



# City Research Online

## City St George's, University of London

**Citation:** Rane, S, Kovačević, A & Stošić, N (2019). Grid generation for CFD analysis and design of a variety of twin screw machines. *Designs*, 3(2), doi: 10.3390/designs3020030

This is the published version of the paper.

This version of the publication may differ from the final published version. To cite this item please consult the publisher's version.

**Permanent repository link:** <https://openaccess.city.ac.uk/id/eprint/25281/>

**Link to published version:** <https://doi.org/10.3390/designs3020030>

**Copyright and Reuse:** Copyright and Moral Rights remain with the author(s) and/or copyright holders. Copies of full items can be used for personal research or study, educational, or not-for-profit purposes without prior permission or charge, unless otherwise indicated, provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way. For full details of reuse please refer to [City Research Online policy](#).

1 Article

## 2 Grid generation for CFD analysis and design of a 3 variety of twin screw machines

4 Sham Rane <sup>1,2,\*</sup>, Ahmed Kovačević <sup>1</sup> and Nikola Stošić <sup>1</sup>

5 <sup>1</sup> Centre for Compressor Technology, City, University of London, EC1V 0HB, UK;

6 <sup>2</sup> Department of Engineering Science, University of Oxford, OX2 0ES, UK;

7 \* Correspondence: sham.rane@eng.ox.ac.uk

8 Received: date; Accepted: date; Published: date

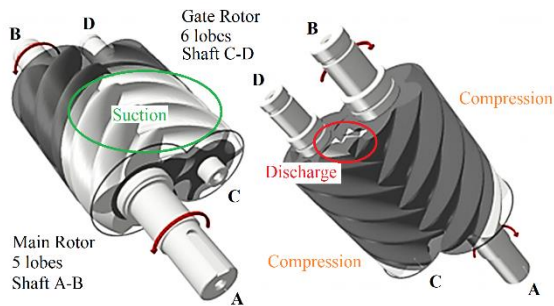
9 **Abstract:** Detailed analysis of flow and thermodynamics in positive displacement screw machines  
10 demands for 3D CFD modelling. The advantage of such simulations is that the model, including  
11 leakage gaps, captures real geometry of the rotors and the ports. However, exploration of non-  
12 conventional designs of rotary machines has been significantly constrained by availability of their  
13 computational grid, used for CFD. SCORG is a customized grid generation tool that has a  
14 framework developed for classical twin screw machines. In this research, application of this tool has  
15 been extended to other variety of screw machines such as variable geometry rotors with lead or  
16 profile variation. Similarly, a completely non-conventional internally geared conical screw machine  
17 could be designed. Other arrangements include multiple gate rotors intended to increase volumetric  
18 displacement or the dual lead, high wrap angle rotors intended for very high-pressure differences  
19 and vacuum applications. The availability of a computational grid for such screw rotors now makes  
20 it possible to evaluate the flow and thermal field in the working chambers of these machines. A  
21 water injected twin screw compressor case study has been presented to demonstrate use of the  
22 developed grid generation tools in analysis and design.

23 **Keywords:** rotary screw machines; CFD; grid generation; screw compressor; screw expander; screw  
24 pump; variable geometry rotor  
25

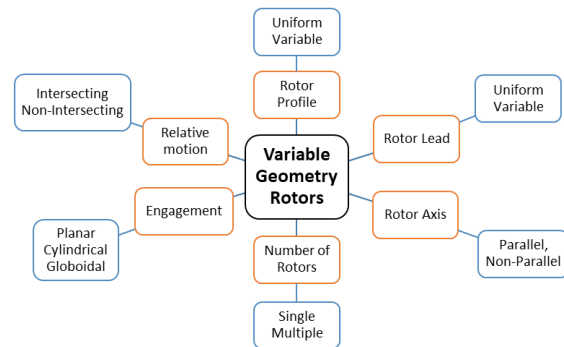
---

### 26 1. Introduction

27 Today screw machines are regarded as highly reliable and compact systems for energy  
28 conversion. The twin screw and single screw compressors are the most widely used types of  
29 industrial compressors. Figure 1 presents the geometry of a pair of a twin screw compressor. Opening  
30 of the space between the rotor lobes to the suction port fills the gas into the passages formed between  
31 them and the casing, until the trapped volume is a maximum. Further rotation leads to cut off the  
32 chamber from the suction port and progressive reduction in the trapped volume thus compressing  
33 the gas. This also leads to axial and bending forces on the rotors and to contact forces between the  
34 rotor lobes. The compression process continues until the required pressure is reached when the rear  
35 ends of the passages are exposed to the discharge port through which the gas flows out of the  
36 compression chamber at approximately constant pressure. Apart from these conventional designs,  
37 there are some variants described in literature and under research. Continuing demands for reduced  
38 energy losses and higher gas pressure differences in compact machines have led to the use of modern  
39 analytical tools to predict the flow characteristics and performance of non-conventional types of  
40 screw machines, such as those with rotors of variable geometry. Figure 2 presents possibilities of  
41 variable geometry screw machines [1]. These non-conventional screw machines are based on the  
42 same operating principles but there are differences in the rotor geometry, the number of rotors or the  
43 rotor mutual orientations. Thereby, these non-conventional machines can obtain improvements in  
44 performance and design benefits.



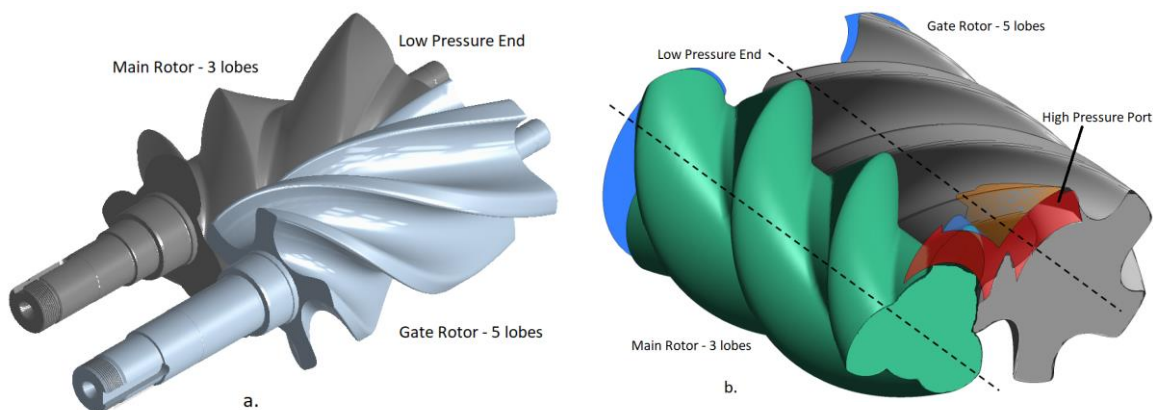
**Figure 1.** Conventional twin screw rotors with N 5-6 profile



**Figure 2.** A representation of possibilities for rotary screw machine geometries [1]

45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63

There is a lack of 3D computational methods available for design and analysis of such screw machines. Screw compressor rotors with a variable lead are still at the research stage, although a patent on this concept dates back to 1969 [2]. This describes a helical screw compressor with a continuously variable lead for the lobes of the male and gate rotors. Figure 3a shows the meshing of twin screw rotors with variable helical lead. It has been shown that for the same rotor lengths, diameter, wrap angles and lobe profiles the variable pitch rotors can be designed to provide higher pressure ratios and larger discharge port opening areas, thus reducing the exit throttling losses [2]. Advantages of variable pitch rotors, can also be comprehended if the rotor diameters are made to vary from suction to the discharge. Figure 3b shows an example of a parallel axis variable profile twin screw rotors. As the rotors of a screw machine turn during operation, the fluid volume in between them is deformed (compressed or expanded) and the CFD grid which represents the fluid volume also needs to deform. Without capturing this deformation it is not possible to capture the real three dimensional fluid characteristics inside the working chamber. A breakthrough was achieved in 1999 by Kovačević et al. [3, 4] with the use of an analytical rack generation method, proposed by Stošić [5], applied to generate an algebraic, adaptive, block structured, deforming grid calculation for twin screw rotors. This methodology of deforming grid generation was implemented in the customized grid generation tool called SCORG [1, 3, 4, 6].



