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# Ophthalmology

# Relationship between intraocular pressure fluctuation and visual field progression rates in the United Kingdom Glaucoma Treatment Study --Manuscript Draft--

Manuscript Number:	OPHTHA-D-23-01872R3	
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Keywords:	visual field progression; ocular pulse amplitude; Risk factors; linear mixed models.	
Corresponding Author:	David Garway-Heath, MD Moorfields Eye Hospital NHS Foundation Trust UNITED KINGDOM	
First Author:	Alessandro Rabiolo, MD FEBO	
rder of Authors:	Alessandro Rabiolo, MD FEBO	
	Giovanni Montesano, MD	
	David P Crabb, PhD	
	David F Garway-Heath, MD, FRCOphth	
Abstract:	Purpose. To investigate whether intraocular pressure (IOP) fluctuation is independently associated with the rate of visual field (VF) progression in the United Kingdom Glaucoma Treatment Study. Design. Randomized, double-masked, placebo-controlled multicenter trial. Participants: Participants with ≥5 VFs (213 placebo, 217 treatment). Methods. Associations between IOP metrics and the VF progression rates (mean deviation (MD) and five fastest locations) were assessed with linear mixed models. Fluctuation variables were mean ocular pulse amplitude (OPA), standard deviation (SD) of diurnal IOP (diurnal fluctuation), and SD of IOP at all visits (long-term fluctuation). Fluctuation values were normalized for mean IOP to make them independent from mean IOP. Correlated non-fluctuation IOP metrics (baseline, peak, mean, supine and peak phasing IOP) were combined with principal component analysis (PCA), and principal component 1 (PC1) was included as a covariate. Interactions between covariates and time from baseline modelled the effect of the variables on VF rates. IOP was measured with Goldmann applanation tonometry and OPA with Pascal tonometry. Analyses were conducted separately in the two treatment arms. Main Outcome Measures. Associations between IOP fluctuation metrics and rates of MD and five fastest test locations. Results. In the placebo arm, only PC1 was significantly associated with the MD rate (estimate [standard error (SE]): -0.19 [0.04] dB/year, p<0.001), while normalized IOP fluctuation metrics were not. No variable was significantly associated with MD rates in the treatment arm. For the fastest five locations in the placebo group, PC1 (estimate [SE]: -0.58 [0.16] dB/year, p<0.001), CCT (estimate [standard error (SE]): 0.26 [0.10] dB/year, p=0.021) and normalized OPA (estimate [SE]: -3.50 [1.04] dB/year, p=0.020) was associated with the rates of progression. Conclusions. There is no evidence to support that either diurnal or long-term IOP fluctuation, as measured in clinical practice, are independent fac	
Suggested Reviewers:		
Opposed Reviewers:		
Response to Reviewers:	AE Comment: Dear authors,Congratulations on your work. I have one suggestion. In the Precis (and everywhere else where the same issue is present), please insert "either' in front of "diurnal" and replace "and" with "or" in front of "long-term". The way it now stands, one could conclude that diurnal fluctuation and long-term fluctuation are risk factors, but are not independent of one another. Does that make sense? Best	

wishes, Henry Jampel Authors' Response: We thank the Associate Editor for his positi now modified the precis, abstract, and discussion accordingly. Change in the Manuscript: Precis "This exploratory analysis of the multicenter randomized placeb Kingdom Glaucoma Treatment Study found no evidence to sup or long-term IOP fluctuation are independent factors for glaucor	ve feedback. We have o-controlled United port that either diurnal na progression."
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February 3<sup>rd</sup>, 2024

Russell N. Van Gelder, MD PhD Chief Editor *Ophthalmology* 

Dear Editor,

Thank you for considering our manuscript OPHTHA-D-23-01872, "Intraocular pressure fluctuation and rates of visual field progression in primary open-angle glaucoma: an exploratory analysis from the United Kingdom Glaucoma Treatment Study (UKGTS)" for publication in the Ophthalmology journal. The points raised by the Associate Editor and Editorial office have all been considered and changes incorporated into the revised manuscript where appropriate. Attached is a point-by-point response to each of these comments. Any changes to the manuscript are italicized and in quotes in the response letter.

All the authors have approved the revised manuscript for submission to the Ophthalmology journal. As Corresponding Author, I had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis, as well as the decision to submit it for publication.

Thank you for your consideration of our manuscripts and we look forward to your response.

Yours sincerely,

David F Garway-Heath Moorfields Eye Hospital 162 City Rd, London EC1V 2PD United Kingdom e-mail: d.garwayheath@nhs.net

# POINT-BY-POINT RESPONSE FORM

Please list the editor's, reviewer(s)', and editorial office's comments in the left-hand column, spacing them so that you can insert the relevant response in the center column and the respective point(s) in the text (and tables or legends, if appropriate) in the right-hand column. Adding line numbers to the manuscript file and referring to specific line numbers will be useful in determining which parts of the manuscript changed.

## Manuscript #: OPHTHA-D-23-01872

Manuscript title: Relationship between intraocular pressure fluctuation and visual field progression rates in the United Kingdom Glaucoma Treatment Study

Suggestion, Question, or Comment from the Editor	Author's Response	Change in the Manuscript
Dear authors, Congratulations on your work. I have one suggestion. In the Precis (and everywhere else where the same issue is present), please insert "either' in front of "diurnal" and replace "and" with "or" in front of "long- term". The way it now stands, one could conclude that diurnal fluctuation and long-term fluctuation are risk factors, but are not independent of one another. Does that make sense? Best wishes, Henry Jampel	We thank the Associate Editor for his positive feedback. We have now modified the precis, abstract, and discussion accordingly.	Precis "This exploratory analysis of the multicenter randomized placebo-controlled United Kingdom Glaucoma Treatment Study found no evidence to support that either diurnal or long-term IOP fluctuation are independent factors for glaucoma progression." <u>Abstract, page 4, lines 30-33</u> " <b>Conclusions.</b> There is no evidence to support that either diurnal or long-term IOP fluctuation, as measured in clinical practice, are independent factors for glaucoma progression; other aspects of IOP, including mean IOP and peak IOP, may be more informative." <u>Discussion, page 29, lines 595-597</u> "In conclusion, this study finds no evidence to support that either diurnal or long- term IOP fluctuation, defined in a clinically relevant manner, are independent factors for glaucoma progression."

Suggestion, Question, or Comment from the Editorial Office	Author's Response	Change in the Manuscript
If your paper includes a study group/writing committee authorship, please upload the complete study group/writing committee list as a Word document "Collaborators" file to the submission.	We have now included a Word document "Collaborators" file listing the UKGTS investigators.	N/A

# PRECIS

This exploratory analysis of the multicenter randomized placebo-controlled United Kingdom Glaucoma Treatment Study found no evidence to support that <u>either</u> diurnal <u>and or</u> long-term IOP fluctuation are independent factors for glaucoma progression.

1

# - Manuscript -

# Relationship between intraocular pressure fluctuation and visual field progression rates in the United Kingdom Glaucoma Treatment Study

**Authors:** Alessandro Rabiolo, MD, FEBO<sup>1-3</sup>; Giovanni Montesano, MD<sup>1,4</sup>; David P Crabb PhD<sup>4</sup>; David F Garway-Heath, MD, FRCOphth<sup>1</sup>, on behalf of the United Kingdom Glaucoma Treatment Study Investigators

United Kingdom Glaucoma Treatment Study investigators: David F. Garway-Heath MD, David P. Crabb PhD, Catey Bunce DSc, Gerassimos Lascaratos MSc, Francesca Amalfitano BSc, Nitin Anand MD, Augusto Azuara-Blanco PhD, Rupert R. Bourne MD, David C. Broadway MD, Ian A. Cunliffe FRCOphth, Jeremy P. Diamond PhD, Scott G. Fraser MD, Tuan A. Ho MSc, Prof Keith R. Martin DM, Andrew I. McNaught MD, Anil Negi MD, Krishna Patel MSc, Richard A. Russell PhD, Ameet Shah MRCOphth, Paul G. Spry PhD, Katsuyoshi Suzuki PhD, Edward T. White BSc, Richard P. Wormald FRCOphth, Wen Xing MSc, Thierry G. Zeven PhD

# Authors affiliation:

- (1) NIHR Biomedical Research Centre at Moorfields Eye Hospital and UCL Institute of Ophthalmology, London, United Kingdom.
- (2) Ophthalmology Unit, University Hospital Maggiore della Carità, Novara, Italy.
- (3) Department of Health Sciences, University of Eastern Piedmont "A. Avogadro", Novara, Italy.

