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**Citation:** Yang, Y. & Fu, F. (2022). Behaviour of axially compressed CTHST stub columns with inner spiral stirrup. *Structures*, 45, pp. 372-389. doi: 10.1016/j.istruc.2022.09.038

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**Link to published version:** <https://doi.org/10.1016/j.istruc.2022.09.038>

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# Behaviour of axially compressed CTHST stub columns with inner spiral stirrup

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**Abstract:** In this paper, a new type of concrete-filled thin-walled high-strength steel tube (CTHST) columns with inner spiral stirrup is proposed. This new type of columns provides dual constraints to the concrete core by both outer steel tube and inner spiral stirrup. To explore the structural performance of this new type of composite members, a pilot study into stub columns under axial compression was carried out. A total of 16 axially compressed specimens, 8 in circular section and 8 in square section, were tested with the various volumetric stirrup ratio ( $\rho$ , from 0 to 2.4%) and yield strength of steel tube ( $f_{yt}$ , 571.2 MPa and 648.9 MPa). The experimental results show that, the inner spiral stirrup has little impact on the overall failure pattern of each component of the specimens, but controls the horizontal angle of the failure plane, and the capacity, composite elastic modulus and ductility coefficient of the specimens increase as  $\rho$  and  $f_{yt}$  increase. In addition, a nonlinear finite element (FE) model was established, and the representative mechanism of axially compressed CTHST stub columns with inner spiral stirrup under different  $\rho$  was further studied by the verified FE model. Finally, a calculation method to predict the capacity of the new composite members was developed, which considers the strength improvement of stirrup confined concrete. This method provided an accurate prediction of the capacity of axially compressed CTHST stub columns with inner spiral stirrup.

**Key Words:** CTHST stub columns; Spiral stirrup; Axial compression; Tests; FE model; Simplified equations

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## 32 1. Introduction

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Concrete-filled steel tube (CFST) members have the characteristics of high strength, good ductility and toughness, convenient construction, good fire resistance, etc. In the past several decades, CFST has been widely used in engineering practice, and a number of design specifications have also been issued across the world [1-3]. However, with the rapid development of social economy and urbanization, modern engineering structures start to be featured in long-span, heavy-duty and towering while in harsh environmental conditions, and conventional CFST is difficult to satisfy these changes. As a result, the idea of combining conventional CFST and reinforced concrete to form reinforced CFST was proposed by the researchers, and the usage of reinforced CFST can improve the mechanical properties of conventional CFST members while having little cost increase [4-11]. Fig. 1 shows the typical cross-section of the reinforced CFST presented in the literature. Generally, the concept of the reinforced CFST was first considered from the perspective of improving the fire resistance of conventional CFST columns [4, 5], and usually the contribution of reinforcement to the bearing capacity was ignored. In recent years, more researchers studied the structural behaviour and design methods of various reinforced CFST members aiming to improve the bearing capacity, stiffness and ductility of conventional CFST members [6-11].

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It is noted that, in recent years, high strength and high-performance structural materials have gradually been developed, such as the ultra-high strength steel, weather-resistant steel and ultra-high performance concrete [12-14]. Meanwhile, in practice, the use of high-strength/performance steel can greatly reduce the amount of steel and improve the ability to resist disasters and environmental effects, and the use of high-strength/performance concrete can effectively reduce the cross-sectional area and the self-weight [3, 15-17]. However, local buckling of thin-walled high-strength steel tube becomes worse and the brittleness of high-strength concrete increases with the increase of materials' strength, which results in a weak interaction between steel tube to concrete core within common steel ratio scope of the CFST members. To tackle above issues, the authors proposed a new type of composite member based on the reinforced CFST shown in Fig. 1, concrete-filled thin-walled high-strength steel

58 tube (CTHST) with inner spiral stirrup, as schematically demonstrated in Fig. 2, where the inner spiral  
159 stirrup is directly in contact with the inner wall of the steel tube. This configuration ensures that the  
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61 steel tube and spiral stirrup, which makes CTHST with inner spiral stirrup have the characteristics of  
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During fabrication of CTHST member with inner spiral stirrup, the spiral stirrup can be processed in advance by special operating platform while keeping the outer diameter of spiral stirrup ring slightly smaller than the inner diameter/width of the steel tube, and then be slid into the steel tube with its two ends welded to the corresponding ends of the steel tube. Moreover, when the existing and new segments are connected, the welding position between the spiral stirrup in two segments and the steel tube should be overlapped to ensure continuity of spiral stirrup. Finally, after the completion of the steel tube docking (welding), concrete is poured into the steel tube to complete the construction of the composite member. Generally, the processing and welding time of spiral stirrup has moderate impact on the construction period of new composite member, while the mechanical properties of new composite member are expected to be greatly improved. Compared with the reinforced CFST with both longitudinal bars and stirrup(s), the CTHST member with inner spiral stirrup can avoid the binding and extension of the longitudinal bars, and the spiral stirrup can confine the concrete core to the maximum extent. Similar composite members with square section have been presented in [6] and [10]. The cross-section of the specimens in [6] was the same as Fig. 2(b) in this paper, but all materials used were of ordinary strength grade. In addition, the reinforcement and concrete of the specimens in [10] were of high strength grade, and there was a small gap between spiral stirrup and inner wall of the steel tube.

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It can be concluded that, besides the parameters of conventional CFST [1], the volumetric stirrup ratio ( $\rho$ ) is the key parameter affecting the behaviour of CTHST members with inner spiral stirrup. The definition of  $\rho$  is as follows:

$$\rho = \frac{V_{sti}}{V_{nc}} \quad (1)$$

where,  $V_{sti}$  and  $V_{nc}$  are the volume of spiral stirrup and the volume enclosed by inner wall of the steel tube under the same member height respectively, and can be respectively determined by the following equations:

$$V_{sti} = A_{s,s} \cdot (H/s + 1) \cdot \sqrt{\pi^2(D_s - d_s)^2 + s^2} \quad (2)$$

$$V_{nc} = \begin{cases} \pi(D/2 - t)^2 \cdot H & \text{(Circular member)} \\ (D - 2t)^2 \cdot H & \text{(Square member)} \end{cases} \quad (3)$$

in which,  $A_{s,s}$  is the cross-sectional area of the stirrup,  $H$  is height of the member,  $s$  is the spacing of the spiral stirrup,  $D_s$  is the outer diameter of the spiral along the cross-section of the member,  $d_s$  is the nominal diameter of the stirrup,  $D$  is the outside diameter (width) of circular (square) steel tube, and  $t$  is the wall thickness of the steel tube.

Currently, the research on spiral stirrup reinforced CFST is insufficient and premature, and no research has been done towards the new type CTHST columns with inner spiral stirrup proposed in this paper. Therefore, it is necessary to carry out the relevant studies to understand the structural performance of such new composite members and promote their engineering application. The objective of the paper is thus to experimentally and numerically assess the axial compressive performance of CTHST stub columns with inner spiral stirrup. Tests of 16 specimens were carried out to evaluate the effect of volumetric stirrup ratio and yield strength of steel tube on the failure pattern, load versus displacement (strain) curves, capacity, composite elastic modulus and ductility coefficient of axially compressed CTHST stub columns with inner spiral stirrup, and further finite element (FE) model was proved to be effective for simulating the behaviour of such composite columns. Moreover, the applicability of a proposed method in predicting capacity of new composite members was assessed by contrast between the predicted and measured results.

## 2. Experimental investigation

### 2.1. Details of the specimens

Sixteen stub column specimens, containing eight with circular section and eight with square section, were designed and manufactured. The height ( $H$ ) of all specimens is 3 times the cross-sectional

110 diameter or width ( $D$ ). The tests were primarily considered to assess the impact of  $\rho$  (from 0 to 2.4%)  
111 and yield strength of steel tube  $f_{yt}$  (571.2 MPa and 648.9 MPa) on the performance of axially  
112 compressed CTHST stub columns with inner spiral stirrup.

113 Table 1 presents the details of the specimens, where  $\alpha_n$  is the nominal cross-sectional steel ratio  
114 equal to the ratio of the area of the steel tube to that enclosed by the tube inner wall,  $f_{ys}$  is the yield  
115 strength of the stirrup,  $f_{cu}$  is the cubic compressive strength of concrete while conducting the tests  
116 of composite specimens,  $E_{sc,e}$  and  $N_{u,e}$  are the experimental composite elastic modulus and  
117 capacity of the specimens, respectively, and  $E_{sc,fe}$  and  $N_{u,fe}$  are the predicted composite elastic  
118 modulus and capacity based on the FE model described later, respectively. In Table 1, the first portion  
119 in label denotes the cross-sectional shape (C=circular, and S=square) and the yield strength of the  
120 steel tube (I for  $f_{yt}=571.2$  MPa, and II for  $f_{yt}=648.9$  MPa), while the second portion in label, if any,  
121 indicates the spacing of inner spiral stirrup.

122 Two kinds of high-strength steel sheet were chosen for fabricating the outer tubes. Circular tubes  
123 were coiled from the pre-cut rectangular steel sheet according to the design sizes, and each circular  
124 tube had one straight butt weld. Square tubes were welded by two cold-formed unequal U-shaped  
125 steel profiles, and each square tube had two straight butt welds. After the steel tubes finished, the  
126 spiral stirrup with the designed spacing was slid into the steel tube and welded to both ends of the  
127 steel tube. The welding was under strict quality control to guarantee the effective force transmission.  
128 Fig. 3 illustrates the finished outer tube and inner stirrup of the specimens. To facilitate pouring of  
129 concrete, one circular/square endplate with diameter/width slightly greater than  $D$  was welded to  
130 one end of tube, and the concrete was cast into the tube from the end without endplate. After 14 days  
131 of concrete curing, the surface of the filled concrete was polished to level with the end of the steel  
132 tube to ensure that the steel tube and concrete under dual constraints could simultaneously bear the  
133 external loads.

## 134 2.2. Material properties

135 The properties of steel, including high-strength steel for the tubes and deformed rebar for the spiral

136 stirrup, were experimentally obtained from three standard tensile coupons, and the average values are  
137 listed in Table 2.

138 The mix proportions and properties of concrete are given in Table 3, where  $f_{cu,28}$  is the  
139 compressive strength at 28-day according to axial compression tests of three cubes having width of  
140 150 mm, and  $E_c$  is the elastic modulus based on axial compression tests of three prisms having side  
141 length of 150 mm, 150 mm and 300 mm, respectively. The concrete mix includes: first-grade fly ash,  
142 natural river sand, P.O 42.5 cement, crushed limestone coarse aggregate with 5-10 mm particle size,  
143 tap water and polycarboxylate type high-range water reducing admixture.

### 144 **2.3. Test set-up and measurement**

145 Axial compression tests of the specimens were performed on a tester with a capacity of 10000 kN. In  
146 order to guarantee that the failure occurs near the half-height region, two adjustable steel sleeves were  
147 specially designed to limit the end deformation of the specimens during the loading process, and the  
148 height of each sleeve was 100 mm. The applied loads were measured by a load cell placed between  
149 the top platen of the tester and the upper plate of top sleeve. In addition, to record the deformation  
150 (axial displacements and strains), four displacement transducers (DT) were installed symmetrically  
151 on the lower platen of the tester, and longitudinal and transverse strain gauges (SG) were affixed to  
152 the tube outer wall at the half-height section of the specimens. For circular specimens, strain gauges  
153 were affixed at four points along the circumference with 90 degrees apart, while for square specimens  
154 strain gauges were affixed at eight points at the middle and corner of the half-height section, and each  
155 point contained one longitudinal SG and one transverse SG. The test set-up and measurement are  
156 shown in Fig. 4.

157 The tests were conducted using displacement control method. Before the load reached the peak  
158 value, the displacement increased at a rate of 0.2 mm/min, and after the peak load achieved, the  
159 displacement increased at a rate of 1.0 mm/min. When the load borne by the specimens dropped  
160 sharply and the deformation increased rapidly, or the load borne by the specimens fell to 60% of the  
161 peak load, the tests were terminated.

## 162 2.4. Experimental results and discussion

### 163 2.4.1. Overall behaviour and failure pattern

164 The records of the whole loading process showed that, all composite specimens underwent three  
165 stages of elastic, elastic-plastic and post-peak, regardless of the existence of inner spiral stirrup.  
166 During the elastic stage, there was no evident variation in specimen appearance. During the elastic-  
167 plastic stage, the diagonal shear slip lines appeared at the tube of circular specimens and more slip  
168 lines emerged as the load approached the peak; however, only initial slight tube bulging occurred to  
169 square specimens when the load was close to the peak. During the post-peak stage, for circular  
170 specimens, the diagonal shear failure plane throughout concrete section was gradually formed and  
171 the dislocation along the failure plane and audible crushing of the concrete core happened with the  
172 increase of axial displacement, whilst for square specimens, the initial tube bulging became more and  
173 more obvious and there was subsequent tube bulging and noticeable crushing of the concrete core  
174 while axial displacement further increased.

