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Compressive behaviors of corner-supported modular steel sway frames with rotary inter-modular connections

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9 Abstract: Corner-supported modular steel sway frames (CMSFs) with rotary inter-10 modular connections (IMCs) differed from traditional frames regarding their column discontinuities, beam groupings, and unique intra- and inter-modular connections, 11 12 necessitating the investigation into their compressive performance to guarantee their 13 safe and reliable application. This study investigated the compressive behavior of 14 CMSFs with rotary IMCs using experimental tests, numerical modeling, and theoretical analysis. Three compression tests were conducted on sub-assembled CMSFs, 15 16 considering varying floor and ceiling beam stiffnesses. The results showed that all 17 frames experienced lateral sway, with upper columns at lower regions undergoing 18 inward or outward elastic and plastic local buckling. RS1 (RS2) demonstrated 12% (3%) 19 higher strength than RS3, and stiffness increased by 2% for RS1 compared to RS3. Pre-20 and post-ultimate ductility of RS3 was 3% (13%) and 20% (37%) greater than RS1 21 (RS2), indicating that increased rigidity with thicker beams enhanced strength and 22 stiffness but resulted in reduced CMSFs' ductility. A finite element model (FEM) was 23 generated, and its accuracy was verified using experimental load-shortening and failure 24 outcomes, revealing an average prediction error of 0.3%, 9.1%, and 8.5% for 25 compressive resistance, stiffness, and ductility index, respectively. Based on validated 26 FEMs, a parametric study was conducted on 77 CMSFs to investigate the effects of 27 varying beam and column sizes, lengths, beam gaps, and connecting plate thicknesses 28 on compressive resistance, stiffness, and pre-and post-ultimate ductilities. Increasing

29 column and beam sizes from 150 to 200 mm and thicknesses from 6 to 8 mm enhanced 30 strength and stiffness by up to 123% (55%) and 46% (10%), with pre-and post-ultimate 31 ductility growing by 16% (113%) and 15% (19%). However, lengthening them from 32 0.6 to 1.2 and 3 m decreased CMSFs' strength (stiffness) by up to 37% (5%) and 65% 33 (71%), with no IMC failure. The sub-assembled CMSFs' buckling load was evaluated 34 using theoretical models, considering members' stiffnesses and rotary IMC as pinned 35 and semi-rigid. The average theory-to-FEM buckling load for pinned and semi-rigid 36 IMC was 0.70 and 0.96, indicating that both models were conservative. However, 37 considering IMC's rotational stiffness provided less scattering and a more realistic 38 depiction of the CMSFs' buckling behavior than the pinned model. The study's findings 39 and the accuracy of theoretical buckling models ensured they could conservatively 40 design CMSFs under compressive loadings while considering their uniquenesses. 41 Keywords: Compressive behaviors; Rotary inter-modular connections; Corner-

41 **Reywords.** Compressive behaviors, Rotary inter-modular connections, Corner 42 supported modular steel sway frames; Sub-assembled frame testing; Finite element
 43 parametric analysis; Theoretical buckling load models

45 **1 Introduction**

Modular steel buildings (MSBs) utilize prefabricated modules interconnected with 46 47 inter-modular connections (IMCs) to create efficient, high-quality, safe, and ecofriendly structures [1,2]. They have emerged as successful alternatives to traditional 48 49 steel buildings (TSBs) for various projects, such as COVID-19 hospitals [3], 29-story 50 SOHO and Apex [4], and 32-story B2 towers [5]. The assembly, structural stability, 51 and performance of MSBs rely significantly on intra- and inter-modular connections. 52 Beam-column intra-modular connections are typically welded joints due to their higher 53 capacity than fin-plate or bolted connections [2]. Meanwhile, IMCs transmit forces 54 between modules [6]. Considerable investigation has been carried out on IMC designs 55 and their mechanical performance under various loading conditions, leading to a 56 comprehensive understanding of their advantages and limitations [4,5,7–11].

57 Corner-supported modular steel units in MSBs provide a distinct load transfer path and 58 superior offsite prefabrication compared to other modules [12,13]. Corner-supported 59 modular steel sway frames (CMSFs), or non-sway frames, have been the primary focus 60 of IMC research, with CMSFs capable of replicating nonlinear P-Delta effects, leading 61 to significant bending moments in intra- and inter-modular connections and distinct 62 structural responses compared to non-sway frames [14]. Extensive research has been 63 conducted on tensile [15–18], shear [17,19–24], bending [21,25,26], and seismic performance [16,27-35] of welded [36-40], bolted [2,26,27,41-47], shear-keyed 64 [24,29,54-63,34,64-71,35,48-53], pre- and post-tensioned [19,20,25,28,31,67,72,73], 65 66 and automatic [16,18,23,30,50,74–76] IMCs. Depending on load capacity, resilience, 67 installation convenience, and disassembly, each IMC type has pros and cons. For 68 instance, welded IMCs are durable but susceptible to weld fractures or stress 69 concentration [28]. Bolted IMCs are ductile but less resilient than welded joints and 70 require member openings, making them prone to gradual loosening or failure [7]. 71 Shear-keyed IMCs are stiff and effective against lateral loads, but they can cause 72 column shear stresses and require careful installation [51,77]. Pre- and post-tensioned 73 IMCs are strong but may experience tension relaxation issues over time and require 74 specialized equipment and expertise for installation [7,17]. Automatic IMCs are 75 resilient and self-tightening but require high precision and are complex to install [30]. 76 Besides, the low rotational stiffness of welded or cover-plate bolted IMCs hinders them 77 from being used in high-rise MSB or earthquake-prone regions [33]. The inability to 78 disassemble these IMCs prevents MSB reuse [15]. Rotary IMC eliminates the need for 79 on-site welding or member opening, allowing for fast disassembly and the choice of 80 alternative cross-sectional forms [2,17,26,41,42,78]. The complex design of rotary IMC 81 demands specialized skills for precise alignment and compatibility with other modules, 82 as well as load distribution, durability, and compliance with existing structures and 83 design standards, all of which can affect the compressive behavior, stiffness, capacity, 84 and ductility of the CMSFs depicted in Figs. 1 and 2. Understanding these factors is 85 crucial for delivering secure and sustainable MSBs [2,26,41,42].

86 Despite extensive research on MSBs under various loads, existing practices, such as 87 alignment charts [79] and simplified equations [80], may not accurately apply to the 88 compressive design of CMSFs due to the semi-rigidity of IMCs, distinguishing them 89 from regular frame systems in TSBs [81]. In MSBs, IMCs generate discontinuities and 90 groupings of columns, beams, and IMCs, unlike traditional continuous columns 91 attached to beams [82–84]. This distinction can lead to non-conservative or excessively 92 conservative designs, posing stability risks for MSBs [85]. While conventional columns 93 have been extensively studied, the compressive behavior of MSBs requires more 94 attention. Some compressive tests on multi-column walls assumed homogeneous load

95 distribution and neglected the impact of adjacent modular units, IMCs, and complex 96 joint zones [86–90]. Other studies developed theoretical buckling load models for the 97 conservative design of single and grouped columns with shear-keyed IMCs but did not 98 consider IMCs between adjacent modules [58,63,77,91,92]. A simplified analysis 99 approach to compute column buckling length was proposed by Zhang [93], but its 100 applicability to multi-story MSB columns is unclear. Several focused on stubs or non-101 sway braced frames with specialized members or IMCs [15,91,92,94–96], but they did 102 not account for the secondary moment effect, limiting their practicality for standard 103 MSBs [97]. Sway and non-sway frames with corner IMCs have been examined by Li 104 et al. [98,99], Farajian et al. [81], Zhai et al. [100], and Wang and Su [101], providing 105 alignment charts for columns K-factors and simplified formulas following French rules 106 [102]. However, these studies lacked experimental support for specific types of IMCs, 107 ignored the rotational stiffness of vertical and horizontal IMCs and joint design, and 108 relied on limited data for fitting, necessitating more precise and straightforward 109 methodologies [100]. Moreover, some studies classified connections' strength and 110 stiffness responses, gave design recommendations, and validated proposed systems, but 111 they disregarded nonlinear analyses and structural post-buckling behavior under 112 multiple limit states [103-105]. Since simplified connections and models cannot 113 accurately determine the structural mechanism, MSB buckling length, and load 114 calculations, they may produce inappropriate values [106]. Assuming rotary IMCs to 115 be either pinned [38,107,108] or rigid [109] in CMSFs, like those used in TSBs 116 [110,111], could lead to inaccurate predictions of the compressive response [15].