(4) Optometry and Visual Sciences, City University of London, London, London, United Kingdom.

**Corresponding author:** Prof David F Garway-Heath, Moorfields Eye Hospital, London EC1V 2PD, UK; d.garwayheath@nhs.net **Short title:** IOP fluctuation and glaucoma progression rates in the UKGTS

**Conflict of Interest:** None of the authors has any competing interest.

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Congress, June 2022, Athens, Greece.

## ABSTRACT

1 **Purpose.** To investigate whether intraocular pressure (IOP) fluctuation is

2 independently associated with the rate of visual field (VF) progression in the United

3 Kingdom Glaucoma Treatment Study.

4 **Design.** Randomized, double-masked, placebo-controlled multicenter trial.

5 **Participants:** Participants with ≥5 VFs (213 placebo, 217 treatment).

6 **Methods.** Associations between IOP metrics and the VF progression rates (mean

7 deviation (MD) and five fastest locations) were assessed with linear mixed models.

8 Fluctuation variables were mean ocular pulse amplitude (OPA), standard deviation

9 (SD) of diurnal IOP (diurnal fluctuation), and SD of IOP at all visits (long-term

10 fluctuation). Fluctuation values were normalized for mean IOP to make them

11 independent from mean IOP. Correlated non-fluctuation IOP metrics (baseline, peak,

12 mean, supine and peak phasing IOP) were combined with principal component

13 analysis (PCA), and principal component 1 (PC1) was included as a covariate.

14 Interactions between covariates and time from baseline modelled the effect of the

15 variables on VF rates. IOP was measured with Goldmann applanation tonometry and

16 OPA with Pascal tonometry. Analyses were conducted separately in the two

17 treatment arms.

Main Outcome Measures. Associations between IOP fluctuation metrics and rates
 of MD and five fastest test locations.

Results. In the placebo arm, only PC1 was significantly associated with the MD rate
(estimate [standard error (SE)]: -0.19 [0.04] dB/year, p<0.001), while normalized IOP</li>
fluctuation metrics were not. No variable was significantly associated with MD rates
in the treatment arm. For the fastest five locations in the placebo group, PC1
(estimate [SE]: -0.58 [0.16] dB/year, p<0.001), CCT (estimate [standard error (SE)]:</li>

25 0.26 [0.10] dB/year for 10 µm thicker, p=0.01) and normalized OPA (estimate [SE]: -3.50 [1.04] dB/year, p=0.001) were associated with rates of progression; normalized 26 27 diurnal and long-term IOP fluctuations were not. In the treatment group, only PC1 28 (estimate [SE]: -0.27 [0.12] dB/year, p=0.028) was associated with the rates of 29 progression. 30 **Conclusions.** There is no evidence to support that <u>either</u> diurnal <u>and or</u> long-term 31 IOP fluctuation, as measured in clinical practice, are independent factors for 32 glaucoma progression; other aspects of IOP, including mean IOP and peak IOP, 33 may be more informative. OPA may be an independent factor for faster glaucoma 34 progression. 35 36 Keywords: visual field progression; ocular pulse amplitude; risk factors; linear

37 mixed models.

## 38 INTRODUCTION

Intraocular pressure (IOP) is an established risk factor for glaucoma
 progression, and lowering IOP is currently the only available treatment to slow the
 disease progression.<sup>1-4</sup> Longitudinal measurement of IOP is crucial in evaluating
 glaucoma patients, estimating their risk of developing progressive glaucomatous
 damage, and assessing their response to treatment.

IOP is subject to fluctuations over time. Several IOP-derived parameters are 44 commonly used in clinical practice and research to summarize the behavior of IOP. 45 46 including mean IOP (average of IOP over multiple visits), peak IOP (highest IOP reading over follow-up), and IOP fluctuation (standard deviation [SD] or range IOP 47 48 over time). Many studies have shown that mean IOP and peak IOP are independently associated with glaucoma progression;<sup>1, 2, 5, 6</sup> on the other hand, the 49 exact role of IOP fluctuation is still debated, with discordant results reported in the 50 literature.<sup>5-10</sup> Elucidating the role of IOP fluctuation is difficult for several reasons. 51 52 IOP fluctuation is tightly correlated with other IOP-related metrics (e.g., mean IOP), making it difficult to isolate its role as an independent factor. IOP fluctuation may be 53 54 artificially increased by escalating treatment in patients with suspect progression. The effect of IOP fluctuation may not be uniform, varying as a function of the disease 55 stage, treatment status, mean IOP values, and definition of fluctuation.<sup>11</sup> 56 57 This planned secondary analysis of the United Kingdom Glaucoma Treatment Study (UKGTS) randomized controlled trial aimed to evaluate whether IOP 58 59 fluctuation, as assessed by ocular pulse amplitude (OPA), diurnal variation and 60 between-visit variation, is independently associated with the rate of visual field

61 progression. The UKGTS is ideal for this purpose because there were no treatment

- 62 escalations artificially increasing IOP fluctuation, and the dataset allows evaluation of
- 63 IOP metrics in both untreated and treated glaucoma patients.

## 64 **METHODS**

# 65 Study Population and Procedures

This study was a planned secondary analysis of data from the UKGTS, which was a multicenter, randomized, triple-masked, placebo-controlled trial investigating the ability of Latanoprost, an IOP lowering medication, to preserve visual function in newly diagnosed open-angle glaucoma patients (trial registration number,

ISRCTN96423140). The UKGTS and the subsequent analysis of anonymized data in
this study complied with the tenets of the Declaration of Helsinki and were approved
by local institutional review boards (Moorfields and Whittington Research Ethics
Committee on June 1, 2006, ethics approval reference, 09/H0721/56). All patients
provided written informed consent at the time of enrolment in the trial.

75 The UKGTS study protocol, baseline characteristics, and outcomes have been published elsewhere.<sup>3, 12, 13</sup> Participants recruited in 10 ophthalmology 76 77 institutions across the United Kingdom were randomized 1:1 to receive latanoprost 78 0.005% or placebo eye drops once in the evening in both eyes for 24 months or until 79 meeting an endpoint. The UKGTS included patients  $\geq$  18 years of age and newly 80 diagnosed treatment-naïve open-angle glaucoma, including primary open-angle and 81 pseudoexfoliation glaucoma. Exclusion criteria were: advanced glaucoma, as 82 defined by visual field mean deviation < -10 dB in the better eye or < -16 dB in the 83 worse eye, mean baseline IOP  $\geq$  30 mmHg, Snellen best-corrected visual acuity 84 (BCVA) < 6/12, and poor image quality (>40 µm mean pixel height standard 85 deviation) with the Heidelberg retina tomograph (Heidelberg Engineering, 86 Heidelberg, Germany).

87 Potentially eligible participants underwent two pre-randomization visits. After 88 meeting the study criteria and signing the written informed consent, participants were

89 randomized either to receive latanoprost 0.005% or placebo eye drops. Enrolled 90 subjects underwent IOP measurement, VF, and imaging at eleven postrandomization visits over 24 months or until meeting an endpoint. Standard 91 92 automated perimetry with the Humphrey Field Analyzer (Carl Zeiss Meditec, Dublin, CA) was performed with stimulus size III, Swedish Interactive Threshold Algorithm 93 94 (SITA) standard strategy, and 24-2 grid. VF testing was performed at all 11 95 scheduled visits over 24 months, and tests were clustered (2 VFs on the same day) at baseline, 2 months, 16 months, 18 months, and 24 months. In this exploratory 96 97 analysis, we included participants from the UKGTS with  $\geq$ 5 reliable visual fields (VFs). Reliable VFs were defined as those with false positives less than 15%, while 98 99 no limits for false negatives and fixation losses were applied. At the first post-100 randomization visit, the following demographic variables were collected: age, sex, 101 ethnicity, family history of glaucoma, history of systemic diseases (i.e., systemic 102 hypertension, cardiovascular disease, diabetes, heart attack, stroke, sleep apnea, 103 migraine, Raynaud's phenomenon, vasospasm, angina, claudication), and smoking 104 status. The following investigations were also performed: blood pressure 105 measurements with the Omron M7 Blood Pressure Monitor (Matsusaka, Mie, Japan), 106 weight, height, slit-lamp examination, refractive error measured either with an 107 autorefractor or from spectacle focimetry (if not available, the spherical equivalent of 108 the trial lens was used in the visual field test, based on participants' age), axial 109 length measurement with the IOL Master (Carl Zeiss Meditec, Dublin, CA), and 110 central corneal thickness (CCT) measured with an ultrasound pachymeter. We 111 included one eye per patient; specifically, the eye with the worst baseline VF mean 112 deviation (MD).