**Figure 3.** Non-conventional variable geometry twin screw rotors with N 3-5 profile. a) Variable lead b) Variable rotor profile [1, 18]

64  
65  
66  
67  
68  
69  
70  
71

Since then there have been several studies reported on the CFD analysis of twin screw machines. The use of this method for screw compressor applications is justified by its ease of use and its speed. The analysis of the working chamber is transient in nature and requires a grid representing every time step rotor position and domain deformation (ALE solver formulation, [1]). In this respect, algebraic methods can be used to recalculate the grid quickly. SCORG has been written in FORTRAN with a C# front end application [6]. In his thesis, Kovačević [3] presented the grid generation aspects in detail. Several CFD simulations of twin screw machines to predict flow, heat transfer, fluid-

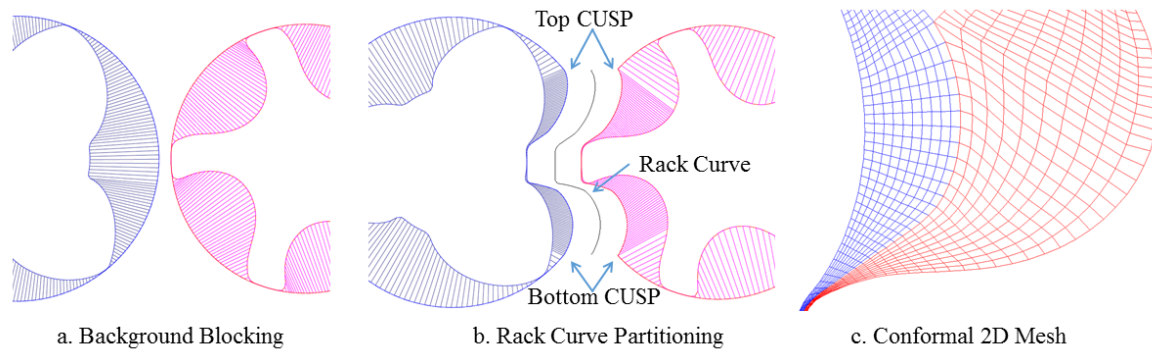
72 structure interaction, etc have been reported in [3, 4, 6]. Sauls and Branch [7] used the results from  
73 CFD calculations to develop an improved one-dimensional thermodynamic model for refrigerant  
74 screw compressors, by extracting calibration coefficients that influence the pressure variation during  
75 the discharge process. Mujić [8] presented an optimisation of the discharge port area based on flow  
76 behaviour in the discharge chamber. CFD model was used for relative comparison of port geometry  
77 modifications and their influence on predicted pressure pulsations has been used to judge sound  
78 spectrum and noise level from the compressor. These noise levels predicted by CFD solutions have  
79 been used for designing discharge ports with reduced noise levels. Mujić [8] in his thesis presented a  
80 3D CFD coupled model in which the boundary conditions for the discharge port were obtained as  
81 time varying data from 1D thermodynamic chamber models. The procedure was implemented for  
82 Star CCM+ solver. It was found that the results predicted by the coupled model for sound pressure  
83 levels were closer to the full 3D CFD models and also in close agreement with the experimental  
84 measurements. Such an approach simplified the numerical analysis and also provided faster results  
85 from the CFD models. Vierendeels et al. [9] were the first to implement a grid generation algorithm  
86 for block structured mesh from the solution of the Laplace equation for twin screw compressors and  
87 pumps using differential methods. The use of differential methods requires the PDE to be solved for  
88 every rotor position and then the grid generation has to be repeated from the equipotential and  
89 gradient lines. In his thesis, Vande Voorde [10] presented the principles of solving the initial Laplace  
90 equation and then using it to construct a block structured deforming mesh. Based on this grid  
91 generation, flow in a double tooth compressor and a twin screw compressor was analysed and the  
92 results were compared with experimental data over a range of discharge pressures and rotor speeds.  
93 A detailed comparison of the algebraic and differential methods has been presented by Rane and  
94 Kovačević [1, 11]. In [12] these techniques implemented in SCORG have been validated for a dry air  
95 twin screw compressor at various operating conditions and with various type of computational grids.

96 In case of non-conventional screw machines, recently, Schulze-Beckinghausen et al. [13] have  
97 presented a thermodynamic chamber model and compared the results of the variable lead  
98 compressor performance with constant lead rotors. Their model predicted higher compression with  
99 rotors of varying pitch. The volumetric efficiency showed an improvement compared to constant lead  
100 rotors but the indicated power was high due to non-optimal internal pressure rise, which increased  
101 the specific power. Utri and Brümmer [14] presented a thermodynamic comparison of screw  
102 expanders with constant and variable pitch in an ORC system. Instead of a continuous lead variation,  
103 they considered a stepped variation which gives a larger port area for the high pressure filling part  
104 of the cycle. The overall wrap angle on the rotors was maintained equal to  $245^\circ$ . A multi chamber  
105 thermodynamic model was then used to evaluate and compare the performance of different  
106 configurations. Rotors with variable rotor pitch showed an increase of up to 5% in effective power  
107 output. Kauder and Fost [15] and Fost [16] evaluated options to modify screw rotors to improve the  
108 filling process in screw expanders and proposed a few non-conventional concepts like conical rotors  
109 and rotors with inlet discs, each with a different pitch. Conical rotors had a variable rotor profile,  
110 similar to rotors in Figure 3b, and showed an improvement in chamber filling mainly influenced by  
111 a reduction in pressure loss at the inlet. For the same built in volume index  $V_i = 5$ , the max inlet area  
112 showed an increase from  $540\text{mm}^2$  to  $1080\text{mm}^2$ . All these studies were done with the intention of  
113 predicting the performance and characteristics of screw machines at the design stage and optimizing  
114 the geometry and control parameters for a given application and operating condition. With vast  
115 improvements in computational technology and availability of more accurate calculation methods,  
116 the use of Computational Fluid Dynamics for screw machine design has been encouraged as it would  
117 provide better insight into the flow dynamics within them. CFD model of such non-conventional  
118 variable geometry was studied by the authors in [1, 17, 18]. In this paper, recent advancements of  
119 implementation of differential grid [19] using a PDE solution of the Poisson's form in SCORG grid  
120 generator has been presented. The quality of numerical cells and their distribution obtained by this  
121 differential method is greatly improved making the grid suitable for multiphase models such as oil  
122 injected screw compressors [21, 22, Video S2]. A special procedure has been introduced that  
123 completely smooths the transition of the partitioning rack curve between the two rotors thus

124 improving grid node movement and robustness of the CFD solver [20]. Further, application of  
 125 SCORG tool to a variety of screw machines such as variable geometry rotors with lead or profile  
 126 variation, internally geared conical screw machine, multiple gate rotors and dual lead, high wrap  
 127 angle rotors are shown. Case study of a water injected twin screw compressor [24] has been presented  
 128 to demonstrate use of the developed grid generation tools in analysis and design.

129 **2. SCORG – customized rotor CFD grid generation**

130 An analytical grid generation of screw machine working domain is explained in Kovačević et.al  
 131 [3, 4]. It includes separating domains of the screw rotors by a rack curve [5] and forming independent  
 132 flow domains around each of the rotors. After the grid points are distributed on boundaries, an initial  
 133 grid is obtained by TFI. Recently, in order to achieve a conformal single domain mesh, Rane and  
 134 Kovacevic [11, 12] introduced a new approach of background blocking. In this procedure, the outer  
 135 boundary in each background block (Figure 4a), a coarse analytically generated mesh, is defined as a  
 136 combination of the rack segment and the casing circle segment. The rack segment stretches between  
 137 the bottom and top cusp points and is closed by the casing. The distribution obtained on the outer  
 138 boundaries of the two blocks is used to constrain distribution on rotor profile as shown in Figure 4a.

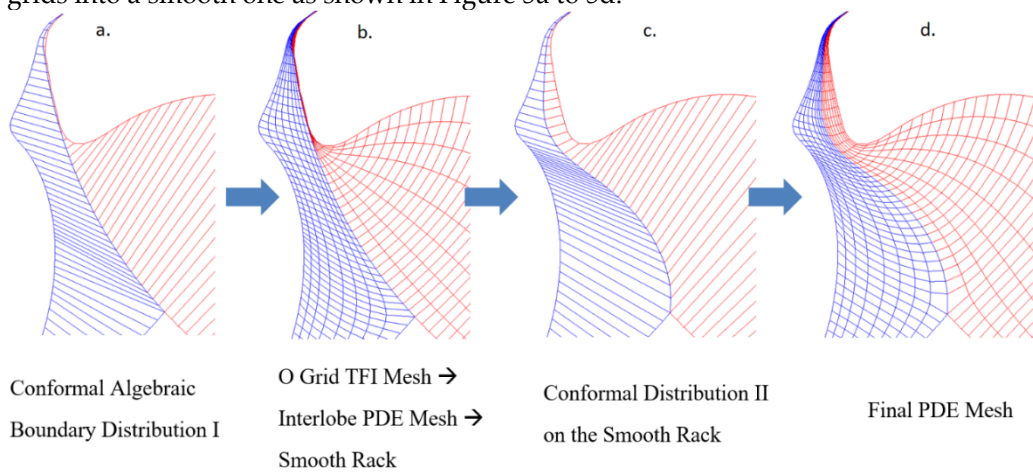


139 **Figure 4.** Background blocking used in SCORG for differential mesh

142 Figure 4b shows how the rack curve is used to partition the two rotor domains and the boundary  
 143 distribution so obtained is used to generate the 2D mesh using TFI. Using the blocking approach  
 144 allows both conformal and non-conformal boundary map to produce fully hexahedral 3D grid.  
 145 Figure 4c shows the nodes on the rack segment between the main and the gate rotor grids with  
 146 conformal boundary map. The 3D mesh generated from such 2D cross sections allows the rotor  
 147 domains of the male and female rotors to be combined into a single rotor mesh. This avoids  
 148 inaccuracies and instabilities that may arise due to the interface mismatch in a non-conformal  
 149 boundary map. The resultant grids are recommended for oil injected multiphase flow modelling and  
 150 have been described in more detail by Rane and Kovacevic in [20, 22, Video S2]. However, even with  
 151 this approach, during the operation as the rotors rotate, the rack curve comes to a position when it  
 152 transitions with a relatively large deformation between the two consecutive steps. The lone algebraic  
 153 method results in this transition as a step change at certain positions as shown in Figure 6b and 6c.  
 154 One of the objectives of the elliptic PDE mesh generation implemented in SCORG was to improve  
 155 the time transition of the partitioning rack curve between the two rotor domains.