175 Fig. 5 shows the failure pattern of the specimens after completion of the experiments. As can be  
176 seen in the pictures, there is no sign of damage within the range of specimen ends covered by the  
177 sleeve, showing that the sleeve can effectively prevent the destruction of the specimen ends, and thus  
178 the failure occurs near the half-height region of the specimen having more uniform properties. It can  
179 be observed from Figs. 5(a) and (b) that, circular specimens exhibit the characteristics of shear failure  
180 along diagonal plane (dashed line), and the buckling of the steel tube at the ends of diagonal slip plane  
181 is the most serious. In general, with the variation in  $\rho$  and  $f_{yt}$ , the direction of the diagonal slip  
182 plane of circular specimens changes, and the fracture of outer steel tube of three circular specimens  
183 exists. These are primarily caused by the arbitrary distribution of defects in materials and the  
184 difficulty in achieving ideal axial compression. It is shown in Figs. 5(c) and (d) that, similar to the  
185 previous experimental observations [6][10], the steel tube of square specimens has a major local  
186 buckling and 1-2 subsequent minor local buckling, and the local buckling of the steel tube eventually  
187 extends to the corner zone; however, the local buckling of tube corner zone becomes slighter with the

188 increase of  $\rho$ . Moreover, for the specimens without spiral stirrup, the local buckling of the steel tube  
189 almost forms a ring parallel to the horizontal plane, while for the specimens with spiral stirrup the  
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190 local buckling of the steel tube is usually discontinuous along the circumferential direction and at a  
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191 certain angle to the horizontal plane (close to the spiral angle of the stirrup). Overall, the parameter  
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192  $f_{yt}$  possesses a gentle effect on the failure form of square specimens; however, the fracture of the  
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193 steel tube appears at one corner of two specimens with a lower  $f_{yt}$ .

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194 **Fig. 6** shows the failure pattern of the concrete core. It can be observed that, generally, there are  
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195 crushing of concrete core and the deforming of spiral stirrup at the buckling positions of the steel  
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196 tube, and the fracture of the stirrup (displayed by arrow) can be clearly observed in the concrete  
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2197 crushing area of six specimens with inner spiral stirrup. It should be noted that, the spiral stirrup of  
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198 the other six specimens with inner spiral stirrup also fractured, which could be judged from the  
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2199 following characteristics of their load-displacement curves. In addition, there is no obvious damage  
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200 to the concrete core in the regions where the steel tube does not slip and/or buckle.

#### 30 301 **2.4.2. Load versus deformation curves**

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3202 The recorded load ( $N$ ) versus displacement ( $\Delta$ ) curve of the specimens with spiral stirrup and the  
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203 reference specimens without spiral stirrup are displayed in **Fig. 7**. It is shown that, all  $N - \Delta$  curves  
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3204 contains three phases, i.e. elastic, elastic-plastic and post-peak; however, similar to the discovery in  
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205 previous tests [10], there is more than one sudden drops in the post-peak phase of the  $N - \Delta$  curve,  
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4206 indicating that the fracture of the stirrup takes place several times, and the first sudden drop is  
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207 identified by an inverted triangle. In this study, the peak load obtained from the the recorded  $N - \Delta$   
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4208 curve is considered to be the capacity ( $N_{ue}$ ) of the specimens, and the results are presented in Table  
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209 1. The curves included in **Fig. 7** demonstrate that, generally, the initial slope and the displacement  
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5210 corresponding to peak load ( $\Delta_{ue}$ ) of the specimens with spiral stirrup are larger than those of the  
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211 specimens without spiral stirrup, and the higher the volumetric stirrup ratio ( $\rho$ ), the larger the initial  
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5212 slope and  $\Delta_{ue}$  are. Meanwhile, after the peak load attained, the specimens with a higher  $\rho$  has a  
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213 smaller descending slope. This can be explained that, the axial capacity and the ability to resist  
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214 deformation of concrete within spiral stirrup are improved under the dual constraints of both steel  
215 tube and spiral stirrup, as the damage process (volume expansion) of the concrete core is delayed.  
216 Overall, the parameter  $f_{yt}$  has little effect on the characteristics of the ascending branch of the  $N - \Delta$   
217  $\Delta$  curve; however, the descending slope of the  $N - \Delta$  curve after the peak load reduces with the  
218 increase of  $f_{yt}$  due to its increased restraint on the concrete core. Moreover, under the same  
219 parameters, square specimens generally possess a quicker load decrease after the peak load and a  
220 smaller  $\Delta_{ue}$  than circular ones, considering that square tube has a weaker confinement to the  
221 concrete core than circular one [1]. It can also be observed from the measured  $N - \Delta$  curves that, in  
222 general, the larger the volumetric stirrup ratio ( $\rho$ ) of the specimens, the earlier the fracture of the  
223 spiral stirrup takes place in the post-peak stage, considering that the axial tensile stress of the stirrup  
224 under the same displacement increases with the increase of  $\rho$ ; however, the relationship of the stirrup  
225 fracture moment with  $f_{yt}$  is not clear in this study.

226 The influence of parameters on load ( $N$ ) versus strain ( $\varepsilon$ ) relationship of the specimens is indicated  
227 in Fig. 8 by the solid lines, in which, the strains are the average values of those obtained in  
228 symmetrical measuring points. It is shown that, the overall characteristics of the  $N - \varepsilon$  curves is  
229 similar to that of the  $N - \Delta$  curves, that is, the  $N - \varepsilon$  curves also contain three phases, i.e. elastic,  
230 elastic-plastic and post-peak. At the same time, the effect of  $\rho$  and  $f_{yt}$  on the  $N - \varepsilon$  curves is also  
231 analogue to the  $N - \Delta$  curves, i.e. the higher  $\rho$  and  $f_{yt}$  causes the larger initial slope and strain  
232 corresponding to the peak load and the slower the carrying capacity decreases in the post-peak stage.  
233 For square specimens, in general, there is little difference between the strains at sectional middle and  
234 those at sectional corner before the local buckling of the steel tube. After the local buckling of the  
235 steel tube, the strains at sectional corner are gradually greater than those at sectional middle, and the  
236 difference between them increases rapidly as the displacement increases until the end of the tests.  
237 This is mainly due to the fact that, the tube wall in the middle of the section gradually loses its bearing  
238 capacity after local buckling, so that the loads are transferred to the corner of the section. Furthermore,  
239 under the same parameters, the strain development of circular specimens is more sufficient than that

240 of square specimens due to a better confinement of circular steel tube to the concrete core.

241 The relationship between strain ratio ( $\varepsilon_T/\varepsilon_L$ ) and load level ( $N/N_{ue}$ ) of the specimens is displayed  
2 in Fig. 9, where  $\varepsilon_T$  and  $\varepsilon_L$  are the measured average transverse and longitudinal strain respectively,  
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in Fig. 9, where  $\varepsilon_T$  and  $\varepsilon_L$  are the measured average transverse and longitudinal strain respectively,  $\mu_s$  is the Poisson's ratio of the steel tube, and the capital letters 'M' and 'C' in Figs. 9(c) and (d) represent the sectional middle and corner of square specimens, respectively. It can be observed that, before reaching  $N_{ue}$  the  $N/N_{ue} - \varepsilon_T/\varepsilon_L$  relationship have certain variation trend; however, after reaching  $N_{ue}$  the  $N/N_{ue} - \varepsilon_T/\varepsilon_L$  relationship have no definite variation trend owing to the difference between the strain measuring points and the buckling position of the steel tube. Generally, with the first increase and then decrease of  $N/N_{ue}$ , the strain ratios ( $\varepsilon_T/\varepsilon_L$ ) experience two stages of approaching and exceeding  $\mu_s$ , respectively. During the former stage, the steel tube and concrete resist the loads more or less independently, and during the latter stage there is an obvious interaction between the steel tube and concrete core with the increased damage of concrete. At the same time, the two-stage boundary of circular specimens is at a  $N/N_{ue}$  of about 0.7, while the boundary of square specimen is at a  $N/N_{ue}$  of about 0.9, indicating that the interaction between the steel tube and concrete core of circular specimens occurs earlier than that of square ones. In addition, the difference in the  $N/N_{ue} - \varepsilon_T/\varepsilon_L$  relationship between sectional middle and corner of square specimens is not obvious. In general, the second stage of the  $N/N_{ue} - \varepsilon_T/\varepsilon_L$  relationship of specimens with spiral stirrup takes place later than that of specimens without spiral stirrup, and the higher the volumetric stirrup ratio ( $\rho$ ) is, the later the second stage happens, mainly because the spiral stirrup constraint delays the damage process of the concrete core; however, the parameter  $f_{yt}$  has a moderate impact on the  $N/N_{ue} - \varepsilon_T/\varepsilon_L$  relationship of the specimens.

### 2.4.3. Mechanical indicators

The variation in the capacity ( $N_{ue}$ ) and capacity improvement factor ( $F_{CI}$ ) of the specimens is demonstrated in Fig. 10, and  $F_{CI}$  is defined as:

$$F_{CI} = \frac{N_{ue,w} - N_{ue,wo}}{N_{ue,wo}} \quad (4)$$

where,  $N_{ue,w}$  and  $N_{ue,wo}$  are the capacity of the specimens with spiral stirrup and the specimen

266 without spiral stirrup, respectively.

267 It can be seen from Fig. 10 that, under the same condition of cross-section and  $f_{yt}$ ,  $N_{ue}$  and  $F_{CI}$   
2 of the specimens with spiral stirrup are higher than those of the reference specimen without spiral  
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268 stirrup due to the enhanced constraint of the spiral stirrup to the concrete core. Meanwhile, the  
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269 specimens with larger  $\rho$  and  $f_{yt}$  possess higher  $N_{ue}$  and  $F_{CI}$  owing to the increased dual  
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270 confinement to the concrete core from both the steel tube and spiral stirrup. Moreover, under the same  
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271  $\rho$  and  $f_{yt}$ , square specimens result in larger  $N_{ue}$  and  $F_{CI}$  than circular ones, considering that,  
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272 within the range of experimental parameters in this study, the increase of  $N_{ue}$  caused by the  
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273 increased square specimen area is higher than that caused by the stronger confinement of circular  
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274 steel tube to the concrete core, and in the case of the same cross-sectional area of the concrete confined  
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275 by the stirrup, the spacing of spiral stirrup in the square specimens is smaller (see Table 1), that is,  
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276 the spiral stirrup of square specimens provides a stronger constraint to the concrete inside. The  
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277 calculating results indicate that, when  $f_{yt} = 571.2$  MPa,  $N_{ue}$  of spiral stirrup reinforced circular  
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278 (square) specimens with  $\rho$  of 0.7%, 1.2% and 2.4% is 1.1% (12.8%), 11.1% (10.1%) and 11.8%  
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279 (22.0%) higher than the corresponding specimen without spiral stirrup respectively, and when  $f_{yt} =$   
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3280 (22.0%) higher than the corresponding specimen without spiral stirrup respectively, and when  $f_{yt} =$   
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381 649.8 MPa the percentage of improvement is 4.0% (21.6%), 11.5% (10.1%) and 23.3% (31.3%),  
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3282 respectively. It should be noticed that,  $N_{ue}$  and  $F_{CI}$  of square specimens having  $\rho=1.2\%$  is  
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283 abnormally high, which may be induced by the specimen fabrication deviation and/or the dispersion  
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42  
284 of material properties.

43 Refer to the method in [18], the composite elastic modulus ( $E_{sc}$ ) of the specimens is defined as:

$$44 E_{sc} = \frac{0.4N_{ue}}{A_{sc} \cdot \varepsilon_{L,40\%}} \quad (5)$$

45 where,  $A_{sc}$  is overall cross-sectional area of the specimens, and  $\varepsilon_{L,40\%}$  is average longitudinal  
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287 strain corresponding to 40 percent of  $N_{ue}$  during the load rising phase.  
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53 Fig. 11 shows the variation in the composite elastic modulus ( $E_{sc}$ ) of the specimens. It can be seen  
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290 that, generally,  $E_{sc}$  of the spiral stirrup reinforced specimens is larger than that of the reference  
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291 specimen without spiral stirrup, and the higher the volumetric stirrup ratio ( $\rho$ ), the larger the  
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292 composite elastic modulus ( $E_{sc}$ ) is, especially for circular specimens. Meanwhile, except for two pairs  
 293 of circular specimens with  $\rho$  of 1.2% and 2.4%, the specimens with a higher  $f_{yt}$  have a larger  $E_{sc}$ .  
 294 This can be explained that, the concrete damage process becomes slower for the specimens with  
 295 higher  $\rho$  and  $f_{yt}$ , i.e. the concrete has better resistance to volume increase after the destruction starts  
 296 due to higher dual constraints of both the steel tube and spiral stirrup. In addition, compared with the  
 297 specimens without spiral stirrup, the ratio of  $E_{sc}$  improvement of the spiral stirrup reinforced circular  
 298 specimens is higher than that of the spiral stirrup reinforced square specimens. Further calculation  
 299 results show that, with  $f_{yt}$  of 571.2 MPa, the circular (square) specimens with  $\rho$  of 0.7%, 1.2% and  
 300 2.4% respectively result in 15.3% (0.0%), 32.1% (1.8%) and 38.1% (6.5%) higher  $E_{sc}$  than the  
 301 reference specimen with  $\rho=0$ , and with  $f_{yt}$  of 649.8 MPa the corresponding percentage of  
 302 improvement is 8.8% (0.0%), 19.0% (0.2%) and 21.2% (18.5%), respectively.

303 Similar to the relevant studies [18], the ductility coefficient ( $\mu$ ) of the specimens with and without  
 304 spiral stirrup can be determined by the following equation:

$$\mu = \frac{\Delta_{ap,85\%}}{\Delta_{ue}} \quad (6)$$

306 where,  $\Delta_{ap,85\%}$  is the displacement in the post-peak stage when the load drops to 85 percent of  $N_{ue}$ .