113

114 IOP Metrics

115	At all visits, IOP was measured with Goldmar	nn applanation tonometry (GAT;
116	Haag Streit, Koeniz, Switzerland), Pascal dynamic c	ontour tonometry (Ziemer
117	Ophthalmic Systems AG, Zurich, Switzerland), and	he Ocular Response Analyzer
118	(Reichert, Inc., Buffalo, NY). Diurnal GAT phasing w	ith IOP measured every 2 hours
119	from 9 am to 5 pm was performed at the first post-ra	ndomization and at the final visit.
120	At the first post-randomization visit, supine IOP was	measured with Perkins
121	applanation tonometer.	
122	The following IOP metrics were calculated an	d used for the analyses:
123	Baseline pretreatment IOP, defined as the avera	ge of the IOP readings obtained
124	in the two pre-randomization visits.	
125	Mean IOP, defined as the average of all post-ran	domization IOP readings.
126	Peak IOP, defined as the highest IOP reading of	all post-randomization IOP
127	readings.	
128	Supine IOP, defined as the Perkins applanation	conometry IOP readings
129	measured at the first post-randomization visit.	
130	Phasing peak IOP, defined as the highest IOP re	ading of the diurnal phasing
131	performed at the first post-randomization visit.	
132	Diurnal IOP fluctuation, defined as the SD of IOF	measurements obtained from
133	the diurnal IOP phasing performed at the first po	st-randomization visit. Diurnal
134	IOP fluctuation was also calculated using the IOI	P measurements from the last
135	post-randomization visit.	
136	Long-term IOP fluctuation, defined as the SD of	post-randomization IOP
137	readings.	

Mean ocular pulse amplitude (OPA) from the Pascal Dynamic Contour tonometry.
 OPA was defined as the range of the pulse wave contour and provides a
 measure of how IOP fluctuates over cardiac cycle. We used the average of all
 post-randomization ORA values.

142

143 Statistical Analysis

144 We performed all statistical analyses with the open-source software R (R Foundation for Statistical Computing, Vienna, Austria). Variable distributions were 145 146 inspected with histograms and guantile-guantile plots. We reported mean (± Standard deviation [SD]) and median (interguartile range [IQR]) for Gaussian and 147 148 non-Gaussian variables, respectively. We reported frequencies and proportions for 149 discrete variables. Proportion and pattern of missing data were analyzed. All analyses were conducted with complete cases. All tests were 2-tailed, and p-values 150 151 <0.05 were considered statistically significant.

Demographic and clinical characteristics between the two treatment groups were compared with t-test and chi-squared test for continuous and categorical variables, respectively. Agreement between diurnal IOP fluctuation calculated on the first and last post-randomization visit was investigated with Bland-Altman statistics. We also collected the timing of each IOP measurement and calculated the absolute differences from each measurement and the mean time of day for each patient's IOP measurements.

Linear models were used to evaluate the relationship between (i) mean IOP and long-term IOP fluctuation, (ii) mean IOP and long-term IOP fluctuation/mean IOP, (iii) mean diurnal IOP and diurnal IOP fluctuation, (iv) mean diurnal IOP and diurnal IOP fluctuation/mean diurnal IOP, (v) mean OPA and mean IOP, and (vi) meanOPA/GAT IOP and mean IOP.

IOP fluctuation is known to be positively correlated with mean IOP. Additionally, 164 165 measurement error can contribute to the variability in IOP measurements, potentially confounding true IOP fluctuation. To obtain a measure of IOP fluctuation which is 166 independent from mean IOP, we performed a normalization of IOP fluctuation 167 168 values. Specifically, we ran a linear regression of IOP fluctuation against mean IOP. 169 We then divided the observed IOP fluctuation values by the corresponding predicted 170 values. This process was applied distinctly for each fluctuation metric. For long-term 171 fluctuation, we utilized the SD of all post-randomization IOP readings and their 172 corresponding mean IOP values from all post-randomization readings. For diurnal 173 fluctuation, we used the SD and mean IOP measurements from the diurnal IOP 174 phasing conducted during the first post-randomization visit. For the OPA, we used the average of all post-randomization ORA values for each subject and their 175 176 corresponding mean IOP across all available post-randomization visits. For OPA, we calculated the average of all post-randomization ORA values for each subject, 177 alongside their corresponding mean IOP from all available post-randomization visits. 178 As shown in Figure S1, normalized IOP fluctuation was unrelated to mean IOP. 179 180 Normalization was further performed on the two study arms separately, leading to 181 almost identical results (data not shown). All analyses were conducted on both 182 normalized and unnormalized IOP fluctuations values.

Linear mixed models with random slopes and random intercepts were used to estimate the rates of progression and investigate associations between the rate of visual field progression and variables of interest. Linear mixed models are an extension of traditional linear models, which can accommodate the repeated187 measure (e.g., multiple measurements from the same eye over time) and clustered 188 (multiple test locations from the same VF) nature of data. We first look at univariable 189 associations between the MD rate of change and each variable of interest. In all 190 models, the MD value at each visit was the outcome variable; the follow-up time in 191 years, the covariate of interest, and their interaction were the fixed effects; the eye 192 identification number and follow-up time were the random intercept and random 193 slope terms, respectively, to account for the repeated measure of data and for the 194 fact that different eves may have different rates of progression over time. Interactions 195 between covariates and time from baseline modeled the variables' effect on the 196 progression rate. We then built multiple variable linear mixed models to account for 197 the impact of fluctuation metrics after adjusting for all other potentially confounding 198 factors, including other IOP metrics. Correlations among candidate covariates were 199 tested with a hierarchical cluster analysis based on the absolute value of Spearman 200 correlations (Figure S2). Some of the variables measuring the magnitude of IOP 201 elevation exhibited high correlations. Highly correlated variables are a source of multicollinearity, causing unstable regression coefficients and large standard errors. 202 203 To address this issue, all correlated metrics measuring IOP (baseline IOP, peak IOP, 204 mean IOP, supine IOP, peak phasing IOP) were combined using Principal 205 Component Analysis (PCA). These variables had a Spearman rhol of 0.50 or 206 greater. PCA extracts uncorrelated orthogonal vectors (Principal Components [PCs]) 207 from multiple correlated variables. PCs are ranked, with the first PC (PC1) being the 208 one containing the largest amount of combined information from the correlated 209 variables. PCA was performed on standardized data, with zero mean and unit variance. We inspected the PCA model with biplots and scree plots (Figure S3). 210 211 Scree plots were used to visualize the amount of variance explained by the various

Principal Components and to select the number of PCs to retain for subsequent analyses. PC1 was selected for further analyses, as it explained 81% of the overall variance in the PCA, and used as a fixed effect in the multivariable linear mixed models. The Interaction between PC1 and follow-up time modelled the effect of PC1 on visual field progression rates, as previously explained. PCA was also performed on the two study arms separately, leading to similar results (data not shown).

218 Similar analyses were run in a pointwise manner, including: (i) all 52 VF test 219 locations of the 24-2 grid (after excluding the two locations corresponding to the blind 220 spot), and (ii) the five fastest progressing locations for each study eye (which is 221 conceptually similar to the event-based GPA analysis which identifies the 3 or more 222 locations most different from baseline). Models conducted on the pointwise threshold 223 sensitivity data had a nested random intercept with eye identification number over 224 the test location number to account for the inclusion of multiple pointwise series from the same eye. All models were run separately in the placebo and treatment arms. 225 226 Regression estimates along with their 95% confidence intervals (95% CIs) and p-227 values were reported.