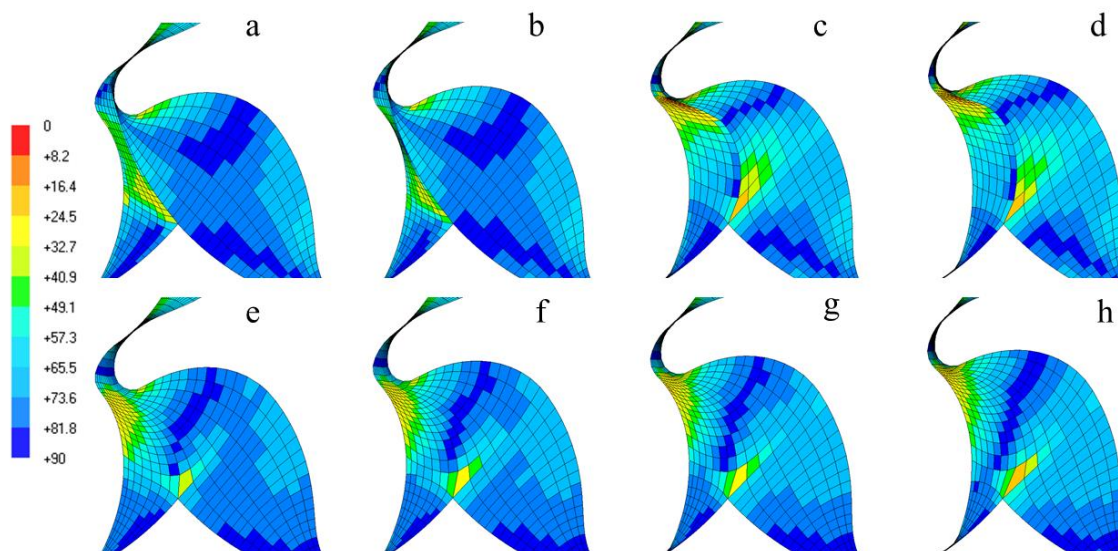
156 Techniques based on solutions of Partial Differential Equations (PDE) to define coordinate  
 157 transformation are widely used in grid generation. The idea of using elliptic PDE like Laplace  
 158 equation or Poisson equation is based on the work of Crowley and Winslow, and it is in detail  
 159 described in Knupp and Steinberg [19]. Elliptic PDE's have certain beneficial properties in their  
 160 solution that make them preferable for body fitted curvilinear grids. These are less prone to folding  
 161 of mesh lines, introduce inherent smoothness so that the discontinuities over the boundaries are not  
 162 propagated into the interior of the domains and the physical boundaries can be exactly used as  
 163 boundary conditions in the computational space. But the numerical grid generation is  
 164 computationally expensive without an initial grid. If an initial grid based on algebraic method could  
 165 be used, the required time for the solution of PDE's is significantly reduced. This treatment requires

166 the solution of the coupled PDE equations in which schemes like Tri-diagonal Matrix Algorithm can  
 167 be used for the solution [19]. The boundary conditions are specified as grid coordinates at  
 168 computational boundaries. This means that for the generation of grid in twin screw rotor domain the  
 169 coordinates of the boundary nodes need to be used as boundary condition. At this stage the initial  
 170 grid generated by the TFI is used for both initial condition and boundary conditions. An O grid  
 171 topology produced by TFI shown in Figure 5b has been used by the differential solver with  
 172 successive-over-relaxation procedures as described in Knupp and Steinberg [19]. In addition, the  
 173 convenient input parameters are used to control intensity of smoothing and inflation layer formation  
 174 of the elliptic solver. The final O grids generated separately for the two rotors by the differential  
 175 solver are merged to produce a single domain mesh for the two rotors as shown in Figure 5d. The  
 176 PDE solver is also used in the interlobe area bounded by the cusp radial nodes (Figure 4b) to convert  
 177 the rack curve into a smooth transitioning curve across the specified number of angular positions of  
 178 the rotor. This is obtained over a four step procedure to gradually change the partition between the  
 179 two O grids into a smooth one as shown in Figure 5a to 5d.



180 **Figure 5.** Four-step procedure for improving time transition of the rack curve

181  
 182  
 183 The smooth rack obtained by this procedure is supplied back to a second stage of boundary  
 184 distribution calculation resulting in a new conformal distribution. This conformal distribution is  
 185 further used as a boundary condition for final differential mesh generation. As a result a significant  
 186 improvement in the mesh quality is achieved. Figure 6 shows the comparison of the cell orthogonal  
 187 quality between the algebraic meshes and the elliptic meshes. Figure 6(a-d) are algebraic meshes and  
 188 Figure 6(e-h) are elliptic meshes in the respective rotor positions.



189 **Figure 6.** Comparison of cell orthogonal quality between Algebraic (a-d) and Elliptic (e-f) meshing

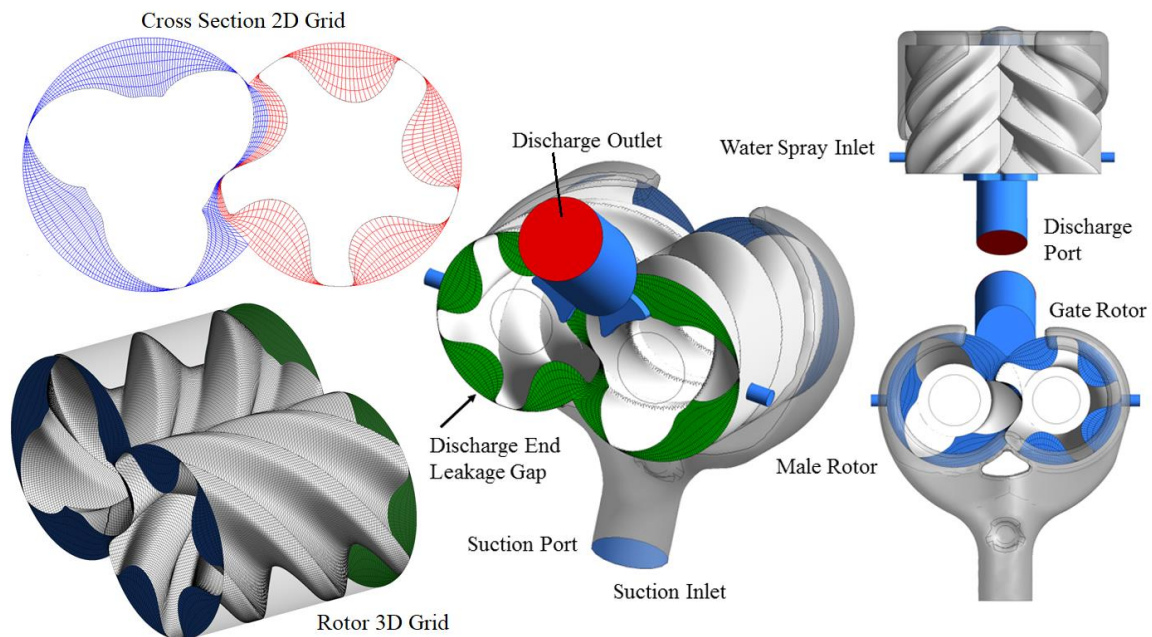
190  
 191

192 The values for the minimum orthogonal angle of the algebraic meshes (top) drops to about 8  
 193 degree after the rack curve transitions from position b to c. The majority of cells are in the range of 40  
 194 – 60 degree orthogonality. Low orthogonality values are also noticed in the position d. But in case of  
 195 elliptic meshing, the overall orthogonality has greatly improved so that the minimum orthogonal  
 196 angle is 25 degree. Most of the cells are in the range of 75 – 90 degree orthogonality. There is one cell  
 197 at the bottom cusp which shows low orthogonality of about 15 degree in both sets of meshes which  
 198 is the consequence of the discontinuity at cusp point and it cannot be avoided. However the overall  
 199 mesh quality is greatly improved. With these techniques a good quality quadrilateral cell structure  
 200 can be constructed in the 2D cross sections of the rotor. Data from 2D cross sections is then combined  
 201 together to construct the full 3D grid representing the main and gate rotor position and a set of such  
 202 3D grids need to be generated with successive increments in the rotor position and provided to the  
 203 flow solver during numerical analysis [1].