307 Fig. 12 indicates the effect of parameters on  $\mu$  of the specimens. It can be found that, generally,  
 308  $\mu$  increases with the increase of  $\rho$  and  $f_{yt}$ , and circular specimens possess a larger  $\mu$  than square  
 309 ones under the same deminsionless parameters. This is also due to the fact that, the dual constraints  
 310 of the steel tube and spiral stirrup to the concrete core increases with the increase of  $\rho$  and  $f_{yt}$ , and  
 311 circular steel tube provides a better constraint to concrete core than square steel tube. Overall, while  
 312  $f_{yt}=571.2$  MPa,  $\mu$  of circular (square) specimens having  $\rho$  of 0.7%, 1.2% and 2.4% is 1.11 (1.10),  
 313 1.16 (1.20) and 1.36 (1.32) times that of the reference unreinforced specimen ( $\rho=0$ ), and while  
 314  $f_{yt}=649.8$  MPa the corresponding ratios are 1.28 (1.17), 1.58 (1.28) and 1.72 (1.44), respectively.

### 315 3. Finite element (FE) simulation

#### 316 3.1. Description of the FE model

317 The widely used software ABAQUS [19] was employed to establish the finite element (FE) model of

318 axially compressed CTHST stub columns with inner spiral stirrup.

319 The 4-node reduced-integration shell elements (S4R) having 9 integration points and the linear  
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320 truss elements (T3D2) were used to simulate the steel tube and the spiral stirrup, respectively. To  
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321 avoid shear self-locking, the reduced-integration brick elements with 8 nodes (C3D8R) were used to  
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322 simulate the concrete core and the whole steel sleeves (including endplate and stiffeners). Contacts  
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323 between different components were further defined to closely reproduce actual loading process of  
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324 axially compressed CTHST stub columns with inner spiral stirrup. For the contact between the  
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325 concrete and steel tube, the tube inner wall and the concrete surface in contact with the tube inner  
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326 wall were respectively defined as master and slave surface, and the hard contact and the Coulomb  
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327 friction model were chosen to replicate the interaction in the normal and tangential directions of the  
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328 contact surface, respectively. Meanwhile, a friction coefficient of 0.6 in the tangential directions was  
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25  
329 selected for the Coulomb friction model. The contact between concrete and endplate on the steel  
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27  
330 sleeve was the same as that between concrete and steel tube, and the contact between the spiral stirrup  
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331 and concrete was reproduced by the ‘Embedded’ constraint. Moreover, the ‘Tie’ constraint was used  
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332 between the steel tube and the sleeve (including the endplate), and the sleeve together with the  
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333 endplate and stiffeners on it were taken as a whole with the ‘Tie’ constraint.  
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334 In the FE modelling, the material of the steel tube and spiral stirrup were simulated by the elastic-  
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335 plastic model. The five-segment model in [20] was selected to describe the engineering stress  
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336 ( $\sigma_s$ )—strain ( $\varepsilon_s$ ) relationship of circular steel tube. The four-segment model in [21] was used to obtain  
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337 the engineering  $\sigma_s - \varepsilon_s$  relationship of flat and corner parts in the cold-formed square steel tube, and  
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338 the corner radius of the cold-formed square steel tube was determined according to the method  
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339 provided by Elchalakani et al. [22]. Moreover, in the FE simulation, the measured elastic modulus  
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340 and Poisson's ratio of the steel tube (see Table 2) were used, and the sleeves (including the endplate)  
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341 were simulated as a kind of pure elastic material with elastic modulus and Poisson's ratio of  $1.0 \times 10^8$   
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342 N/mm<sup>2</sup> and 0.001, respectively.  
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343 For the spiral stirrup, the well-known bilinear engineering  $\sigma_s - \varepsilon_s$  relationship was employed to  
 344 capture the failure process of the spiral stirrup from crack initiation (i.e. reaching the ultimate strain)  
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 345 to complete fracture. It is assumed that the engineering stress decreases linearly with the increase of  
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 346 engineering strain until it equals to zero while fracture strain reached. The detailed engineering  $\sigma_s -$   
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 347  $\varepsilon_s$  relationship of spiral stirrup is as follows:

$$\sigma_s = \begin{cases} E_s \cdot \varepsilon_s & (\varepsilon_s < \varepsilon_y) \\ f_{ys} + \frac{(f_{us} - f_{ys})}{(\varepsilon_u - \varepsilon_y)} \cdot (\varepsilon_s - \varepsilon_y) & (\varepsilon_y \leq \varepsilon_s < \varepsilon_u) \\ f_{us} - 0.34E_s \cdot (\varepsilon_s - \varepsilon_u) & (\varepsilon_u \leq \varepsilon_s < \varepsilon_f) \\ 0 & (\varepsilon_s > \varepsilon_f) \end{cases} \quad (7)$$

349 where,  $\varepsilon_y (= f_{ys}/E_s)$  is the yield strain;  $f_{us}$  is the tensile strength;  $\varepsilon_u$  is the ultimate strain, which  
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 350 equals to the measured value or 4.5% (when there is no measured value),  $\varepsilon_f$  is the fracture strain (i.e.  
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 351 elongation ratio), which equals to the measured value or 5.0% (while no measured value available).  
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 352 In the actual simulation, to avoid the non-convergence caused by the fracture of spiral stirrup, the  
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 353 engineering stress was set to be a very small value when  $\varepsilon_f$  was attained.

354 The concrete was simulated by the damage plasticity model in ABAQUS [19]. The uniaxial  
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 355 compressive stress ( $\sigma_c$ )–strain ( $\varepsilon_c$ ) model presented by Han et al. [23] was used to obtain stress versus  
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 356 non-elastic strain relationship of the concrete core, and the detailed formulae for the  $\sigma_c - \varepsilon_c$   
 36  
 37  
 357 relationship are as follows:

$$y = \begin{cases} 2x - x^2 & (x \leq 1) \\ x/[\omega \cdot (x - 1)^\eta + x] & (x > 1) \end{cases} \quad (8)$$

359 where,  $y = \sigma_c/f'_c$ ;  $x = \varepsilon_c/\varepsilon_0$ ;  $\varepsilon_0 = (1300 + 12.5f'_c + 800\xi^{0.2})/1E6$ ; for circular section,  $\omega =$   
 45  
 46  
 360  $0.5(f'_c)^{0.5} \cdot (2.36E - 5)^{[0.25 + (\xi - 0.5)^7]}$  and  $\eta = 2.0$ , and for square section,  $\omega = (f'_c)^{0.1}/[1.2(1 + \xi)^{0.5}]$   
 48  
 49  
 361 and  $\eta = 1.6 + 1.5/x$ ;  $f'_c$  is the cylindrical compressive strength of concrete; and  $\xi (= \alpha_n \cdot f_{yt}/f_{ck})$   
 50  
 51  
 362 is the confinement factor [23], in which  $f_{ck}$  is the characteristic compressive strength of concrete.

363 The concrete tension stiffening was modelled by the relationship between tensile stress and  
 54  
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 364 cracking energy [19], and the peak stress was equal to  $0.1f'_c$ . Furthermore, the equation in [24] was  
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 57  
 365 used to obtain  $E_c$  and Poisson's ratio of concrete was equal to 0.2.

366 Full model was built to carry out the FE simulation on the behaviour of axially compressed CTHST  
367 stub columns with inner spiral stirrup, and the mesh division and boundary conditions used are shown  
2 in Fig. 13. All translational and rotational degrees of freedom of bottom surface of the model are  
368 in Fig. 13. All translational and rotational degrees of freedom of bottom surface of the model are  
3 restricted  
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5  
369 restricted (i.e. ‘ENCASTRE’ in ABAQUS [19]) to reappear the reaction of the lower platen of the  
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370 tester, and the translational degrees of freedom in the X and Y directions of top surface of the model  
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10  
371 are restricted to reproduce the upper spherical hinge of the tester. During the simulation,  
12  
372 displacement-controlled loading was used, and a displacement of 40 mm along the Z direction was  
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373 applied to the top surface of the model.  
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### 374 3.2. Validation of the FE model

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20  
375 Fig. 14 shows the simulated failure pattern of the steel tube, concrete core and spiral stirrup with  
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376 initial fracture of typical specimens, where the steel tube and spiral stirrup are presented with the  
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377 Mises stresses and the concrete core is presented with the logarithmic strain (LE33). From the  
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378 comparison between the simulated failure pattern of the steel tube and concrete core in Fig. 14 and  
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379 the experimental results in Figs. 5 and 6, it can be observed that, for circular specimens without spiral  
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380 stirrup, outward bulging of the steel tube near half-height area due to the expansion of concrete core  
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381 is obtained by the FE simulation; however, for circular specimens with spiral stirrup, the simulated  
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382 failure patterns are demonstrated as the local buckling of the steel tube and failure of concrete core  
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383 (i.e. the area with higher LE33) within several spacings of spiral stirrup. These are different from the  
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43  
384 observations in Figs. 5 and 6, and the reason is that the complex loading process of the specimens in  
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385 the late stage of the tests, such as random failure locations caused by the randomness of material  
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386 defects distribution, eccentric loading caused by asymmetry failure, is difficult to realize in the FE  
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387 simulation. For square specimens, the simulated deformation shape and quantity of outward buckling  
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388 of the steel tube, together with failure of concrete near the buckling positions of the steel tube, are  
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55  
389 generally consistent with the experimental observations, but the buckling positions of the steel tube  
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390 are different from the experimental phenomenon to some extent. In addition, the predicted results in  
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59  
391 Fig. 14(c) show that, in general, the initial fracture of spiral stirrup (marked by an arrow) in the  
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392 specimens happens near the half-height section.

393 The contrast between the simulated and recorded load ( $N$ ) versus deformation ( $\Delta$  or  $\varepsilon$ )  
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3  
394 relationship is demonstrated in Figs. 7, 8 and 15, where the experimental results in this study and the  
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5  
395 literature [6][10] are also included. It is shown that, in general, the simulated trend of load as the  
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7  
396 deformation increases is in good agreement with the measured results. However, the simulated initial  
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9  
10 slope of  $N - \Delta$  curve of the specimens in this study is significantly steeper than the measured results.  
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12  
13 It may be due to the fact that, the possible factors leading to the reduction of the axial compression  
14  
15 stiffness of the specimens, such as the imperfection and/or defect of the specimens and the testing  
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17 process, the deviation of the actual sizes from the design sizes and the small initial eccentricity of the  
18  
19 loads, cannot be reasonably reflected in the FE model. Moreover, there is also a certain difference  
20  
21 between the post-peak stage of the simulated  $N - \Delta(\varepsilon)$  curves and the measured results, mainly  
22  
23 because there may be a lower estimation of the modulus of the steel tube and/or spiral stirrup after  
24  
25 yielding, and the bulging positions of the steel tube in the specimens are not completely located at the  
26  
27 positions having the strain gauges.  
28  
29  
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31

32 Fig. 16 displays the comparison between the predicted and measured mechanical indicators. The  
33  
34 results show that, for the specimens in this study, the mean and standard deviation of  $N_{u,fe}/N_{u,e}$   
35  
36 ( $E_{sc,fe}/E_{sc,e}$ ) equal to 0.960 (1.039) and 0.041 (0.096), respectively, and the difference between the  
37  
38 predicted and measured results is generally within 15%. In addition, for the specimens in the literature  
39  
40 [6][10], the value of  $N_{u,fe}/N_{u,e}$  has the mean and standard deviation of 0.986 and 0.033 with the  
41  
42 maximum and minimum of 1.026 and 0.921. These comparison results mean that the constructed FE  
43  
44 model has the ability of well predicting the capacity and composite elastic modulus of axially  
45  
46 compressed CTHST stub columns with inner spiral stirrup.  
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### 50 3.3. Mechanism analysis using the FE model

51 The FE model is further used to carry out the mechanism analysis of typical CTHST stub columns  
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53 with inner spiral stirrup while the volumetric stirrup ratio ( $\rho$ ) changes, and the fundamental  
54  
55 information of calculating examples includes:  $D=400$  mm,  $\alpha_n=0.12$ ,  $f_{yt}=460$  MPa,  $f_{ys}=500$  MPa,  
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418 and  $f'_c=50$  MPa.