#### 228 **RESULTS**

229 Of the 461 participants with longitudinal data included in the primary UKGTS analysis, 31 were excluded because of an insufficient number of VFs. The remaining 230 231 430 (placebo arm: 213, treatment arm: 217) participants were included in this study. As shown in Figure S4, most variables had complete observations, with only a few 232 233 variables having missing observations. Spherical equivalent, CCT, and supine IOP 234 values were missing in 26 eyes (6%), 16 (3.7%), and 15 eyes (3.5%), respectively. 235 Mean arterial pressure, body mass index, ethnicity, corneal hysteresis, peak and 236 mean phasing IOP, and diurnal fluctuation were missing in less than 2% of patients. All other variables had no missing data. 237

238 Baseline characteristics of the UKGTS study population have been published 239 elsewhere.<sup>3, 12</sup> Table 1 illustrates the main demographic and clinical characteristics 240 of the patient cohort. Patients in the treatment cohort had significantly longer followup time than those in the placebo cohort, with a median (IQR) of 1.9 (1.3 to 2.0) and 241 242 1.6 (1.0 to 2.0) years, respectively (p=0.004). The number of VFs was also significantly greater (p=0.027) in the treatment arm (median [IQR]: 15 [10-16]) than in 243 244 the placebo arm (median [IQR]: 13 [10-16]). In the post-randomization study period, 245 patients in the treatment arm showed higher mean corneal hysteresis than those in 246 the placebo arm (mean [±SD]: 9.4 [±1.6] vs. 8.9 [±1.6] mmHg, p=0.003). As shown in 247 Figure 5, all post-randomization IOP metrics were significantly different between the two arms (p<0.045 or below), except for normalized diurnal IOP fluctuation (p=0.89) 248 and normalized OPA (p=0.93). The median of the absolute differences from the 249 250 mean time of day for each patient's IOP measurements was 1.1 hours, with an interquartile range (IQR) of 0.5 hours (30 minutes) to 2.0 hours. 251

252

253 Global MD rate

The distribution of MD rates in the two groups as estimated with linear mixed models is illustrated in Figure 6. Median (IQR) MD rates in the placebo and treatment cohort were -0.23 (-0.73 to 0.11) dB/year and 0.13 (-0.30 to 0.37) dB/year, respectively (p<0.001).

258 In the univariable analysis (Table S2), higher values of all non-fluctuation IOP 259 parameters, including pretreatment baseline IOP (estimate [standard error (SE)]: -260 0.06 [0.02] dB/year for 1 mmHg increase, p<0.001), mean IOP (estimate [SE]: -0.08 261 [0.02] dB/year for 1 mmHg increase, p<0.001), peak IOP (estimate [SE]: -0.07 [0.01] dB/year for 1 mmHg increase, p<0.001), peak phasing IOP (estimate [SE]: -0.05 262 263 [0.02] dB/year for 1 mmHg increase, p<0.001), and supine IOP (estimate [SE]: -0.06 264 [0.01] dB/year for 1 mmHg increase, p<0.001), were significantly associated with 265 faster MD rates in the placebo group. With regards to the IOP fluctuations parameters, higher long-term IOP fluctuation (estimate [SE]: -0.27 [0.07] dB/year for 266 267 1 mmHg increase, p<0.001) and OPA (estimate [SE]: -0.32 [0.09] dB/year for 1 mmHg increase, p<0.001) were associated with faster MD rates of change, while 268 269 diurnal IOP fluctuation was not (p=0.23). None of the fluctuation parameters was 270 associated with the MD rate after normalizing for the mean IOP (p=0.11 or above). In 271 the treatment arm, none of the variables was significantly associated with the MD 272 rate, except for long-term IOP fluctuation (estimate [SE]: -0.12 [0.06] dB/year for 1 273 mmHg increase, p=0.047).

274 Results of the multivariable model for factors associated with MD rate of 275 progression are illustrated in Figure 7 and detailed in Table S3. In the placebo arm, 276 PC1, which combined information from all the non-fluctuation IOP parameters, was 277 the only factor associated with the MD rate (estimate [SE]: -0.19 [0.08] dB/year for 1 278 unit increase, p<0.001), while the various normalized IOP fluctuation metrics were 279 not. Thinner CCT had an association of borderline statistical significance with faster VF progression rates (estimate [SE]: 0.05 [0.02] dB/year for 10 µm thicker, p=0.06). 280 281 None of the variables was significantly associated with the MD rate of progression in the treatment arm. Older age was associated with faster MD rates (estimate [SE]: -282 283 0.12 [0.06] dB/year for a 10-year increase) in the treatment arm, but this only approached nominal statistical significance (p=0.06). Similar results were obtained 284 285 when analyzing unnormalized IOP fluctuation metrics (Table S4).

286

# 287 *Pointwise Rates*

288 Figure 6 illustrates the distribution of pointwise progression rates in the two 289 groups. Pointwise rates were significantly faster in the placebo group than in the 290 treatment group (median [IQR]: -0.42 [-0.59 to -0.26] dB/year vs. 0.03 [-0.14 to 0.19] dB/year, p<0.001). Results of the univariable analysis for factors associated with the 291 292 pointwise rates of change are illustrated in Table S5. In the placebo group, all the 293 non-fluctuation IOP parameters were significantly associated with the pointwise rates 294 (p=0.003 or below). Higher unnormalized long-term IOP fluctuation (estimate [SE]: -295 0.34 [0.13] dB/year for 1 mmHg increase, p=0.008) and OPA (estimate [SE]: -0.65 296 [0.15] dB/year for 1 mmHg increase, p<0.001) were associated with faster pointwise 297 rates of progression. After normalizing IOP fluctuations for mean IOP, only OPA was 298 associated with the rate of progression (estimate [SE]: -1.36 [0.48] dB/year for 1 unit 299 increase, p=0.005). In the treatment arm, none of the IOP variables was associated 300 with the pointwise rates of progression. In the multiple variable model (Figure 8 and 301 Table S6), normalized mean OPA was associated with the pointwise rates of 302 progression in the placebo arm (estimate [SE]: -1.23 [0.46] dB/year for 1 unit

increase, p=0.009), but not in the treatment arm. None of the other fluctuation metrics was associated with the rate of progression in either group. The combined IOP metric, PC1, was associated with the pointwise rate of change in the placebo group (p<0.001), but not in the treatment group (p=0.42). Similarly, none of the unnormalized IOP fluctuation metrics was associated with the pointwise rate of change metrics (Table S7), except for mean OPA in the placebo group (estimate [SE]: -0.47 [0.17] dB/year for 1 mmHg increase, p=0.008).

310 For the five fastest progressing locations, median (IQR) pointwise rates of the 311 five in the placebo and treatment cohort were -1.00 (-1.49 to -0.80) dB/year and -312 0.52 (-0.93 to -0.34) dB/year, respectively (p<0.001). Results of the univariable 313 analysis for factors associated with the rates of the fastest five locations are 314 illustrated in Table S8. In the placebo group, all the non-fluctuation IOP parameters 315 were significantly associated with the pointwise rates (p=0.003 or below). Higher 316 unnormalized (estimate [SE]: -1.67 [0.34] dB/year for 1 mmHg increase, p<0.001) 317 and normalized OPA (estimate [SE]: -3.95 [1.10] dB/year for 1 unit increase, 318 p<0.001) were associated with faster rates of progression. In the treatment arm, 319 higher unnormalized long-term IOP fluctuation was associated with faster rates of 320 progression (estimate [SE]: -0.46 [0.17] dB/year for 1 mmHg increase, p=0.006), but 321 the association was no longer significant after normalizing IOP fluctuation (estimate 322 [SE]: -0.81 [0.44] dB/year for 1 unit increase, p=0.06). In the multiple variable model (Figure 9 and Table S9), CCT (estimate [SE]: 0.26 [0.10] dB/year for 10 µm thicker, 323 p=0.01), normalized OPA (estimate [SE]: -3.50 [1.04] dB/year for 1 unit increase, 324 325 p=0.001), and PC1 (estimate [SE]: -0.58 [0.16] dB/year for 1 PC1 unit increase, p<0.001) were associated with the rates of progression of the fastest five test 326 327 locations in the placebo group; while normalized diurnal and long-term IOP

- 328 fluctuations were not. In the treatment group, PC1 (estimate [SE]: -0.27 [0.12]
- 329 dB/year for 1 PC1 unit increase, p=0.028) was the only factor associated with
- 330 progression rates. Results of the nonnormalized models are shown in Table S10.
- All analyses were repeated with mean IOP, peak IOP and normalized LTF fluctuation
- 332 calculated from corneal compensated IOP as measured with the Ocular Response
- Analyzer (Reichert, Inc, Buffalo, NY) and lead to similar results (Figures S10-S14).

