

City Research Online

City, University of London Institutional Repository

Citation: de Grave, R., Rust, N., Reynolds, C., Watson, A., Smeddinck, J. & de Souza Monteiro, D. (2020). A catalogue of UK household datasets to monitor transitions to sustainable diets. Global Food Security, 24(100344), 100344. doi: 10.1016/j.gfs.2019.100344

This is the accepted version of the paper.

This version of the publication may differ from the final published version.

Permanent repository link: https://openaccess.city.ac.uk/id/eprint/23923/

Link to published version: https://doi.org/10.1016/j.gfs.2019.100344

Copyright: City Research Online aims to make research outputs of City, University of London available to a wider audience. Copyright and Moral Rights remain with the author(s) and/or copyright holders. URLs from City Research Online may be freely distributed and linked to.

Reuse: Copies of full items can be used for personal research or study, educational, or not-for-profit purposes without prior permission or charge. Provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way.

City Research Online: <u>http://openaccess.city.ac.uk/</u><u>publications@city.ac.uk</u>

1 A Catalogue of UK household datasets to monitor transitions to sustainable diets

- 2 Remco Benthem de Grave, Institute of Neuroscience, Newcastle University, UK
 3 r.benthemdegrave2@newcastle.ac.uk
- 4 Niki A. Rust, Newcastle University, UK niki.rust@ncl.ac.uk

Christian J Reynolds, Department of Geography, University of Sheffield, and the Barbara Hardy
Institute, University of South Australia c.reynolds@sheffield.ac.uk, ORCID 0000-0002-1073-7394
(Corresponding author).

- Anthony W. Watson, Human Nutrition Research centre, School of Biomedical Sciences, Newcastle
 University UK. anthony.watson@newcastle.ac.uk
- 10 Jan D Smeddinck, Open Lab, Newcastle University jan.smeddinck@ncl.ac.uk

11 Diogo M. de Souza Monteiro, Centre for Rural Economy, School of Natural and Environmental 12 Sciences, Newcastle University, diogo.souza-monteiro@ncl.ac.uk

- 13Draft unformatted post-print (the version of the paper after peer-review, with revisions having14been made), Accepted to Global Food Security, 9th of December 2019.
- 15Please see Global Food Security website for final version:16https://www.sciencedirect.com/science/journal/22119124

17 Abstract: There is growing international consensus that current patterns of food consumption are not 18 sustainable and global change is needed. Understanding the mechanisms for a transition towards 19 more sustainable diets requires systematic temporal monitoring at the individual or household level. 20 Whilst many countries collect panel data on food expenditure and dietary intake, these datasets are 21 often not designed to monitor progress towards dietary sustainability, therefore using them to 22 understand how or why diets are becoming more or less sustainable can prove challenging. What is 23 also lacking is a curated dataset catalogue or a library where all relevant data could be easily accessible 24 to enable such evaluation. Our aim was to identify, classify and describe existing food expenditure and 25 diet datasets available in the UK and to assess the extent to which they can be used to monitor 26 transitions to sustainable diets. We found that despite the large number of datasets tracking UK 27 individual or household food purchases and consumption over time, these datasets are not suited to 28 understand how and why individuals are transitioning to sustainable diets. With the exception of 29 proprietary datasets, most datasets only collect data annually, making it challenging to understand 30 fine-scale behavioural change over shorter timeframes. There is an opportunity to design and 31 implement an open-access UK sustainable diets data collection effort at the individual and household 32 level. These efforts can be complemented with recent innovations in data science methods and digital 33 technologies – such as dietary intake trackers – that, along with supporting individuals in their dietary 34 behaviour change, may enable the collection of high-quality datasets.

35 Keywords: Panel data; food consumption; sustainable diets; data science; digital technologies; 36 review.

37 Highlights:

38	•	The current open-access UK datasets have limited effectiveness to monitor fine-scale
39		transitions to sustainable diets.
40	•	No single dataset recorded purchased and consumed quantities, alongside
41		attitudes/perceptions of dietary sustainability and food consumption or purchase.

- Multiple UK datasets can be used to collectively conduct analyses of general trends and to
 compare different cohorts regarding the changes toward sustainable dietary patterns.
- Not all UK datasets are linked to databases containing environmental impact information.
- 45

46 **1.** Introduction

47 Current food purchase and consumption patterns are leading to unhealthy diets (Kearney, 2010), 48 which in turn are linked to increased prevalence of non-communicable diseases, such as obesity, type 49 2 diabetes and cardiovascular disease (Aston et al., 2012; Blundell and Cooling, 2000). Moreover, there 50 is mounting evidence that the production, processing, transport and final preparation of food to 51 support current dietary patterns have increasing environmental and social costs, creating an 52 unsustainable food and agricultural system that leads to increasing eutrophication, greenhouse gas 53 emissions, biodiversity loss, and food insecurity (Aleksandrowicz et al., 2016; Green et al., 2015; Poore 54 and Nemecek, 2018; Tilman and Clark, 2014; Willett et al., 2019).

