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| 1  | Commentary  |
|----|---|
| 2  | The Time is Now: Making the case for a UK Registry of Deployment of   |
| 3  | Radiology AI applications   |
| 4  |   |
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| 24 | Conflict of interest  |

25 The authors declare no conflict of interest.

#### 26 Abstract

27 Artificial intelligence (AI)-based healthcare applications are rapidly evolving, and radiology is a target 28 specialty for their implementation. In this paper, we put the case for a national deployment registry 29 to track the spread of AI applications (AI-apps) into clinical use in radiology in the United Kingdom 30 (UK). By gathering data on the specific locations, purposes and people associated with AI-app 31 deployment, such a registry would provide greater transparency on their spread in the radiology field. 32 In combination with other regulatory and audit mechanisms, it would provide radiologists and patients 33 with greater confidence and trust in Al-apps. At the same time, co-ordination of this information 34 would reduce costs for the National Health Service (NHS) by preventing duplication of piloting 35 activities. This commentary discusses the need for a UK-wide registry for such applications, its benefits 36 and risks, and critical success factors for its establishment. We conclude by noting that a critical 37 window of opportunity has opened up for the development of a deployment registry, before the 38 current pattern of localized clusters of activity turns into the widespread proliferation of AI-apps 39 across clinical practice.

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#### 41 Introduction

42 Artificial Intelligence (AI)-based applications - AI-apps - are widely viewed as essential to modernising 43 healthcare systems. Such apps have great potential to improve productivity and performance by 44 supporting the analysis, interpretation, and categorisation of the enormous amounts of data generated for diagnosis and treatment<sup>1,2</sup>. Within the healthcare arena, radiology is seen as one of the 45 46 most promising fields for the near-term deployment of clinical AI. The rapid development of imagebased AI in the non-medical world offers ready applicability to the large volumes of stored imaging-47 based radiology data that are required to train AI-apps<sup>3</sup>. Should AI indeed fulfil its potential, then the 48 49 resulting transformation of medical imaging and healthcare is expected to impact not only the work 50 practices of radiologists<sup>4</sup> and outcomes for patients, but the whole field of radiology itself<sup>5</sup>.

52 Despite their potential, experience to date suggests that integrating AI-apps into daily clinical practice will prove challenging<sup>6,7</sup>. Certainly, the adoption and implementation of radiology AI-apps in the UK to 53 54 date is unevenly distributed, being more accessible to larger and more advanced hospitals. Major implementation challenges include the pressing need for appropriate governance structures and 55 guidelines, unresolved ethical issues, and commercial sensitivity<sup>8-11</sup>. Furthermore, the working 56 57 principles of the algorithms underpinning these apps may be so obscure as to create what is termed a 'black-box effect'<sup>12</sup>. These issues jeopardise radiologists' and patients' trust in AI-apps, especially as 58 59 the implications for patient safety and outcomes remain uncertain<sup>13</sup>. In response, there are widespread calls for greater transparency around the use AI in healthcare<sup>14</sup>. But, while efforts are 60 underway to increase the 'explainability' of the AI-apps themselves<sup>15</sup>, at the healthcare system level 61 62 such transparency is limited by a lack of information on what applications are deployed where, by whom, and with what purpose<sup>16</sup>. In this commentary, therefore, we argue for the need to build a UK-63 64 wide registry of deployed radiology AI-apps, discuss its benefits and risks, and consider critical success 65 factors relevant to realising its development.

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# 67 The need for a registry of deployed radiology AI-applications

As a first step towards outlining the development of a such a registry, we need to situate it within the 68 69 current regulatory context. In the UK, the Medicines and Healthcare products Regulatory Agency 70 (MHRA) mandates that all medical devices are approved and registered with the agency prior to being 71 lawfully marketed in the UK. This includes AI-apps as a medical device<sup>17</sup>. As with other medicines and 72 devices, manufacturers are required to submit vigilance reports, including any incidents, as well as the 73 plan for corrective action. In addition, patients or users can utilise the well-established yellow card system to report any unexpected findings or incidents related to using a medical device<sup>18</sup>. Further, as 74 75 part of its response to the introduction of AI, the MHRA has taken an important step forward in its 76 guidance document<sup>19</sup> by establishing a major work programme on 'Software and AI as a medical device 77 change programme'. This is evaluating the changes that are needed to ensure that the regulatory

framework provides a high degree of protection for patients and public, and to ensure that the UK
supports responsible innovation for medical device software.