204 *2.1. Conventional twin screw machine*

205 Figure 7 shows the computational grid of a conventional twin screw machine. This example is  
 206 that of a water injected twin screw compressor [24]. The case study analysis is presented in section 3.  
 207 SCORG generates a set of 2D cross-sections with quadrilateral cells as seen in one rotor profile  
 208 position in Figure 7. These set of 2D sections are then assembled as 3D rotor domain grids as seen in  
 209 Figure 7 for one rotor position. Several such rotor position 3D node positions data are produce by  
 210 SCORG and supplied to the flow solver during computations. A priori generation of 3D grid data for  
 211 all the cyclically repeating rotor positions ensures that the solver will function robustly (without  
 212 failing due to cell degeneration) during the simulation.

213



214

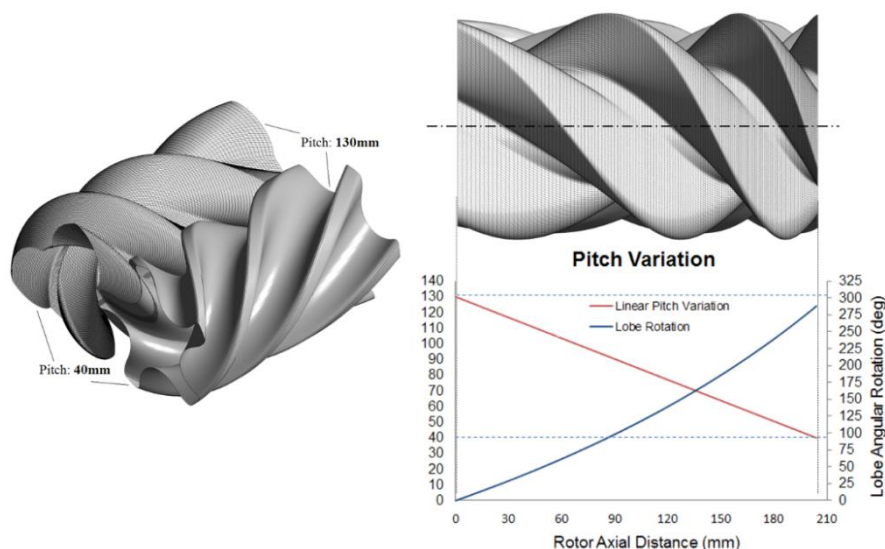
215 **Figure 7.** Flow domain and rotor grid of a water injected twin screw compressor [24]

216

217 A choice of hexahedral structure allows for ease of database and at the same time ALE  
 218 formulations in the solver can be utilised that only demand for accurate node positions with time in  
 219 order to capture grid deformation. Decomposition of the working chamber consists of splitting the  
 220 flow region into three main blocks as shown in Figure 7. This gives the flexibility to treat mesh  
 221 generation in these blocks independently, on the choice of the grid generation methods. In a single  
 222 domain mesh both the rotors are contained in one deforming grid block thereby eliminating the non-  
 223 conformal interface between the rotors. The deforming rotor grid has non-conformal interfaces with  
 224 static ports and the water injection port.

225 2.2. Variable lead twin screw machine

226 Figure 3a shows the meshing of twin screw rotors with variable helix lead. SCORG can be used  
 227 to generate the deforming rotor domain grid for such variable lead rotors [1, 18]. A pitch variation  
 228 function is specified for the rotors and used to derive a relation between the fixed angular increments  
 229 of from one section to the other and the required variable axial displacements ( $\Delta z$ ), for each cross  
 230 section of the rotor. So the grid vertex data generated for one interlobe are reused but positioned in  
 231 the axial direction with variable  $\Delta z$ , such that the pitch variation function gets applied. An example  
 232 rotor and the pitch function is shown in Figure 8 where suction side pitch was 130mm and discharge  
 233 side pitch was 40mm.  
 234



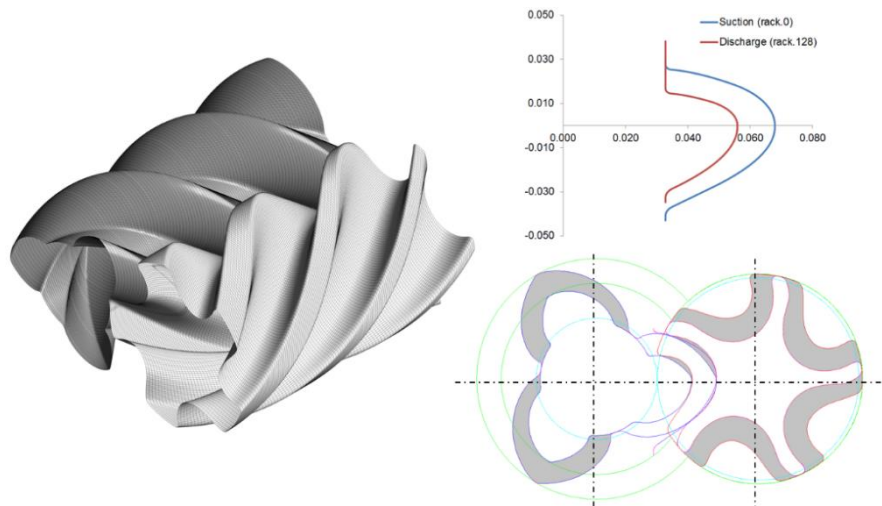
235 **Figure 8.** Variable lead screw rotor grid with 3/5 'N' profile [1, 18, Video S1]

236  
 237  
 238 In [1], a comparative study has been presented between uniform pitch (85mm) with built in  
 239 volume index  $V_i$  of 1.8 and 2.2, and variable pitch (Figure 8) with  $V_i > 1.8$ . The wrap angle of  $285^\circ$   
 240 was maintained as shown in Figure 8 for both the rotors. The analysis showed that by varying the  
 241 rotor lead continuously from the suction to the discharge ends, it is possible to achieve steeper  
 242 internal pressure build up. Varying the rotor lead also allows a larger discharge port area, thereby  
 243 reducing throttling losses, and increase in volumetric efficiency ( $\eta_v$ ) by reducing the sealing line  
 244 length in the high pressure zone. Uniform rotors show highest volumetric efficiency at 2.0 bar. But  
 245 with  $V_i = 2.2$  the efficiency was lower than that of the variable pitch rotors due to comparable internal  
 246 pressure rise and comparatively shorter sealing line length. An improvement in  $\eta_v$  of 2.2% at 2.0 bar  
 247 and 2.0% at 3.0 bar discharge pressure was reported for the variable lead rotor.

248 2.3. Variable profile twin screw machine

249 The SCORG grid generation algorithm has been extended to variable profile rotors in [1]. The  
 250 functionality also allows a covariation of rotor lead as well as rotor profile. An example of uniform  
 251 lead and variable profile rotor is Figure 3b and the grid generated by SCORG is shown in Figure 9.  
 252 In this algorithm, the additional computational effort required is to calculate the 2D grid data in every  
 253 cross section as compared to that of a uniform pitch rotor grid generation calculation. The assembly  
 254 of the grid from 2D to 3D structure was completely redesigned in order to provide flexibility to  
 255 generate grids for variable geometry rotors. The inputs for the geometry of the rotor can be provided  
 256 as a set of profile coordinate files for the main and gate rotors in each cross section. These data points  
 257 can be extracted from CAD models. In the case of profiles such as the 'N' profile which are defined  
 258 by a generating rack, a set of rack coordinate files for each of the rotor cross section could be used. A  
 259 comparative study between uniform profile and variable profile rotors has been presented in [1]. In  
 260 case of the variable profile, addendum on the suction end of the rotors was 33mm while on the

261 discharge side it was reduced to 21mm. The addendum on the uniform profile rotors had constant  
 262 value 28.848mm. Due to the variation of addendum the outer diameter of the male rotor changes  
 263 while the inner diameter remains constant and vice versa for the female rotor, as shown in Figure 9.  
 264 The volumetric displacement of these rotors was smaller than that of the uniform profile rotors.  
 265 Analysis of variable profile rotors showed a steeper internal pressure rise but there was no reduction  
 266 of the sealing line length and blow-hole area for the same size of the rotors. The increase in root  
 267 diameter of the female rotors with variable profile certainly helps in producing stiffer rotors for high  
 268 pressure applications. There was not much gain in  $\eta_v$  with variable profile rotor at 3.0bar due to  
 269 there being no significant reduction in the sealing line length.  
 270