- 55 As the evidence of the contribution of food production and consumption to the deterioration of 56 planetary health becomes clear, so too does the need to help consumers choose more sustainable 57 diets (Willet et al, 2019). The Food and Agricultural Organisation of the United Nations (FAO) 58 (Burlingame and Dernini, 2012) and the first and second US National Academies of Sciences, 59 Engineering and Medicine Workshops on Sustainable Diets, Food and Nutrition (Institute of Medicine, 60 2014; National Academies of Sciences, Engineering, and Medicine et al., 2019) suggest that sustainable 61 diets must be affordable and acceptable, as well as being healthy and nutritionally balanced with low 62 environmental impact. Transitioning towards sustainable diets is directly related to all of the United Nations Sustainable Development Goals¹. There is thus a clear need for rapid, international change in 63 how we produce and consume food. Importantly, changes in demand patterns will help lead to 64 65 changes in production (Horton, 2017); indeed, Ingram (2017) argues that we need to change the way 66 we look at food systems and, rather than emphasizing the need to increase production, we should 67 focus on managing demand.
- 68 While there is increasing scientific consensus over the need to shift to more sustainable diets, there is 69 less clarity on how to implement that transition. Food choices are complex and have numerous 70 determinants. They are influenced by geographical, economic and social factors along with a mix of 71 local, regional and national government policies, as well as business strategies. Due to these multiple 72 influences, it is vital to systematically monitor the effectiveness of different interventions and assess 73 if, how, where and which dietary transitions are occurring. To understand these trends, it is important 74 to identify what datasets are currently in the public domain that monitor individual or household food expenditure and consumption both at home and away from home with a regular frequency to 75 76 determine micro-level change.
- In many developed countries there are both private and public data collection efforts collecting information on food expenses, consumption patterns and nutrition². However, to the best of our knowledge, these data sets are not curated or catalogued in a systematic way and then made available to the policy or research community to conduct further analysis that can be used to inform policy and
- 81 practice.
- Having a catalogue or list of datasets can be beneficial for undertaking future research in this area.
 Examples of such research is De Keyzer et al. (2015), Perignon et al. (2017), as well as Bandy et al.

¹ https://www.stockholmresilience.org/research/research-news/2016-06-14-how-food-connects-all-the-sdgs.html

² For example the World Bank Global Consumption Database compiles food expenditures across food and drinks expenses from a nationally representative sample of developing countries households (<u>http://datatopics.worldbank.org/consumption/sector/Food-and-Beverages</u>). Similar datasets are available from international organizations like the OECD, the European Union and the national statistics of all high-income countries.

84 (2019), who conducted systematic reviews of food consumption datasets investigating evidence of 85 progress to health or sustainable diets. They found important gaps and limitations regarding the 86 applicability of datasets for monitoring transitions to sustainable food consumption behaviour. The 87 reviews indicate that there does not appear to be a systematic data collection effort capturing all the 88 dimensions of sustainability. However, databases currently exist that allow estimating nutritional 89 values, greenhouse gas emission (GHGE) and cost from purchased or consumed products (see for

- 90 instance <u>www.ggdot.org</u>, (Hobbs et al., 2015; Horgan et al., 2016; Monsivais et al., 2013)).
- 91 This paper aims to start filling this gap by:
- providing an overview of existing private and public datasets on food purchases, as well as
 consumption and dietary intake patterns in the UK and,
- 94
 2. discussing their suitability to assess transitions and changes towards sustainable diets, to offer
 95
 a catalogue of existing data sources enabling a monitoring or assessment of transitions to
 96
 sustainable diets in the UK, as well as highlighting the limitations and opportunities of
 97
 available datasets.

98 The UK is an interesting starting point and case for observation with respect to this topic because the 99 sustainability of current UK diets has been questioned (Reynolds et al., 2019a,b; Reynolds et al., 2015; 100 Wrieden et al., 2017). The UK is committed to meeting the UN Sustainable Development Goals, 101 recently also declaring the goal of reaching 'net zero' carbon emissions by 2050 (Pye et al., 2017; 102 Walker et al., 2019), and has an actively engaged political and civil society in developing approaches 103 to improving the current dietary and environmental situation. Moreover, the UK has a strong tradition 104 and capacity to collect data on food purchase and consumption (Oddy, 2003; Orr, 1937) and is in the process of developing a National Food Strategy³ that focuses on human and environmental health, to 105 106 which an understanding of current food consumption trends will be integral.

107 Along with providing a comprehensive overview and discussion of available datasets on UK food 108 expenditure and consumption patterns to support future data collection efforts, we also provide 109 suggestions for approaches to improving the completeness, quality, and linking of existing datasets, 110 and we discuss the potential for improved data collection and monitoring with digital technologies. As 111 such, next to informing further research, this work provides guidance and evidence on improving data 112 collection that can lead to better monitoring and understanding of transitions towards more 113 sustainable diets. The outcomes can therefore be helpful to policy makers, research an industry alike.

114 3. **Methods**

We aimed to identify datasets that researchers can use to assess trends in individual and household dietary behaviour that can be used to track the level of sustainability in their food consumption, expenditures, purchases and dietary intakes. We therefore searched for UK datasets that had temporal information on individuals or household food purchases/expenditure –i.e. panel data– or consumption –i.e. dietary surveys. From these initial criteria, we added a second layer, using a range of sustainability dimensions as the second criterion for selection, i.e. we identified in the panel datasets whether they contained measures of:

- 122 1. healthiness of diets /purchases (estimated using nutrition profile tables);
- 123 2. affordability (using price and income information);

³ https://consult.defra.gov.uk/agri-food-chain-directorate/national-food-strategy-call-for-evidence/

124 3. environmental sustainability (specifically whether the data contained information on carbon
 125 footprints or water use)^{4,5}.

- Using these criteria, a first list of datasets was created from authors combined knowledge of (publications about) data collection efforts describing diets in the UK. Next we consulted the UK data service (see https://www.ukdataservice.ac.uk/get-data/themes/food.aspx). To identify additional datasets, we contacted researchers through personal networks who undertake empirical analysis of food consumption. We also reached out to private companies that collect diet information (not necessarily in the UK) and to expert groups such as the Food and Climate Research Network (FCRN) Google group (https://groups.google.com/forum/#!topic/fcrn-I/TRMs4BnUWYc).⁶
- To be able to reconstruct a complete diet, we defined that the data should cover at least one complete consumption day (e.g. through a 24h Dietary Recall (24h-DR), a Diet Diary (DD), an extensive Food Frequency Questionnaire (FFQ), or a purchase diary of at least a week). To focus on UK population dietary change, we excluded datasets that focus exclusively on children or the very old, as well as datasets that consist of secondary data collection efforts (i.e. merging data collection efforts done elsewhere).
- For each dataset identified, we collected characteristics and metadata from the description that accompanied the dataset. In some cases, we referred to the original survey questionnaires, the raw data, or to publications that use the dataset to find this information. Where we required further information, institutions were contacted to verify entries and asked for missing information, though
- 143 not all data holders returned answers (see table 2).
- 144 One of the main challenges we faced was inconsistency across the way diets and nutrition have been 145 measured and reported. For example, some datasets record food consumption, others food 146 purchases. These cannot easily be combined, but they do complement each other, as there is high 147 correlation between what is purchased and what is consumed – with food waste data then used to
- 148 further 'triangulate (Reynolds et al. 2019a)'. Some datasets maybe be combined using matching
- 149 methods⁷ (such as propensity score matching) which enable a construction of a comparison group
- 150 that is similar to the group of interest. However, there are caveats to these methods, namely that the
- variables on which the matching is being made may have been collect in different ways and may not
- 152 be capturing the same characteristics used for the matching process.