419 Fig. 17 shows the load ( $N$ ) versus longitudinal strain ( $\varepsilon_L$ ) curve of typical composite members,  
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420 where the arrow positions are also the moment when the fracture of spiral stirrup occurs. It can be  
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5  
421 observed that, the simulated  $N - \varepsilon_L$  curves are generally similar to the measured results in this study  
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8  
422 (see Fig. 8), i.e. the curve consists of three variation stages with sudden drop in load carrying capacity  
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10  
423 after the initial fracture of spiral stirrup, and with the increase of  $\rho$  the capacity ( $N_u$ ) increases, the  
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424 load drop rate in the post-peak stage decreases, and the longitudinal strain corresponding to fracture  
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425 of spiral stirrup increases. Moreover, under the same parametric conditions, circular column has a  
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426 slower load drop rate in the post-peak stage and a later initial fracture of spiral stirrup than square  
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20  
427 one. When the longitudinal strain reaches 0.02, there is no fracture of spiral stirrup in circular  
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23  
428 members; however, the fracture of spiral stirrup in square members may take place. To facilitate the  
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429 analysis, three key points on the  $N - \varepsilon_L$  curves are selected to reveal the representative mechanism  
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430 of such composite members, where points A, B and C corresponds to  $N$  of  $0.4N_u$ ,  $N$  of  $N_u$  and  $\varepsilon_L$   
29  
30  
431 of 0.02, respectively.  
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32  
33  
432 The simulated results show that, the volumetric stirrup ratio ( $\rho$ ) has little influence on the stress  
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433 state of the steel tube, but has a more obvious effect on the stress state of the concrete core and spiral  
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37  
434 stirrup of axially compressed CTHST stub columns with inner spiral stirrup, as indicated in Fig. 18,  
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435 where the longitudinal stress of concrete (S33) is taken from the half-height section. It can be seen  
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42  
436 from Fig. 18(a) that, at point A, the S33 of circular column with spiral stirrup exhibiting a  
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45  
437 characteristic of decrease from center to perimeter is different from that of circular column without  
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47  
438 spiral stirrup having a characteristic of increase from center to perimeter (stress gradient is very small),  
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49  
5039 whilst, the S33 of square column with spiral stirrup forming an evident high stress central area is also  
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52  
440 different from that of square column without spiral stirrup having an even distribution. This can be  
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541 explained that, the presence of spiral stirrup makes the concrete inside the stirrup confined from the  
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57  
442 start of loading, and thus affects the stress state of concrete core. At points B and C, the effect of  $\rho$   
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59  
443 on the distribution characteristics of S33 is not obvious since the Mises stress of the stirrup has  
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444 reached the yield strength (see Fig. 18(b)), that is, the S33 of circular column is evenly distributed  
445 along the circumference and exhibits a characteristic of decrease from center to perimeter, and the  
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3  
446 S33 of square column is the largest at the corner and forms a high stress area in which the corner is  
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447 connected to the core, while decays from the corner/center to the middle of the four sides. Moreover,  
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8  
448 the S33 of concrete core increases with the increase of  $\rho$  owing to the increased confinement from  
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1449 the spiral stirrup. The results in Fig. 18(b) demonstrate that, the high stress area of the spiral stirrup  
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450 appears in the middle of column height. The Mises stress of the spiral stirrup at point A is about 15%  
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15  
451 of its yield strength, and at point B, the Mises stress of the spiral stirrup in the middle of column  
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452 height has exceeded its yield strength. At point C, the Mises stress of the spiral stirrup continues to  
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20  
453 increase, and the spiral stirrup of circular column are not broken while the spiral stirrup near the half-  
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22  
454 height section of square column is broken. In general, the volumetric stirrup ratio ( $\rho$ ) has little effect  
24  
25  
455 on the Mises stress distribution of the spiral stirrup.

27  
456 Fig. 19 shows the effect of  $\rho$  on the interaction stresses between the steel tube and concrete core  
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457 ( $q$ ) within one spacing of the spiral stirrup ( $s$ ) at the half-height section, where  $z$  is the distance from  
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32  
458 the half-height section. The results indicate that, consistent with square CFST columns,  $q$  at the  
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459 sectional middle of square CTHST columns is close to zero, thus only the change of  $q$  at the  
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460 sectional corner of square composite columns is analyzed. It can be seen from Fig. 19 (a) that,  $q$  of  
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461 circular column without spiral stirrup are almost uniformly distributed; however,  $q$  of circular  
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462 column with spiral stirrup are the largest at half-spacing site and decreases from the half-spacing site  
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45  
463 to the location of the stirrup due to the constraint effect of the stirrup to the concrete core [25].  
46  
47  
464 Generally, at point A,  $q$  of circular column with spiral stirrup is higher than that of circular column  
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465 without spiral stirrup, whilst, at points B and C,  $q$  of circular column with spiral stirrup is lower than  
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52  
466 that of circular column without spiral stirrup, as the unbroken stirrups can well limit the volume  
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467 expansion of concrete, thus reducing the interaction between steel tube and concrete core. Moreover,  
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468 with the change of  $\rho$ ,  $q$  of circular column displays different change rules at three points, which is  
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59  
469 mainly due to the determination of  $\rho$  on the stress state of the steel tube and concrete. The data in  
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62  
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470 Fig. 19(b) demonstrate that, regardless of spiral stirrup,  $q$  reaches its maximum at point B, and the  
 471  $q$  at points A and C is much lower than that at point B. For square column without spiral stirrup,  $q$   
 2  
 3  
 472 at points A and B are almost uniformly distributed; however,  $q$  at point C presents the characteristics  
 4  
 5  
 473 of low at buckling position and high at non-buckling position of the steel tube, considering that local  
 7  
 8  
 474 buckling happens at the half-height section of the steel tube. Simultaneously, for square column with  
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 10  
 1475 spiral stirrup,  $q$  at point B is almost uniformly distributed; however,  $q$  at points A and C presents  
 12  
 13  
 1476 the characteristics similar to that at point C of square column without spiral stirrup. Furthermore,  $q$   
 14  
 15  
 1477 at three points of square column decreases with the increase of  $\rho$ , as the restriction effect of spiral  
 17  
 18  
 1478 stirrup on concrete expansion is enhanced.  
 19

#### 479 4. Calculation method for the capacity

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 23  
 2480 It is well known that, the load carrying capacity of the concrete confined by stirrups will be improved  
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 2681 [25], which should be taken into account when calculating the capacity of CTHST members with  
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 28  
 2482 inner spiral stirrup. The proposed equations for the peak compressive stress of stirrup-confined  
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 3483 concrete ( $f_{cc}$ ) in [26], which are obtained by regression on a large number of experimental data and  
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 33  
 3484 have the best calculation results compared with other existing methods, are selected to calculate the  
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 35  
 3485 compressive strength of the confined concrete in the CTHST stub columns with inner spiral stirrup,  
 37  
 38  
 3486 and the detailed equations are as follows:  
 39

$$4187 \frac{f_{cc}}{f'_c} = 1 + 5.35 f_l^{-0.14} \cdot \frac{f_l}{f'_c} \quad (9)$$

$$4188 f_l = \frac{2f_{ys} \cdot A_{s,s}}{D_s \cdot s} \quad (10)$$

47 where,  $f_l$  is the lateral pressure on the concrete.  
 48

49  
 5490 Fig. 20 is a schematic diagram of the concrete compressive strength distribution in the CTHST  
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 52  
 5491 cross-section with the confinement effect of spiral stirrup introduced while reaching the capacity. It  
 53  
 54  
 5492 is shown that, the core concrete of a circular section can be treated as one area with uniform  
 56  
 57  
 5493 compressive strength of  $f_{cc}$ , and meanwhile the core concrete of a square section can be divided into  
 58  
 59  
 5494 two areas with the compressive strength of  $f_{cc}$  and  $f'_c$ , respectively. Based on the above distribution  
 60

495 characteristics, a CTHST stub column with inner spiral stirrup is transformed into a circular CTHST  
 496 stub column with the same concrete compressive strength or a square CTHST stub column with  
 2 different concrete compressive strengths. Through the investigation and judgment of the existing  
 497 calculation methods, it is found that the formulae in [27] can be well applied to the capacity  
 5 calculation methods, it is found that the formulae in [27] can be well applied to the capacity  
 498 calculation of CTHST stub columns with the concrete compressive strength distribution  
 7 calculation of CTHST stub columns with the concrete compressive strength distribution  
 499 characteristics shown in Fig. 20 by appropriate adjustments. The final formulae for the capacity of  
 10 CTHST stub columns with inner spiral stirrup are as follows:

$$N_u = \begin{cases} \eta_{ao} \cdot f_{yt} \cdot A_s + A_c \cdot f_{cc} \cdot (1 + \eta_{co} \cdot \frac{t}{D} \cdot \frac{f_{yt}}{f_{cc}}) & \text{Circular section} \\ f_{yt} \cdot A_s + A_{c1} \cdot f_{cc} + A_{c2} \cdot f'_c & \text{Square section} \end{cases} \quad (11)$$

503 where,  $\eta_{ao}$  and  $\eta_{co}$  are the coefficient related to the relative slenderness [27], and  $A_{c1}$  and  $A_{c2}$   
 21 are the concrete area of square section with and without stirrup confinement, respectively.

505 Fig 21 shows the comparison between the calculated capacities ( $N_{u,s}$ ) of axially compressed  
 26 CTHST specimens with inner spiral stirrup using Eq. (11) and the experimental results ( $N_{u,e}$ ) in the  
 27 literature [6][10] and this study. The statistical analysis on the results in Fig. 21 shows that, the mean  
 506 and standard deviation of  $N_{u,s}/N_{u,e}$  are 1.035 and 0.046 respectively, and the overall difference  
 29 between the simplified and measured results is within 10%. The comparison results show that, Eq.  
 507 (11) can be practically applied for the capacity prediction of axially compressed CTHST stub columns  
 31 with inner spiral stirrup. According to the experiments as well as numerical simulation in the literature  
 32 and this paper, the application range of Eq. (11) is:  $D=220-400$  mm,  $\alpha_n=0.05-0.15$ ,  $\rho \leq 2.4\%$ ,  
 33  $f_{yt}=324.3-648.9$  MPa,  $f_{ys}=363.5-1074.1$  MPa, and  $f'_c=32.1-79.6$  MPa.

## 5. Conclusions

514 The experimental and numerical studies on the behaviour of axially compressed concrete-filled thin-  
 49 walled high-strength steel tube (CTHST) stub columns with inner spiral stirrup are carried out, and  
 515 within the range of parameters considered in this study the main conclusions are as follows:

- (1) In general, irrespective of inner spiral stirrup, shear failure along diagonal plane of both tube  
 518 and concrete core and local buckling of tube together with crushing of concrete at the location of wall

520 buckling are the main failure characteristics of circular and square specimens, respectively.  
521 Simultaneously, the volumetric stirrup ratio ( $\rho$ ) affects the horizontal angle of failure plane of the  
2 specimens and the buckling level at the corner of square specimens; however, the yield strength of  
3  
4 522 specimens and the buckling level at the corner of square specimens; however, the yield strength of  
5  
6 steel tube ( $f_{yt}$ ) has a moderate effect on the failure pattern of the specimens. Furthermore, the fracture  
7  
8 of inner spiral stirrup of the specimens occurred at least once.  
9

10  
11 (2) Specimens with larger  $\rho$  and  $f_{yt}$  show a higher initial slope, a longer elastic-plastic phase,  
12  
13 a larger deformation corresponding to peak load and a slower load decrease in the post-peak phase of  
14 526 load ( $N$ ) versus displacement/strain ( $\Delta/\varepsilon$ ) curves. Moreover, the load drop in the post-peak phase of  
15  
16 load ( $N$ ) versus displacement/strain ( $\Delta/\varepsilon$ ) curves. Moreover, the load drop in the post-peak phase of  
17  
18 square specimens is more abruptly than that of circular specimens due to weaker confinement of  
19 528 square steel tube to the concrete core.  
20  
21

22  
23 (3) The capacity ( $N_{ue}$ ), composite elastic modulus ( $E_{sc}$ ) and ductility coefficient ( $\mu$ ) of  
24 530 specimens with inner spiral stirrup are higher than those of specimens without inner spiral stirrup,  
25  
26 and the larger  $\rho$  and  $f_{yt}$  of the specimens, the larger the mechanical indicators ( $N_{ue}$ ,  $E_{sc}$  and  $\mu$ )  
27 531 are. In addition, under the same conditions, circular specimens with inner spiral stirrup result in a  
28  
29 higher improvement of  $N_{ue}$  and  $E_{sc}$  and a larger  $\mu$  than the corresponding square specimens with  
30  
31 inner spiral stirrup.  
32  
33

34 534  
35  
36 (4) The finite element (FE) model can well simulate the behaviour of axially compressed CTHST  
37 stub columns with inner spiral stirrup. Further FE simulation results show that,  $\rho$  mainly affects the  
38 536 stress state of concrete and stirrup during the loading process. Moreover, due to the constraint effect  
39  
40 of spiral stirrup, the interaction stress between steel tube and concrete core ( $q$ ) of CTHST columns  
41 537 with spiral stirrup presents different distribution characteristics from that of CTHST columns without  
42  
43 spiral stirrup.  
44  
45

46 539  
47  
48 (5) With the consideration of concrete strength in different regions across the cross-section, the  
49 540 formulae for calculating the capacity of CTHST stub columns with inner spiral stirrup is proposed by  
50  
51 properly revising the equations in EN 1994-1-1, and the simplified calculation results are generally  
52  
53 in good agreement with the experimental results.  
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546 It is evident that most columns in practice are much longer than the tested specimens (stub  
547 columns) in this paper, and the failure pattern, load versus deformation relationship and bearing  
2 capacity of the long/slender composite columns are significantly different from the stub ones under  
348 the effect of slenderness ratio. The experimental observations, numerical method and simplified  
4  
5  
549 formulae in this study can provide a basis for further study on the performance and design method of  
7  
850 the long/slender CTHST columns with inner spiral stirrup.  
9  
10  
151

## 13 Declaration of Competing Interest

14  
15  
153 The authors declare that they have no known competing financial interests or personal relationships  
17  
18  
154 that could have appeared to influence the work reported in this paper.  
19

## 20 Acknowledgement:

21  
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23  
2456 The research work reported herein was supported by the National Natural Science Foundation of  
25  
2657 China (No. 51678105). The research funding is highly appreciated. The authors also wish to thank  
27  
28  
258 Ms. Min Hou for her help in the tests.  
29  
30

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## Replies to Reviewer's Comments

### *Structures*

**Manuscript:** Behaviour of axially compressed CTHST stub columns with inner spiral stirrup  
(Ref. No.: STRUCTURES-D-22-02887)

**Authors:** You-Fu Yang\*, Yu-Qin Zhang, Feng Fu  
(\* Corresponding author: youfuyang@163.com)

The authors wish to thank the reviewers' comments which certainly enhance the quality of the paper.