A comprehensive examination of the compressive behavior of CMSFs considering the *P*-Delta effect, the relative stiffness of module members and IMCs was needed to
develop accurate finite element models (FEMs) for replicating the actual behavior of

120 CMSFs [2,9,82-84,112]. Such analyses were required to focus on the nonlinear 121 behavior of CMSFs. Thus, compressive testing, accurate modeling, analysis, and design 122 were necessary to conservatively evaluate buckling load from semi-rigid to pinned 123 boundaries, eliminating the need for charts to address the shortcomings and ensure the 124 stability, integrity, and resilience of MSBs. Considering the compressive performance 125 of these systems, specific types of IMCs [14], and the stability-relevant mechanical 126 properties of IMCs [2], global stability and reliable design approaches needed to be 127 investigated [106]. The objective of the current study was to contribute to this area by 128 exploring the compressive behavior of CMSFs using rotary IMCs, as outlined in [26]. 129 Three subassemblies representing framed exterior modules resembling sway frames 130 were tested under compressive load [14]. Validated FEMs were used to evaluate the 131 effects of parameter variation. The experimental and FEM results corroborated 132 theoretical models assuming semi-rigid and pinned IMCs, enabling conservative 133 predictions of the buckling loads of sub-assembled CMSFs and facilitating the design 134 of cost-effective, secure, and sustainable MSBs.



IMC in laboratory

First formally approved MSB in China, Ziya Shanglinyuan, Tianjin, China Fig. 1 Application of CMSFs with rotary IMC patent [113] in authors' designed MSB [114]

135



Rotary IMC installation procedure in corner-supported MSBs (Prototype & Tests)
 Fig. 2 Schematic diagram of assembling of CMSF with rotary IMC (IMC's components design details and specifics based on [2,26,41,42,78])

141 **2** Compression tests on CMSFs with rotary IMCs

142 Compressive testing of sub-assembled CMSFs with rotary IMCs replicated the exterior
143 sway frame, examining critical compression stresses that cause stability loss and initiate
144 buckling.

145 **2.1** Specimens design

Fig. 1 showed the application of rotary IMC modules in 5-story Ziya Shanglinyuan 146 147 MSBs with dimensions of $8.5 \times 3.0 \times 3.0$ m and $6.7 \times 3.0 \times 3.0$ m [2.17.26.41.42.78] per 148 GB50017-2017 [115], serving as a prototype for this study's engineering context and 149 IMC designs. The IMC consisted of four components: a threaded nut, cover plate, 150 connecting plate, and threaded bolt, whose installation procedures were depicted in Fig. 151 2. To examine compressive behavior and failure response in CMSFs, three sub-152 assembled exterior frames were designed, each incorporating unique roller supports on 153 beam ends [14]. The objective of the testing was to gather empirical data for FEM 154 validation, followed by parametric and theoretical research to develop buckling load models that incorporate rotary IMCs. The current study adopted sub-assembled 155 156 specimens, so the outcomes were related to those in full-frame [14,16,28–31,116]. The 157 lengths of columns and beams were determined using mid-length inflection points, 158 which comprised half of their total lengths. While the semi-rigid behavior of rotary IMCs could affect the CMSF's inflection point, the specimen's design employed 159 160 simplified pinned-ended assumptions, ignoring IMC rotational rigidity to achieve 161 conservative results by underestimating capacity and stiffness. This offered a 162 comprehensive understanding of the relationship between assumptions and actual 163 behavior, highlighting the significance of joint rigidity when analyzing the compressive 164 behavior of CMSF [14]. The welding seam for the columns and beams was located in 165 the middle of the section, resulting in a butt joint created with groove welding. 166 Following the prototype project, a 74 mm gap was maintained between floor beams 167 (FBs) and ceiling beams (CBs) to allow for services [14].

168 **2.2** Specimens geometry

169 Fig. 3(a,b) and Table 1 presented specimen geometry and component design details, 170 while the IMC components' design details were from previous works [2,26,41,42,78], 171 as depicted in **Fig. 3**(c). Due to the primary load-bearing member, the flexural stiffness 172 of FBs was maintained higher than that of CBs; therefore, the cross-sectional depth of 173 FBs (B_{FB}) was greater than that of CBs (B_{CB}) . RS1 selected a thicker FB than CB, 174 whereas RS2 and RS3 selected similar thicknesses [116]. The thickness of the ceiling 175 beam (t_{CB}) was increased to 8 mm in RS2, and the thickness of the floor beam (t_{FB}) was 176 decreased to 6 mm in RS3 relative to RS1. Various t_{FB} and t_{CB} were considered to 177 examine the effect of beam and intra-modular connections on the compressive 178 behaviors of CMSFs. All specimens were prepared with identical heights and widths of 179 3375 and 1568 mm while maintaining the clear height and length of the upper and lower 180 columns (L_c) at 1266 mm, and the floor (L_{FB}) and ceiling (L_{CB}) beams at 1192 mm. Adopted was the same $200 \times 200 \times 8$ mm column measuring 200 mm in length (D_c), 200 181

182 mm in width (B_c) , and 8 mm in thickness (t_c) . Cross-section width (D_{FB}) and depth (B_{FB}) 183 of 150 and 200 mm for FBs and width (D_{CB}) and depth (B_{CB}) of 150 mm for CBs were 184 selected. Meanwhile, members' cross-section sizes, columns height, and beam lengths 185 $(L_c, L_{FB}, \text{ and } L_{CB})$ were maintained.









189 190

Fig. 3 Details of tested sub-assembled CMSFs with rotary IMCs

191 2.3 Material properties

GB/T228.1-2010 [117] was used to design steel coupons from the same material to analyze test results and generate FEM. Thickness measurements of 15 coupons, three for each of the five cross-sectional sizes of members, showed variations that notably affected strength, ductility, failure modes, and yield plateau, while stiffness remained constant. The mean values of the obtained parameters and thicknesses are detailed in **Table 1**. Additionally, **Fig. 4(a~f)** illustrates the test setup, failure modes, and tensile stress-strain curves of the coupons.



10



202 **2.4 Test setup**

203 Fig. 5(a,b) depicts the CMSF's compression testing setup. The specimens' upper and 204 lower frame skeletons were connected with rotary IMC on the ground, as illustrated in 205 Fig. 2, before being mounted on the setup following [2,17,26,41,42,78]. A 300t vertical 206 hydraulic jack applied compressive force to the upper columns. Column roller supports 207 were positioned above the jack to facilitate lateral movement of the jack and specimen, 208 maintaining compressive force even during specimen shortening and lateral deflection. 209 The jack's base was secured to a load sensor using a plate and threaded bolts to record 210 reaction forces. The load sensor's top and bottom ends were anchored with a jack and 211 knife-edge support through threaded bolts welded onto plates. The knife-edge support 212 was welded to the bottom end of the plate to maintain flat support, transferring load 213 instantly to the upper column while allowing rotation. This support enabled in-plane 214 rotation while restricting out-of-plane rotation. On the ends of beams, roller supports 215 were devised and installed to limit vertical translation while allowing lateral translation 216 and in-plane rotation. At the lower column's base, a pin cell provided hinged support,

217 preventing in- and out-of-plane translation while allowing in-plane rotation. As a 218 standard for column-end loadings, a similar testing technique was recommended in [14], 219 as seen in [2,28,29,31,34,41,42,78,118]. A laser level was used to verify the specimen 220 and load setup's straightness. Afterward, the jack was slightly pressed to maintain 221 vertical alignment, measuring devices were attached, and formal testing was initiated. 222 The loading was subdivided into preloading and formal loading, as per GB/T50344-223 2019 [119]. The measuring devices' accuracy was confirmed by applying a $0.2P_u$ 224 (CMSF's ultimate compressive resistance) preload. Specimens were held for two 225 minutes after reaching preload before being completely unloaded for another two 226 minutes, as depicted in Fig. 5(c) [120,121]. A combination of force and displacement-227 controlled loadings was adopted, suitable for structures with unpredictable yield 228 displacements [122]. After a force loading till yielding, displacement loading of 0.05 229 mm/min was used until it dropped to 85% of P_u [123]. Once the load-shortening curves 230 entered the nonlinear phase, displacement loading was initiated, determined by reaction 231 forces registered by the load sensor and vertical shortening by LVDT.

232 Strain gauges assess deformation and force transfer mechanisms [124]. As depicted in 233 Fig. 6(a~c), strain gauges were mounted on upper columns (UCs), lower columns (LCs), 234 FBs, CBs, and upper and lower corner fittings to evaluate local elastic or plastic 235 buckling if it happened before or after material's yield [125]. At least one strain gauge 236 was placed in potentially buckling-prone locations to ensure accurate assessment. 237 Columns were susceptible to in- and out-of-plane local buckling near IMC; strain 238 gauges on columns and corner fittings were positioned vertically along the height. 239 Beams were allowed to rotate, and due to significant stress near the intra- and inter-240 modular connections, strain gauges were placed up to 200 mm. While the FB and CB 241 gap was small for working, no strain gauge was employed there. On RS1, there were

47, while on RS2 and RS3, there were 56 strain gauges. As illustrated in Fig. 6(d), eight
horizontal linear variable differential transducers (LVDTs) were positioned vertically
on LCs, UCs, and corner fittings identified by H1-H8 to measure the degree of lateral
deflection, translation, sway, or buckling. A vertical LVDT V3 was mounted on a jackfixed knife-edge support to assess the specimens' end-shortening. Similarly, V1 and V2
measured the vertical deflection of CBs and FBs near IMC.