#### 334 **DISCUSSION**

335 In this study, we evaluated whether IOP fluctuation was associated with the rate of glaucomatous visual field progression. We provided a comprehensive 336 337 evaluation of clinically relevant definitions of IOP fluctuation over the course of seconds (OPA), office hours (diurnal fluctuation), and multiple visits over the entire 338 339 follow-up (long-term fluctuation). We found that higher OPA was associated with faster rates of progression, while diurnal or long-term IOP fluctuations were not 340 341 associated with the rate of progression. Elevated IOP metrics (e.g., mean IOP, peak 342 IOP) were consistently associated with the rate of VF progression.

Establishing the relationship between IOP fluctuation and the rates of visual 343 344 field progression is not an easy task for many reasons. First, IOP fluctuation may 345 vary as a function of the time frame over which it is calculated, and there is no 346 consensus on which type of fluctuation is most informative. Our study provided a 347 comprehensive approach, analyzing three measures of fluctuations. Second, the 348 definition of IOP fluctuation is not uniform across studies, with IOP range and SD IOP usually used as measures for IOP fluctuation. It has been suggested that SD 349 350 IOP could be a more robust metric than range IOP as the latter may be heavily influenced by outliers and does not account for the number of IOP measurements.<sup>8</sup> 351 352 In this study, we used SD IOP to calculate diurnal and long-term IOP fluctuation; on 353 the other hand, OPA, a measure of very short-term fluctuation, was an average 354 range of several cardiac cycles. We further mitigated the effect of potential outliers 355 on OPA by obtaining two consecutive OPA measurements at each time point, 356 averaging them to have a single value, and then averaging the resulting values throughout all available follow-up visits. Third, isolating the impact of fluctuation from 357 358 the level of IOP may be challenging because of the intimate relationship between

359 these two variables. IOP fluctuation is known to be positively correlated with mean 360 IOP. In a retrospective study performed on non-human primates of experimental glaucoma, Gardiner and colleagues<sup>10</sup> used the coefficient of variation (SD IOP 361 362 divided by mean IOP) to remove the relationship between these two variables. In our cohort, the coefficient of variation reversed the association with mean IOP values, 363 364 leading to a negative relationship between IOP fluctuation and mean IOP. The explanation for this is likely that there are two components of variability 365 (measurement error and true IOP fluctuation), one of which (true fluctuation) is 366 related to mean IOP and the other (measurement error) is not.<sup>14</sup> Dividing the 367 measurement error by the mean IOP induces the negative association. The method 368 369 of normalization used in our study likely respects both the increased fluctuations at 370 higher mean IOP and constant measurement errors. Fourth, IOP-related metrics 371 tend to be highly correlated because they are related to the same original quantity. Modeling highly correlated variables may lead to a statistical issue called 372 373 multicollinearity. In the presence of multicollinearity, regression models may become 374 inefficient with loss of statistical power, greater computation inaccuracy, unstable estimates, and high variance.<sup>15</sup> Various methods have been proposed to deal with 375 multicollinearity. One or more highly collinear covariates may be omitted from the 376 377 regression model, which may cause information loss. Ridge regression, a form of 378 penalized linear regression, is another popular method to handle multicollinearity; 379 however, it produces biased estimates and is better suited for predictive rather than explanatory models.<sup>16</sup> In our study, we addressed the issue of multicollinearity with 380 381 PCA, which creates a new set of orthogonal linear combinations of the original variables (PCs), by definition perfectly uncorrelated to each other.<sup>17</sup> In this study, we 382 383 used PCA to obtain a maximally informative combined metric of IOP control. Fifth,

384 clinicians are more likely to escalate treatment in progressing patients, inducing IOP 385 fluctuation. This may be easily overlooked in retrospective cohort studies and even in prospective studies if countermeasures are not adopted. The findings of previous 386 387 studies have been greatly questioned because of the possible bias caused by medical and surgical treatment escalation. Our study is not vulnerable to the 388 389 potential confounding effect of treatment escalation as patients in the UKGTS took 390 either latanoprost or placebo for their entire study period. In addition, our study is in 391 the unique position to elucidate the role of IOP fluctuation on glaucomatous 392 progression in untreated patients.

393 The relationship between IOP fluctuation and glaucomatous progression 394 remains highly controversial, with contrasting results reported in the literature. 395 Comparisons of results from different studies, including ours, should be done with 396 caution because of heterogeneity in study populations, designs, definitions of 397 fluctuation and progression, and statistical analysis. Most of the previous studies 398 focused on long-term (intervisit) IOP fluctuation, which is the most accessible 399 fluctuation metric to obtain as it can be estimated from single IOP measurements 400 from multiple visits. Our study did not find any relationship between long-term IOP 401 fluctuation and VF progression rates. Bengtsson et al.<sup>5</sup> conducted a post-hoc 402 analysis from the Early Manifest Glaucoma Trial (EMGT); they found that mean IOP 403 was a strong predictor of glaucoma progression, while IOP fluctuation was not. EMGT and UKGTS share many similarities, including the mild disease stage, type of 404 treatment (i.e., nonsurgical intervention), and mean IOP values. An observational 405 study by Medeiros et al.<sup>18</sup> investigated whether IOP fluctuations were associated 406 with the risk of conversion from ocular hypertensive to glaucoma and found that 407 408 mean IOP, but not long-term IOP fluctuation, was associated with glaucoma

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409 development. Other studies found contrasting results, showing a positive association 410 between long-term IOP fluctuation and VF progression. In a post-hoc analysis of the 411 Advanced Glaucoma Intervention Study (AGIS), Nouri-Mahdavi and colleagues<sup>9</sup> 412 found that long-term IOP fluctuation was an independent risk factor for glaucoma progression, while mean IOP was not. The results of this study were criticized 413 414 because the authors analyzed the entire available follow-up, including time points after treatment escalation. Further intervention, either in the form of trabeculectomy 415 416 or laser trabeculoplasty as per AGIS protocol, might have been itself a cause of 417 clinician-induced increased fluctuation in patients at high risk of progression. In a subsequent post-hoc analysis of the AGIS, Caprioli and Coleman<sup>8</sup> investigated the 418 419 relationship between long-term IOP fluctuation and VF progression, excluding those 420 patients having multiple interventions; they found that long-term IOP fluctuations was 421 significantly associated with VF progression in patients with low mean IOP, but not in 422 those with high IOP. A post-hoc analysis from the Collaborative Initial Glaucoma 423 Treatment Study (CIGTS)<sup>6</sup> examined the role of various IOP parameters on VF progression and found that long-term IOP fluctuation and peak IOP were associated 424 425 with VF progression, while mean IOP was not.