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A registry of AI-app deployment would be differentiated from the MHRA registration process, but 81 82 would enhance safety in their implementation. The need for, and potential workings of such a registry, 83 can be highlighted by a comparison with the drivers of existing UK-wide registries, which seek to 84 monitor the deployment and performance of some non-AI related healthcare innovations. In the case 85 of a deployment registry, the sites of deployment, rather than patient outcomes, would be recorded. 86 Nonetheless, important comparisons can be made with patient-based registries. One such example 87 is the renowned National Joint Registry (NJR) which is the largest orthopaedic registry in the world<sup>20</sup>. 88 High quality and relevant data on the type of surgery, the implant used, and the patients receiving 89 surgery are collected into the NJR via a secure electronic data entry system. The NJR was established 90 in response to the high failure rate of a particular type of hip replacement: the 3M Capital Hip<sup>21</sup>. The 91 initial absence of a registry prevented the timely tracing of 3M Capital Hip patients, causing significant 92 complications for these patients and public concern. To avert incidents like these in the future, the 93 introduction of the NJR enabled the monitoring of the cost-effectiveness, quality, and safety of joint replacement surgeries conducted in the UK<sup>20</sup>. 94

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96 Another example is the Breast and Cosmetic Implant Registry (BCIR), which captures data on breast implant surgeries conducted in England, Scotland, and Northern Ireland<sup>22</sup>. Similar to joint 97 98 replacements, breast implants were introduced to the market without a supporting deployment 99 registry. However, safety and regulatory issues emerged, such as the unsafe Poly Implant Prostheses 100 silicone breast implants, which had to be withdrawn from the market due to a high risk of rupturing and complications in patients<sup>23,24</sup>. To better monitor the safety of breast and cosmetic implants and 101 102 allow for the tracing of patients fitted with implants, the BCIR collects data on patient, implant, 103 surgery, and after-care details.

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105 The case for a new AI-app registry is reinforced by more recent experience directly targeting such apps 106 in healthcare. The Kent Surrey Sussex Academic Health Science Network was commissioned by Health 107 Education England to map AI-apps ready for the UK healthcare market to understand their impact on the workforce and patient care<sup>25</sup>. The produced AI roadmap only includes publicly available data and 108 109 findings were not validated, but the efforts show the clear need for information on deployment of 110 such apps. For radiology specifically, the Scottish Radiology Transformation Programme, with support from NHS Scotland, developed a Scotland-wide registry to foster a consistent and efficient approach 111 to the adoption of such applications<sup>26</sup>. The registry holds data on those responsible for the 112 113 applications' deployment, manufacturer and regulatory details, the applications' intended purpose, 114 and the location and date of implementation. Rather than collecting identifiable patient data, such as 115 in the NJR and BCIR, this registry focuses on deployment information only. This helps to avoid the 116 duplication of piloting activity, and enhances opportunities for learning across the radiology 117 community. This pioneering work provides an excellent exemplar for the development of a UK-wide 118 registry.

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#### 120 Current status in radiology Al-app deployment

121 Apart from the aforementioned Scottish registry of AI-app deployment and the AI roadmap, the extent 122 of deployment of each radiology AI-app into clinical systems in the UK is not tracked and the authors 123 are not aware of any national registries of radiology AI-app deployment. However, there is 124 considerable interest in radiology AI-app deployment and several surveys have been undertaken, or 125 indeed are currently circulating, to investigate various aspects of AI-app usage in radiology. These 126 surveys explore ethical considerations, attitudes to use, expectations and hurdles encountered (Table 1)<sup>11,27-36</sup>. An on-line overview of CE-marked or FDA approved tools was published as a resource which 127 128 is designed to be of interest to those wishing to identify a radiology AI-app for use in their department<sup>36</sup>. The authors found that only 18% of these had evidence of efficacy of level 3
(demonstrating added value to the diagnosis) or higher.

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The American College of Radiology (ACR) undertook a large survey<sup>27</sup> of their members which found that 30% of radiologists are currently using AI-apps in their practice. They are most commonly used in breast, thoracic and neurological imaging, and primarily for assisting with image interpretation. These findings were consistent with a recent European Society of Radiology (ESR) survey<sup>31</sup>, where 40% of members responding had experience with AI-apps, up from 20% from their 2018 survey<sup>30</sup>

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The ACR survey also found that many of the US FDA approved algorithms were not being used in clinical practice. In fact, 10% of radiologists using AI-apps in clinical practice are using algorithms they had developed locally, which, in aggregate, is a higher use rate than any of the commercially developed algorithms (9%). They also found that large practices are more likely to be using AI-apps than smaller practices. Overall, the survey authors felt the penetrance of AI in clinical practice was limited by concerns about inconsistent results, productivity, and a lack of trust in the safety and efficacy of AI-apps.