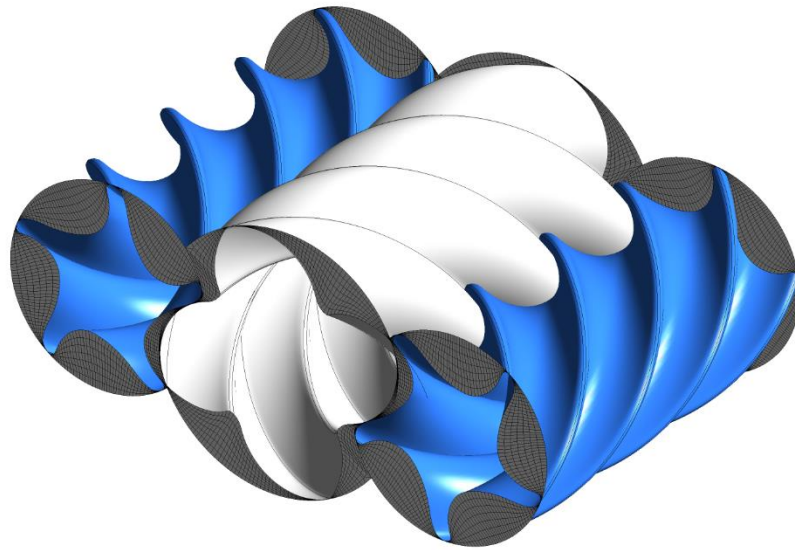


271 **Figure 9.** Variable profile screw rotor grid with 3/5 'N' profile [1, 18]  
 272  
 273

274 A 1.2% reduction in  $\eta_v$  was observed at 2.0 bar due to smaller capacity of the machine and  
 275 higher internal pressure rise with over-compression. The uniform rotors show the highest adiabatic  
 276 efficiency ( $\eta_a$ ) at 2.0 bar. However, with  $V_i = 2.2$  their  $\eta_a$  was lower than that of the variable  
 277 geometry rotors. At 3.0 bar, the uniform rotors have reduced adiabatic efficiency (still 0.7% higher  
 278 than variable geometry rotors) but both variable pitch and variable profile rotors show an increment  
 279 in adiabatic efficiency due to a balanced internal pressure rise. An improvement of 2.8% at 2.0 bar  
 280 and 1.0% at 3.0 bar with variable lead and 1.1% at both pressures with variable profile was reported.

281 *2.4. Tri rotor screw machine*

282 As the pressure difference between suction and discharge increases in screw compressors, the  
 283 rotor root diameter has to increase, in order to be able to endure bending loads and avoid rotor  
 284 damage. Also, the number of rotor lobes has to be increased. Consequently, there is a decrease in the  
 285 volumetric displacement achieved by a given size of the machine. One of the methods of achieving  
 286 higher volumetric displacement is by running two or more compressors in parallel. Another  
 287 approach is to utilize a single male rotor and multiple female rotors to effectively increase the number  
 288 of compression chambers and boost the flow rate. One arrangement for two female rotors is shown  
 289 in Figure 10, which is similar to the patent by Nilsson [23]. The suction and discharge in this  
 290 configuration happen on both axial ends of the male rotor and this can help in reducing the radial  
 291 load on it. The design of the ports is challenging because the end plates have to accommodate heavy  
 292 bearings. This increases the chances of having the full pressure difference across a leakage path,  
 293 increasing the effective leakage as compared to twin screw arrangement. Such a possibility and also  
 294 the port design can be investigated further in detail by CFD analysis and the required multi-gate  
 295 rotor grid can be generated using SCORG.  
 296

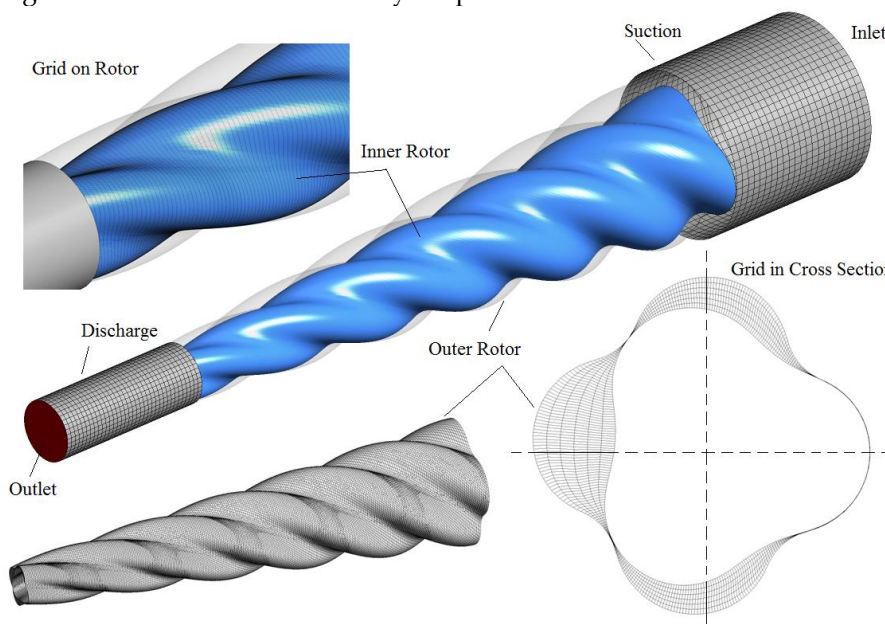


297  
298

**Figure 10.** Tri rotor screw compressor grid with 4/5 ‘N’ profile [Video S3]

299 *2.5. Internally geared twin screw machine*

300 Another example of grid generation with uniform pitch and variable section is the design of  
 301 internally geared twin screw machine. A 3/4 lobe combination compressor with cycloidal profiles can  
 302 be generated using SCORG as shown in Figure 11. The rotor diameter changes along the length with  
 303 the helical spiral of a constant pitch. In comparison to a classical twin screw compressor, there are  
 304 two screw rotors but the gate rotor is an internally lobed helical spiral rotor driven by the inner main  
 305 screw rotor which is externally lobed. The compression chamber is formed in the volume trapped  
 306 between the inner and the outer rotors. The reduction of volume occurs because of the progressive  
 307 reduction of the rotor diameter due to scaling of the profile along the spiral. This in turn causes  
 308 internal compression and increase in pressure. In operation, the outer rotor is positioned on a central  
 309 axis while the inner rotor rotates about an eccentric axis with varying centre distance from the suction  
 310 to the discharge ends. Both axes are stationary in space.



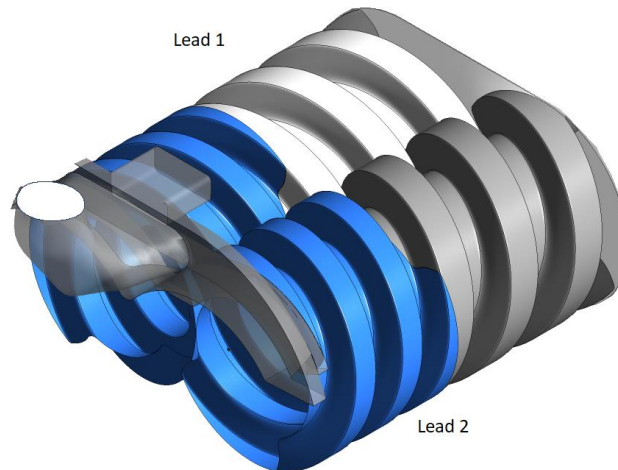
311  
312

**Figure 11.** Grid of the working chamber of conical internally geared screw rotors [1, Video S4]

313 *2.6. Dual lead twin screw machine*

314 In liquid pumping application with very high pressure difference between suction and discharge  
 315 or in vacuum pumps the conventional screw rotor has a very high wrap angle in the order of 1080°.