⁴ We acknowledge that one of the limitations of this methods is that we have not referred datasets that are used to construct these panels. For instance, there are several food composition tables available in the UK that are the basis for the nutrition information provided in commercial panel data. Undoubtedly there is a need to curate those data sources, but that is beyond our goal on this paper.

⁵ Please note, as very few datasets have the capacity to immediately calculate these aspects, that for aspects of (1) healthiness and (3) environmental sustainability, we assessed whether each dataset contained

^{&#}x27;sufficient' purchase/consumption data to calculate these dimensions by combining this data with tables of nutrition profiles and GHGE impact of the diet. We acknowledge that there are multiple additional factors that can be used to measure sustainability, such as water use, land use, biodiversity loss etc. however as stated in the main text, GHGE has multiple linked datasets already in wide use.

⁶ Final searches (and citation mining) of Google Scholar were carried out using search terms combinations of "Diet", "Food", "UK", "Recall", "Cohort", "Questionnaire", "Diary", as well as the dataset names to find any additional datasets.

⁷ Matching methods are statistical and econometric methods were developed to combine datasets collecting similar information on different units observation (see Rosenbaum and Rubin (1983){

P.R. Rosenbaum, D.B. Rubin **The central role of the propensity score in observational studies for causal effects** Biometrika, 70 (1983), pp. 41-55}) to enable causal analysis by constructing a counterfactual.

153

154 **4. Results**

This section presents the datasets identified and provides further details on those that met our main and secondary criteria. In table 1, we list all datasets that were identified in our search, highlighting in bold those meeting our inclusion criteria. In table 2, we describe, in detail, nine datasets that provided a complete overview of at least one full day of consumption or purchase data that can be accessed for research purposes (noting which data is proprietary).

160

161 Table 1: List of UK household panel datasets gathering data on food expenditure and 162 consumption. Public datasets are those collected by governmental agencies or funded by public research funds. Private sources are those collected by commercial companies, generally through 163 home or retail scanners, surveys or through apps. Public datasets are divided into open or restricted, 164 with restricted meaning that further access permissions where institutional associations need to be 165 verified and sometimes special permission requests need to be provided. Private datasets are divided 166 into those that are available for a fee and those that are generally not shared outside the company 167 168 (restricted private datasets).

Dataset or survey name	Public		Private	
	Open	Restricted	Fee	Restricted
EPIC Norfolk (Day et al., 1999)		\checkmark		
EPIC Oxford (Davey et al., 2003)		\checkmark		
Family Food module of Living Cost and Food Survey (LCFS)		\checkmark		
(Department For Environment and Office For National				
Statistics, 2017) (Office For National Statistics, 2019)				
Fenland study ("Fenland Technical Summary - MRC		\checkmark		
Epidemiology Unit," n.d.)				
Kantar consumption panel			\checkmark	
Kantar purchase panel			\checkmark	
National Diet and Nutrition Survey (NDNS) (Laboratory		\checkmark		
and Research, 2019)				
UK Women Cohort Survey (UKWCS) (Cade et al., 2015)		\checkmark		
UKBiobank (Sudlow et al., 2015)				
Health Survey for England		\checkmark		
1000 family study		\checkmark		
85+ study		\checkmark		
ASH30		\checkmark		
ALSPAC		\checkmark		
FAO statistics	\checkmark	$\sqrt{2}$		
Food and Drink in Scotland		\checkmark		
Gateshead Millennium Cohort		\checkmark		
GfK (company)			?1	
Global Dietary Database (GGD)		\checkmark		
Loyalty card data collections (e.g. Dunnhumby, Tesco,			\checkmark	$\sqrt{3}$
Sainsbury, Waitrose)				
Million Women Study		\checkmark		
MyFitnessPal (company)				\checkmark
Nielsen (company)			? ¹	
Scottish Health Survey		\checkmark		
Slimming world (company)				\checkmark
Weightwatchers (company)				

¹ Data for the UK for these companies may not be available, but this was not conclusively verified (the 169

companies did not respond to an information request). ² Greater detail available via application for 170 171 restricted data for some areas.³ Some Loyalty card data available through UKDS and the CDRC.

172 Table 1 can be considered a tentative index, where we categorise the datasets identified 173 according to their ownership and accessibility.

174

Table 2 presents and characterizes the nine datasets that met our main criteria. Next, we briefly explain the characteristics of these data in three dimensions: sampling and recruitment, data collection methods and economic information therein.

178 <<<<Table 2 here>>>

179 Study design, recruitment and sample characteristics

Three types of designs can be recognized in the overview. First, two of the nine studies concerned non-cohort studies (National Diet and Nutrition Survey, and the Family Food Module of Living Cost and Food Survey, FFM-LCFS). Both of these cross-sectional studies targeted UK households using a multistage stratified sampling strategy in which households were identified from Postcode Address Files (PAF) and recognized as small users, and clustered in Primary Sampling Units (PSUs). Households were then drawn from a number of PSUs. Samples sizes ranged from about 1000 participants annually in the NDNS to 6000 households annually.