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146 **Table 1:** Summary of literature review on surveys of AI in radiology.

| Author/year        | Participants, | Topics covered | Results summary      |
|--------------------|---------------|----------------|----------------------|
| of publication     | design, and   |                |                      |
|                    | number of     |                |                      |
|                    | respondents   |                |                      |
| Collado-           | Radiology     | Demographic    | • 29% of respondents |
| Mesa <sup>37</sup> | • US - local  | information    | using AI in practice |
|                    |               |                | (N.B. predominantly  |
|                    |               |                |                      |

| J Am Coll               | • Email with     | • Familiarity with Al     | voice recognition        |
|-------------------------|------------------|---------------------------|--------------------------|
| Radiol (2018)           | online survey    | medical literature        | rather than radiology    |
|                         | • Jul-Aug 2017   | • Current use of AI (N.B. | Al apps)                 |
|                         | • 69 respondents | including voice           | • 67% willing to help    |
|                         | (66% of          | recognition tools, rather | train/develop Al         |
|                         | invitees)        | than specifically         | • 80% think AI will      |
|                         |                  | radiology AI apps)        | dramatically affect job  |
|                         |                  | • Predicted impact of AI  | of radiologists in the   |
|                         |                  | on jobs                   | future                   |
| European                | Radiology        | Demographic               | • 20% of respondents     |
| Society of              | • EU             | information               | using AI in practice     |
| Radiology <sup>30</sup> | • Email to       | User feelings/forecasts   | • Expect AI to impact on |
| Insights                | members with     | about AI in radiology in  | job opportunities,       |
| Imaging                 | online survey    | the next 5-10 years       | reporting workload and   |
| (2019)                  | • Nov-Dec 2018   | Involvement in AI         | job profile of           |
|                         | • 675            | system development        | radiologists, though     |
|                         | respondents      |                           | variation in what these  |
|                         | (2.8% of         |                           | impacts would be         |
|                         | invitees)        |                           | • 30% are planning to    |
|                         |                  |                           | use AI in the future     |
| Ooi <sup>38</sup>       | Radiology        | Demographic               | • 17% of respondents     |
| Singapore               | • Singapore -    | information               | involved in AI research  |
| Medical                 | national         | • Predicted impact of AI  | • 89% feel AI will       |
| Journal                 | • Email with     | Perceptions of career     | drastically change       |
| (2021)                  | online survey    | prospects                 | practice                 |
|                         |                  |                           |                          |