426 The literature on the role of diurnal (or diurnal-nocturnal) IOP fluctuation is 427 scarce, of lower quality, and with conflicting reports. In the Malmö Ocular Hypertension study,<sup>19</sup> diurnal IOP fluctuation was not an independent risk factors for 428 429 the development of glaucoma; conversely, mean IOP was associated with the incidence of glaucomatous visual field loss in patients with OHT. Our study did not 430 431 find an association between diurnal IOP fluctuation and the rate of glaucomatous progression in any of the models, corroborating the findings of the Malmö Ocular 432 433 Hypertension study. In a secondary analysis from a Swedish clinical trial

434 randomizing patients to either pilocarpine or argon laser trabeculoplasty, Bergea et al.<sup>20</sup> investigated the relationship between visual field progression and different IOP 435 variables, and they found that both mean IOP and diurnal IOP fluctuation were 436 437 associated with visual field progression. That study, however, had several limitations, including the small sample size (76 eyes), high proportion of pseudoexfoliation 438 439 glaucoma (72%), and the use of range IOP as a measure of fluctuation, which is vulnerable to outlier and highly related to peak IOP. A retrospective study by Matlach 440 and colleagues<sup>21</sup> assessed the impact of long-term and diurnal-nocturnal IOP 441 442 fluctuation on glaucoma progression in a cohort of 120 glaucoma patients randomly selected from a tertiary referral center; they found that diurnal-nocturnal IOP 443 444 fluctuation was associated with glaucoma progression, while long-term IOP fluctuation and mean IOP were not. A retrospective study by Kim et al.<sup>22</sup> found 445 similar results in a cohort of NTG patients, with higher diurnal IOP fluctuations and 446 disc hemorrhages being associated with higher hazard of visual field progression. 447 448 Both these studies are limited by their retrospective nature, making them vulnerable to potential confounders and selection bias. Also, these studies did not employ any 449 450 statistical method to mitigate multicollinearity.

Besides including these two established measures of IOP fluctuation, we also 451 452 investigated the role of very short-term fluctuation, as measured by the mean ocular 453 pulse amplitude (OPA) over follow-up. OPA is calculated as the difference between systolic and diastolic IOP, as measured by the Pascal dynamic contour tonometer, 454 and informs on how IOP varies across the cardiac cycle, secondary to the pulsatile 455 456 influx/efflux of blood volume into the eye (mainly to choroid). Ocular pulse may be determined by various ocular and systemic factors, including ocular tissue rigidity,<sup>23-</sup> 457 <sup>25</sup> axial length,<sup>26</sup> IOP,<sup>23, 27</sup> blood pressure pulse amplitude,<sup>28, 29</sup> left ventricular 458

ejection time,<sup>30</sup> heart rate,<sup>31, 32</sup> and conditions influencing ocular perfusion (e.g., 459 carotid artery stenosis, tight encircling band).<sup>33, 34</sup> To the best of our knowledge, 460 there are currently no clinical studies investigating the role of OPA (or any metric for 461 462 very short IOP fluctuation) on glaucoma progression. We found that higher OPA was significantly associated with faster pointwise rates of progression in the placebo 463 464 group. Reasons for this finding are speculative. This association may result from an effect of the OPA itself or be related to one or more of its determinants. Animal 465 studies have shown that acute IOP elevation may induce structural optic nerve head 466 467 deformations and functional electrophysiological changes. Hence, multiple transient IOP spikes may cause faster glaucoma progression in vulnerable eyes. This 468 469 explanation seems unlikely as these studies investigated large IOP changes, much 470 larger than those measured with OPA. Higher OPA is associated with increased scleral rigidity and stiffer ocular tissues, which may be less compliant to IOP 471 changes, causing larger stress within the lamina cribrosa secondary to IOP 472 elevation.<sup>35, 36</sup> In a simulation study based on finite element analysis reconstructing a 473 healthy eye model, Jin et al.<sup>36</sup> found that stiffer sclera was associated with higher 474 OPA, larger ONH deformation, and increased shearing forces to neural axons of the 475 476 neuroretinal rim. OPA has been proposed as a surrogate measure for 477 hemodynamics, being influenced by the arterial pulse pressure, heart rate, and left-478 ventricular ejection time. Low diastolic blood pressure, vascular dysregulation and 479 optic nerve hypoperfusion have been associated with glaucoma progression, 480 especially in some phenotypes of open-angle glaucoma. However, one would expect 481 an opposite association to that found in this study, as lower OPA has been associated with lower ocular blood supply. On the other hand, larger arterial pulse 482 483 pressure is associated with systemic hypertension, which may lead to vascular

damage. So, high OPA might be a surrogate for hypertensive vascular damage, and
previous studies<sup>37, 38</sup> have shown that high blood pressure may be a risk factors for
primary open-angle glaucoma.

487 Our study confirms the importance of elevated IOP on glaucoma progression. PC1, which combined information from various IOP parameters (i.e., mean IOP, 488 489 peak IOP, baseline IOP, peak phasing IOP, and supine IOP), was consistently 490 associated with the rate of visual field progression in the placebo group. On the other 491 hand, such a relationship was significant in the treatment group only for the rates of 492 the fastest five visual field locations, but not for global rates of change. The progression rate of the treatment arm was extremely slow during the trial duration, 493 494 and the signal from a few progressing locations may be obscured by the overall stability of most test locations. Comparative studies<sup>39, 40</sup> have shown that pointwise 495 496 methods (especially those considering only locations with significant deterioration) 497 have higher sensitivity and require less time to detect progression than those based 498 on global indices or all test locations. Our study does not provide any information on 499 which IOP metric is the most important for disease progression; this is arduous to 500 tackle because of the intimate relationship among these variables. De Moraes and 501 colleagues<sup>2</sup> evaluated the effect of mean IOP, peak IOP, and SD IOP in a large 502 retrospective cohort of glaucoma patients under clinical care; they found that all 503 these variables were associated with disease progression in the univariable analysis, 504 but only peak IOP was significantly associated with VF progression in the 505 multivariable model. However, mean IOP and peak IOP are highly correlated, and a 506 multivariable model containing both variables would likely suffer from 507 multicollinearity. Treatment modifications highly influence mean IOP and SD IOP in

real-world settings; although the occurrence of glaucoma surgery during follow-upwas taken into consideration, medical treatment escalation was not.

510 We also investigated the impact of non-IOP and other ocular factors on 511 glaucomatous progression rates, including age, CCT, and corneal hysteresis. The evidence for role of CCT as a risk factor for glaucoma progression is often 512 513 misunderstood. A thinner cornea causes artifacts in applanation tonometry, with underestimation of the true IOP.<sup>41</sup> Alternatively, corneal thickness may serve as a 514 biomarker of the biomechanical properties of the lamina cribrosa and peripapillary 515 516 sclera, providing insights into the vulnerability of the optic nerve to increased IOP.<sup>42</sup> An experimental study by Wells and colleagues<sup>43</sup> investigated whether CCT was 517 518 associated with optic disc compliance after inducing acute IOP rise and found no 519 significant association, indicating that CCT may not reflect ocular biomechanics. In our cohort, thinner CCT was associated with faster progression rates in some 520 521 multivariable models (which included IOP metrics), while it did not show significance 522 in any of the univariable models. This suggests that CCT alone is not directly associated with glaucoma progression: rather, it becomes statistically significant 523 524 when measured IOP is included in the model due to the effect of CCT on measured IOP. Other studies, including the Early Manifest Glaucoma Treatment (EMGT)<sup>44</sup> and 525 the Los Angeles Latino Eye Study (LALES),<sup>45</sup> found similar finding, associating thin 526 527 CCT with conversion to glaucoma and incident glaucoma in multivariable models, but not in univariable models. Khawaja and Jansonius<sup>46</sup> performed a simulation 528 study that mimicked datasets similar to the LALES and Ocular Hypertensive 529 530 Treatment Study so that IOP, but not CCT, was not associated with glaucoma risk. Consistent with our findings and those from other studies, they found that CCT was 531 532 not associated with the risk of glaucoma in the univariable model, but a spurious

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association between CCT and glaucoma appeared when measured IOP was addedto the model.

Although previous studies<sup>47-49</sup> have shown a relationship between corneal 535 536 hysteresis and visual field progression rates, we were not able to confirm such association in our cohort. In any given eye, corneal hysteresis is inversely related to 537 538 IOP. Therefore, low corneal hysteresis may reflect high IOP, which is an established risk factors for faster glaucoma progression. Also, corneal hysteresis is directly 539 540 related to corneal stiffness and thickness. Hence, IOP might have underestimated in 541 patients with low corneal hysteresis, with consequent undertreatment leading to 542 faster progression.