187 Second, six datasets concerned cohort studies (EPIC Norfolk, EPIC Oxford, the Fenland Study, 188 the UKBiobank and the UK Women's Cohort Survey, UKWCS, Million Women Study). Targeted 189 populations varied considerably. Some studies targeted specific diets (non-red-meat-eating, 190 vegetarian), some geographical regions (Norfolk, Cambridgeshire) and two study targeted women 191 only. All studies targeted a middle-age range with participant ages ranging 20-79. NHS registers and 192 membership lists were used to recruit people. Cohort sizes of ranged from roughly 12,500 (Fenland 193 Study) up to approximately 211,000 (UKBiobank) or 688,000 (Million Women), although sample sizes 194 at the level of individual recordings range 1,600-100,000.

The datasets collected by Kantar are the only commercial datasets and the only ones that monitor participants' diets over an unrestricted time frame (4x per year with 10,000 people in the consumption panel and 30,000 people in the purchase panel). Advertisements on social media were used to recruit people, although more targeted methods were also used to obtain a representative sample size.

200 Dietary assessment methods, administration method and method of portion size estimation

201 A variety of methods to assess dietary consumption or purchases can be found between and 202 within the databases. These include Food Frequency Questionnaires (FFQ), 24-Hour Dietary Recalls 203 (24h-DR), Diet Diaries (DDs), and purchase diaries. There are well known completion biases with all 204 food intake questionnaires when assessing the dietary intake of a free living population; with a linear 205 association between participant burden and accuracy. None of the datasets assessed used the "gold 206 standard" duplicate diaries to assess food intake and most used standard portions to assess food 207 quantities. It must also be noted that datasets which convert food intake to nutrient and energy intake 208 use food composition tables which are limited by the small number of foods they include and the age 209 of the data within the dataset. Therefore, only a "best fit" approach was used to crudely estimate 210 intake.

Food Frequency Questionnaires (FFQs) were used in four studies. These questionnaires asked about habitual consumption frequency in the past 12 months on a range of food items (28 to 217 food items). Participants were requested to rate their consumption frequency from never to six per day on nine frequency choices. Some exceptions to this are that one study (UKWCS) used a 10-point frequency scale and two smaller FFQs in EPIC Oxford used a 6-frequency scale. Portion sizes were generally estimated by framing the question such that it asked for the consumption of standard portion sizes. The standard portion size was then described with the item or category, for example one sausage or one portion of carrots. Some questionnaires omitted portion size and only asked for a
 frequency – this was to determine 'general' diet over a period of time rather than what was eaten on
 a specific day. We note that some of the smaller FFQs do not include a full range of foods, only
 categories of interest to the study. However, other assessments in the same study do. The mini FFQ's
 were included for completeness.

The 24h-DR was used in three studies. These asked about the consumption of the previous day. Methods used varied from pen and paper recordings, accompanied with suggestions on standard portion sizes, to online forms that required to rate their portion sizes in standard measures. The 24h-DRs were all self-administered, either at the test centre or at home.

227 Diet Diaries (DDs) were used in five studies. These asked the participants to track their 228 consumption for several days (ranging between studies from 4 to 7 days). In both EPIC studies and the 229 NDNS paper, DDs were used in combination with suggestions for standard portion sizes, supported by 230 pictures of various portion sizes that participants could refer to. In the UKWCS, participants were 231 asked to list weight or volume of consumed products which had to be measured or read from 232 packaging (standard measures were allowed on some occasions). The DD in Kantar was performed on 233 a computer. Participants selected per meal the products that they had used, but did not specify 234 amounts consumed.

Purchase diaries where used in two studies. The FFM-LCFS used pen and paper entries or allowed participant to attach their receipts. In the Kantar purchase panel, participants were asked to scan each purchase receipt using a digital clicker. Both purchase diaries are self-administered and completed at home. One of the limitations of purchased data is that they are only proxies for consumption, as they don't factor in wastage (though it can be examined with further inquiries or complementary studies) or the delayed consumption. However, this type of data has information of food prices and collects data on disposable income and permits estimation of expenditure by category.

242 Economic information

243 Income is recorded for five out of the nine studies we describe (the NDNS, the FFM-LCFS, the Fenland 244 Study and both Kantar datasets), while prices and/or expenditure are also recorded in the purchase panels (FFM-LCFS and Kantar datasets). One of the problems with recording economic and income 245 246 information in the datasets we identified is that it is not consistent. For example, the Kantar data 247 enables a verifiable estimation of weekly expenditure as it is based on actual shopping receipts, but 248 this does not necessarily provide accurate information on what is actually consumed, nor does it 249 distinguish who in the household consumes what. Another issue is that some datasets collect 250 information on individuals, while others do so across households which prevents a combination of 251 different datasets. Still, insofar as these datasets capture information on disposable income and 252 purchases, they enable an assessment of affordability. Moreover, it may enable comparisons across 253 segments of the population and identify opportunities to improve the sustainability of diets within the 254 budget limits of household. When geographical information on location of households is available it 255 may be possible to understand how the food retail and service environment may determine the food 256 choices.

A full economic assessment of transitions to sustainable diets would need to include other variables that are not currently collected, for example time spent planning, shopping and preparing meals. There are datasets that provide information on time use (for example the Gershuny and Sullivan (2017) survey on how much time different groups of the population spend their time), which can be used to estimate more accurately the costs of sustainable diets.

262 Environmental information

There was no environmental impact information found within the datasets surveyed. However, GHGE emission datasets have been linked to multiple datasets presented in table 1. This includes the NDNS (Bates et al. 2019) and LCFS (Wriden et al 2019). We also found that the USDA's FoodAPS (Boehm et al. 2018) and the European Food Standard Agencies FoodEx2 (Reynolds et al. 2019c) have also been matched to GHGE databases.