| • | Dec 2018              |  |  | ٠   | 67% keen to be  |
|---|-----------------------|--|--|---|---|
| • | 125                   |  |  |   | involved in an Al   |
|   | respondents           |  |  |   | project   |
|   | (25% of               |  |  |   |   |
|   | invitees)             |  |  |   |   |
| ٠ | Radiology             | •  | Demographic  | ٠   | 7% of respondents   |
| • | French - local        |  | information  |   | using AI in practice  |
| • | Email with            | •  | Level of AI knowledge  | •   | 24% are planning to   |
|   | online survey         | •  | Expectations for use of  |   | use AI in the future  |
| • | Jan 2019              |  | Al in practice   | •   | 79% feel AI will have a   |
| • | 270                   | •  | Predicted impact of AI   |   | positive impact on their  |
|   | respondents           |  |  |   | practice  |
|   | (43.8% of             |  |  | •   | Main perceived  |
|   | invitees)             |  |  |   | advantages are  |
|   |                       |  |  |   | lowering imaging-   |
|   |                       |  |  |   | related medical errors  |
|   |                       |  |  |   | (81%) and lowering  |
|   |                       |  |  |   | interpretation time   |
|   |                       |  |  |   | (74%)   |
| • | Radiology             | •  | Demographic  | •   | No data on use in   |
| • | Italy - national      |  | information  |   | practice  |
| • | Email to              | •  | Level of AI knowledge  | •   | 77% were favourable   |
|   | members with          | •  | Attitude towards AI  |   | to the adoption of AI   |
|   | online survey         |  |  | •   | Main perceived  |
| • | April 2019            |  |  |   | advantages included   |
|   | •<br>•<br>•<br>•<br>• | <ul> <li>Dec 2018</li> <li>125</li> <li>respondents</li> <li>(25% of</li> <li>invitees)</li> <li>Radiology</li> <li>French - local</li> <li>French - local</li> <li>Email with</li> <li>online survey</li> <li>Jan 2019</li> <li>270</li> <li>respondents</li> <li>(43.8% of</li> <li>invitees)</li> <li>invitees)</li> <li>invitees)</li> <li>Radiology</li> <li>Email to</li> <li>Email to</li> <li>members with</li> <li>online survey</li> <li>April 2019</li> </ul> | <ul> <li>Dec 2018</li> <li>125</li> <li>respondents</li> <li>(25% of</li> <li>invitees)</li> <li>Radiology</li> <li>French - local</li> <li>French - local</li> <li>Email with</li> <li>online survey</li> <li>Jan 2019</li> <li>1270</li> <li>(43.8% of</li> <li>invitees)</li> <li>invitees)</li> <li>Radiology</li> <li>Radiology</li> <li>Radiology</li> <li>Radiology</li> <li>invitees)</li> <li>Email to</li> <li>Email to</li> <li>Email to</li> <li>Conline survey</li> <li>April 2019</li> </ul> | <ul> <li>Dec 2018</li> <li>125</li> <li>respondents</li> <li>(25% of</li> <li>invitees)</li> <li>Radiology</li> <li>Demographic</li> <li>information</li> <li>Email with</li> <li>Level of Al knowledge</li> <li>online survey</li> <li>At in practice</li> <li>1270</li> <li>Predicted impact of Al</li> <li>(43.8% of</li> <li>invitees)</li> <li>(43.8% of</li> <li>invitees)</li> <li>Radiology</li> <li>Predicted impact of Al</li> <li>(43.8% of</li> <li>invitees)</li> <li>Invitees)</li> <li>Email to</li> <li>Level of Al knowledge</li> <li>information</li> <li>Email to</li> <li>Level of Al knowledge</li> <li>information</li> <li>Email to</li> <li>Level of Al knowledge</li> <li>information</li> <li>Attitude towards Al</li> <li>online survey</li> <li>April 2019</li> </ul> | <ul> <li>Dec 2018</li> <li>125</li> <li>respondents</li> <li>(25% of invitees)</li> <li>Radiology</li> <li>Demographic</li> <li>French - local</li> <li>Email with</li> <li>Level of Al knowledge</li> <li>online survey</li> <li>Expectations for use of</li> <li>Ian 2019</li> <li>Al in practice</li> <li>770</li> <li>Predicted impact of Al</li> <li>respondents</li> <li>(43.8% of</li> <li>invitees)</li> <li>Al in practice</li> <li>andiology</li> <li>Predicted impact of Al</li> <li>respondents</li> <li>(43.8% of</li> <li>Invitees)</li> <li>Al in practice</li> <li>andiology</li> <li>Demographic</li> <li>andiology</li> <li>Demographic</li> <li>andiology</li> <li>Level of Al knowledge</li> <li>andiology</li> <li>Attitude towards Al</li> <li>andine survey</li> <li>April 2019</li> </ul> |

|                          | ٠ | 1032            | ٠ | Expectations of          |   | lower diagnostic error    |
|--------------------------|---|-----------------|---|--------------------------|---|---------------------------|
|                          |   | respondents     |   | potential benefits and   |   | rate (73%) and            |
|                          |   | (9.5% of        |   | disadvantages            |   | optimising workload       |
|                          |   | invitees)       |   |                          |   | (68%)                     |
|                          |   |                 |   |                          | • | Main concern is           |
|                          |   |                 |   |                          |   | negative impact on        |
|                          |   |                 |   |                          |   | professional reputation   |
|                          |   |                 |   |                          |   | of radiologists (60%)     |
| Huisman <sup>32,33</sup> | ٠ | Radiology       | ٠ | Demographic              | • | No data on use in         |
| Eur Radiol               | • | International   |   | information              |   | practice                  |
| (2021)                   | • | Survey          | • | Fear of replacement,     | • | Higher levels of          |
|                          |   | distribution by |   | knowledge, and attitude  |   | knowledge of AI are       |
|                          |   | radiology       | • | Expectations, hurdles to |   | inversely associated      |
|                          |   | societies,      |   | implementation, and      |   | with fear of AI           |
|                          |   | websites and    |   | education                | • | 85% willing to use AI in  |
|                          |   | social media    |   |                          |   | the clinical setting      |
|                          | • | Apr-Jul 2019    |   |                          | • | Expected roles of AI are  |
|                          | • | 1041            |   |                          |   | as a second reader and    |
|                          |   | respondents     |   |                          |   | work-flow optimisation    |
|                          |   | (estimated      |   |                          | • | Ethical, legal issues and |
|                          |   | 3.9% of society |   |                          |   | a lack of knowledge       |
|                          |   | invitees)       |   |                          |   | were most common          |
|                          |   |                 |   |                          |   | barriers mentioned        |