543 Many studies have reported an association between older age and faster progression rates.<sup>1, 44, 50, 51</sup> In our study, older age was associated with faster MD 544 545 (but not pointwise) progression rates in the latanoprost group but not in the placebo 546 group. Ageing causes the lamina cribrosa to become stiffer and less compliant, 547 potentially reducing its ability and that of peripapillary sclera to comply with IOP changes. Girard and colleagues<sup>35</sup> investigated the age-related biomechanical 548 differences in monkey posterior sclera and found that older animals had higher 549 tensile stress secondary to IOP elevation than younger ones. As tensile stress 550 551 increased non-linearly with IOP rise, the impact of ageing should theoretically be 552 more pronounced in patients with higher mean IOP; however, we found that older 553 age was associated with worse progression rates in the treatment arm, which had lower mean IOP than the placebo arm. This finding is in agreement with a large 554 555 retrospective cohort study by De Moraes and colleagues<sup>2</sup>, reporting that older age was independently associated with glaucomatous VF progression only in patients 556 557 with lower mean IOP. Similar findings were found in the JAMDIG study, a large

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retrospective study conducted in Japanese patients with fairly low mean IOP
values.<sup>50</sup> An explanation to these findings may be that the impact of non-IOP factors,
including age, becomes more important only after substantially lowering the IOP.

561 This study has limitations. This was a planned secondary analysis based on the UKGTS dataset and the number of subjects and the duration of follow-up may 562 not provide enough statistical power to identify a meaningful relationship between 563 564 IOP fluctuation and visual field progression, especially in the treatment arm, where progression rates were extremely slow over the study period. The study cohort 565 566 included treatment-naïve primary open-glaucoma patients, mainly of European descent and with early glaucomatous damage. Some authors<sup>11</sup> have hypothesized 567 568 that the effect of IOP fluctuation on the rates of visual progression might vary as a 569 function of disease stage, mean IOP, glaucoma subtype, ethnicity, and treatment 570 modality (medical vs surgical intervention); hence, the results of this study may be 571 not entirely generalizable to other populations. Nevertheless, the results of this study 572 are in agreement with those from the EMGT analysis.<sup>5</sup> The diurnal IOP fluctuation calculation was based on five measurements obtained during the morning and 573 574 afternoon, and this study provides only IOP snapshots across the day and no information on IOP fluctuation outside office hours. Although we used clinically 575 576 relevant definitions of IOP fluctuation, these measurements may not adequately 577 characterize short-term IOP variability. Diurnal phasing has been shown to be poorly 578 reproducible, indicating that single-day IOP measurements may not be sufficient to accurately assess short-term fluctuations.<sup>52-55</sup> Our findings are consistent with 579 580 existing literature in this field. A comparison between the two available diurnal IOP curves revealed that the 95% limits of agreement were around 4 mmHg, aligning 581 582 closely with the most pronounced fluctuation extremes observed in this dataset

583 (Figure S15). Differences between diurnal IOP fluctuation calculated in the first and 584 last post-randomization visits were random and approximate a normal distribution (Figure S16). Several studies<sup>56, 57</sup> have documented a nocturnal peak in IOP, 585 586 primarily attributed to an increase in episcleral venous pressure when the body is in a horizontal position. Although our study did not include night-time IOP 587 588 measurements, we did record IOP in a supine position, which is recognized as a reasonable proxy for estimating nocturnal peak levels.<sup>58</sup> While devices for home IOP 589 monitoring<sup>59, 60</sup> or continuous IOP tracking<sup>61, 62</sup> have been introduced, they were not 590 591 collected in the UKGTS study and are generally reserved for research rather than 592 routine clinical use. Although the methodology employed in this study may not 593 capture the entire spectrum or precise patterns of IOP fluctuations, we adopted a 594 clinically relevant approach to defining diurnal IOP fluctuation. 595

In conclusion, this study finds no evidence to support that <u>either</u> diurnal <u>and-or</u> long-term IOP fluctuation, defined in a clinically relevant manner, are independent factors for glaucoma progression. Other aspects of IOP, such as mean IOP and peak IOP, may be more informative. Higher OPA may be an independent factor for faster glaucoma progression.

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# 609 Declaration of Generative AI and AI-assisted technologies in the writing

- 610 process
- 611
- 612 During the preparation of this work the authors used chatGPT3.5 in order to improve
- 613 readability and language of the manuscript. After using this tool/service, the authors
- 614 reviewed and edited the content as needed and take full responsibility for the content
- of the publication.

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#### 791 **FIGURE LEGENDS**

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Figure 5. Boxplots comparing the various IOP metrics in the placebo and treatment
groups. IOP: intraocular pressure; MD: mean deviation; OPA: ocular pulse
amplitude; SD: standard deviation.

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Figure 6. Density plots for the distribution of MD (left panel) and pointwise (right
panel) rates of progression in the placebo and latanoprost groups. MD: mean
deviation. PLR: pointwise linear rates.

800

801 Figure 7. Forest plots for factors associated with the MD rates of progression in the 802 placebo (left panel) and treatment (right panel) group. Dots and bars indicate point 803 estimates and 95% confidence intervals, respectively. Estimates are intended for 1-804 unit increase, unless specified otherwise. Combined IOP metrics PC1 is an unitless 805 variable, which combines fluctuation unrelated IOP metrics (baseline IOP, peak IOP, mean IOP, supine IOP, peak phasing IOP) through Principal Component Analysis. 806 807 CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular pressure; 808 MD: mean deviation; OPA: ocular pulse amplitude; PC1: principal component 1. 809

Figure 8. Forest plots for factors associated with the pointwise rates of progression in the placebo (left panel) and treatment (right panel) group. Dots and bars indicate point estimates and 95% confidence intervals, respectively. Estimates are intended for 1-unit increase, unless specified otherwise. Combined IOP metrics PC1 is an unitless variable, which combines fluctuation unrelated IOP metrics (baseline IOP, peak IOP, mean IOP, supine IOP, peak phasing IOP) through Principal Component Analysis. CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular
pressure; OPA: ocular pulse amplitude; PC1: principal component 1; PLR: pointwise
linear rates.

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**Figure 9.** Forest plots for factors associated with the pointwise rates of progression

of the five fastest locations in the placebo (left panel) and treatment (right panel)

group. Dots and bars indicate point estimates and 95% confidence intervals,

respectively. Estimates are intended for 1-unit increase, unless specified otherwise.

824 Combined IOP metrics PC1 is an unitless variable, which combines fluctuation

unrelated IOP metrics (baseline IOP, peak IOP, mean IOP, supine IOP, peak

826 phasing IOP) through Principal Component Analysis. CCT: central corneal thickness;

827 CH: corneal hysteresis; IOP: intraocular pressure; OPA: ocular pulse amplitude;

828 PC1: principal component 1; PLR: pointwise linear rates.

# - Manuscript -

# Relationship between intraocular pressure fluctuation and visual field progression rates in the United Kingdom Glaucoma Treatment Study

**Authors:** Alessandro Rabiolo, MD, FEBO<sup>1-3</sup>; Giovanni Montesano, MD<sup>1,4</sup>; David P Crabb PhD<sup>4</sup>; David F Garway-Heath, MD, FRCOphth<sup>1</sup>, on behalf of the United Kingdom Glaucoma Treatment Study Investigators

# Authors affiliation:

- (1) NIHR Biomedical Research Centre at Moorfields Eye Hospital and UCL Institute of Ophthalmology, London, United Kingdom.
- (2) Ophthalmology Unit, University Hospital Maggiore della Carità, Novara, Italy.
- (3) Department of Health Sciences, University of Eastern Piedmont "A. Avogadro", Novara, Italy.
- (4) Optometry and Visual Sciences, City University of London, London, London, United Kingdom.

**Corresponding author:** Prof David F Garway-Heath, Moorfields Eye Hospital, London EC1V 2PD, UK; d.garwayheath@nhs.net

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#### ABSTRACT

1 **Purpose.** To investigate whether intraocular pressure (IOP) fluctuation is

2 independently associated with the rate of visual field (VF) progression in the United

3 Kingdom Glaucoma Treatment Study.

4 **Design.** Randomized, double-masked, placebo-controlled multicenter trial.

5 **Participants:** Participants with ≥5 VFs (213 placebo, 217 treatment).

6 **Methods.** Associations between IOP metrics and the VF progression rates (mean

7 deviation (MD) and five fastest locations) were assessed with linear mixed models.