249 (c) Loading protocol
 250 Fig. 5 Generalized compression testing setup of sub-assembled CMSFs with rotary

- 251 IMCs. (1-Reaction frame; 2-Reaction beam; 3-Column roller support; 4; Vertical
- 252 hydraulic jack; 5-Load sensor; 6-Knife-edge support; 7; CMSFs specimen with rotary
- 253 IMCs; 8-Beams roller support; 9-Hinged support; 10-Pedestal; 11-Anchor bolt holes)



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257

Experiment outcomes

258 3.1 **Failure modes**

259 Fig. 7(a~c) demonstrates the CMSF failure mechanisms detected in RS1, RS2, and RS3. 260 As the lateral sway (Δ_c) indicated, all CMSFs exhibited in-plane translation, controlled 261 by the horizontal translation of floor and ceiling beams, leading to frame instability in 262 the same direction. While all CMSFs experienced the same failure, RS1 and RS3 263 swayed in the direction of beams due to thinner beams compared to columns, but RS2 264 exhibited failure in the opposite direction because beams and columns had the same thickness, preventing significant movement towards the beams. The CMSFs displayed 265 266 distinct translations when subjected to compressive loads, particularly in the FBs and

267 CBs. As the applied load increased, lateral translation became more pronounced. While 268 both beams moved in the same direction, there were noticeable variations, especially in 269 the deflection of FBs. For instance, the deflection of FBs increased by 12% in RS1, 16% 270 in RS2, and 15% in RS3. This indicates a significant increase in the in-plane translation 271 of the CMSFs, especially with local column buckling. Additionally, the CB and FB 272 translations were measured at nearly 16 mm and 18 mm in RS1, but these decreased by 273 33% and 31% in RS2 and increased to 79% and 81% for RS3. This suggests that the beams in RS3 demonstrated a more pronounced lateral movement than those in RS1 274 275 and RS2, highlighting the significant influence of the beams' flexural rigidity on the 276 failure position by affecting stress propagation, translation, and rotation. Moreover, 277 non-rigid constraints permitted the upper and lower frame skeleton's slight gapping and 278 rotation around the IMC. When local buckling occurred in the UC, the CMSF suffered 279 an abrupt rise in in-plane translation. Because the CMSF could not sustain or transfer 280 additional loads after buckling, the loading was halted for safety concerns. Local inward 281 and outward buckling (IB/OB) at the base of the UC towards the IMC was the primary 282 cause of failure. It occurred at a distance of 50-100 mm for RS1 and RS2 while 50-200 283 mm for RS3 from the edge of the corner fitting, confirmed by the greater strain values 284 in the UCs' lower regions. Buckling on the UCs bending side was more apparent than 285 on the opposite. Similar failure modes and their concentration on column faces adjacent 286 to column bending sides supported the absence of out-of-plane translation and rotation, 287 indicating compressive behavior was controlled in-plane. Rotary IMC transmitted force 288 to other members without localized failure, ensuring CMSF's safety and integrity. As 289 shown in **Fig. 11(a~c)**, comparing yield strain showed no location on beams or corner 290 fittings yielded or buckled before achieving CMSF's ultimate compressive resistance. 291 Similarly, most regions on the LCs did not yield; however, several areas on the UCs

- did yield, indicating that the upper column was the primary load carrier. Except for
 portions in or opposite bending directions revealing local elastic buckling, other regions
- of UCs exhibited local plastic buckling in CMSFs.



295



(b) RS2 specimen



Fig. 7 Failure modes of CMSF under compression (IB/OB, inward/outward buckling)

Table	1 Desig	gn deta	ils and	compari	son of c	ompr	essior	tests a	and Fl	EMs	of C	CMSF	s with ro	otary IMC
Item	D_{FB}	B_{FB}	t_{FB}	L_{FB}	D_{CB}	B_{CB}	t_{CI}	$_{B}$ L_{C}	$C_B P_1$	ı.Test	K _e	.Test	$\Delta_{u.Test}$	DI _{Test}
	(mm)	(mm)	(mm)	(m)	(mm)	(mm)	(mn	n) (n	1) (k	(N)	(kN	/mm)	(mm)	(Ratio)
RS1	150	200	8	1.2	150	150	6	1.	2 13	397	3	43	6.1	1.8
RS2	150	200	8	1.2	150	150	8	1.	2 12	279	3	21	5.4	1.4
RS3	150	200	6	1.2	150	150	6	1.	2 12	244	3	39	6.2	2.3
Item	$P_{u,Test}$	$P_{u,FE}$	P _{u,Test}	$K_{e,Test}$	K _{e,F}	Έ	K _{e,Test}	$\Delta_{u,Test}$	$\Delta_{u,FE}$	Δ_u	,Test	DI_{Test}	DI_{FE}	DI _{Test}
	(kN)	(kN)	$P_{u,FE}$	(kN/mm) (kN/n	nm)	K _{e,Test}	(mm)	(mm)	Δ_{n}	ı,FE	(Ratio)	(Ratio) $\overline{DI_{FE}}$
RS1	1397	1403	1.00	343	413	3	0.83	6.1	4.0	1.:	53	1.8	2.0	0.94
RS2	1279	1256	1.02	321	342	2	0.94	5.4	4.6	1.	17	1.4	2.0	0.70
RS3	1244	1251	0.99	339	354	1	0.96	6.2	4.6	1.	34	2.3	2.1	1.10
Mean			1.00				0.91			1.	35			0.91
Cov			0.01				0.06			0.	11			0.18
Ι	ltem	Ler	igth V	Width 7	Thickness	f_{2}	V	f_u	δ	E	s			
		(m	m)	(mm)	(mm)	(MI	Pa) (I	MPa)	(%)	(G)	Pa)			
Be	eam-1	1.	50	150	6(5.37)	29	98	395	22.2	20)1			
Be	eam-2	15	50	150	8(7.33)	32	21	439	23.6	20)9			
Be	eam-3	15	50	200	6(5.54)	34	4	468	24.9	20)8			
Be	eam-4	15	50	200	8(7.30)	34	2	455	23.5	21	10			
Co	olumn	20	00	200	8(7.34)	38	30	434	22.7	20)6			
Corner fittings ¹		1 .	-	- 1	6(15.80)	35	51	518	23.0	19	98			
IMC	$(ii, iii)^1$	-	-	-	-	36	50	580	34.0	20)6			
IMC	$C(i, iv)^1$		-	-	-	36	50	610	16.0	20)6			

 D_{FB} , B_{FB} , t_{FB} , L_{FB} ; D_{CB} , B_{CB} , t_{CB} , L_{CB} ; $P_{u, Test}$ ($P_{u, FE}$), $K_{e, Test}$ ($K_{e, FE}$), $\Delta_{u, Test}$ ($\Delta_{u, FE}$), DI_{Test} (DI_{FE}); and f_y , f_u , δ , E_s represent the floor and ceiling beam's width, depth, thickness, length; ultimate compressive resistance, initial stiffness, ultimate shortening, post-ultimate ductility index via test (FEM); material yield strength, ultimate strength, percentage elongation, elastic modulus—Note:¹ Material properties obtained from [2]. The thickness values in the bracket represent the average measured thickness.

299 **3.2 Load-shortening curves**

300 Fig. 17(a-c) demonstrates the CMSFs' load-shortening (*P*- Δ) curves, while Fig. 17(f) 301 shows their generalized behavior, implying that all illustrations displayed elastic (I), 302 inelastic (II), and recession (III). 'P' represents the compressive load, while ' Δ ' indicates 303 the shortening. The recession is a stage after the ultimate stage defined by a succeeding 304 trough with a sharp decline in the load-carrying capacity that may extend to larger 305 shortening [126–132]. These curves determine P_u , ultimate shortening (Δ_u), initial 306 stiffness (K_e) , and ductility index (DI) [133,134]. The load grows linearly with 307 shortening during stage I until the yield strength (P_y) is achieved. At the transition, the 308 increase in capacity is characterized by a decrease in the stiffness of curves because of 309 exceeding the bending stresses at UCs' multiple locations. During stage II, from P_y until 310 P_u , the curves acquire a parabolic shape; concurrently, local buckling appears on the 311 UCs as an inward and outward pattern as the bending and P-Delta effect intensifies. 312 RS1 (RS2)'s P_u values are 12% (3%) higher than RS3. Compared to RS3, K_e shows 313 marginal fluctuation by rising to 2% for RS1. It indicates that increased rigidity with 314 thicker beams enhances strength and stiffness. Meantime, as determined by strain 315 values, local elastic buckling is found mainly in the bending direction, owing to bending 316 stresses and P-Delta effects that appear to reduce column stiffness relative to other sides. 317 Δ_{μ} of RS3 is 3% (13%) greater than RS1 (RS2) due to the thinner beams, with RS2 318 having the lowest value due to the thickest beams. Thicker beams reduce buckling strain 319 and premature instability, diminishing CMSFs' ductility. Stage III is marked by 320 decreased capacity, sharp deflection increase, and severe local buckling. Similarly, the 321 DI can be compared at the post-ultimate stage. The DI represents the capacity of frame 322 columns to undergo plastic deformation beyond the ultimate load, providing insight 323 into their post-peak deformation capacity, structural stability, and the potential for

324 design improvements and strengthening techniques [77,91,92,123,133]. RS3 has more 325 excellent post-ultimate ductility of 20% (37%) and a better recession stage than RS1 326 (RS2), indicating that stiffer beams enable plastic deformation and improve the 327 structure's ductility index by limiting stress transmission to other components.