| Scheetz <sup>35</sup> | • | Ophthalmology, | ٠ | Demographic            | • | 31% of respondents      |
|-----------------------|---|----------------|---|------------------------|---|-------------------------|
| Scientific            |   | dermatology,   |   | information            |   | were in radiology, of   |
| Reports               |   | radiology &    | • | Perceived impact of AI |   | those                   |
| (2021)                |   | radiation      |   | on the profession      |   | 5% have used AI in      |
|                       |   | oncology       | • | Expectations of        |   | practice                |
|                       | • | Australia &    |   | potential benefits and | • | Ophthalmologists most   |
|                       |   | New Zealand –  |   | disadvantages          |   | likely of specialties   |
|                       |   | national       | • | Preparedness for the   |   | included to use Al in   |
|                       | • | Jun-Aug 2019   |   | introduction of AI in  |   | practice (16%)          |
|                       | • | 632            |   | clinical practice      | • | Most common             |
|                       |   | respondents    |   |                        |   | perceived advantage     |
|                       |   | (9.4% of       |   |                        |   | was improved patient    |
|                       |   | invitees)      |   |                        |   | access to disease       |
|                       |   |                |   |                        |   | screening               |
|                       |   |                |   |                        | • | Most common             |
|                       |   |                |   |                        |   | perceived disadvantage  |
|                       |   |                |   |                        |   | was divestment of data  |
|                       |   |                |   |                        |   | to technology           |
|                       |   |                |   |                        |   | companies               |
|                       |   |                |   |                        | • | 14% felt adequately     |
|                       |   |                |   |                        |   | prepared for            |
|                       |   |                |   |                        |   | introduction of AI into |
|                       |   |                |   |                        |   | clinical practice       |
| Allen <sup>27</sup>   | • | Radiology      | • | Demographic            | • | 30% of respondents      |
|                       | • | US - national  |   | information            |   | using AI in practice    |

| J Am Coll                | • | Email to         | • | How they were using AI    | • | Large practices more    |
|--------------------------|---|------------------|---|---------------------------|---|-------------------------|
| Radiol (2021)            |   | members with     |   | as part of clinical work  |   | likely to use AI than   |
|                          |   | online survey    | • | Evaluate AI models in     |   | smaller ones            |
|                          | • | Apr-May 2020     |   | their practice            | • | Most common use was     |
|                          | • | 1427             |   |                           |   | for enhancing           |
|                          |   | respondents      |   |                           |   | interpretation          |
|                          |   | (7% of invitees) |   |                           | • | Of those not using AI,  |
|                          |   |                  |   |                           |   | 20% plan to in the next |
|                          |   |                  |   |                           |   | 5 years                 |
| MacCormick <sup>11</sup> | • | Radiology        | • | Whether and how often     | • | 55% of respondents      |
| Clinical                 | • | UK- regional     |   | they used radiology       |   | used radiology mobile   |
| Radiology                | • | Email with       |   | mobile Al                 |   | AI and 35% used this    |
| (2021)                   |   | online survey    | • | What devices they used    |   | daily                   |
|                          | • | Date unknown     |   | to access the AI          | • | Respondents             |
|                          | • | 88 respondents   | • | Awareness of and          |   | mentioned a total of 33 |
|                          |   | (58.6% of        |   | practices around          |   | phone apps which they   |
|                          |   | invitees)        |   | assessment of reliability |   | predominantly used on   |
|                          |   |                  |   |                           |   | their phones (53%)      |
|                          |   |                  |   |                           | • | 33% of respondents      |
|                          |   |                  |   |                           |   | assessed the AI for     |
|                          |   |                  |   |                           |   | reliability             |
| Rainey <sup>34</sup>     | • | Radiography      | • | Demographic               | • | 1% of respondents       |
| Front Digit              | • | UK - national    |   | information               |   | using AI in practice    |
| Health (2021)            |   |                  |   |                           | • | 80% respondents were    |
|                          |   |                  |   |                           |   | in diagnostic           |