316 In comparison, a twin screw compressor has a wrap angle in the range of 250 – 310°. The large wrap angle  
317 severely reduces the volumetric capacity of such pump rotors. One of the means of increasing  
318 displacement is to use a dual lead rotor as shown in Figure 12. SCORG can generate rotor grid for  
319 such high wrap angle rotors and can construct dual/multiple lead sections.  
320



321  
322

**Figure 12.** Grid of the working chamber of dual lead, high wrap angle, screw pump rotors

### 323 3. Application of SCORG grid for analysis of water injected twin screw compressor

324 There are industrial processes requiring clean compressed air where oil contamination is not  
325 acceptable such as in the food and pharmacy plants. In the absence of oil in the compression chamber,  
326 leakage and thermal deformation causes significant limitation on the delivery pressures that could  
327 be achieved in one compression stage. As such multistage compression with intercooling has been  
328 employed which adds immensely to the cost of the compressor plant. Injection of liquid water in twin  
329 screw air compressors has been pursued for long due to the thermodynamic benefits that supersede  
330 a dry air compression process. When water is used in small quantities during the compression  
331 process an internal cooling and sealing can be achieved and also a condenser fitted downstream of  
332 the compressor can strain the water out of delivered high pressure air. In such a system or when there  
333 are no condensers employed it is desirable to inject an optimum quantity of water into the  
334 compression chamber to gain evaporative cooling. Recent studies have shown that using CFD models  
335 for dry air and oil injected air compressors achieved a good agreement with measurements, in  
336 prediction of performance parameters [22]. In these simulations, the Eulerian-Eulerian multiphase  
337 modelling has been applied. To implement the same model for water injected compressors presents  
338 an additional challenge that the liquid water injected into the compression chamber changes phase  
339 and evaporates depending on local saturation and thermodynamic conditions [24]. Water also forms  
340 liquid film on the rotor and housing and thereby influences thermal changes. The objective of the  
341 present analysis was to estimate the temperature distribution inside the compressor, identify non-  
342 uniformity and provide data to estimate thermal deformations due to high temperatures. CFD model  
343 was used to calculate four different operating conditions with gradually increasing water content.  
344 The analysis indicates that with an increased amount of water injection into the compression chamber  
345 it is possible to control the gas discharge temperature in the limits of 200°C for the safe operation of  
346 selected bearing and seals.

#### 347 3.1. CFD model and operating conditions

348 Description of typical CFD modelling for twin screw compressors is presented in detail in Rane  
349 [1, 11, 12]. The whole working domain of the compressor is split into four main sub-domains namely  
350 rotor domain, suction port, discharge end leakage gap and discharge port. All sub-domains are  
351 connected in the solver by non-conformal interfaces. The grid for the rotor domain is generated using  
352 SCORG while grids for all stationary domains are obtained using ANSYS meshing. ANSYS CFX

353 solver is used in this study. An inhomogeneous formulation treats momentum transport for each  
 354 phase separately and can account for high slip conditions. Evaporation of water-liquid phase is  
 355 defined as per equation (1) and the saturation temperature  $T_{sat,\hat{p}} = 184.06^{\circ}\text{C}$  is specified with latent  
 356 heat  $L = 1998.55 \text{ kJ/kg}$  in equation (2) corresponding to 11.0 bar delivery pressure. An empirical  
 357 form of the Lee model [24] has been used in the present study. It is assumed that during the entire  
 358 compression process from suction pressure to discharge pressure, secondary phase water-liquid  
 359 changes phase to water-vapour only when its temperature  $T_w$  exceeds the saturation temperature at  
 360 discharge pressure  $T_{sat,p,d}$ . Such high temperatures can occur inside the compression chamber due  
 361 to the heat addition of compression and reheating of leakage gas. A possible internal over-  
 362 compression is another contributor. Another crude assumption is that the phase change is  
 363 unidirectional i.e. only evaporation occurs and no condensation. It is anticipated that condensation if  
 364 it has to occur will happen in the discharge pipes and not in the compression chamber where  
 365 continuous heat addition occurs. As discharge piping is not a part of the computational domain,  
 366 condensation can be ignored. Once the water-liquid is evaporated it is artificially removed from the  
 367 domain. The entire enthalpy of evaporation is extracted from the primary phase air resulting into  
 368 cooling. In empirical form the evaporation mass transfer rate for water-liquid phase is  
 369 If  $T_w > T_{sat,p,d}$  (Evaporation),

$$\dot{m}_{wv} = c_e \alpha_w \rho_w \left( \frac{T_w - T_{sat,\hat{p}}}{T_{sat,\hat{p}}} \right) \quad \text{Lee Model [24]}$$

$$\dot{m}_{wv} = -c'_e \alpha_w \rho_w \quad \text{Empirical form}$$

Such that,  $T_w \gg T_{sat,\hat{p}}$  (1)

$$c'_e = c_e \left( \frac{T_w - T_{sat,\hat{p}}}{T_{sat,\hat{p}}} \right) = \frac{1}{\Delta t}$$

370 The enthalpy source in energy equation applied for air phase is defined as

$$Q_{va} = -\dot{m}_{wv} \cdot L \quad (2)$$

371  $L$  is the latent heat due to evaporation at discharge pressure. Such an empirical model also enables  
 372 the use of constant thermodynamic properties for the water-liquid in the calculations. Four cases  
 373 were calculated in this study and the corresponding operating conditions are as shown in Table 1.  
 374

**Table 1.** Evaluated CFD cases and resultant delivery temperature at 11.0 bar

	Speed (rpm)	Water (kg/sec)	Remark	Average Discharge Temperature (°C)
Case1	6000	0.018	2x – twice the saturation mass	325
Case2	4500	0.009	Saturation Mass - x	262
Case3	4500	0.045	5x – five times the saturation mass	205
Case4	6000	0.090	10x – ten times the saturation mass	187

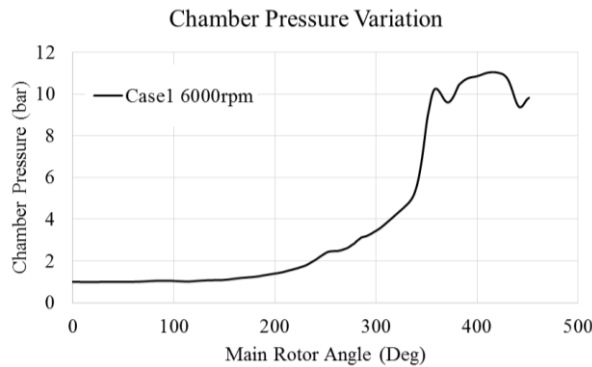
**Table 2.** Fluid properties

Property	Air	Water Liquid	Units
Density	Ideal Gas	997.0	kg m <sup>-3</sup>
Dynamic Viscosity	1.83e-05	8.889e-04	kg m <sup>-1</sup> s <sup>-1</sup>
Thermal Conductivity	2.61e-02	0.6069	Wm <sup>-1</sup> K <sup>-1</sup>
Specific heat capacity	1004.4	4181.7	J kg <sup>-1</sup> K <sup>-1</sup>

375  
 376 Fluid properties were defined as in Table 2 with air as the primary phase and water-liquid as  
 377 the secondary phase. Pressure boundary conditions were specified at the suction and discharge.  
 378 Solver parameters were set at higher stability conditions. SST k-Omega turbulence model was  
 379 applied. Results from CFD analysis are presented in this section. They reflect a state when full 11.0  
 380 bar discharge pressure has been reached in the discharge port and 1-2 cycles of calculation were  
 381 continued at these operating conditions. The cycle averaged temperature data were collected during  
 382 the simulation.

383 3.1. Internal compression chamber pressure

384 Figure 13 shows the rise of pressure in the compression chamber with main rotor rotation for  
 385 Case1 at 6000 rpm and 0.018 Kg/sec water mass flow rate condition. Both air and water are at the  
 386 same pressure inside the chamber. Because of the high under-compression which can be observed by  
 387 the steep pressure rise at 350° rotor angle, a strong pressure pulse is generated in the discharge port.  
 388

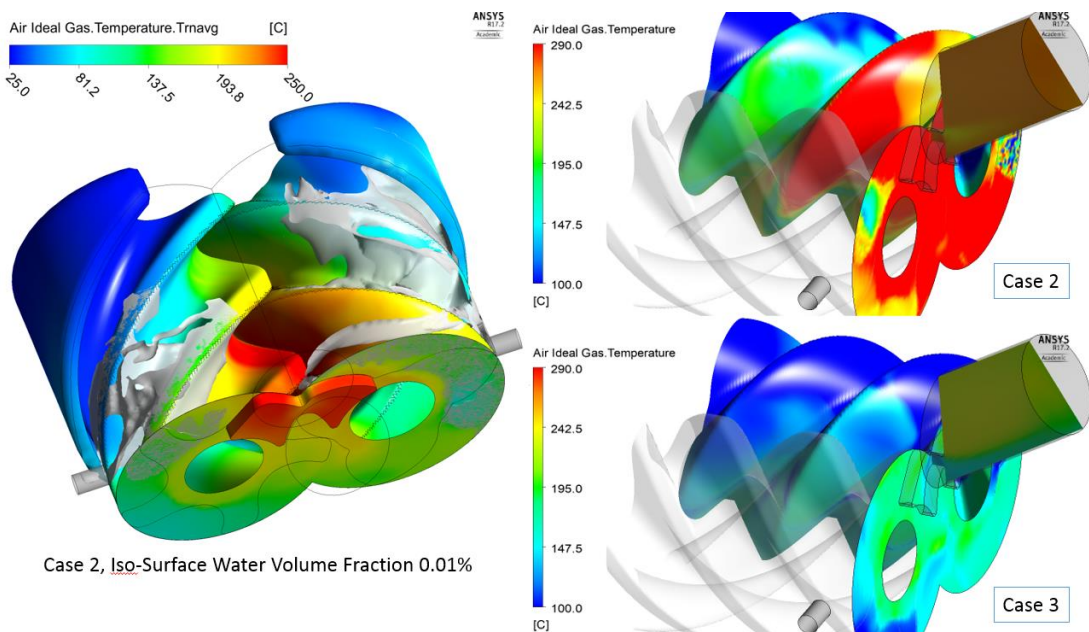


389 **Figure 13.** Internal chamber pressure variation during a compression cycle