268 2. Discussion

269 In this study, we have identified, classified and described nine datasets on diet, food 270 consumption, or expenditure that are available in the UK to the research community. Individually, 271 each dataset has limited effectiveness to monitor transitions to sustainable diets and for direct 272 comparisons between datasets. This is because they were not designed for either of these purposes. 273 The datasets use different units of observation, sampling sizes⁸, sampling rates, and study durations. 274 In addition, the datasets recorded either food purchased or consumed. In this regard, our findings are 275 consistent with the data limitations identified by Perignon et al. (2017), who found that there is a lack 276 of relevant and good-quality datasets for assessing the environmental, health and socio-economics 277 impact of current diets.

278 However, we propose that collectively these datasets have the potential to assess transitions and 279 changes towards sustainable diets in the UK. This is because they are complementary and can become 280 elements of multi-layered analysis combining food consumption or purchase with other information 281 affecting the households or individuals on which data is collected. For these purposes, the identified 282 datasets have to be matched with other existing databases containing geographical information, 283 further socio-economic information and environmental impact information of the foods consumed or 284 purchased. As we pointed out in the results, some of these datasets already existed, though 285 necessarily easily accessible. As already mentioned, matching methods (Rosenbaum and Rubin (1983); 286 Stuart (2010)) are increasingly used to combine datasets and construct counterfactuals that enable 287 causal analysis when, as is the case, it is challenging to design suitable experiments. While this 288 matching may not always be feasible and could be labour-intensive to varying degrees, due to the 289 different levels of food classification and dimensions for data-aggregation in each database, there are 290 already ways to automate the mapping and linking of dietary and environmental impact databases 291 (Eftimov et al., 2017). Even if they are not linked directly to environmental impacts, these databases 292 can still be used to collectively conduct analyses at the social-economic strata level to investigate 293 general trends and to compare different cohorts regarding the changes in dietary patterns.

294 In the best case, a collaborative and coordinated data collection effort - that takes account of possible 295 linkages, and upcoming data needs - must be part of any new food strategy for the UK. This strategy 296 could extend beyond the datasets identified in this paper to include linkages to food composition 297 tables, lifecycle and environmental impact studies from different food categories, along with data 298 from alternative production systems, and archived consumer survey instruments. It is beyond the 299 purpose of this study to provide those sources of information, but we acknowledge the need for such 300 a strategy and repository of complementary datasets that could be easily searched and used. For 301 example, a preliminary search for Composition tables in the UK identified a Governmental source of 302 data (the CoFID- Composition of Foods Integrated dataset) and the Carter et al (2016) new branded 303 UK composition database. Along with a list of databases, it may be informative to provide potential

⁸ For some datasets it is uncertain whether they present a representative sample of the British population.

users with a quality assessment of the data in repositories commenting on the methods used to collectthe data and its limitations.

306 The public datasets we identified are generally accessible, have a snapshot nature, and are 307 suitable to evaluate how different groups have changed diets and facilitate cross sectional analysis. 308 The value of the household food purchases panel data (such as Kantar) is that it enables researchers 309 to observe transitions with a much finer granularity. However, this analysis has the caveat that it does 310 not capture individual consumption, but rather expenditures. Still, it enables comparison on how 311 different households are changing consumption of a given food category and whether they are shifting 312 to healthier, more sustainable food categories, as well as across household types, and time periods 313 (52 weeks over a year in the case of Kantar, or weekly once a year e.g. for LCFS). In isolation, these 314 datasets do not necessarily gather information on the health status of the household they recruit. In 315 addition, there is a lack of detail in current panel data on the traceability and origin of food; this 316 additional information is needed to truly understand sustainability of different foodstuffs.

317 It should be highlighted that there is a certain degree of self-selection bias on the households 318 that are included in both public and private panels that were reviewed. Moreover, these datasets have 319 not inquired about households' attitudes to - or perceptions of - sustainable dimensions of food 320 consumption or purchase (this would be required to understand reasons why people make changes 321 in what they eat). Moreover, there is limited information about the home and neighbourhood context 322 as well as on the food preparation and consumption practices with which to explore more deeply what 323 may motivate or hinder transitions at the households or individual level. Indeed, the food availability 324 landscape is not necessarily captured in the datasets we have identified. However, those factors are 325 important determinants of consumption and purchase. Consequently, as the existing datasets do not 326 carry data on 1) attitudes and 2) the food environment there must be caution when interpreting this data to assess and draw conclusions as to what may have changed dietary behaviour and 327 328 consumption/purchase patterns over time.

329 Still, the complementarity between the more frequent and rich information on products 330 gathered in panel data and the broad coverage of large cohort studies presents a clear opportunity 331 for assessing general transitions to sustainable diets. The household panel data could be employed to 332 identify trends and micro-responses to interventions, in turn the cohort studies can be used to confirm 333 how they are impacting broader aggregate measures. Another opportunity lies with matching both 334 private and public datasets to geographical information (which is recorded in differing detail in each 335 dataset) to further our understanding of how changes in regional or urban food policies may be 336 affecting consumption patterns, as well as environmental and health outcomes.

To overcome the aforementioned limitations of current datasets and to develop new datasets, we suggest harnessing technological developments to better assess dietary transitions and changes towards sustainable diets. We therefore briefly highlight the potential of digital wearable devices to collect data on food choices, as well as the use of data science methods to provide new methods of data harmonization and mapping.

In principle, data science methods (including frequentist statistics, probabilistic methods, data
 matching as well as different techniques from machine learning and artificial intelligence) can be used
 for two main purposes with respect to the existing datasets:

1) improving the data-quality and reducing sparsity (filling gaps, e.g. data imputation (Jerez etal., 2010)),

347 2) linking datasets (e.g. through auto-correlation) ("Automated census record linking: a348 machine learning approach," n.d.),

349 3) clustering datasets or supersets, creating new sectioning or subsets (e.g. using 350 autoencoders (Baldi, 2012)),

- 4) optimizing future / ongoing data collection (Sra et al., 2011) and
- 352 5) prediction.