|                        | • | Survey        | • | Perceived knowledge       |   | radiography, 20% in      |
|------------------------|---|---------------|---|---------------------------|---|--------------------------|
|                        | • | Survey        | • | r erceived knowledge,     |   |                          |
|                        |   | promoted on   |   | skills, and confidence in |   | radiotherapy             |
|                        |   | social media  |   | AI in radiography         | • | 79% of diagnostic and    |
|                        | • | Feb-Apr 2021  |   |                           |   | 52% radiotherapy felt    |
|                        | • | 411           |   |                           |   | they understood AI in    |
|                        |   | respondents   |   |                           |   | general                  |
|                        |   | (1.3% of      |   |                           | • | 57% of diagnostic and    |
|                        |   | workforce)    |   |                           |   | 49% radiotherapy felt    |
|                        |   |               |   |                           |   | not adequately trained   |
|                        |   |               |   |                           |   | to implement Al          |
|                        |   |               |   |                           |   | clinically               |
| Banerjee <sup>28</sup> | • | Postgraduate  | • | Demographic               | • | 2.4% of respondents      |
| BMC Med Ed             |   | doctors       |   | information               |   | were in radiology        |
| (2021)                 | • | UK – regional | • | Current use of AI and     | • | 16% of respondents       |
|                        | • | Email with    |   | exposure to Al            |   | using AI in practice     |
|                        |   | online survey | • | Perceived impact on AI    | • | 61% felt AI would        |
|                        | • | Oct-Dec 2021  |   | on training and           |   | reduce their workload    |
|                        | • | 210           |   | education                 | • | 59% felt AI will improve |
|                        |   | respondents   | • | Expectations of           |   | education and training   |
|                        |   |               |   | potential benefits and    | • | Radiology respondents    |
|                        |   |               |   | disadvantages             |   | had more AI positive     |
|                        |   |               |   |                           |   | perceptions than other   |
|                        |   |               |   |                           |   | specialties              |

| European                | Radiology      | Demographic                | • 40% of respondents      |
|-------------------------|----------------|----------------------------|---------------------------|
| Society of              | • EU           | information                | using AI in practice      |
| Radiology <sup>31</sup> | Email to       | • Experience with AI-      | • Most used in diagnostic |
| Insights                | members with   | based algorithms           | interpretation, image     |
| Imaging                 | online survey  | • Technical integration,   | post-processing, and      |
| (2022)                  | • Jan-Mar 2022 | confidence in diagnostic   | prioritisation of         |
|                         | • 690          | performance, quality       | workflow                  |
|                         | respondents    | control mechanisms         | • 76% considered the      |
|                         | (2.5% of       | Impact on workload         | results of algorithms to  |
|                         | invitees)      | • Intentions to use AI in  | be generally reliable     |
|                         |                | the future                 | • Minority (23%)          |
|                         |                |                            | experienced significant   |
|                         |                |                            | reduction in workload     |
| MONAI                   | • Radiology,   | Demographic                | Unpublished               |
| Deploy                  | medical        | information                |                           |
| Working                 | physics,       | • Priorities for clinic Al |                           |
| Group <sup>40</sup>     | radiography    | • Current use of AI        |                           |
| Unpublished             | International  | Challenges in AI           |                           |
|                         | Social media   | deployment                 |                           |
|                         | with online    | Quantifying imaging        |                           |
|                         | survey         | studies processed by AI    |                           |
|                         | • Mar 2022-    |                            |                           |
|                         | current        |                            |                           |
|                         |                |                            |                           |

147

148 The AI roadmap shows that in 2021 a total of 14 AI-apps were implemented in an NHS site, but limited

149 information on the deployment process is provided by the roadmap<sup>25</sup>. The Scottish registry of AI-app

150 deployment has currently identified 18 radiology AI-apps, which have been deployed or are in the 151 process of deployment on the basis of research (n=7) or clinical validation (n=11). No radiology Al-152 apps have been identified that are being used in routine clinical practice. Although the Scottish 153 initiative is encouraging, it may be that the scale of creating a UK-wide registry of radiology AI-app 154 deployment is a challenge. Nonetheless, the range of surveys demonstrate significant variation in 155 radiologists' attitudes, expectations and knowledge of AI-apps. However, there is limited evidence on 156 the scale of deployment of AI-apps, as well as their impact on patient outcomes and radiologists' 157 workloads. The benefits of such a registry should therefore be considered.