328 3.3

Load-strain curves

Figs. 8(a~d), 9(a~h), and 10(a~h) depict load-strain curves and magnitudes on UCs 329 330 and LCs, highlighting the strain amount, yield strain, and local buckling sites, while 331 Fig. 11(a~c) shows the part-wise maximum absolute strain distribution on corner 332 fittings, UCs, LCs, FBs, and CBs. The curves exhibit linear, nonlinear, and recession 333 sections. As load increases, stress rises until local buckling is indicated by inversion, 334 overturning, or abrupt decline in strain curves with exceptionally high values. Curves 335 overturning before or near yield strain signify stresses below the yield strength, causing 336 elastic buckling. Conversely, plastic buckling occurs with stresses surpassing yield. 337 Post-yield overturning curves during the recession phase indicate severe local plastic 338 buckling. Inward and outward buckling occurs in the upper column's lower region, 339 while other members lack buckling or yielding, as indicated by lower strain values in 340 upper and lower corner fittings, highlighting the absence of localized deformation in 341 rotary IMCs. For instance, locations 2, 19, 20, 30, 43, and 44 in RS1, locations 2, 3, 24, 342 36, 50, 51, and 52 in RS2, and locations 2, 3, 4, 23, 24, 25, 34, 35, 46, and 47 in RS3 343 demonstrate the existence of local buckling on each face of UCs lower regions, which 344 exhibits elastic and plastic local buckling. Portions of UCs experiencing bending 345 stresses in or opposite directions of beams display elastic buckling. In contrast, adjacent 346 sides of UCs not exposed to bending undergo plastic buckling. For instance, 19, 20, 43, 347 and 44 in RS1, 50, 51, and 52 in RS2, and 23, 24, and 25 in RS3 display local elastic 348 buckling, suggesting that bending and the *P*-Delta effect prevent UCs and other

349 members from yielding fully. This reveals that the CMSFs' instability is mainly driven



by geometric instability, as opposed to IMCs or members' strength failure.



Fig. 9 Load-strain curves at columns of RS2







(a) Upper and lower columns



(c) Floor and ceiling beams

Fig. 11 Part-wise maximum absolute strain distribution

361 **3.4 Load-deflection curves**

362 Fig. 12(a~f) shows the load-deflection curves where the compressive load is denoted by P, and δ signifies the lateral deflection of the columns and the vertical and lateral 363 364 deflections of the beams. They operate with linear and nonlinear stages, with a lengthy recession phase followed by a curve drop. This is because the failure of CMSFs is 365 366 limited to bending and local buckling of UCs with sway. While the load increases, the deflection also rises, stabilizing when the ultimate capacity is reached, followed by a 367 368 pause in load but deflection increments. The orderly increase in lateral deflection from 369 LCs to UCs indicates the presence of CMSF sway, denoted by Δ_c . Simultaneously, the 370 maximum deflection at the top of the UCs suggests CMSFs' instability due to local and global failure. Alternatively, non-identical deflections of FBs and CBs in vertical and 371 372 lateral directions and their difference imply a degree of relative rotation between the 373 upper and lower frame skeleton at IMCs, which cannot be simulated as rigid or pinned 374 [30]. The varied stiffness decrement of each curve reveals that members act differently 375 with the secondary moment amount they experience. RS3 exhibited a more notable 376 nonlinear stage followed by more significant deflection than RS1 and RS2, indicating 377 that less rigid beams translate more, enhancing CMSF flexibility and force distribution 378 among members. The deflection curve supports test failure modes. Notably, the 379 deflection difference between UC ends (H8 and H6) suggests local buckling initiation 380 near H6, followed by increased top lateral deflection.





Fig. 12 Load-deflection curves at various parts of tested CMSFs

383 4 Finite element analysis on CMSFs with rotary IMCs

384 The test yielded valuable data but did not assess CMSF's overall instability and the 385 influence of altering parameters. To create a reliable FEM, test failure modes and data 386 from load-shortening curves were used.

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4.1 Formation of finite element model

The finite element modeling and analysis were conducted with ABAQUS [135]. Elastic buckling was performed using the ABAQUS/Linear perturbation buckle-type solver and the subspace iteration approach to determine the buckling loads and modes. Then the nonlinear analysis adopted the ABAQUS/static Riks-type solver to determine the load-shortening and failure mechanism. Moreover, the bilinear kinematic hardening 393 and the von Mises yield criteria were adopted for all components utilizing the associated

material properties listed in **Table 1** [30]. The Poisson's ratio of 0.3 was adopted [78].



Fig. 13(a) illustrates the test-validated CMSFs mesh model. Members' dimensions matched the specimen's design, which was modeled using C3D8R elements [49]. The suitability of the element size was determined by a mesh convergence study that employed mesh A, B, and C and compared their results with test $P-\Delta$ curves, as depicted

403 in Fig. 17(d). To accurately replicate local buckling, the column edges at 200 mm were 404 densely meshed, whereas other parts were meshed uniformly. Additionally, the corners 405 of columns and beams were partitioned at their thickness to create the structured mesh 406 [68–71]. Types A and B assessed local buckling and deformation at the column ends 407 more precisely than Type C, shown in supplementary Fig. B1(h). When mesh was 408 raised from 15 to 30 and 60 mm, $P_u(K_e)$ increased by up to 32% (6%) while Δ_u and DI 409 by 11%. Mesh sizes significantly impacted failure modes and compressive behavior, 410 revealing that Type B with 30 mm mesh yielded the closest results. This highlighted 411 the importance of compressive tests on CMSFs with rotary IMCs to determine the 412 proper mesh density.

413 **4.3 Loading and boundaries**

414 Reference nodes (RP-1~RP-4) on column and beam cross-sections with surface-based 415 coupling constraints that limit translation and rotation provided loading and boundary 416 conditions. Vertical translations of beams were constrained, whereas those of LCs were 417 restricted in all directions. While UCs and beams had the freedom of in-plane 418 translation, the out-of-plane translation and rotation of beams and columns were 419 restrained. Compression force was applied on the UC as displacement-controlled 420 loading to achieve shortening. Columns and beams were welded to corner fittings using 421 "tie constraint" via surface-to-surface contact. The interaction between corner fittings, 422 connecting plates, and IMC components was simulated as surface-to-surface with "hard 423 contact" as normal while "finite sliding" as tangential behavior [48]. A friction 424 coefficient of 0.3 was chosen, as shown in Figs. 17(e) and supplementary Fig. B1(i) 425 [49,65]. All specimens used hot-rolled sections with low bending, welding deformation, 426 and residual stresses; thus, the effects of bending, welding, and temperature residual 427 stress were omitted in FEMs [136,137].

428 **4.4 Initial imperfections**

429 The CMSFs' components might have imperfections before and after installation, which 430 is difficult to measure by conventional methods [134]. Design standards prescribe 431 imperfections between $t_c/500$ to $t_c/200$ and $L_c/1000$ to $L_c/1996$ [138]. However, for 432 CMSFs, imperfections can be attributed to column thickness (t_c) , frame height (H), and 433 eccentricity (e) [47,91,92,139]. This study selected height imperfection values of H/500, 434 H/1000, H/1500, and H/2000; thickness imperfection values of $t_c/1000$, $t_c/100$, $t_c/10$, t_c 435 and $2t_c$; and load eccentricities of 0, $D_c/70$, $D_c/35$, $D_c/14$, $4D_c/14$, $6D_c/14$, $3.35D_c/7$, and 436 $3.43D_c/7$. Eigenvalue analysis yielded the buckling modes shown in **Fig. 15(a~c)**. In 437 addition to thickness or height imperfections, nonlinear Riks analysis applied load eccentricities per test failure mode depicted in Fig. 7(a-c), such as in the direction of 438 439 beams for RS1 and RS3 and the opposite direction of beams for RS2. A comparison 440 was made between the critical buckling loads and accompanying mode shapes and the 441 loads at which failure occurred in the Riks analysis. Incorporating global stability parameters offered insights into individual buckling modes' contribution and 442 443 highlighted local buckling's role in overall stability, improving CMSFs' stability 444 assessment and understanding of buckling modes' interaction. The load corresponding 445 to the first buckling mode was 1312.5 kN for RS1, which exhibited a compressive resistance of 1397 kN. To assure a reliable description of the structure's behavior, 446 447 imperfections were introduced to the lowest buckling mode (Mode 1) for RS1, RS2, 448 and RS3 [77]. The imperfection amplitude determined in Fig. 14(a~c) was utilized for 449 all CMSFs and FEMs in Supplementary Table A1. Compared with test results, local 450 imperfection of H/600 or $0.64t_c$ and global imperfection of $e=3.35D_c/7$ yielded the 451 closest results. The influence of increasing H or t_c imperfection values on $P_u(K_e)$ and 452 Δ_{μ} (DI) was non-apparent. Since in CMSFs, translation or rotation was allowed; thus

453 the eccentricity impact was significant, as depicted in failure modes in supplementary

454 **Fig. B1(j)**.