The authors have checked all the editorial revisions and the comments from the reviewers and revised the manuscripts accordingly. The changes we have made in response to the reviewers' comments are listed in the following tables.

#### Reviewer #1

Comment No.	Comments, replies and changes made.	
General Comment	Comment	This paper presents an investigation on the behaviour of axially compressed CTHST stub columns with inner spiral stirrup. A group of specimens was tested. The developed FE model was validated and accepted agreement achieved. Simple analysis/calculation method was developed to assess the ultimate strength of stub composite columns with inner stirrup. The subject matter is interesting and within the scope of the journal and the research content is original. The experimental data and simple calculation method and analysis may be reference for further numerical model validation, parameter study and design engineers' consideration. The paper is well written and organized. Suggested to improve/clarify the following points.
	Replies	The authors greatly appreciate the reviewer's recommendation and comments. Addressing the reviewers' comments has significantly improved the quality of this paper, which can be seen from the detailed changes summarized in this report.
Technical Comment 1	Comment	Please further clarify/discuss the effect of steel sleeves at both ends to the failure modes.
	Replies	The authors agree with the reviewer on that the effect of steel sleeves at both ends to the failure modes should be further discussed.
	Changes made	The following text has been added in page 7 of the revised manuscript: <a href="#">As can be seen in the pictures, there is no sign of damage within the range of specimen ends covered by the sleeve, showing that the sleeve can effectively prevent the destruction of the specimen ends, and thus the failure occurs near the half-height region of the specimen having more uniform properties.</a>
Technical Comment	Comment	In practice, most columns will be much longer than the length of the tested specimens, how do you foresee the difference of the load capacity, failure

2		modes of long/slender composite columns? Will the research outcomes be valuable for similar slender composite column design?
	Replies	The authors agree with the reviewer's comment. The related foreseeing contents have been provided in the revised manuscript.
	Changes made	The following text has been added in page 22 of the revised manuscript: It is evident that most columns in practice are much longer than the tested specimens (stub columns) in this paper, and the failure pattern, load versus deformation relationship and bearing capacity of the long/slender composite columns are significantly different from the stub ones under the effect of slenderness ratio. The experimental observations, numerical method and simplified formulae in this study can provide a basis for further study on the performance and design method of the long/slender CTHST columns with inner spiral stirrup.
Technical Comment 3	Comment	Discuss/clarify the application range and limitation of your research results/conclusions due to the specimen limitation, such as section dimensions, length, material grades etc.
	Replies	The authors agree with the reviewer's comment.
	Changes made	The following text has been added in page 20 of the revised manuscript: According to the experiments as well as numerical simulation in the literature and this paper, the application range of Eq. (11) is: $D=220-400$ mm, $\alpha_n=0.05-0.15$ , $\rho \leq 2.4\%$ , $f_{yt}=324.3-648.9$ MPa, $f_{ys}=363.5-1074.1$ MPa, and $f'_c=32.1-79.6$ MPa.  ... and within the range of parameters considered in this study the main conclusions are ...

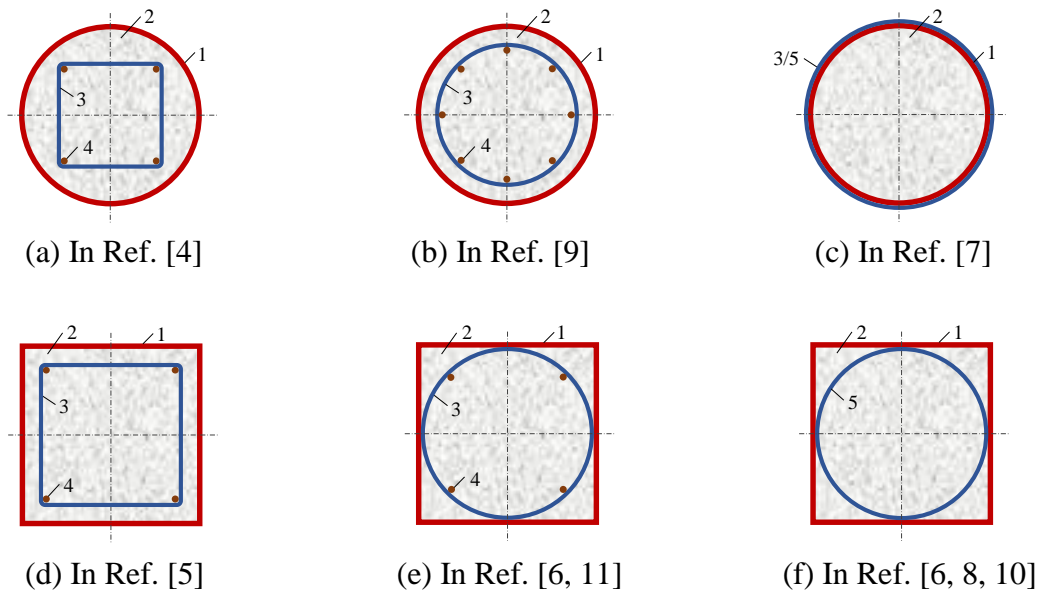
### Reviewer #2

Comment No.	Comments, replies and changes made.	
General Comment	Comment	The authors investigated the behavior of CFHST columns reinforced with spiral stirrups. Interesting results were achieved concerning the effects of spiral on the load-bearing capacity and ductility of CFHST columns. The manuscript is well organized and the behavior of the composite columns is well investigated. This manuscript can be accepted with minor revisions. The reviewer provides the following comments for consideration:
	Replies	The authors greatly appreciate the reviewer's recommendation and comments. Addressing the reviewers' comments has significantly improved the quality of this paper, which can be seen from the detailed changes summarized in this report.
Technical Comment	Comment	Fig.6: It would be good if the fracture of the spiral can be more clearly presented and denoted, as spiral fracture is part of the failure mode of

1		specimens.
	Replies	The authors agree with the reviewer's comment. The concrete near the fracture position of the spiral stirrup should be removed to clearly show the failure pattern of the spiral stirrup. However, due to the negligence of the authors and the limit space of the lab, the damaged specimens were thrown away as waste by lab technicians after we completed the fracture location inspection of the spiral stirrup. We apologize for not being able to provide a clearer picture of the stirrup fracture.
	Changes made	There is no change in the revised manuscript.
Technical Comment 2	Comment	Fig. 7: It seems that the modelling results have a much steeper slope than the experimental results in the elastic stage. Why? Additionally, the residual loads in the descending stage of some circular specimens are lower than the experimental curves. Could this be due to a lower estimation of the modulus of steel tube or spiral after yielding?
	Replies	The authors agree with the reviewer's comment. The reason for the difference between the simulated and measured curves needs in-depth analysis.
	Changes made	The following text has been added in page 16 of the revised manuscript to explain the reason: However, the simulated initial slope of $N - \Delta$ curve of the specimens in this study is significantly steeper than the measured results. It may be due to the fact that, the possible factors leading to the reduction of the axial compression stiffness of the specimens, such as the imperfection and/or defect of the specimens and the testing process, the deviation of the actual sizes from the design sizes and the small initial eccentricity of the loads, cannot be reasonably reflected in the FE model. Moreover, there is also a certain difference between the post-peak stage of the simulated $N - \Delta(\varepsilon)$ curves and the measured results, mainly because there may be a lower estimation of the modulus of the steel tube and/or spiral stirrup after yielding, and the bulging positions of the steel tube in the specimens are not completely located at the positions having the strain gauges.
Technical Comment 3	Comment	L345 Should $f_{yt}$ be substituted with $f_{ys}$ ?
	Replies	The authors agree with the reviewer's comment.
	Changes made	The variable $f_{yt}$ has been substituted with $f_{ys}$ in the revised manuscript: $\sigma_s = \begin{cases} E_s \cdot \varepsilon_s & (\varepsilon_s < \varepsilon_y) \\ f_{ys} + \frac{(f_{us}-f_{ys})}{(\varepsilon_u-\varepsilon_y)} \cdot (\varepsilon_s - \varepsilon_y) & (\varepsilon_y \leq \varepsilon_s < \varepsilon_u) \\ f_{us} - 0.34E_s \cdot (\varepsilon_s - \varepsilon_u) & (\varepsilon_u \leq \varepsilon_s < \varepsilon_f) \\ 0 & (\varepsilon_s > \varepsilon_f) \end{cases} \quad (7)$
Technical Comment	Comment	L358 It would be good if an explanation for $\alpha_n$ can be provided.
	Replies	Explanation for $\alpha_n$ is in lines 113 and 114 of the original manuscript. Now,

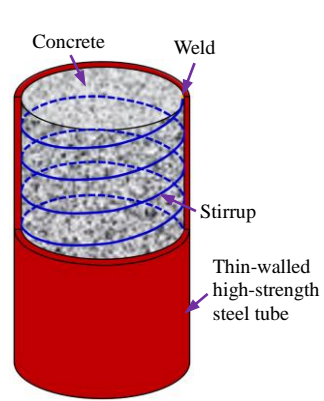
4		the explanation for $\alpha_n$ is in lines 4 and 5 on page 5 of the revised manuscript.
	Changes made	The explanation for $\alpha_n$ is as follows: ..., where $\alpha_n$ is the nominal cross-sectional steel ratio equal to the ratio of the area of the steel tube to that enclosed by the tube inner wall, ...
Technical Comment 5	Comment	Section 3.1 and Fig.14: According to Fig. 14, the failure mode was not symmetric to the mid-height plane. How is this achieved through FE modelling?
	Replies	On the one hand, we did not take special settings in the FE modelling to obtain simulation results more consistent with the test results, that is, without considering the spiral stirrup, the loading, boundary conditions and meshing of all FE models are symmetric, as shown in Fig. 13. On the other hand, we believe that the main reason for the asymmetry of the failure pattern with respect to the mid-height plane is the existence of the spiral stirrup, which is asymmetric with respect to the mid-height plane.
	Changes made	There is no change in the revised manuscript.
Technical Comment 6	Comment	L 369: The authors stated that a displacement of 40 mm was applied, which led to an axial strain of $40/720=0.056$ . However, the strain in concrete (LE33) in Fig. 14 seems to have exceeded 0.1, and the legend is not clear enough. Please check the data and substitute the legends with clearer ones.
	Replies	The displacement of 40 mm is correct and the strains in concrete (LE33) are also the corresponding results. The reason is as follows: If the axial strain is calculated according to $40/720=0.056$ , it is equivalent to the default that the column is uniformly deformed along axial direction, that is, the axial strain at each position along the height direction is the same. However, the simulation results in Fig. 14 show that, the column axial deformation (strain) are mainly concentrated in the half-height of a certain area (i.e. steel tube buckling range), and the axial strain of the rest part of the column is very small. As a result, the actual height with main deformation (e.g. axial strain) is far less than 720 mm, producing a local axial strain greater than 0.056 in the half-height area, and the longitudinal strain is much larger at the position having maximum local buckling of the steel tube. The legends in Fig. 14 are indeed not clear enough.
	Changes made	The figures with clear legends have been added to the revised manuscript.
Technical Comment 7	Comment	There are some grammatical errors in this manuscript. Additionally, some sentences should be rephrased to improve quality of this manuscript. The following are only some examples: L94: There should be an "and" before "no research". L97-99: "The objective of the paper is thus to experimentally assess the axial compressive performance of CTHST stub columns with inner spiral stirrup." Since FE modelling was also conducted, it may be inappropriate to use "experimentally" here.