At the same time, with the growing capabilities and affordability of sensors and increased computational capacity easily available in the cloud, digital technology, including devices and software applications opens interesting opportunities for improving data collection and research efforts. Digital data streams can be very complex and have a high sampling rate – which can at times even emulate real-time "natural fidelity" recording, compared to what is feasible with more traditional data collection efforts. This area can be split into four main elements:

1) quantified self and community applications with a) self-reporting tools, such as consumption /
 intake trackers (Bradley et al., 2016), or b) habit tracking / forming apps (Stawarz et al., 2015),

- 361 2) general dietary information tools (Boulos et al., 2015),
- 362 3) professional practice support (Simons et al., 2012) and

4) indirect information sources (such as product sales data, raw materials uptake / tracking, supply chain monitoring, distributed ledgers, as well as production and transport cost /energy expenditure
 monitoring).

The ethical implications (and possibilities for additional bias) due to the use of such technologies, sensors, wearables, and the internet of things are of considerable extent and beyond the scope for this paper. Possible future research questions include: when and how should researchers be allowed to gain access to data from wearables? How can researchers ensure that an individual's data is used with care? How can researchers ensure that we are not neglecting harder-to-access members of society such as the poor? Who pays for these wearables? And how do we overcome the "big brother" nature of these devices?

5. Conclusions and future work

374 We identified and classified existing data sources with the potential to be used in research on 375 monitoring transitions towards more sustainable diets in the UK. We present a catalogue of datasets 376 classified in key sustainability dimensions and discuss potential of these datasets for such analysis. We 377 conclude that neither of the datasets fulfils the requirements for reliable monitoring or prediction. 378 Most of the datasets are also limited to traditional data sources, such as survey responses. This clearly 379 suggests two pathways for future work: improving the quality and enable matching of the existing 380 data sets, as well as a broader effort to collect coherent data on transitions towards more sustainable 381 diets that combines - in a single data collection instrument - individual-level data, including 382 motivations, and objective behaviour and food consumption over regular time periods. This 383 instrument needs to be carefully designed tacking into account existing datasets with complementary information, such as food composition tables, environmental impact assessment and economic 384 385 information. If designed and implemented ethically, digital technologies can play a key role and enable 386 novel approaches and insights. These technologies include software with supportive algorithms and 387 user interfaces, which can, for example, gauge shopping behaviour, shopping, and the engagement

with – and social communication about – diet information sources, as well as (sensing) hardware
 devices that allow for objective measurements e.g. of eating behaviour.

We also acknowledge that we have not documented and critically examined other data sources that complement the datasets we covered – this includes a) food composition tables; b) datasets of environmental outcomes for different foods; c) food price datasets; d) survey data on attitudes surrounding food purchasing behaviour. These were outside the scope of this particular effort. We recognize that such information is valid and believe that future work should fill that gap. Also, we hope our limitations inspire researchers interested in measuring sustainable diets to create and curate a library of datasets facilitating further work in this area.

397

398 6. Bibliography

- Aleksandrowicz, L., Green, R., Joy, E.J.M., Smith, P., Haines, A., 2016. The impacts of dietary change
 on greenhouse gas emissions, land use, water use, and health: A systematic review. PLoS
 One 11, e0165797. doi:10.1371/journal.pone.0165797
- Aston, L.M., Smith, J.N., Powles, J.W., 2012. Impact of a reduced red and processed meat dietary
 pattern on disease risks and greenhouse gas emissions in the UK: a modelling study. BMJ
 Open 2. doi:10.1136/bmjopen-2012-001072
- Automated census record linking: a machine learning approach [WWW Document], n.d. URL
 https://open.bu.edu/handle/2144/27526 (accessed 6.30.19).
- Bates, R.L., Chambers, N.G. and Craig, L.C.A., 2019. Greenhouse gas emissions of UK diets.
 Proceedings of the Nutrition Society, 78(OCE2). doi:10.1017/S0029665119000910
- Baldi, P., 2012. Autoencoders, unsupervised learning, and deep architectures. Proceedings of ICML
 workshop on unsupervised and transfer learning 37.
- Bandy, L., Adhikari, V., Jebb, S., Rayner, M., 2019. The use of commercial food purchase data for
 public health nutrition research: A systematic review. PLoS One 14, e0210192.
 doi:10.1371/journal.pone.0210192
- Blanquer, M., García-Alvarez, A., Ribas-Barba, L., Wijnhoven, T.M.A., Tabacchi, G., Gurinovic, M.,
 Serra-Majem, L., 2009. How to find information on national food and nutrient consumption
 surveys across Europe: systematic literature review and questionnaires to selected country
 experts are both good strategies. Br. J. Nutr. 101 Suppl 2, S37–50.
 doi:10.1017/S0007114509990572
- Blundell, J.E., Cooling, J., 2000. Routes to obesity: phenotypes, food choices and activity. Br. J. Nutr.
 83 Suppl 1, S33–8.
- Boehm, Rebecca, Parke E. Wilde, Michele Ver Ploeg, Christine Costello, and Sean B. Cash. "A
 comprehensive life cycle assessment of greenhouse gas emissions from US household food
 choices." Food policy 79 (2018): 67-76.