461 4.5 Validations

462 The average estimates for P_u , K_e , Δ_u , and DI made by the FEMs for three tests on RS1, 463 RS2, and RS3 are shown in Figs. 16 and 17(a~c), and Table 1. The FEMs produced average modest prediction errors of 0.3%, 9.1%, and 8.5% for P_u , K_e , and DI but 464 exhibited a significant scattering of 35% for Δ_u , principally brought on by FEM 465 466 simplifications, soft supports, material modeling, and variations in imperfection. The developed FEM can adequately simulate CMSFs' deformed shapes with inward and 467 outward LB at the UCs' lower area and sway, as depicted in Fig. 18(a~c), validating 468 469 the FEMs' reliability to anticipate the CMSFs' compressive behaviors with rotary IMCs.









(c) RS3 specimen

Fig. 18 Test vs. FE-predicted failure modes

477 **5 Parametric analysis**

478 Data for 77 CMSFs was produced using validated FEM that maintained the rotary IMC 479 and corner fittings dimensions, mesh B, local imperfection of H/600 or $0.64t_c$, and global imperfection of $e=3.35D_c/7$. The parametric analysis covered beam and column 480 481 sizes, lengths, spacing, and plate thicknesses. Typical load-shortening and failure 482 behaviors were classified in Figs. 19(a~g) and 20(a~f), showing similar patterns to the 483 test results. Supplementary Figs. B1(a~j), B2(a~g), and B3(a~g), along with Table A1, 484 offered detailed information about the specific parameters and their comprehensive impact on failure modes, P_u - K_e trends, Δ_u -DI trends, and the values of P_u , K_e , Δ_u , and 485 486 DI.

487 **5.1 Beams sizes** ($D_{FB} \times B_{FB} \times t_{FB}$; $D_{CB} \times B_{CB} \times t_{CB}$)

488 Fig. 19(a) demonstrates how the compressive behavior of CMSFs is influenced by 489 variations in floor and ceiling beam sizes, such as D_{FB} , D_{CB} , B_{FB} , B_{CB} , t_{FB} , and t_{CB} , 490 ranging from 150 to 200 mm and 6 to 8 mm while maintaining their lengths. Increasing 491 the beam sizes has a positive impact on the CMSFs' performance, enhancing P_u , K_e , Δ_u , 32 and *DI*, with improving P_u (*K_e*) by up to 46% (10%) and Δ_u (*DI*) by 15% (19%). This improves frames' compressive behavior and bending resistance. It can prevent premature buckling and improve ductility, allowing CMSFs to deform more before reaching capacity, as shown in Supplementary **Fig. B1(a)**.

496 5.2 Beams lengths $(L_{FB}; L_{CB})$

497 The compressive behavior of CMSFs can be influenced by floor and ceiling beam 498 length variations, as shown in **Fig. 19(b)**. The results indicate that increasing the beam 499 lengths from 0.6 to 1.2 and 3 m for a given D_{FB} , D_{CB} , B_{FB} , and B_{CB} of 150 and 200 mm and t_{FB} and t_{CB} of 8 and 6 mm could harm the compressive performance of the CMSFs 500 501 by impairing P_u (K_e) up to 37% (5%). The increased slenderness of longer beams reduces bending resistance and may cause premature buckling, preventing CMSFs from 502 503 achieving full capacity. Supplementary Fig. B1(b) demonstrates that it might improve 504 ductility by enhancing column-beam flexibility when adequately built.

505 5.3 Columns lengths (L_c)

506 The compression behavior of CMSFs can be affected by changes in the length of the columns, as depicted in Fig. 19(c). The findings demonstrate that elongating the 507 508 columns from 0.6 to 1.2 and 3 m for a given L_{FB} and L_{CB} of 0.6, 1.2, and 3 m, D_{FB} , D_{CB} , 509 B_{FB} , and B_{CB} of 150 and 200 mm, and t_{FB} and t_{CB} of 8 and 6 mm may harm the CMSFs' 510 performance by decreasing their P_u (K_e) by up to 65% (71%). This occurs because 511 longer columns become more slender, reducing their resistance to buckling and bending, 512 which can increase deflection and bending stresses, limiting CMSFs' load-carrying 513 capacity. However, redistribution of forces within the frame might improve the ductility, 514 as demonstrated in Supplementary Fig. B1(c).

515 5.4 Columns sizes $(D_c \times B_c)$

516 Changes in the size of the columns can impact the behavior of CMSFs when subjected

517 to compression, as shown in Fig. 19(d). The results indicate that enhancing the cross-

sectional sizes from 150 to 180, 200, and 210 mm for a given L_c , L_{FB} , and L_{CB} of 1.2, 2.5, and 3.6 m and t_c of 8 mm can improve the performance of CMSFs by increasing their P_u (K_e) up to 140% (116%) but may also have adverse effects by reducing Δ_u (DI) up to 41% (10%). Increasing D_c and B_c improves column buckling and bending resistance and decreases slenderness. As illustrated in Supplementary **Fig. B1(d)**, increasing D_c/t_c yields a wider cross-section, reducing CMSF buckling strain and ductility.

525 **5.5** Columns thickness (t_c)

In Fig. 19(e), column thickness affects CMSF compression behavior. The results show that increasing column cross-section thickness from 6 to 8 and 10 mm for D_c and B_c of 150, 180, 200, and 210 mm can improve CMSF performance by raising their P_u (K_e) by up to 123% (55%) and Δ_u (DI) by up to 16% (113%). A decrease in D_c/t_c reduces column slenderness and increases buckling and bending resistance. Thus, CMSFs can withstand more plastic deformation before failure, increasing their buckling strain and ductility, as depicted in Supplementary Fig. B1(e).

533 **5.6 Beams gap and connecting plate thickness**

Figs. 19(f, g) and **B1(f, g)** demonstrate that CMSF compressive behavior remained unaffected by increasing the beam gap from 20 to 74 and 133 mm and the connecting plate thickness from 5 to 15 and 30 mm. These factors can affect the frame's lateral rigidity, yet CMSF columns resist compressive stresses, indicating that rotary IMC can transmit compressive loads without localized failure to CMSFs, as depicted in Supplementary **Figs. B1(f)** and **B1(g)** [14,47].





(g) Connecting plate thickness

Fig. 19 Influence of varying parameters on CMSFs' load-shortening curves







548

Theoretical investigations on CMSFs with rotary IMCs 6

549 The failure mechanism observed in CMSFs reveals both elastic and plastic local inward 550 and outward buckling in the upper columns. This observation indicates noncompliance 551 with EC3 Class 3 slenderness criteria, as elastic buckling is restricted, prohibiting 552 complete cross-section yielding. Local buckling has a significant impact on member 553 capacities, whether it is plastic or elastic [140]. Incorporating global strength while 554 accounting for global stability parameters yields more conservative results than cross-555 sectional strength assessments under these conditions [86]. Multiple studies 556 demonstrate consistent design practices in which global strength prediction is utilized for member design, considering yield strength failure due to local buckling of Class 3 557 558 columns [141,142]. Certain investigations include local buckling reduction factors for 559 fixed-ended stubs [143], while others prefer member buckling strength as the primary 560 design criterion [144]. Likewise, global buckling strength models are applied to simple-561 supported, concentrically compressed members [140]. Notably, IS800 [145,146], NZS 562 3404[147], EC3:1-1 [148], CSA S16-19 [149], AISC360-16 [150], and GB 50017-2017 36