	<p>L99-100: "Tests of 16 specimens were carried out to evaluate the effect of two variables...". The two variables can be substituted with "volumetric ratio of stirrup and yield strength of steel tube".</p> <p>L15 and L513: There are both "volumetric stirrup ratio" and "volume stirrup ratio" in this manuscript. Please use a consistent expression.</p> <p>L520: "faster"---"more abruptly"</p> <p>L532: What do the authors mean by "numerical changes"</p>
Replies	The authors agree with the reviewer's comment.
Changes made	<p>The above grammatical errors have been corrected in the revised manuscript.</p> <p>L94: ... premature, <b>and</b> no</p> <p>L97-99: The objective of the paper is thus to experimentally <b>and numerically</b> assess the axial compressive performance of CTHST stub columns with inner spiral stirrup.</p> <p>L99-100: Tests of 16 specimens were carried out to evaluate the effect of <b>volumetric stirrup ratio and yield strength of steel tube</b> on the failure</p> <p>L15 and L513: '<b>volumetric stirrup ratio</b>' is used.</p> <p>L529: ... specimens is <b>more abruptly</b> than that ...</p> <p>L541: the words of "numerical changes" are deleted.</p> <p>By the way, the revised manuscript was also thoroughly checked to avoid errors.</p>

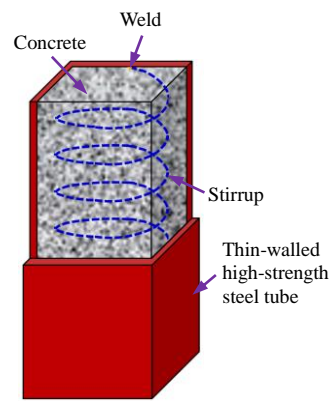
**Figures:**

**Fig. 1.** Typical cross-section of the reinforced CFST in the literature.

(1-Steel tube; 2-Concrete; 3-Stirrups; 4-Longitudinal bar; 5-Spiral stirrup)

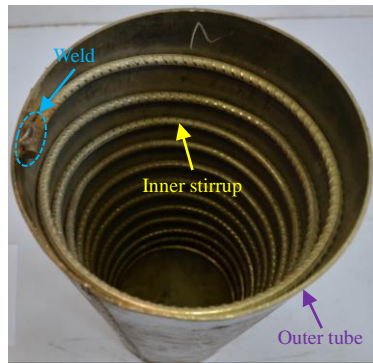


(a) Circular section

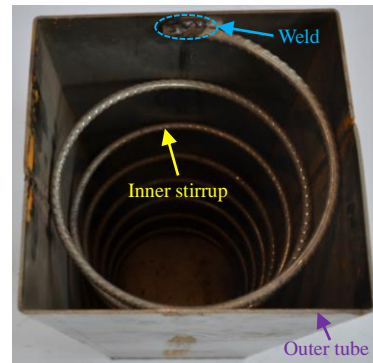


(b) Square section

**Fig. 2.** Schematic of CTHST with inner spiral stirrup.

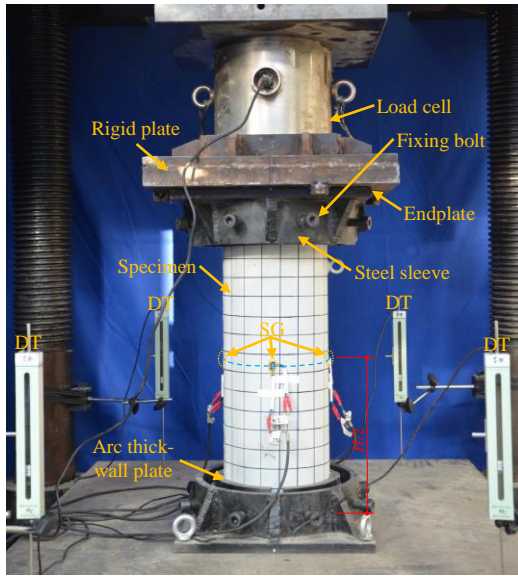


(a) Circular section

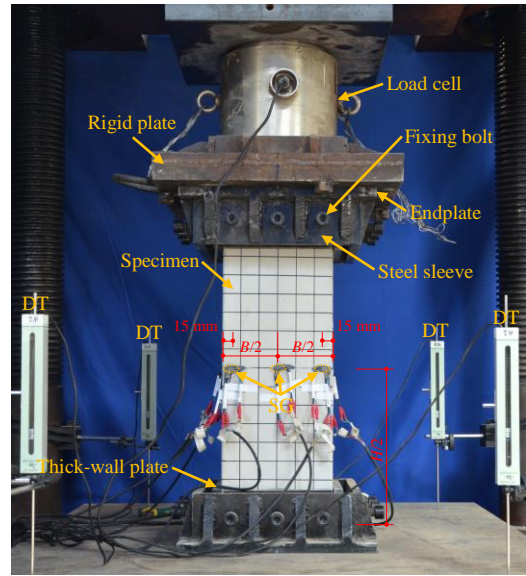


(b) Square section

**Fig. 3.** The finished outer tube and inner stirrup of the specimens.

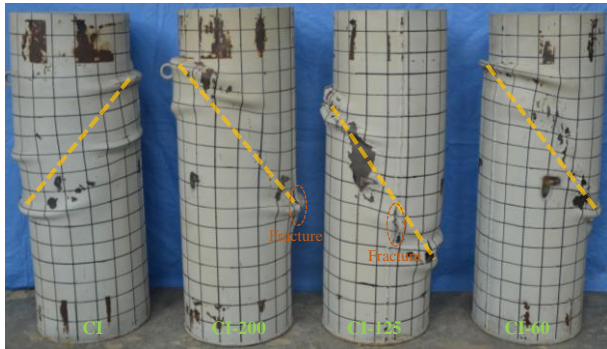


(a) Circular section

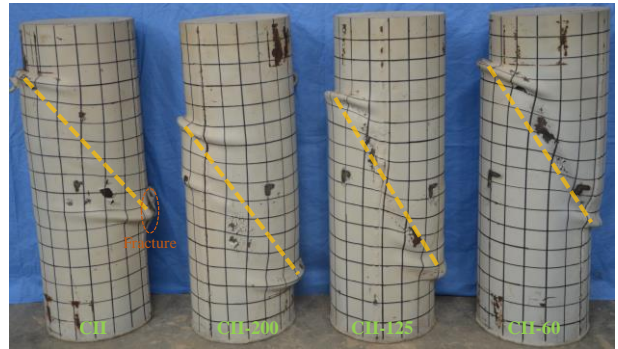


(b) Square section

**Fig. 4.** Test set-up and measurement.



(a) Group CI



(b) Group CII



(c) Group SI

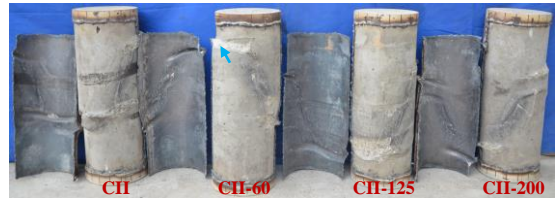


(d) Group SII

**Fig. 5.** Failure pattern of the specimens.



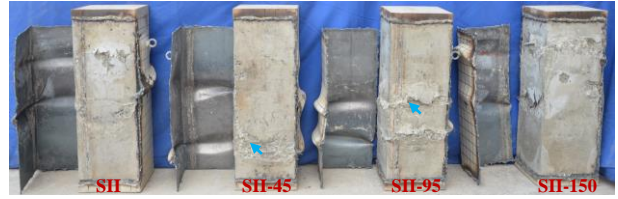
(a) Group CI



(b) Group CII

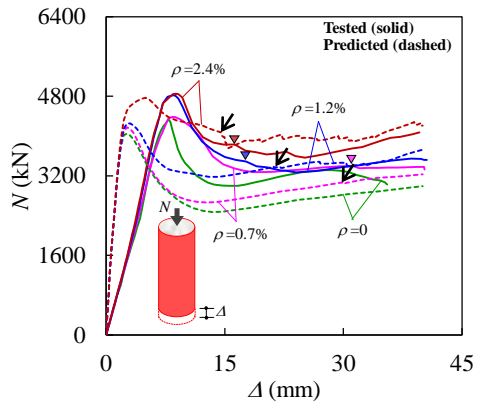


(c) Group SI

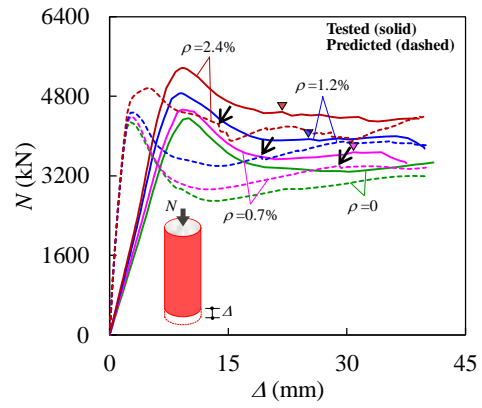


(d) Group SII

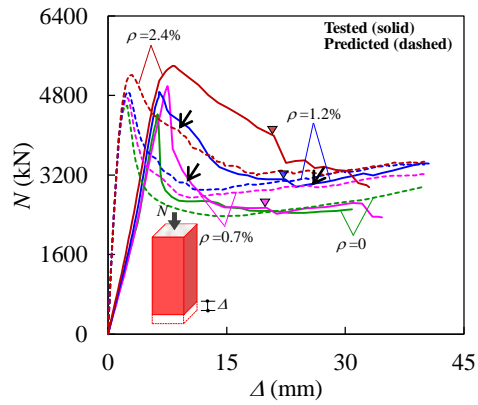
**Fig. 6.** Failure pattern of the concrete core.



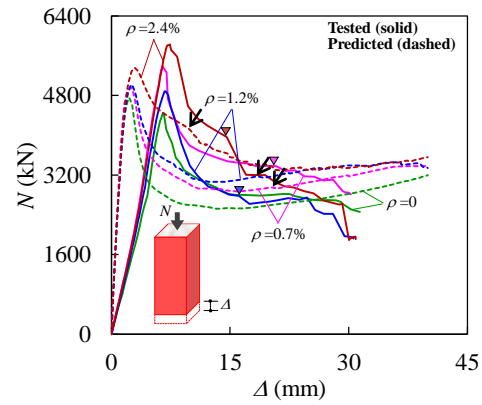
(a) Group CI



(b) Group CII

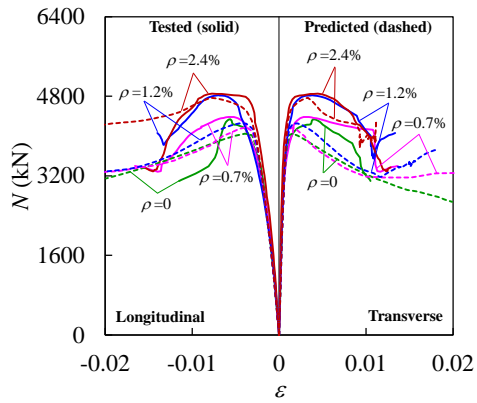


(c) Group SI

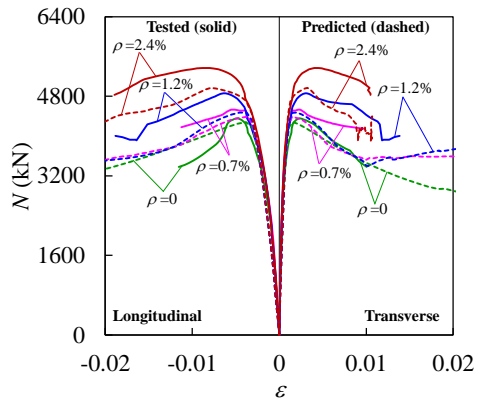


(d) Group SII

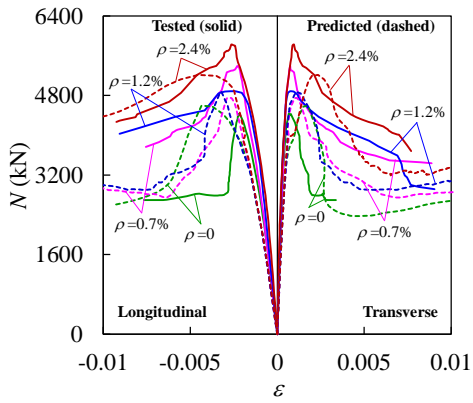
**Fig. 7.** Load ( $N$ ) versus axial displacement ( $\Delta$ ) curve of the specimens.