Boulos, M., Yassine, A., Shirmohammadi, S., Namahoot, C., Brückner, M., 2015. Towards an "internet of food": food ontologies for the internet of things. Future Internet 7, 372–392. doi:10.3390/fi7040372

427 Bradley, J., Simpson, E., Poliakov, I., Matthews, J.N.S., Olivier, P., Adamson, A.J., Foster, E., 2016. 428 Comparison of INTAKE24 (an Online 24-h Dietary Recall Tool) with Interviewer-Led 24-h 429 Recall in 11-24 Year-Old. Nutrients 8. doi:10.3390/nu8060358 430 Burlingame, B., Dernini, S., 2012. SUSTAINABLE DIETS AND BIODIVERSITY DIRECTIONS AND 431 SOLUTIONS FOR POLICY, RESEARCH AND ACTION. 432 Cade, J.E., Burley, V.J., Alwan, N.A., Hutchinson, J., Hancock, N., Morris, M.A., Threapleton, D.E., 433 Greenwood, D.C., 2015. Cohort profile: the UK women's cohort study (UKWCS). Int. J. 434 Epidemiol. doi:10.1093/ije/dyv173 435 Carter MC, Hancock N, Albar SA, et al. Development of a New Branded UK Food Composition 436 Database for an Online Dietary Assessment Tool. Nutrients. 2016;8(8):480. Published 2016 437 Aug 5. doi:10.3390/nu8080480 438 Davey, G.K., Spencer, E.A., Appleby, P.N., Allen, N.E., Knox, K.H., Key, T.J., 2003. EPIC-Oxford: lifestyle 439 characteristics and nutrient intakes in a cohort of 33 883 meat-eaters and 31 546 non meat-440 eaters in the UK. Public Health Nutr. 6, 259–269. doi:10.1079/PHN2002430 441 Day, N., Oakes, S., Luben, R., Khaw, K.T., Bingham, S., Welch, A., Wareham, N., 1999. EPIC-Norfolk: 442 study design and characteristics of the cohort. European Prospective Investigation of Cancer. 443 Br. J. Cancer 80 Suppl 1, 95–103. 444 De Keyzer, W., Bracke, T., McNaughton, S.A., Parnell, W., Moshfegh, A.J., Pereira, R.A., Lee, H.-S., 445 van't Veer, P., De Henauw, S., Huybrechts, I., 2015. Cross-continental comparison of national 446 food consumption survey methods--a narrative review. Nutrients 7, 3587–3620. 447 doi:10.3390/nu7053587 448 Department For Environment, F., Office For National Statistics, 2017. Living Costs and Food Survey, 449 2015-2016. UK Data Service. doi:10.5255/ukda-sn-8210-4 450 Eftimov, T., Korošec, P., Koroušić Seljak, B., 2017. StandFood: Standardization of Foods Using a Semi-451 Automatic System for Classifying and Describing Foods According to FoodEx2. Nutrients 9. 452 doi:10.3390/nu9060542 453 Fenland Technical Summary - MRC Epidemiology Unit [WWW Document], n.d. URL 454 http://doi.org/10.22025/2017.10.101.00001 (accessed 6.28.19). Gershuny, J., Sullivan, O. (2017). United Kingdom Time Use Survey, 2014-2015. [data collection]. UK 455 456 Data Service. SN: 8128, http://doi.org/10.5255/UKDA-SN-8128-1 457 Green, R., Milner, J., Dangour, A.D., Haines, A., Chalabi, Z., Markandya, A., Spadaro, J., Wilkinson, P., 458 2015. The potential to reduce greenhouse gas emissions in the UK through healthy and 459 realistic dietary change. Clim. Change 129, 253–265. doi:10.1007/s10584-015-1329-y 460 Hobbs, D.A., Lovegrove, J.A., Givens, D.I., 2015. The role of dairy products in sustainable diets: 461 modelling nutritional adequacy, financial and environmental impacts. Proc. Nutr. Soc. 74. 462 doi:10.1017/S0029665115003572 463 Horgan, G.W., Perrin, A., Whybrow, S., Macdiarmid, J.I., 2016. Achieving dietary recommendations 464 and reducing greenhouse gas emissions: modelling diets to minimise the change from 465 current intakes. Int. J. Behav. Nutr. Phys. Act. 13, 46. doi:10.1186/s12966-016-0370-1

- Horton, P., 2017. We need radical change in how we produce and consume food. Food Sec. 9, 1323–
 1327. doi:10.1007/s12571-017-0740-9
- 468 Ingram, J., 2017. Perspective: Look beyond production. Nature 544, S17. doi:10.1038/544S17a
- Institute of Medicine, 2014. Sustainable Diets: Food for Healthy People and a Healthy Planet:
 Workshop Summary, The National Academies Collection: Reports funded by National
 Institutes of Health. National Academies Press (US), Washington (DC). doi:10.17226/18578
- Jerez, J.M., Molina, I., García-Laencina, P.J., Alba, E., Ribelles, N., Martín, M., Franco, L., 2010.
 Missing data imputation using statistical and machine learning methods in a real breast
 cancer problem. Artif Intell Med 50, 105–115. doi:10.1016/j.artmed.2010.05.002
- Kearney, J., 2010. Food consumption trends and drivers. Philos. Trans. R. Soc. Lond. B, Biol. Sci. 365,
 2793–2807. doi:10.1098/rstb.2010.0149
- Laboratory, M.E.W., Research, N.S., 2019. National Diet and Nutrition Survey Years 1-9, 2008/09 2016/17. UK Data Service. doi:10.5255/ukda-sn-6533-13
- Monsivais, P., Perrigue, M.M., Adams, S.L., Drewnowski, A., 2013. Measuring diet cost at the
 individual level: a comparison of three methods. Eur. J. Clin. Nutr. 67, 1220–1225.
 doi:10.1038/ejcn.2013.176
- 482 National Academies of Sciences, Engineering, and Medicine, Health and Medicine Division, Food and
 483 Nutrition Board, Food Forum, 2019. Sustainable diets, food, and nutrition: proceedings of a
 484 workshop, The National Academies Collection: Reports funded by National Institutes of
 485 Health. National Academies Press (US), Washington (DC). doi:10.17226/25192
- 486 Oddy, D.J., 2003. From plain fare to fusion food: British diet from the 1890s to the 1990s. Boydell
 487 Press, Woodbridge, Suffolk.
- 488 Office For National Statistics, 2019. Living Costs and Food Survey, 2017-2018. UK Data Service.
 489 doi:10.5255/ukda-sn-8459-1
- 490 Orr, J.B., 1937. Food health and income: Report on a survey of adequacy of diet in relation to
 491 income. Macmillan and Company Limited,, London.
- 492 Perignon, M., Vieux, F., Soler, L.-G., Masset, G., Darmon, N., 2017. Improving diet sustainability
 493 through evolution of food choices: review of epidemiological studies on the environmental
 494 impact of diets. Nutr. Rev. 75, 2–17. doi:10.1093/nutrit/nuw043
- 495 Poore, J., Nemecek, T., 2018. Reducing food's environmental impacts through producers and
 496 consumers. Science 360, 987–992. doi:10.1126/science.aaq0216
- 497 Pye, S., Li, F.G.N., Price, J., Fais, B., 2017. Achieving net-zero emissions through the reframing of UK
 498 national targets in the post-Paris Agreement era. Nat. Energy 2, 17024.
 499 doi:10.1038/nenergy.2017.24
- Reynolds, C., Hodgson, H., Bajzelj, B., 2019b. An improved picture of the UK diet: Linking production,
 consumption and waste data to provide a better dietary picture. WRAP.
- Reynolds, C.J., Horgan, G.W., Whybrow, S., Macdiarmid, J.I., 2019a. Healthy and sustainable diets
 that meet greenhouse gas emission reduction targets and are affordable for different