390  
 391 The indicated power at 6000rpm was 21.0 kW and at 4500rpm it was 15.0 kW. The average torque  
 392 on the main rotor was close to 30.0 Nm while that on the gate rotor was close to 3.69 Nm. The direction  
 393 of gate rotor torque was opposite to that of the main rotor. All the four cases have been calculated at  
 394 11.0 bar discharge pressure the resultant rotor torque was in the similar range in all the cases.  
 395

396 3.3. Gas temperature distribution

397 If water was not injected in the compressor, the temperature of air would have exceeded 380°C  
 398 at 11.0 bar discharge pressure. In the analysed cases, water has been injected at 10°C. Table 1 presents  
 399 the average air temperature at the discharge in the four cases. It can be observed that for a low water  
 400 mass flow rate of 0.009 Kg/sec the cooling effect was stronger in Case 2 at 4500 rpm compared to Case  
 401 1 at 6000rpm which had twice water mass flow compared to Case 2.  
 402



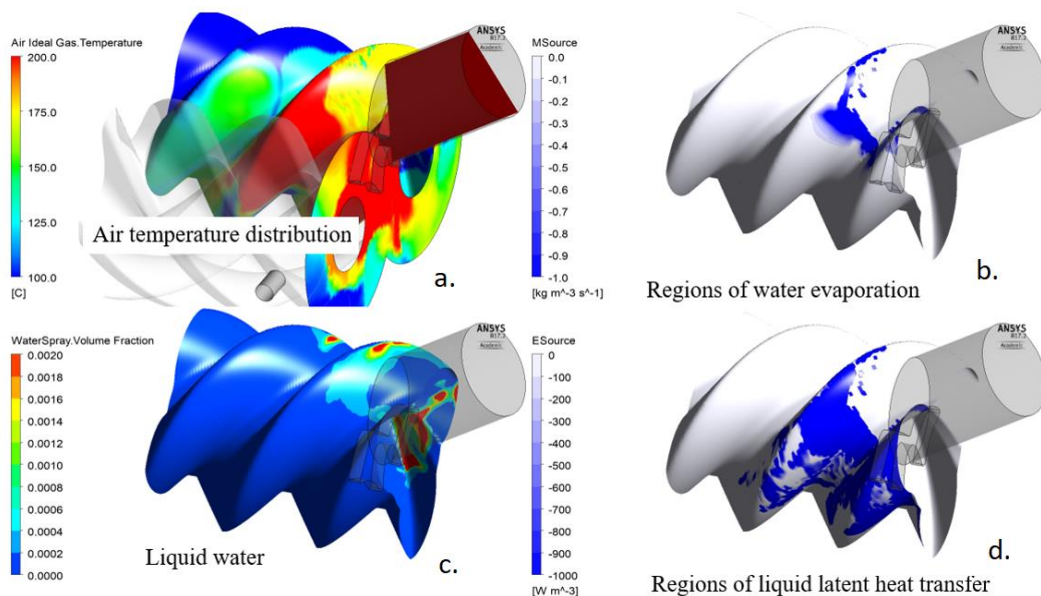
403 **Figure 14.** Iso-surface of liquid water and cycle averaged air temperature distribution

404  
 405 The water mass of 0.009 Kg/sec was determined so as to achieve saturated air at the exit with  
 406 power dissipation of approximately 30 kW. But these estimates did not account for transient affects.  
 407

408 CFD calculation has therefore resulted in higher than saturation exit temperatures. Additionally the  
 409 leakage of gas during compression adds to the accumulation of energy in the compression chamber  
 410 which further raises the gas temperature. Cases 2 and 4 were designed such that the mass flow rate  
 411 of water is 5 times and 10 times that of the saturation mass of Case 2 respectively with the aim of  
 412 achieving a discharge temperature lower than 200°C. The limit of 200°C is due to the maximum  
 413 temperature that the compressor bearings and housing can withstand during operation. It can be  
 414 observed from Table 1 that the temperature of 205°C is achieved at 4500 rpm and 187°C is achieved  
 415 at 6000rpm with increased mass flow of water. Figure 14 presents the distribution of air temperature  
 416 inside the compressor. An iso-surface generated with water-liquid volume fraction of 0.01% is also  
 417 shown in the figure. The temperature in the suction port is lower on the gate rotor side, but on the  
 418 main rotor side shows higher air temperature. This indicates that the leakage is higher from the tip  
 419 of the main rotor as compared to the gate rotor and also that the cooling is more effective on the gate  
 420 rotor side as compared to the main rotors side for the same mass of injected water. The temperature  
 421 on the gate rotor is higher than on the main rotor close to the discharge port. Water-liquid is observed  
 422 in the region where air temperature is below the saturation temperature at 11.0 bar. Evaporation  
 423 effect is visible in the compression chamber opened to the discharge port and also in the discharge  
 424 port i.e. no liquid water is present here. In comparison to Case 2, Case3 showed about 50°C lower  
 425 cycle average temperature.

426 3.2. Evaporation effect

427 Figure 15 shows the representative water-vapour formation and cooling of air. Figure 15a shows the  
 428 air temperature distribution on the main rotor surface, in the end leakage and in a plane through the  
 429 discharge port. Figure 15b shows the region where liquid water is getting converted to vapour. Figure  
 430 15c shows the distribution of liquid water on the main rotor surface and Figure 15d shows the latent  
 431 heat energy being removed from air in regions where evaporation is active.  
 432



433 **Figure 15.** Visualization of Case 2, a) Air temperature distribution, b) Regions of water evaporation,  
 434 c) Liquid water distribution and d) Regions of liquid latent heat transfer  
 435  
 436

437 The air temperature and presence of liquid water can be correlated to the regions of vapour  
 438 formation and heat extraction in this figure. Due to very low mass of water - 0.009 Kg/sec in the Case  
 439 2 the local air temperature reaches to about 290°C. In Case 3 which had 5 times higher mass injection  
 440 as compared to Case 2 the peak air temperature dropped to below 200°C as shown in Figure 14.  
 441

#### 442 4. Discussion

443 Rotary screw machines in their current form have been in operation for a long time and the basic  
 444 design has not changed. Classical twin screw and single screw rotor arrangements have been  
 445 successfully used as compressors, pumps and expanders. With ever-increasing demands for higher  
 446 efficiency, operating pressure ratio and reliability, designers are constantly exploring non-  
 447 conventional rotary arrangements. 3D CFD models are being used more and more to improve rotary  
 448 machine design by optimizing the rotors, ports and the interaction of flow within the working  
 449 chambers. The use of 3D CFD for exploring non-conventional design space has been chiefly  
 450 constrained by the non-availability of computational mesh generation tools. In this paper, SCORG, a  
 451 customized grid generation tool that has a framework developed for classical twin screw machines  
 452 has been presented as applied to other variety of screw machines. It was possible to extend the grid  
 453 generation to a variety of variable geometry rotors such as rotor lead variation or rotor profile  
 454 variation. Similarly, a completely non-conventional internally geared conical screw machine could  
 455 be designed. Other arrangements include multiple gate rotors intended to increase volumetric  
 456 displacement or the dual lead high wrap angle rotors intended for very high-pressure differences.  
 457 The availability of computational grid for such screw rotors now makes it possible to evaluate the  
 458 flow and thermal field in the working chambers of these machines.

459 The test case of water injected twin screw compressor is an example of multi-phase flow  
 460 consisting of two fluids air and water. A single domain structured numerical mesh of the flow domain  
 461 was generated using recently developed boundary blocking, analytical grid generation and elliptical  
 462 smoothing by SCORG. Analysis of the test cases indicate the following design performance:

- 463 • Results show higher cooling at 4500 rpm than at 6000 rpm for the same water mass flow rate.  
 464 Total mass of water injected and its residence time in the compression chamber is higher at  
 465 lower speed resulting in greater heat transfer and cooling. At 4500 rpm the compression  
 466 power is lower than at 6000 rpm. Therefore the same mass of water will provide higher  
 467 cooling at lower speeds.
- 468 • When water mass required just for saturation is injected, the exit temperature exceeds 300 C.  
 469 By injecting five times higher water mass flow, cycle average temperature close to 200 C  
 470 could be achieved.
- 471 • In this compressor design, water cooling effect was higher on the Gate rotor side due to early  
 472 injection. An increase in the water injection on main rotor side can help to achieve better  
 473 temperature uniformity.
- 474 • Tip leakage is higher on Main rotor side and this results in non-uniform temperature on the  
 475 housing.