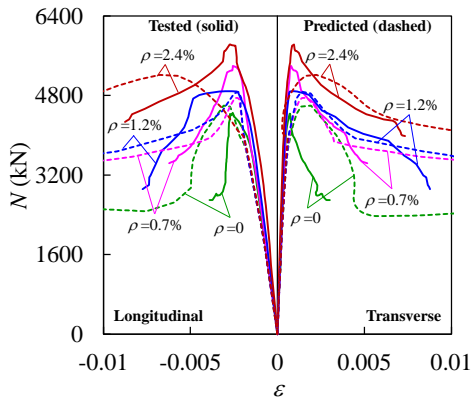
(a) Group CI



(b) Group CII

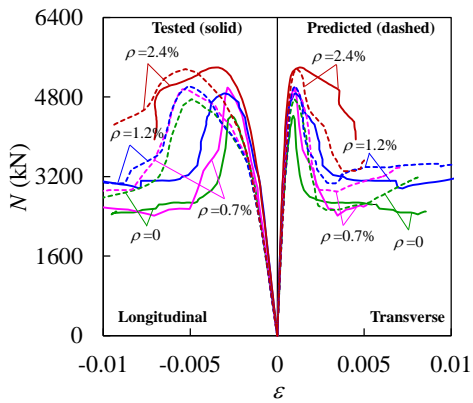


(1) Middle

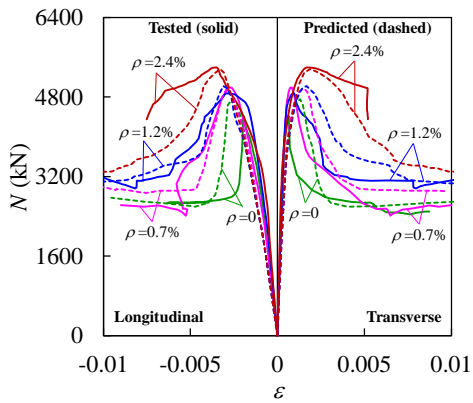


(2) Corner

(c) Group SI



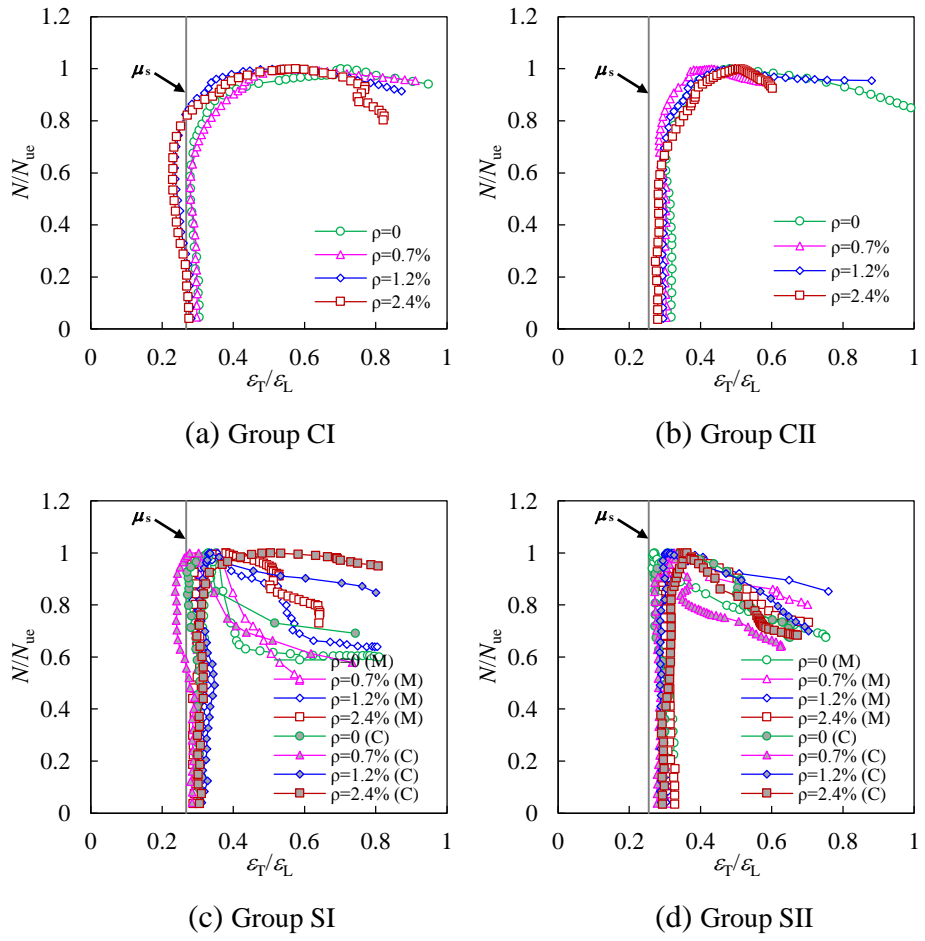
(1) Middle



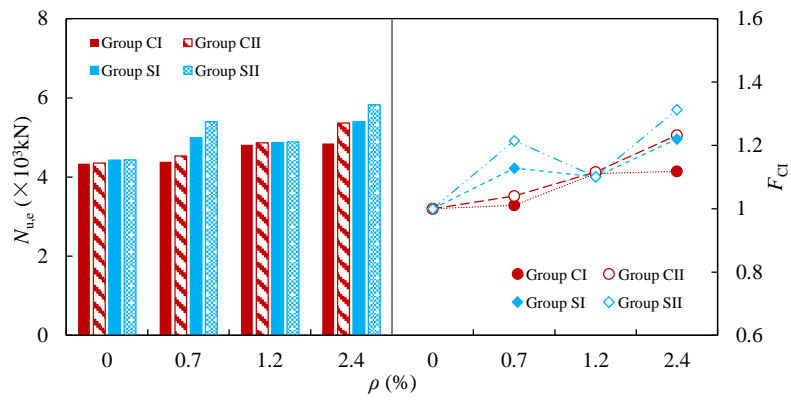
(2) Corner

(d) Group SII

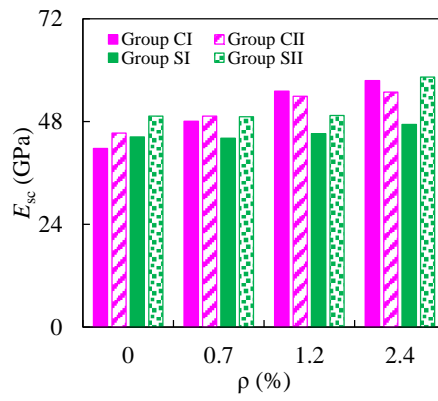
**Fig. 8.** Load versus strain relationship of the specimens.



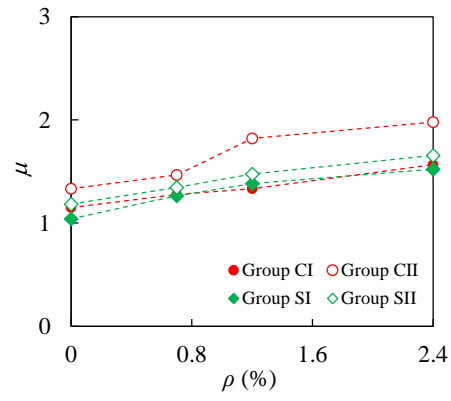
**Fig. 9.** Relationship between  $\epsilon_T/\epsilon_L$  and  $N/N_{ue}$  of the specimens.



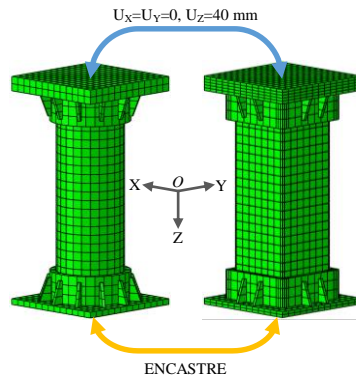
**Fig. 10.** Variation in the capacity ( $N_{ue}$ ) and capacity improvement factor ( $F_{CI}$ ) of the specimens.



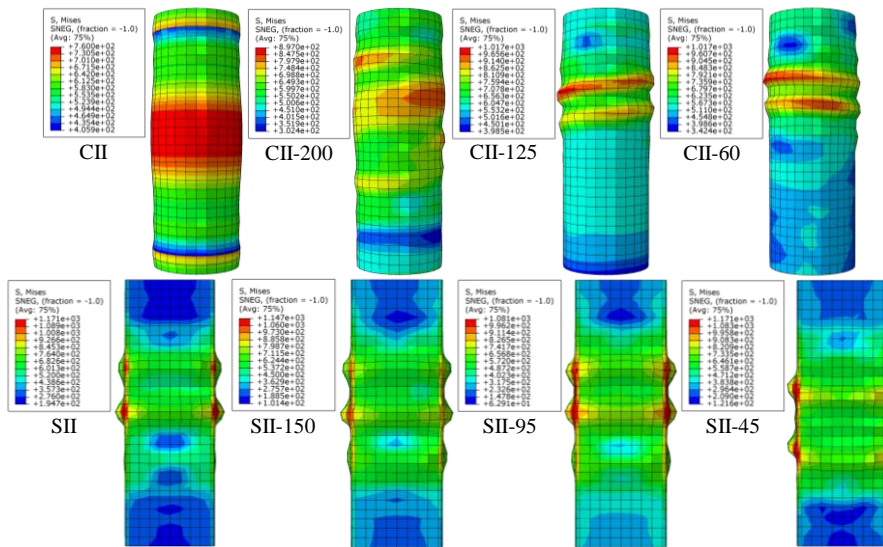
**Fig. 11.** Variation in the composite elastic modulus ( $E_{sc}$ ) of the specimens.



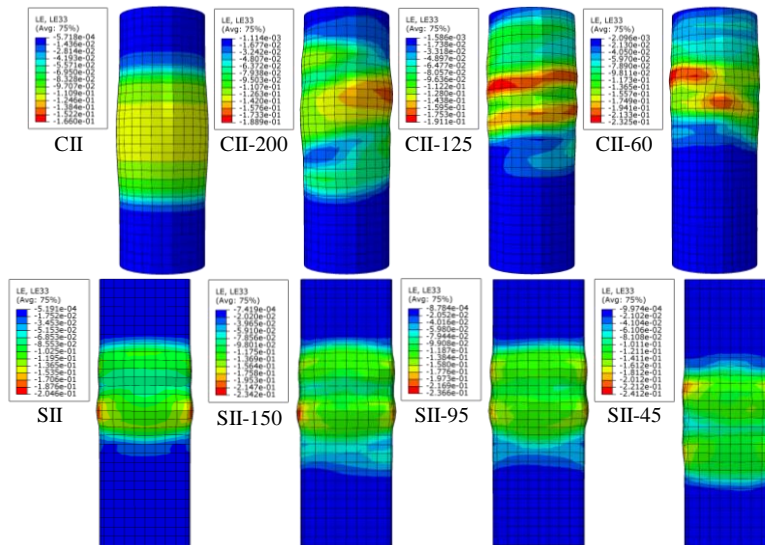
**Fig. 12.** Effect of parameters on ductility coefficient ( $\mu$ ) of the specimens.



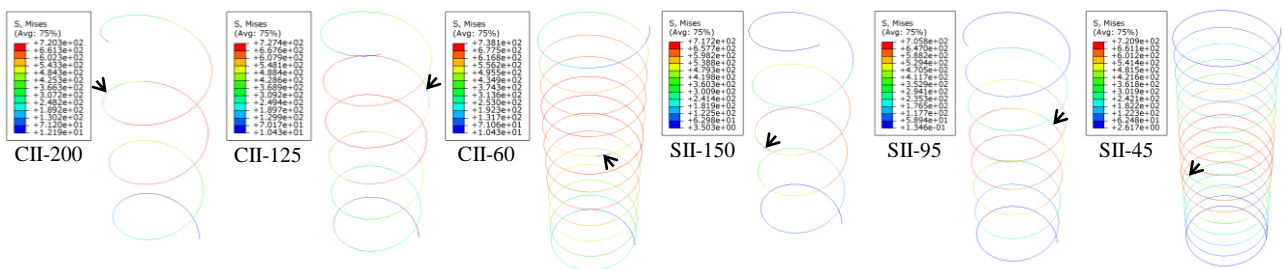
**Fig. 13.** Mesh division and boundary conditions of the FE model.