158

## 159 **Opportunities and challenges**

160 A registry for clinically deployed radiology AI-apps would provide many opportunities, but also comes 161 with challenges. The balance of opportunities and challenges is summarised in Table 2 below. In 162 relation to the opportunities, post-marketing surveillance would be enhanced by allowing 163 independent bodies to collect and analyse multi-site information concerning the experiences of 164 technical deployment and usage as well as any suspected safety concerns that could be linked to the 165 deployment or clinical use of a radiology AI-app. This would be a valuable addition to the MHRA's 166 voluntary yellow-card reporting system, not least because this system is not uniformly used by all 167 patients or app-users. Indeed, an app-related problem encountered at one site may not be recognised 168 at that site as being potentially a wider problem. The registry could also serve as a basis for audits, 169 helping bodies such as the Clinical Radiology Audit and Quality Improvement Committee of the Royal 170 College of Radiologists (RCR) to identify when and where an audit is needed and to coordinate the 171 audit. For example, if large numbers of a particular AI-app have been deployed, the audit committee 172 may wish to prioritise a national audit of the performance of this app. In the longer term, well-173 developed independent post-marketing surveillance and auditing contributes to the quality and safety 174 of radiology AI-apps, thereby assisting in the monitoring of patient safety.

175

Opportunities for research and evaluation could also flow from an AI-app deployment registry since this would reduce duplication and repetition in studies of radiology AI-apps, supporting more efficient allocation of the NHS's scarce resources. Multi-site research studies would also be easier to coordinate by using the information held in the registry. The potential of the registry to support research would increase as the registry matures and holds more data on the deployment and adoption of AI-apps in radiology.

182

One less tangible but nonetheless valuable opportunity of a deployment registry would derive from connecting the individuals involved in similar AI projects<sup>41</sup>. Building such links facilitates the emergence of communities of practice and the sharing of tacit knowledge and best practice which may prove invaluable to the successful adoption of radiology AI-apps<sup>42</sup>. In addition, the spread of the most valued apps might be boosted by the registry by enabling greater awareness and knowledge of their use in the radiology community<sup>43</sup>.

189

All the aforementioned opportunities contribute to increased transparency around the deployment of radiology AI-apps, which in turn can contribute to public and practitioner trust in the quality and safety of the applications<sup>14</sup>. This transparency is dependent on the availability and accessibility of the data held in the registry. Public availability of data, though risking frictions around commercial sensitivity and confidentiality, would undoubtedly enhance transparency. To fulfil this potential, however, it is key that the registry remains up-to-date, complete and accessible. This may be particularly challenging to achieve if inclusion of deployed apps on the registry is voluntary.

197

In identifying the challenges of developing a registry that is fit for purpose, we were conscious of the additional burdens of collecting, curating and storing data that might be placed on different stakeholder groups. One concern, for example, would be to prevent over-regulation of AI deployment. There is a risk that keeping the registry up-to-date is seen as an onerous undertaking due to

administrative time and costs, particularly in the early stages of setting up the registry when the benefits may not be instantly available. If mandatory, the administration of adding each site to the registry could take too much time from stakeholders in an already pressured healthcare system such as the NHS and this could potentially hamper the implementation of AI-apps altogether<sup>44</sup>. Conversely, if inclusion on the registry is voluntary, the information would be incomplete, thereby decreasing, though not negating, its reliability and value as a representation of current practice<sup>24,44</sup>.

208

|                        | Opportunities                  | Challenges             |
|------------------------|--------------------------------|------------------------|
| Surveillance and audit | Post-market surveillance       | Over-regulation of AI  |
|                        | can be conducted by an         | deployment             |
|                        | independent body               |                        |
|                        | • Possibility to audit by the  |                        |
|                        | RCR audit committee            |                        |
|                        | Possibility to monitor         |                        |
|                        | patient safety                 |                        |
| Efficiency and cost-   | Improved use of resources      | Administrative costs   |
| effectiveness          |                                |                        |
|                        | Shared learning from           |                        |
|                        | deployments                    |                        |
|                        | • Greater awareness of Al-     |                        |
|                        | apps                           |                        |
| Research               | • Possibility for (multi-site) | Incomplete data if the |
|                        | research                       | registry is voluntary  |

209 **Table 2.** Opportunities and challenges of a registry for deployed radiology AI-apps.