563 [115] highlight the vitality of effective length factors in stability design, depending on 564 the degree of elastic restraint at frame column ends. The unique characteristics of MSB discontinuous columns and IMCs introduce variability in their effective length factor 565 566 and buckling load, governed by the relative joint and member bending stiffness ratio 567 and the stiffness of IMCs [81]. Chen et al. [151] underscore that insufficient IMC 568 stiffness can amplify MSB column slenderness, necessitating stability analysis for a 569 conservative determination of critical buckling load. Thus, while assessing CMSF 570 compressive behavior, the critical buckling load that causes the frame to buckle is the 571 main focus. Buckling load equations for the tested sub-assembled CMSFs in Fig. 21(a) are determined. The derivation uses pinned and semi-rigid IMCs in three-story full-572 573 scale models shown in Fig. 21(b). The stability functions presented in Eqns. 1 and 2 574 [152], along with the buckling load equation in Eqn. 3 [106] are utilized.

$$S_{ii} = \frac{\left(\frac{\pi}{\mu}\right)^2 - \frac{\pi}{\mu}\sin\frac{\pi}{\mu}}{2 - 2\cos\frac{\pi}{\mu} - \frac{\pi}{\mu}\sin\frac{\pi}{\mu}}; S_{ij} = \frac{\frac{\pi}{\mu}\sin\frac{\pi}{\mu} - \left(\frac{\pi}{\mu}\right)^2\cos\frac{\pi}{\mu}}{2 - 2\cos\frac{\pi}{\mu} - \frac{\pi}{\mu}\sin\frac{\pi}{\mu}} \text{ for } c_1, c_2, \text{ and } c_3$$
(1)

$$S_{ii} = 4$$
; $S_{ij} = 2$ for b_1, b_2, b_3 , and b_4 (2)

$$P_{cr} = \left[\frac{\pi^2 E I_{c2}}{(2\mu L_{ct})^2} \right]$$
(3)

575 6.1 Pinned IMCs

Following Chen et al.'s model [106], using the target column c₂ shown in Fig. 21(c),
the members' moments and their equilibrium at joints A, B, and sway are determined
from Eqns. 4~8 as follows;

$$(M_A)_{c2} = {\binom{EI_{c2}}{L_{c2}}} \left[S_{ii}\theta_A + S_{ij}\theta_B - \left(S_{ii} + S_{ij}\right)^{\Delta_c} / L_{ct} \right]$$

$$\tag{4}$$

$$(M_B)_{c2} = {\binom{EI_{c2}}{L_{c2}}} \left[S_{ij}\theta_A + S_{ii}\theta_B - \left(S_{ii} + S_{ij}\right)^{\Delta_c} / L_{ct} \right]$$
(5)

$$(M_A)_{b2} = {\binom{EI_{b2}}{L_{b2}}} [4\theta_A + 2\theta_B] = {\binom{EI_{b2}}{L_{b2}}} [6\theta_A]$$
(6)

$$(M_B)_{b3} = {\binom{L_{I_{b3}}}{L_{b3}}} [4\theta_B + 2\theta_A] = {\binom{L_{I_{b3}}}{L_{b3}}} [6\theta_B]$$
(7)
$$(M_A)_{c2} + (M_A)_{b2} = 0; (M_B)_{c2} + (M_B)_{b3} = 0; (M_A)_{c2} + (M_B)_{c2} + P\Delta_c = 0$$
(8)

$$\theta_A(S_{ii} + 6G_C) + \theta_B(S_{ij}) + \frac{\Delta_C}{L_{ct}} \left[-(S_{ii} + S_{ij}) \right] = 0 ; \ G_C = \frac{EI_{b2}}{EI_{c2}}$$
(9)

$$\theta_A(S_{ij}) + \theta_B(S_{ii} + 6G_D) + \frac{\Delta_c}{L_{ct}} \left[-(S_{ii} + S_{ij}) \right] = 0; \ G_D = \frac{EI_{b3}}{EI_{c2}} \frac{EI_{b3}}{EI_{c2}}$$
(10)

$$\theta_A (S_{ii} + S_{ij}) + \theta_B (S_{ii} + S_{ij}) - \frac{\Delta_c}{L_{ct}} \left[2(S_{ii} + S_{ij}) - (\pi^2/\mu^2) \right] = 0$$
(11)

579 where $P = \frac{\pi^2 E I_{c2}}{\mu^2 L_{c2}^2}$. After solving Eqns. 9~11 using determinant, Eqn. 12 is obtained to 580 calculate the buckling length (μ), which is then inserted in Eqn. 3 to obtain buckling

581 load (P_{cr}/PD) of a sub-assembled CMSF with rotary IMC, assuming as a pinned IMC.

$$(S_{ii} + 6G_C) \left[\left\{ \left(\frac{\pi^2}{\mu^2} \right) - 2\left(S_{ii} + S_{ij} \right) \right\} \times \left\{ S_{ii} + 6G_D \right\} + \left(S_{ii} + S_{ij} \right)^2 \right] - \left(S_{ij} \right) \left[\left\{ S_{ij} \right\} \times \left\{ \left(\frac{\pi^2}{\mu^2} \right) - 2\left(S_{ii} + S_{ij} \right) \right\} + \left(S_{ii} + S_{ij} \right)^2 \right] - \left(S_{ii} + S_{ij} \right) \left[\left(S_{ij} \right) \times \left(S_{ii} + S_{ij} \right) - \left(S_{ii} + S_{ij} \right) \times \left(S_{ii} + 6G_D \right) \right] = 0$$
(12)
Somi rigid IMCs

582 6.2 Semi-rigid IMCs

583 According to Li et al.'s model [99], CMSFs in **Fig. 21(d)** bend in double curvature, so

584 beams' end rotations are equal, such as $\theta_B = \theta_G$; $\theta_C = \theta_H$; $\theta_D = \theta_I$; $\theta_E = \theta_J$. Moreover,

585 column end rotations are
$$\theta_A = \theta_C - \frac{M_B}{R_{1\nu}} \times \frac{\theta_D}{\theta_C}$$
, $\theta_B = \theta_G = \theta_C - \frac{M_B}{R_{1\nu}}$, $\theta_E = \theta_J = \theta_D - \frac{M_E}{R_{2\nu}}$, and

586 $\theta_F = \theta_D - \frac{M_E}{R_{2\nu}} \times \frac{\theta_C}{\theta_D}$. Using slope-deflection equations, the moments of the members are

587 obtained with Eqns. 13~20 as follows;

$$(M_{BA})_{c1} = \frac{EI_{c1}}{L_{ct}} \left[S_{ii} \left(\theta_C - \frac{M_B}{R_{1v}} \right) + S_{ij} \left(\theta_D - \frac{M_B}{R_{1v}} \times \frac{\theta_D}{\theta_C} \right) - \left(S_{ii} + S_{ij} \right)^{\Delta_c} / L_{ct} \right]$$
(13)

$$(M_{CD})_{c2} = \frac{EI_{c2}}{L_{ct}} \left[S_{ii}\theta_c + S_{ij}\theta_D - \left(S_{ii} + S_{ij}\right)^{\Delta_c} / L_{ct} \right]$$
(14)

$$(M_{DC})_{c2} = \frac{EI_{c2}}{L_{ct}} \left[S_{ii}\theta_D + S_{ij}\theta_C - \left(S_{ii} + S_{ij}\right)^{\Delta_c} / L_{ct} \right]$$
(15)

$$(M_{EF})_{c3} = \frac{EI_{c3}}{L_{ct}} \left[S_{ii} \left(\theta_D - \frac{M_E}{R_{2v}} \right) + S_{ij} \left(\theta_C - \frac{M_E}{R_{2v}} \times \frac{\theta_C}{\theta_D} \right) - \left(S_{ii} + S_{ij} \right)^{\Delta_C} / L_{ct} \right]$$
(16)

$$(M_{BG})_{b1} = 6 \left(\frac{EI_{b1}}{L_{b1}} \right) \theta_B = 6 \left(\frac{EI_{b1}}{L_{b1}} \right) \left(\theta_C - \frac{M_B}{R_{1v}} \right)$$
(17)

$$(M_{CH})_{b2} = 6 \left(\frac{EI_{b2}}{L_{b2}} \right) \theta_C$$
⁽¹⁸⁾

$$(M_{DI})_{b3} = 6 \left(\frac{EI_{b3}}{L_{b3}} \right) \theta_D$$
(19)

$$\left(M_{EJ}\right)_{b4} = 6\left(\frac{EI_{b4}}{L_{b4}}\right)\theta_E = 6\left(\frac{EI_{b4}}{L_{b4}}\right)\left(\theta_D - \frac{M_E}{R_{2\nu}}\right)$$
(20)

588 Using c₂ as the objective column, the equilibrium of moments at joints C, D, and sway

589 can be calculated using Eqns. 21~23.