(a) Outer tube

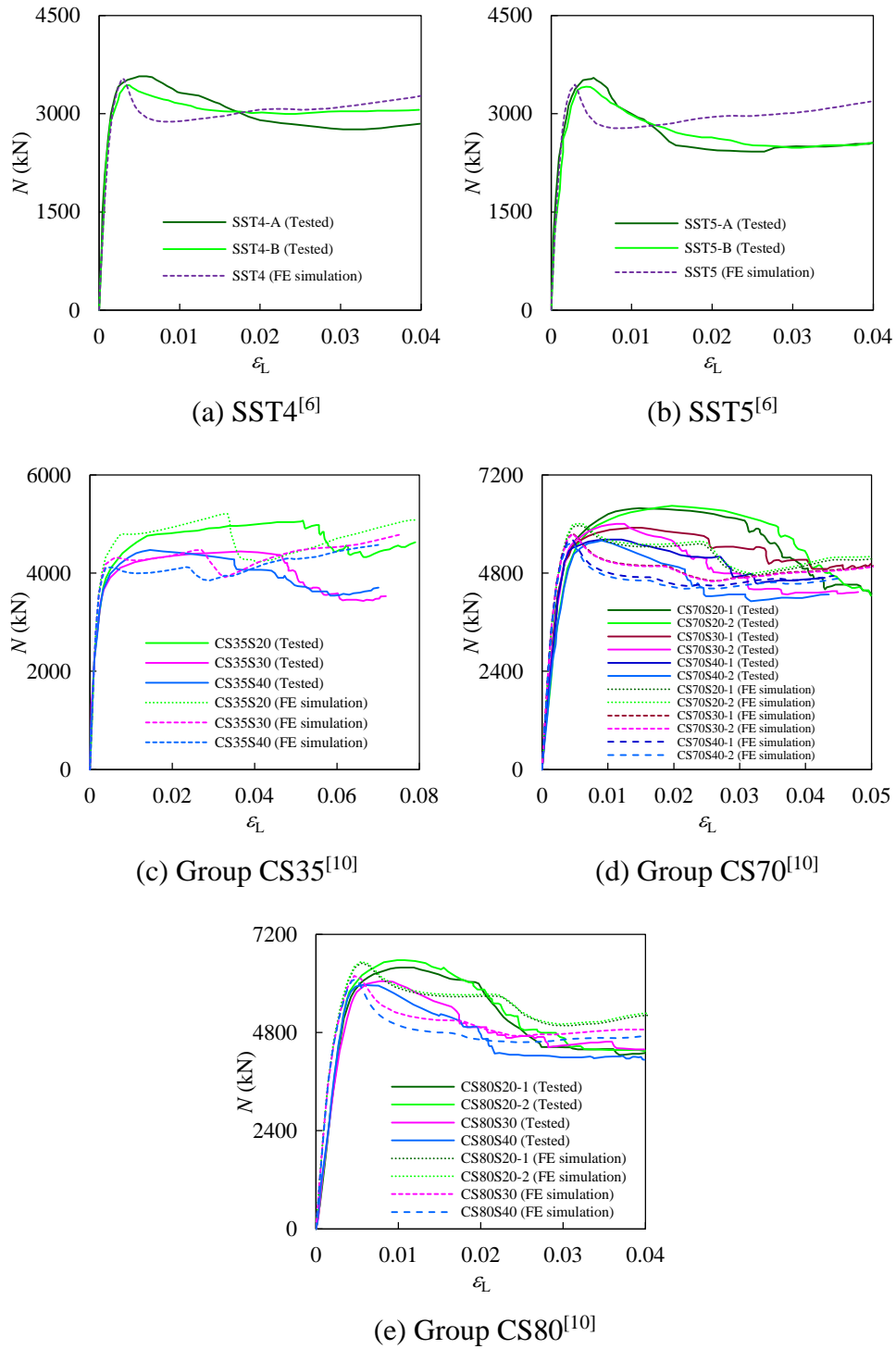


(b) Concrete core

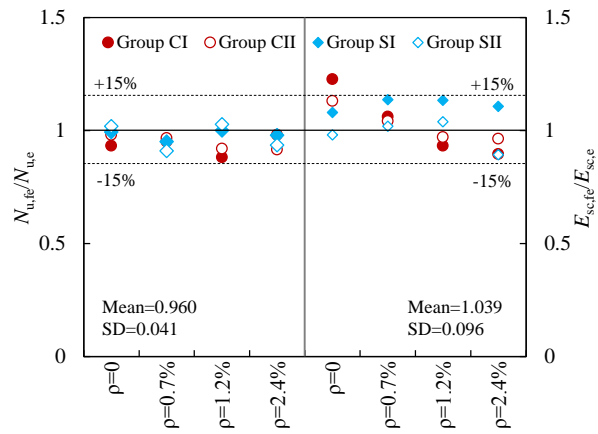


(c) Spiral stirrup with the first fracture

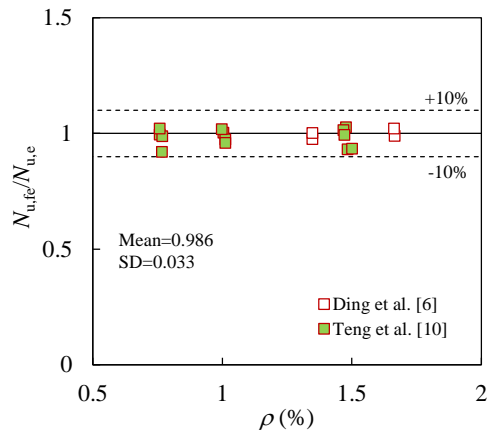
Fig. 14. The simulated failure patterns of typical specimens using the FE model.



**Fig. 15.** Comparison between the simulated  $N$ - $\varepsilon$  curves and the measured results in the literature.

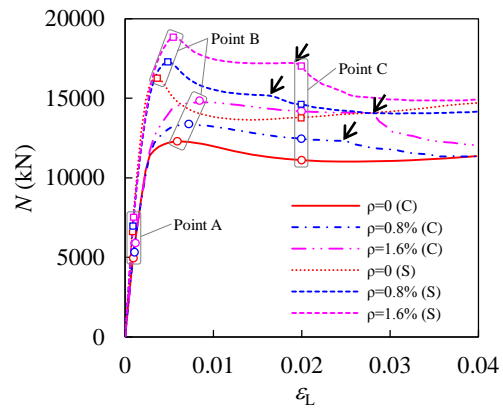


(a) Specimens in this paper

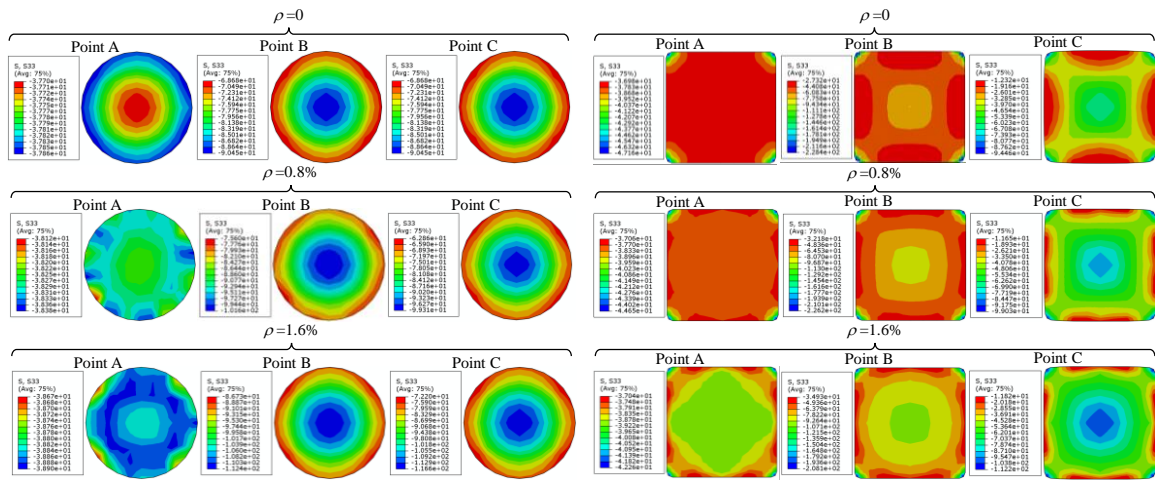


(b) Specimens in the literature

**Fig. 16.** Comparison between the predicted and measured mechanical indicators.



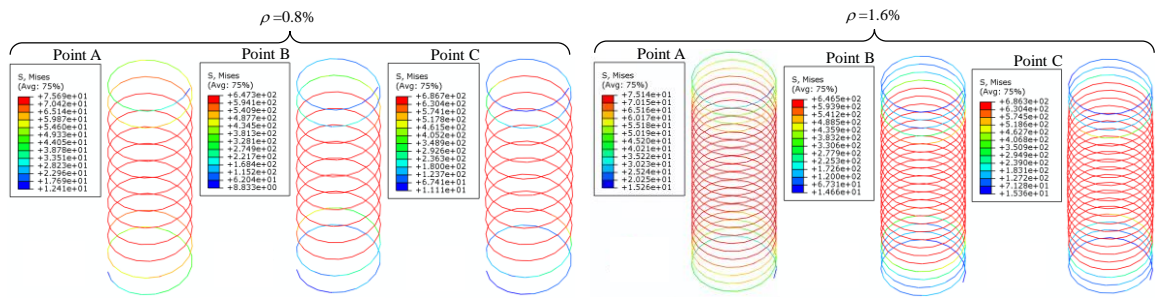
**Fig. 17.**  $N - \varepsilon_L$  curve of typical composite members.



(1) Circular section

(2) Square section

(a) Concrete core

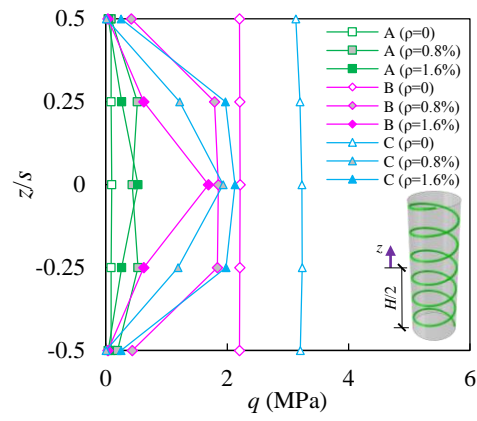


(1) Circular section

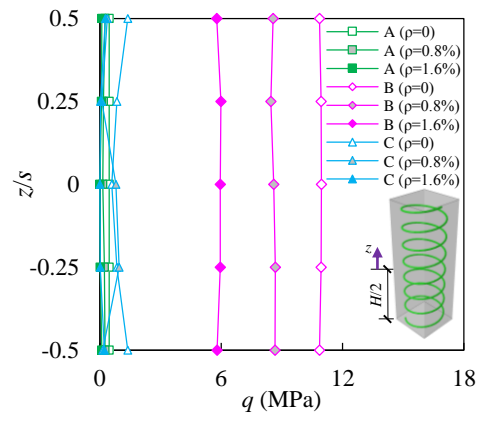
(2) Square section

(b) Spiral stirrup

**Fig. 18.** Stress state of the concrete core and spiral stirrup.

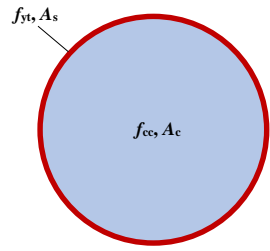


(a) Circular section

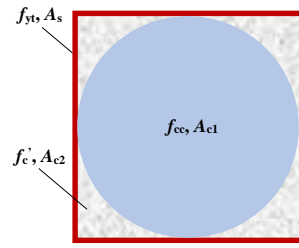


(b) Corner of square section

**Fig. 19.** Effect of  $\rho$  on the interaction stresses ( $q$ ) between the steel tube and concrete core.

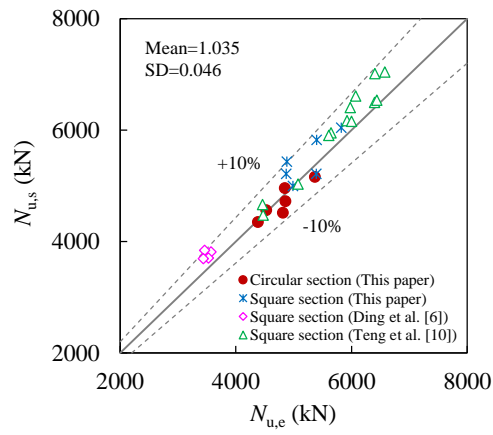


(a) Circular section



(b) Square section

**Fig. 20.** Cross-section of the equivalent composite columns.



**Fig. 21.** Comparison between the simplified and experimental capacities.

**Tables:****Table 1.** Information of the specimens.

No.	Label	$D$ (mm)	$t$ (mm)	$H$ (mm)	$\alpha_n$	$s$ (mm)	$\rho$ (%)	$f_{yt}$ (MPa)	$f_{ys}$ (MPa)	$f_{cu}$ (MPa)	$E_{sc,e}$ (GPa)	$E_{sc,fe}$ (GPa)	$E_{sc,fe}/E_{sc,e}$	$N_{u,e}$ (kN)	$N_{u,fe}$ (kN)	$N_{u,fe}/N_{u,e}$
1	CI	240	3.05	720	0.05	-	0	571.2	-	64.0	41.7	51.2	1.228	4338	4051	0.934
2	CI-200	240	3.05	720	0.05	200	0.7	571.2	639.3	64.0	48.1	51.1	1.062	4386	4175	0.952
3	CI-125	240	3.05	720	0.05	125	1.2	571.2	639.3	64.0	55.1	51.4	0.933	4820	4252	0.882
4	CI-60	240	3.05	720	0.05	60	2.4	571.2	639.3	64.0	57.6	51.6	0.896	4851	4760	0.981
5	CII	240	3.04	720	0.05	-	0	648.9	-	64.0	45.3	51.3	1.132	4357	4280	0.982
6	CII-200	240	3.04	720	0.05	200	0.7	648.9	639.3	64.0	49.3	51.4	1.043	4533	4390	0.968
7	CII-125	240	3.04	720	0.05	125	1.2	648.9	639.3	64.0	53.9	52.4	0.972	4861	4475	0.921
8	CII-60	240	3.04	720	0.05	60	2.4	648.9	639.3	64.0	54.9	53.0	0.965	5370	4921	0.916
9	SI	240	3.05	720	0.05	-	0	571.2	-	64.0	44.4	48.0	1.081	4425	4400	0.994
10	SI-150	240	3.05	720	0.05	150	0.7	571.2	639.3	64.0	44.1	50.2	1.138	4992	4754	0.952
11	SI-95	240	3.05	720	0.05	95	1.2	571.2	639.3	64.0	45.2	51.3	1.135	4873	4876	1.001
12	SI-45	240	3.05	720	0.05	45	2.4	571.2	639.3	64.0	47.3	52.4	1.108	5397	5289	0.980
13	SII	240	3.04	720	0.05	-	0	648.9	-	64.0	49.3	48.4	0.982	4438	4528	1.020
14	SII-150	240	3.04	720	0.05	150	0.7	648.9	639.3	64.0	49.1	50.1	1.020	5396	4915	0.911
15	SII-95	240	3.04	720	0.05	95	1.2	648.9	639.3	64.0	49.4	51.4	1.040	4886	5023	1.028
15	SII-45	240	3.04	720	0.05	45	2.4	648.9	639.3	64.0	58.4	52.2	0.894	5827	5454	0.936

**Table 2.** Properties of steel.

Type	Label	$t/d_s$ (mm)	Yield strength (MPa)	Tensile strength (MPa)	Elastic modulus ( $\times 10^5$ N/mm <sup>2</sup> )	Poisson's ratio	Elongation after fracture (%)
Tube	I	3.05	571.2	674.7	2.12	0.268	8.51
	II	3.04	648.9	754.2	2.07	0.255	7.62
Stirrup	/	8.78	639.3	742.9	1.93	/	4.27

**Table 3.** Mix proportion and properties of concrete

Mix proportion (kg/m <sup>3</sup> )						Properties				
Cement	Fly ash	Fine aggregate	Coarse aggregate	Water	WRA*	$f_{cu,28}$ (MPa)	$f_{cu}$ (MPa)	$E_c$ (GPa)	Slump (mm)	Spread (mm)
420	130	800	832	189.5	6.88	51.8	64.0	34.9	265	565

\*WRA=water reducing admixture.