504 income groups in the UK. Public Health Nutr. 22, 1503–1517. 505 doi:10.1017/S1368980018003774 506 Reynolds, C.J. Schmidt Rivera, X. Frankowska, A. Kluczkovski, A. da Silva J. T., Bridle S. L. Levy, R. 507 Rauber, F. Quadros, V. P. Balcerzak, A. Sousa , R. F. Ferrari, M. Leclercq , C. Koroušić Seljak, 508 B. Eftimov, T. (2019c) A Pilot Method Linking Greenhouse Gas Emission Databases To The 509 Foodex2 Classification, Livestock, Environment and People (LEAP) Conference 2019. Saïd 510 Business School, Oxford 10th December 2019 511 Reynolds, C.J., Macdiarmid, J.I., Whybrow, S., Horgan, G., Kyle, J., 2015. Greenhouse gas emissions 512 associated with sustainable diets in relation to climate change and health. Proc. Nutr. Soc. 513 74. doi:10.1017/S0029665115003985 514 Simons, L.P., Hampe, J.F., Guldemond, N.A., 2012. Designing Healthy Consumption Support: Mobile 515 application use added to (e) Coach Solution. Bled eConference 34. 516 Sra, S., Nowozin, S., Wright, S.J. (Eds.), 2011. Optimization for machine learning. The MIT Press. 517 doi:10.7551/mitpress/8996.001.0001 518 Stawarz, K., Cox, A.L., Blandford, A., 2015. Beyond Self-Tracking and Reminders: Designing 519 Smartphone Apps That Support Habit Formation, in: Proceedings of the 33rd Annual ACM 520 Conference on Human Factors in Computing Systems - CHI'''15. Presented at the the 33rd 521 Annual ACM Conference, ACM Press, New York, New York, USA, pp. 2653–2662. 522 doi:10.1145/2702123.2702230 523 Stuart E. A. (2010). Matching methods for causal inference: A review and a look forward. Statistical 524 science : a review journal of the Institute of Mathematical Statistics, 25(1), 1–21. 525 doi:10.1214/09-STS313 526 Sudlow, C., Gallacher, J., Allen, N., Beral, V., Burton, P., Danesh, J., Downey, P., Elliott, P., Green, J., 527 Landray, M., Liu, B., Matthews, P., Ong, G., Pell, J., Silman, A., Young, A., Sprosen, T., 528 Peakman, T., Collins, R., 2015. UK biobank: an open access resource for identifying the causes of a wide range of complex diseases of middle and old age. PLoS Med. 12, e1001779. 529 530 doi:10.1371/journal.pmed.1001779 531 Tilman, D., Clark, M., 2014. Global diets link environmental sustainability and human health. Nature 532 515, 518-522. doi:10.1038/nature13959 Walker, P., Mason, R., Carrington, D., 2019. Theresa May commits to net zero UK carbon emissions 533 by 2050 | Environment | The Guardian. The Guardian. 534 535 Willett, W., Rockström, J., Loken, B., Springmann, M., Lang, T., Vermeulen, S., Garnett, T., Tilman, D., 536 DeClerck, F., Wood, A., Jonell, M., Clark, M., Gordon, L.J., Fanzo, J., Hawkes, C., Zurayk, R., 537 Rivera, J.A., De Vries, W., Majele Sibanda, L., Afshin, A., Chaudhary, A., Herrero, M., 538 Agustina, R., Branca, F., Lartey, A., Fan, S., Crona, B., Fox, E., Bignet, V., Troell, M., Lindahl, T., Singh, S., Cornell, S.E., Srinath Reddy, K., Narain, S., Nishtar, S., Murray, C.J.L., 2019. Food in 539 540 the Anthropocene: the EAT-Lancet Commission on healthy diets from sustainable food systems. Lancet 393, 447-492. doi:10.1016/S0140-6736(18)31788-4 541 Wrieden, W.L., Leinonen, I., Barton, K.L., Halligan, J., Goffe, L., 2017. Is the UK diet sustainable? 542 543 Assessing the environmental impact, cost and nutritional quality of household food 544 purchases. Proc. Nutr. Soc. 76. doi:10.1017/S0029665117001811

- Wrieden, W., Halligan, J., Goffe, L., Barton, K., & Leinonen, I. 2019. Sustainable Diets in the UK—
 Developing a Systematic Framework to Assess the Environmental Impact, Cost and
- 547 Nutritional Quality of Household Food Purchases. Sustainability, 11(18), 4974.
- 548 doi:10.3390/su11184974

549