$$(M_{BA})_{c1} + (M_{BG})_{b1} + (M_{CH})_{b2} + (M_{CD})_{c2} = 0$$
⁽²¹⁾

$$(M_{BA})_{c1} + (M_{BG})_{b1} + (M_{CH})_{b2} + (M_{CD})_{c2} = 0$$

$$(M_{EF})_{c3} + (M_{EJ})_{b4} + (M_{DI})_{b3} + (M_{DC})_{c2} = 0$$
(22)

$$(M_{CD})_{c2} + (M_{DC})_{c2} + P\Delta_c = 0$$
⁽²³⁾

590 Eqn. 23 is used to determine
$$\frac{\Delta_c}{L_{ct}} = \frac{\mu^2 (S_{ii} + S_{ij})(\theta_c + \theta_D)}{-\pi^2 + 2(S_{ii} + S_{ij})\mu^2}$$
 by substituting $P = \frac{\pi^2 E I_{c2}}{\mu^2 L_{c2}^2}$. By

591 introducing Δ_c/L_{ct} , Eqns. 13~20, relative beam-column stiffness ratios, i.e., $G_{1v} =$

592
$$\frac{E_{I_{b1}}}{E_{I_{c1}}}, G_{2\nu} = \frac{E_{I_{b2}}}{E_{I_{c2}}}, G_{3\nu} = \frac{E_{I_{b3}}}{E_{I_{c2}}}, and G_{4\nu} = \frac{E_{I_{b4}}}{E_{I_{c3}}}, and IMC-to-column stiffness ratios,$$

593 i.e.,
$$J_{1\nu} = \frac{R_{1\nu}}{EI_{c1}/L_{ct}}, J_{2\nu} = \frac{R_{1\nu}}{EI_{c2}/L_{ct}}, J_{3\nu} = \frac{R_{2\nu}}{EI_{c2}/L_{ct}}$$
, and $J_{4\nu} = \frac{R_{2\nu}}{EI_{c3}/L_{ct}}$ into Eqns. 21 and 22, Eqns.

594 24 and 25 can be rearranged in the form of θ_c^2 , θ_D^2 , and $\theta_c \theta_D$.

$$\theta_{C}^{2} \left[(6G_{1v} + S_{ii})(6G_{2v} + S_{ii}) + (6G_{1v}J_{2v} + 6G_{2v}J_{1v} + S_{ii}J_{2v} + S_{ii}J_{1v}) - \frac{\mu^{2}}{-\pi^{2} + 2(S_{ii} + S_{ij})\mu^{2}} (6G_{1v} + S_{ii} + J_{1v} + J_{2v})(S_{ii} + S_{ij})^{2} \right] + \theta_{D}^{2} \left[S_{ij}^{2} - \frac{\mu^{2}}{-\pi^{2} + 2(S_{ii} + S_{ij})\mu^{2}} S_{ij}(S_{ii} + S_{ij})^{2} \right] + \theta_{C}\theta_{D} \left[S_{ij}(J_{2v} + J_{1v} + 6G_{2v} + 2S_{ii} + 6G_{1v}) - (24) \right] \\ \frac{\mu^{2}}{-\pi^{2} + 2(S_{ii} + S_{ij})\mu^{2}} (6G_{1v} + S_{ii} + J_{1v} + J_{2v})(S_{ii} + S_{ij})^{2} - \frac{\mu^{2}}{-\pi^{2} + 2(S_{ii} + S_{ij})\mu^{2}} S_{ij}(S_{ii} + S_{ij})^{2} \right] = 0 \\ \theta_{D}^{2} \left[(6G_{3v} + S_{ii})(6G_{4v} + S_{ii}) + (6G_{4v}J_{3v} + 6G_{3v}J_{4v} + S_{ii}J_{4v} + S_{ii}J_{3v}) - \frac{\mu^{2}}{-\pi^{2} + 2(S_{ii} + S_{ij})\mu^{2}} (6G_{4v} + S_{ii} + J_{4v} + J_{3v})(S_{ii} + S_{ij})^{2} \right] + \theta_{C}^{2} \left[S_{ij}^{2} - \frac{\mu^{2}}{-\pi^{2} + 2(S_{ii} + S_{ij})\mu^{2}} S_{ij}(S_{ii} + S_{ij})^{2} \right] + \theta_{C}\theta_{D} \left[S_{ij}(J_{3v} + J_{4v} + 6G_{3v} + 2S_{ii} + 6G_{4v}) - (25) \right] \\ \frac{\mu^{2}}{-\pi^{2} + 2(S_{ii} + S_{ij})\mu^{2}} (6G_{4v} + S_{ii} + J_{4v} + J_{3v})(S_{ii} + S_{ij})^{2} - \frac{\mu^{2}}{-\pi^{2} + 2(S_{ii} + S_{ij})\mu^{2}} S_{ij}(S_{ii} + S_{ij})^{2} \right] = 0$$

 $(\theta_c + \beta_1 \theta_D)(\beta_2 \theta_c + \beta_3 \theta_D) = 0; \ (\beta_4 \theta_c + \theta_D)(\beta_5 \theta_c + \beta_6 \theta_D) = 0 \qquad (26)$ Eqn. 26 is a simplified representation of Eqns. 24 and 25, having four general solutions, i.e., $\begin{vmatrix} 1 & \beta_1 \\ \beta_4 & 1 \end{vmatrix} = 0; \begin{vmatrix} 1 & \beta_1 \\ \beta_5 & \beta_6 \end{vmatrix} = 0; \begin{vmatrix} \beta_2 & \beta_3 \\ \beta_4 & 1 \end{vmatrix} = 0; \begin{vmatrix} \beta_2 & \beta_3 \\ \beta_5 & \beta_6 \end{vmatrix} = 0.$ Eqns. 27~30 provide simplified expressions resulting from solving these general solutions' determinants. The minimum buckling load (P_{cr}/SR) of a sub-assembled CMSF with a rotary IMC, assuming as a semi-rigid IMC, can be obtained by inserting the buckling length (μ) calculated from the maximum value obtained from Eqns. 27~30 into Eqn. 3.

$$601 1 - \left[\frac{2[S_{ij}^2 - D]}{[S_{ij}(E) - C - D] + \sqrt{[S_{ij}(E) - C - D]^2 - 4[S_{ij}^2 - D][A + B - C]}}\right] \left[\frac{2[S_{ij}^2 - I]}{[S_{ij}J - H - I] + \sqrt{[S_{ij}J - H - I]^2 - 4[F + G - H][S_{ij}^2 - I]}}\right] = 0 (27)$$

$$602 \qquad [F+G-H] - \left[\frac{2[s_{ij}^2-D]}{[s_{ij}(E)-C-D] + \sqrt{[s_{ij}(E)-C-D]^2 - 4[s_{ij}^2-D][A+B-C]}}\right] \left[\frac{[s_{ij}J-H-I] + \sqrt{[s_{ij}J-H-I]^2 - 4[F+G-H][s_{ij}^2-I]}}{2}\right] = 603 \qquad 0$$

$$(28)$$

$$604 \qquad [A+B-C] - \left| \frac{[s_{ij}(E)-C-D] + \sqrt{[s_{ij}(E)-C-D]^2 - 4[s_{ij}^2 - D][A+B-C]}}{2} \right| \left| \frac{2[s_{ij}^2 - I]}{[s_{ij}J-H-I] + \sqrt{[s_{ij}J-H-I]^2 - 4[F+G-H][s_{ij}^2 - I]}} \right| = 1$$

0

$$607 \qquad \left[\frac{[S_{ij}(E)-C-D]+\sqrt{[S_{ij}(E)-C-D]^2-4[S_{ij}^2-D][A+B-C]}}{2}\right] \left[\frac{[S_{ij}J-H-I]+\sqrt{[S_{ij}J-H-I]^2-4[F+G-H][S_{ij}^2-I]}}{2}\right] = 0$$
(30)

608 Coefficients *A*, *B*, *C*, *D*, *E*, *F*, *G*, and *H* in Eqns. 27~30 are derived from Eqns. 31~34, 609 which are defined in Eqns. 24 and 25 for θ_c^2 , θ_D^2 , and $\theta_c \theta_D$. The rotational 610 stiffnesses of rotary IMCs are $R_{1\nu} = R_{2\nu} = 2391.49$ kNm/rad, as reported in [26].

$$A = (6G_{1\nu} + S_{ii})(6G_{2\nu} + S_{ii}); B = (6G_{1\nu}J_{2\nu} + 6G_{2\nu}J_{1\nu} + S_{ii}J_{2\nu} + S_{ii}J_{1\nu})$$
(31)

$$C = \frac{\mu^2 (6G_{1\nu} + S_{ii} + J_{1\nu} + J_{2\nu}) (S_{ii} + S_{ij})^2}{-\pi^2 + 2(S_{ii} + S_{ij})\mu^2}; D = \frac{\mu^2 S_{ij} (S_{ii} + S_{ij})^2}{-\pi^2 + 2(S_{ii} + S_{ij})\mu^2}$$
(32)