Trust

•

and trust

210

#### 211 Critical success factors

212 As the development of a national registry is resource intensive and complex, it will inevitably require the construction of a detailed business case. Although this task is beyond the scope of this 213 214 commentary, we will discuss a few critical success factors relevant to such a business case. A first such 215 factor is the engagement of stakeholders, such as manufacturers, purchasers, radiologists, and 216 patients<sup>16</sup>. Registries such as the BCIR teach us that stakeholders can provide invaluable clinical input, 217 advice on the content of the registry, the way the registry can contribute to governance, and the presentation of outputs coming from the registry<sup>22</sup>. For the radiology AI-apps registry, this suggests 218 219 that there is a valuable role to be played by professional networks such as the Royal College of 220 Radiologists (RCR) in reaching out to, and engaging, its members, as the end-users of these AI-based 221 innovations. Industry would also need to be fully engaged as essential stakeholders and the registry 222 would need to work in tandem with the MHRA.

223

All stakeholders would need to make critical decisions on key issues in the design and scope of the registry, such as its key purpose, the domain it focuses on and the type of radiology AI-apps that will be included in the registry. Designing the registry appropriately in terms of scope and data collection is crucial to ensuring that it is usable and adds value over and above the time and resources required to build and maintain it. This is especially the case since any subsequent changes to the initial design may be costly to implement. A pilot is likely to be an important first step, and the Scottish registry could be used as an initial template.

231

Regarding purpose, while the registry may have multiple purposes, it is important to establish its
primary purpose, be this patient safety, resource efficiency, or learning capture as well as targeting

the primary audience, such as professionals, healthcare providers, industry or regulators. The overarching purpose will inform not only what data are collected in the registry, but also how this data will be used and by whom.

237

Another important scoping decision relates to the choice of domain, including, as follows: the research domain (where AI-apps are subjected to initial research and not used in clinical practice), the clinical evaluation domain (where an AI-apps are subjected to an evaluation of their performance when used as intended; usually part of pre-market surveillance), or the clinical use domain (where AI-apps are implemented in clinical practice and its use evaluated continuously; usually part of post-market surveillance).

244

245 Regarding the scope of the registry, the decision on the type of radiology AI-apps for inclusion is also 246 crucial. Although Al-apps come in many shapes and forms, we can roughly distinguish between 247 software-based applications (e.g. medical image analysis) and hardware-based AI, such as medical 248 devices augmented with Al<sup>45</sup>. The aims of these two types of Al-apps potentially overlap (e.g. to 249 increase early diagnosis of disease and improve decision-making processes in healthcare), but when 250 it comes to building a registry, the different types of applications would pose significantly different 251 challenges in terms of scale, data collection and maintenance. Hardware-based AI, for example, 252 proliferates much more widely in healthcare and is more difficult to monitor as it is embedded within 253 devices rather than identified as a discrete entity. This form of AI would therefore likely not be the 254 focus of a deployment registry.

255

A final consideration is how to demonstrate the value of a registry. Periodic evaluation of the functionality and use of the registry is crucial to making sure the registry is up-to-date and continuously meets the need of stakeholders and users.

259

260 Once stakeholders agree on the design and scope of the registry, resources will need to be mobilized 261 to realize it. These include ICT-support to digitalise and embed the registry in a secure platform and 262 financial support, especially to maintain the registry long-term. Finally, clear ownership and leadership 263 are important as it clarifies who is responsible for the maintenance and monitoring of the registry. 264 Once the registry is ready to go live, the RCR can play an invaluable role in creating awareness of the 265 registry amongst its membership and in approaching those members who are working with AI in 266 radiology themselves or know of colleagues in their networks who do so.

267

## 268 **Conclusion**

269 Since radiology AI-apps are evolving and spreading rapidly, there is a need for a UK-wide registry to 270 track the deployment of these applications. As we have shown, smaller-scale initiatives as well as 271 national registries for non-AI medical innovations can provide useful models. In conclusion, however, 272 it is also important to stress the urgency of the case for a deployment registry. A critical window of 273 opportunity currently exists whereby the design and scope of such a registry could be trialled and 274 tested with limited resources around currently localized clusters of activity. One important lesson 275 from examples such as the NJR and BCIR highlighted above is that early development of a registry is 276 key to patient safety outcomes. Stakeholders can and should play a key role in decisions on the 277 purpose, design, and scope of the registry to ensure its functionality and usability. Such a registry could 278 then provide the robust platform required when AI-apps become much more widely spread across 279 clinical practice; the point at which tracking their deployment becomes both immensely more 280 challenging and immensely more important to patients and the NHS in general.

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