476 The test case demonstrated that physical mechanism such as injection of water in the  
 477 compression chamber and evaporation during the compression cycle is still at a primitive level where  
 478 simplification of the evaporation mechanism was required to avoid excessively high computational  
 479 resource and facilitate numerical stability of the flow solver. This implies the need to further develop  
 480 numerical models and flow solvers to be suitable for the design and analysis of rotary screw  
 481 machines.

#### 482 5. Conclusion

483 It is anticipated that more customized grid generation tools such as SCORG will need to be  
 484 developed as further positive displacement screw machine designs are explored and their  
 485 computational models are demanded. Additional 3D CFD methods that can provide robust grid re-  
 486 meshing algorithms or meshless methods will also need to be evolved that can be used for flow  
 487 computation in complex deforming domains of these machines.

#### 488 Nomenclature

ALE	Arbitrary Lagrangian Eulerian	$Q_{va}$	Evaporation enthalpy source
CFD	Computational Fluid Dynamics	$\dot{m}_{wv}$	Evaporation mass source

PDE	Partial Differential Equations	$\Delta t$	Solver time step size
TFI	Trans-finite Interpolation	$c_e$	Lee – Mass transfer coefficient
$L$	Latent heat	$c'_e$	Empirical mass transfer coefficient
$T_w$	Water temperature	$\hat{p}$	Vapour partial pressure
$\rho_w$	Water density	$\alpha_w$	Water volume fraction
$\eta_v$	Volumetric efficiency	$\eta_a$	Adiabatic efficiency
$T_{sat,\hat{p}}$	Saturation temperature at vapour partial pressure	SCORG	Screw Compressor Rotor Grid Generator

489 **Supplementary Materials:** The following are available online at <http://pdmanalysis.co.uk/gallery/>, Video S1:  
490 [Variable lead rotors](#), Video S2: [CFD Simulation of Oil Injected Twin Screw Compressor](#), Video S3: [Tri-rotor screw](#)  
491 [machine](#), Video S4: [SCORG Grid for internal screw rotors](#).

492 **Author Contributions:** conceptualization, S.R., A.K. and N.S.; methodology, S.R., A.K. and N.S.; investigation,  
493 S.R., A.K. and N.S.; writing—original draft preparation, S.R.; writing—review and editing, A.K. and N.S.;  
494 supervision, N.S.; project administration, S.R. and A.K.

495 **Funding:** This research was funded by the Centre for Compressor Technology, City, University of London.

496 **Acknowledgments:** Authors would like to thank Professor Ian K Smith, Centre for Compressor Technology,  
497 City, University of London for supporting the research and Mr Graham Stupple and Mr Andreas Korner from  
498 Jäcklin GmbH for water injected screw compressor technical inputs.

499 **Conflicts of Interest:** The authors declare no conflict of interest.

## 500 References

- 501 Rane, S. Grid Generation and CFD analysis of Variable Geometry Screw Machines, Thesis, City, University  
502 of London, **2015**.
- 503 Gardner, J. W. US Patent No 3,424,373 – Variable Lead Compressor, **1969**.
- 504 Kovačević, A. Three-Dimensional Numerical Analysis for Flow Prediction in Positive Displacement Screw  
505 Machines, Thesis, City, University of London, **2002**.
- 506 Kovačević, A. Boundary Adaptation in Grid Generation for CFD Analysis of Screw Compressors, Int. J.  
507 Numer. Methods Eng., **2005**, Vol. 64: 401-426.
- 508 Stošić, N.; Smith, I.K.; Kovačević, A. Screw Compressors: Mathematical Modeling and Performance  
509 Calculation, Monograph, Springer Verlag, Berlin, **2005**, ISBN: 3-540-24275-9.
- 510 Kovačević, A.; Stošić, N.; Smith, I. K. Screw compressors - Three dimensional computational fluid dynamics  
511 and solid fluid interaction, **2007**, ISBN 3-540-36302-5, Springer-Verlag Berlin Heidelberg New York.
- 512 Sauls, J.; Branch, S. Use of CFD to develop improved one-dimensional thermodynamic analysis of  
513 refrigerant screw compressors. 8th Int conf on compressors and their systems, **2013**, p. 591.
- 514 Mujic, E. A Numerical and Experimental Investigation of Pulsation Induced Noise in Screw Compressors,  
515 PhD Thesis, London: City University of London, **2008**.
- 516 Rienslagh, K.; Vierendeels, J.; Dick, E. An arbitrary Lagrangian-Eulerian finite-volume method for the  
517 simulation of rotary displacement pump flow. Applied Numerical Mathematics, **2000**, 32:419-433.
- 518 Voorde Vande, J.; Vierendeels, J. A grid manipulation algorithm for ALE calculations in screw compressors.  
519 17th AIAA Computational Fluid Dynamics Conference, Canada, **2005**, AIAA 2005-4701.
- 520 Rane, S.; Kovačević A. Algebraic generation of single domain computational grid for twin screw machines.  
521 Part I. Implementation, Advances in Engineering Software, **2017**, 107, pp. 38-50, doi:  
522 10.1016/j.advengsoft.2017.02.003
- 523 Kovačević, A.; Rane, S. Algebraic generation of single domain computational grid for twin screw machines  
524 Part II – Validation, Advances in Engineering Software, **2017**, 107, pp. 31-43, doi:  
525 10.1016/j.advengsoft.2017.03.001
- 526 Schulze-Beckinghausen, P.; Hauser, J.; Beinert, M.; Herlemann, S. Advanced analysis of twin screw  
527 compressors with variable rotor pitch using one-dimensional thermodynamic simulation, International  
528 screw compressor conference, TU Dortmund, VDI-Berichte, **2014**, 2228 ; 237-248 .
- 529 Utri, M.; Brümmer, A. A comparative examination of the potential of screw expanders with variable rotor  
530 pitch, International screw compressor conference, TU Dortmund, VDI-Berichte, **2014**, 2228 ; 249-266.

- 531 15. Kauder, K.; Fost, C. Investigations about the improvement of the Filling process of a Screw-Type Engine,  
532 Part III. VDI-Berichte Report, TU Dortmund, **2002**.
- 533 16. Fost, C. Geometrical Variations at the Inlet of Screw-Type Engines. International screw compressor  
534 conference, VDI-Berichte Nr. 1932, TU Dortmund, **2006**.
- 535 17. Rane, S.; Kovačević, A.; Stošić, N.; Kethidi, M. Grid Deformation Strategies for CFD Analysis of Screw  
536 Compressors, *Int Journal of Refrigeration*, **2013**, *36*, *7*, p. 1883-1893.
- 537 18. Rane, S.; Kovačević, A.; Stošić, N.; Kethidi, M. Deforming grid generation and CFD analysis of variable  
538 geometry screw compressors, *Computers and Fluids*, **2014**, *99*, p. 124–141.
- 539 19. Knupp, P.; Steinberg, S. *The Fundamentals of Grid Generation*. ISBN 9780849389870, **2002**, CRC Press.
- 540 20. Rane, S.; Kovačević, A. Application of numerical grid generation for improved CFD analysis of multiphase  
541 screw machines, *10<sup>th</sup> International conference on compressors and their systems*, London, IOP Conf. Ser.: Mater.  
542 Sci. Eng., **2017**, *232*, 01. doi.org/10.1088/1757-899X/232/1/012017
- 543 21. Crowe, T. C. *Multiphase Flow Handbook*. Taylor and Francis, **2006**, ISBN 0-8493-1280-9, CRC Press.
- 544 22. Rane, S., Kovačević, A.; Stošić, N. CFD Analysis of Oil Flooded Twin Screw Compressors. *Int. Compressor*  
545 *Eng. Conference, Purdue*, **2016**, Paper 2392.
- 546 23. Nilsson, H. R. US Patent No 2,481,527 – Rotary Multiple Helical Rotor Machine, **1949**.
- 547 24. Rane, S.; Kovačević, A.; Stošić, N.; Stupple, G. On Numerical Investigation of Water Injection to Screw  
548 Compressors. ASME. ASME International Mechanical Engineering Congress and Exposition, Volume 6A:  
549 Energy:V06AT08A031, **2019**, doi:10.1115/IMECE2018-86463.
- 550



© 2019 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (<http://creativecommons.org/licenses/by/4.0/>).