series consistency. Recently,  
561 it has been found that the Taylor-series consistency appears to be more important than  
562 the exact local conservation of the momentum (Khayyer et al., 2017b).

563 Besides, we should also be aware that the present SPH accuracy is influenced by  
564 various factors. Turbulence is one of the issues whose influence is case-dependent. In  
565 present study the main objective is to evaluate the combined correction scheme. Also,  
566 in the numerical simulations the effect of sub-particle-scale turbulence on the  
567 macroscopic hydrodynamics, such as water surface deformation and impact pressure,  
568 seems to be trivial due to the use of sufficiently small particle size. However, if the  
569 coarser particles are used in larger practical domains, the SPS turbulence modelling  
570 must be considered due to the significant increase of turbulence levels.

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