(29)

$$E = (J_{2\nu} + J_{1\nu} + 6G_{2\nu} + 2S_{ii} + 6G_{1\nu}); F = (6G_{3\nu} + S_{ii})(6G_{4\nu} + S_{ii})$$

$$(33)$$

$$G = (6G_{4\nu}J_{3\nu} + 6G_{3\nu}J_{4\nu} + S_{ii}J_{4\nu} + S_{ii}J_{3\nu}); H = \frac{\mu^2(6G_{4\nu} + S_{ii} + J_{4\nu} + J_{3\nu})(S_{ii} + S_{ij})^2}{-\pi^2 + 2(S_{ii} + S_{ij})\mu^2}$$
(34)

611 6.3 Validations

612 Fig. 22 and Table 2 compare FEMs' buckling loads (P_{cr}/FE) to theoretical ones $(P_{cr}/PD \text{ and } P_{cr}/SR)$. The average (Covs) prediction ratios with P_{cr}/PD and P_{cr}/SR 613 614 are 0.70(0.17) and 0.96(0.09), offering averagely conservative results. However, P_{cr}/PD underestimates findings across a wider range, but P_{cr}/SR minimizes 615 dispersion and produces more accurate results. Despite this, it is still important to 616 617 account for safety factors due to minor overestimates caused by uncertainties associated 618 with inflection points, semi-rigid behavior, and rotational stiffness. Results indicate that 619 the buckling load prediction of sub-assembled CMSFs could be accurately anticipated by considering rotary IMC's rotational stiffness of $R_{1\nu} = R_{2\nu} = 2391.49$ kNm/rad [26]. 620 621 Alternately, presuming rotary IMC as pinned could not reflect CMSFs' actual 622 compressive behavior and could lead to an uneconomical design. Using pinned assumptions to estimate the buckling load yields conservative values that do not 623 40

624 account for IMCs and apply to all CMSFs. However, sub-assembled CMSF forecasts are more accurate when IMCs are considered semi-rigid. In worst-case scenarios, these 625 equations' conservative nature can impact CMSF design standards. When the other 626 forces acting are small, or the frame has significant rigidity against deformations, these 627 628 equations can be used to design the dimensions of the members and predict the buckling 629 lengths and loads for CMSF, considering the stiffnesses of its members and IMCs under 630 axial compressions. However, the findings are limited to specific models and require further validation. The outcomes do not apply to non-sway or special frames with 631 632 welded IMCs or shear-keyed columns. The study's exterior-frame findings can be used 633 to design middle or inner CMSFs by modifying the number of frames.







Fig. 22 Comparison of Theory-to-FEM

Table 2 Comparison of CMSFs' buckling load via tests-validated FEMs,

 parametric studies, and theoretical models

parametric st	aaros, ana c	neoretiear m	04010		
Test	P_{cr}/FE	P_{cr}/PD	P_{cr}/SR	P_{cr}/PD	P_{cr}/SR
specimen	(kN)	(kN)	(kN)	$\overline{P_{cr}}/FE$	$\overline{P_{cr}/FE}$
(#)				(17	017
RS1	1312.5	939.4	1248.3	0.72	0.95
RS2	1342.4	1019.4	1336.6	0.76	1.00
RS3	1241.3	885.6	1234.4	0.71	0.99
Mean				0.73	0.98
Cov				0.03	0.02
FEM (#)	P_{cr}/FE	P_{cr}/PD	P_{cr}/SR	P_{cr}/PD	P_{cr}/SR
	(kN)	(kN)	(kN)	$\overline{P_{cr}/FE}$	$\overline{P_{cr}/FE}$
SR-1	1304.2	783.6	1210.4	0.60	0.93
SR-2	1321.4	849.9	1302.9	0.64	0.99
SR-3	1514.2	1155.2	1496.1	0.76	0.99
SR-4	1522.0	1228.2	1587.5	0.81	1.04
SR-5	1670.5	1272.4	1659.8	0.76	0.99
SR-6	1670.0	1343.3	1665.1	0.80	1.00
SR-7	1529.9	1157.5	1579.4	0.76	1.03
SR-8	958.9	390.6	932.3	0.41	0.97
SR-9	1888.8	1506.3	1742.0	0.80	0.92
SR-10	1322.0	664.5	1111.9	0.50	0.84
SR-11	1796.5	1599.1	1802.8	0.89	1.00
SR-12	1269.2	770.9	1281.5	0.61	1.01
SR-13	3337.8	1650.7	3386.2	0.49	1.01
SR-26	1353.1	1203.5	801.0	0.89	0.59
SR-28	1829.2	1002.9	1743.4	0.55	0.95
SR-40	1349.1	880.5	1397.5	0.65	1.04
SR-42	1701.8	1019.6	1796.1	0.60	1.06
SR-47	1110.8	801.0	1003.0	0.72	0.90
SR-48	1042.1	801.0	1003.0	0.77	0.96
SR-49	1336.7	939.4	1248.3	0.70	0.93
SR-50	1373.3	939.4	1248.3	0.68	0.91
SR-51	1330.3	1093.8	1376.1	0.82	1.03
SR-52	1372.4	1093.8	1376.1	0.80	1.00
Mean				0.70	0.96
Cov				0.18	0.10

 P_{cr}/FE , P_{cr}/PD , and P_{cr}/SR define the buckling load of subassembled CMSFs with rotary IMC obtained through experimentallyvalidated FEMs and theoretical models with pinned and semi-rigid IMCs. *FE* represents the FEM, *PD* denotes the pinned IMC model, and *SR* defines the semi-rigid IMC model.

639 7 Conclusions

This study comprehensively investigated the compressive behaviors of CMSFs with
rotary IMC through sub-assembled tests, parametric FEMs, and theoretical buckling
load models. The research yielded the following key findings:

- 643
 1. The load-shortening behaviors of CMSFs displayed elastic, inelastic, and
 644 recessional characteristics. Local buckling occurred on the upper columns
 645 initiated from the bending sides to the adjacent faces, resulting in a reduction in
 646 capacity, amplified buckling, and apparent sway of the CMSFs.
- All CMSFs experienced the same failure mode, but the beams' rigidity
 influenced the direction of sway. Frames and columns tended to sway towards
 the beams when thinner while bending occurred in the opposite direction when
 beams were more rigid.
- 3. The strain curves revealed that only the upper columns had local buckling, either
 inward or outward, occurring similarly on opposite sides and oppositely on
 neighboring sides. Elastic buckling developed on columns opposite the bending
 direction, while plastic buckling occurred on adjacent sides. No failure,
 buckling, or yielding was noticed in other members and IMCs.
- 4. Increasing the cross-sectional sizes of beams and columns improved the
 compressive resistance and rigidity of CMSFs while lengthening members
 impaired them. Greater member rigidity reduced buckling strain and ductility,
 causing premature instability.
- 5. The FEM with a mesh of 30 mm, local imperfection of H/600 or $0.64t_c$, and global imperfection of $e=3.35D_c/7$ accurately simulated CMSFs' compression behavior with average prediction errors of 0.3% for P_u .

6. The mean (Cov) theory-to-FEM buckling load for pinned and semi-rigid
6. The mean (Cov) theory-to-FEM buckling load for pinned and semi-rigid
664 CMSFs was 0.70(0.17) and 0.96(0.09), indicating that the semi-rigid model
665 provided more precise outcomes with reduced scatter and can accurately predict
666 CMSFs' compressive behavior with rotary IMC.

667 8 Design recommendations and future research

668 The extensive research into the compressive behavior of CMSFs with rotary IMCs 669 holds substantial significance for the structural integrity and stability of MSBs, 670 providing valuable insights into modular frame failure modes and capacity. As the 671 results indicate, incorporating rotary IMCs into structural designs necessitates 672 considering the rotational stiffness and behavioral characteristics [17,26]. Meeting performance requirements demand structural members with an appropriate size and 673 674 stiffness. Parametric studies show that increasing column and beam cross-sectional 675 sizes and thicknesses improves strength; hence, using IMCs with superior geometrical 676 designs could enhance capacity. Moreover, FEMs and theoretical calculations have 677 been verified with experimental and numerical data to estimate buckling loads and failure mechanisms accurately. The complexity and unexpected behavior of IMCs 678 679 makes designing and analyzing with pinned and rigid assumptions difficult. However, 680 the theoretical models presented in this study provide a systematic classification scheme 681 for pinned and semi-rigid IMCs, enabling interconnection behavior prediction and 682 modular system reliability improvement. Effectively regulating relative stiffnesses 683 based on these models can result in conservative and cost-effective design choices.

Future studies could examine different IMC types' performance to understand their distinct characteristics, potential advantages in multiple applications, and applicability of design models. Using grouped columns, beams, and horizontal and vertical IMCs, middle and interior CMSFs can be studied in modular frame systems. Simplified FEMs

- using rotary IMCs spring models could help design multi-story building systems more
- 689 precisely and efficiently. Such study is essential to MSB development and practical
- 690 efficacy and safety.

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