8 Fluctuation variables were mean ocular pulse amplitude (OPA), standard deviation

9 (SD) of diurnal IOP (diurnal fluctuation), and SD of IOP at all visits (long-term

10 fluctuation). Fluctuation values were normalized for mean IOP to make them

11 independent from mean IOP. Correlated non-fluctuation IOP metrics (baseline, peak,

12 mean, supine and peak phasing IOP) were combined with principal component

13 analysis (PCA), and principal component 1 (PC1) was included as a covariate.

14 Interactions between covariates and time from baseline modelled the effect of the

15 variables on VF rates. IOP was measured with Goldmann applanation tonometry and

16 OPA with Pascal tonometry. Analyses were conducted separately in the two

17 treatment arms.

Main Outcome Measures. Associations between IOP fluctuation metrics and rates
 of MD and five fastest test locations.

Results. In the placebo arm, only PC1 was significantly associated with the MD rate
(estimate [standard error (SE)]: -0.19 [0.04] dB/year, p<0.001), while normalized IOP</li>
fluctuation metrics were not. No variable was significantly associated with MD rates
in the treatment arm. For the fastest five locations in the placebo group, PC1
(estimate [SE]: -0.58 [0.16] dB/year, p<0.001), CCT (estimate [standard error (SE)]:</li>

25 0.26 [0.10] dB/year for 10 µm thicker, p=0.01) and normalized OPA (estimate [SE]: -3.50 [1.04] dB/year, p=0.001) were associated with rates of progression; normalized 26 27 diurnal and long-term IOP fluctuations were not. In the treatment group, only PC1 (estimate [SE]: -0.27 [0.12] dB/year, p=0.028) was associated with the rates of 28 29 progression. 30 Conclusions. There is no evidence to support that either diurnal or long-term IOP 31 fluctuation, as measured in clinical practice, are independent factors for glaucoma 32 progression; other aspects of IOP, including mean IOP and peak IOP, may be more 33 informative. OPA may be an independent factor for faster glaucoma progression. 34 35 Keywords: visual field progression; ocular pulse amplitude; risk factors; linear

36 mixed models.

#### 37 INTRODUCTION

Intraocular pressure (IOP) is an established risk factor for glaucoma
 progression, and lowering IOP is currently the only available treatment to slow the
 disease progression.<sup>1-4</sup> Longitudinal measurement of IOP is crucial in evaluating
 glaucoma patients, estimating their risk of developing progressive glaucomatous
 damage, and assessing their response to treatment.

IOP is subject to fluctuations over time. Several IOP-derived parameters are 43 commonly used in clinical practice and research to summarize the behavior of IOP. 44 45 including mean IOP (average of IOP over multiple visits), peak IOP (highest IOP reading over follow-up), and IOP fluctuation (standard deviation [SD] or range IOP 46 47 over time). Many studies have shown that mean IOP and peak IOP are independently associated with glaucoma progression;<sup>1, 2, 5, 6</sup> on the other hand, the 48 exact role of IOP fluctuation is still debated, with discordant results reported in the 49 literature.<sup>5-10</sup> Elucidating the role of IOP fluctuation is difficult for several reasons. 50 51 IOP fluctuation is tightly correlated with other IOP-related metrics (e.g., mean IOP), making it difficult to isolate its role as an independent factor. IOP fluctuation may be 52 artificially increased by escalating treatment in patients with suspect progression. 53 The effect of IOP fluctuation may not be uniform, varying as a function of the disease 54 stage, treatment status, mean IOP values, and definition of fluctuation.<sup>11</sup> 55 56 This planned secondary analysis of the United Kingdom Glaucoma Treatment Study (UKGTS) randomized controlled trial aimed to evaluate whether IOP 57 fluctuation, as assessed by ocular pulse amplitude (OPA), diurnal variation and 58 59 between-visit variation, is independently associated with the rate of visual field progression. The UKGTS is ideal for this purpose because there were no treatment 60

- 61 escalations artificially increasing IOP fluctuation, and the dataset allows evaluation of
- 62 IOP metrics in both untreated and treated glaucoma patients.

#### 63 **METHODS**

## 64 Study Population and Procedures

This study was a planned secondary analysis of data from the UKGTS, which was a multicenter, randomized, triple-masked, placebo-controlled trial investigating the ability of Latanoprost, an IOP lowering medication, to preserve visual function in newly diagnosed open-angle glaucoma patients (trial registration number,

ISRCTN96423140). The UKGTS and the subsequent analysis of anonymized data in
this study complied with the tenets of the Declaration of Helsinki and were approved
by local institutional review boards (Moorfields and Whittington Research Ethics
Committee on June 1, 2006, ethics approval reference, 09/H0721/56). All patients
provided written informed consent at the time of enrolment in the trial.

74 The UKGTS study protocol, baseline characteristics, and outcomes have been published elsewhere.<sup>3, 12, 13</sup> Participants recruited in 10 ophthalmology 75 institutions across the United Kingdom were randomized 1:1 to receive latanoprost 76 77 0.005% or placebo eye drops once in the evening in both eyes for 24 months or until 78 meeting an endpoint. The UKGTS included patients  $\geq$  18 years of age and newly 79 diagnosed treatment-naïve open-angle glaucoma, including primary open-angle and 80 pseudoexfoliation glaucoma. Exclusion criteria were: advanced glaucoma, as 81 defined by visual field mean deviation < -10 dB in the better eye or < -16 dB in the 82 worse eye, mean baseline IOP  $\geq$  30 mmHg, Snellen best-corrected visual acuity 83 (BCVA) < 6/12, and poor image quality (>40 µm mean pixel height standard 84 deviation) with the Heidelberg retina tomograph (Heidelberg Engineering, 85 Heidelberg, Germany).

86 Potentially eligible participants underwent two pre-randomization visits. After 87 meeting the study criteria and signing the written informed consent, participants were

88 randomized either to receive latanoprost 0.005% or placebo eye drops. Enrolled 89 subjects underwent IOP measurement, VF, and imaging at eleven postrandomization visits over 24 months or until meeting an endpoint. Standard 90 91 automated perimetry with the Humphrey Field Analyzer (Carl Zeiss Meditec, Dublin, CA) was performed with stimulus size III, Swedish Interactive Threshold Algorithm 92 93 (SITA) standard strategy, and 24-2 grid. VF testing was performed at all 11 94 scheduled visits over 24 months, and tests were clustered (2 VFs on the same day) at baseline, 2 months, 16 months, 18 months, and 24 months. In this exploratory 95 96 analysis, we included participants from the UKGTS with  $\geq$ 5 reliable visual fields 97 (VFs). Reliable VFs were defined as those with false positives less than 15%, while 98 no limits for false negatives and fixation losses were applied. At the first post-99 randomization visit, the following demographic variables were collected: age, sex, 100 ethnicity, family history of glaucoma, history of systemic diseases (i.e., systemic 101 hypertension, cardiovascular disease, diabetes, heart attack, stroke, sleep apnea, 102 migraine, Raynaud's phenomenon, vasospasm, angina, claudication), and smoking status. The following investigations were also performed: blood pressure 103 104 measurements with the Omron M7 Blood Pressure Monitor (Matsusaka, Mie, Japan), weight, height, slit-lamp examination, refractive error measured either with an 105 106 autorefractor or from spectacle focimetry (if not available, the spherical equivalent of 107 the trial lens was used in the visual field test, based on participants' age), axial 108 length measurement with the IOL Master (Carl Zeiss Meditec, Dublin, CA), and 109 central corneal thickness (CCT) measured with an ultrasound pachymeter. We 110 included one eye per patient; specifically, the eye with the worst baseline VF mean 111 deviation (MD).

112

113 IOP Metrics

114	At all visits, IOP was measured with Goldmann applanation tone	ometry (GAT;
115	Haag Streit, Koeniz, Switzerland), Pascal dynamic contour tonometry (	Ziemer
116	Ophthalmic Systems AG, Zurich, Switzerland), and the Ocular Respon	se Analyzer
117	(Reichert, Inc., Buffalo, NY). Diurnal GAT phasing with IOP measured	every 2 hours
118	from 9 am to 5 pm was performed at the first post-randomization and a	it the final visit.
119	At the first post-randomization visit, supine IOP was measured with Pe	rkins
120	applanation tonometer.	
121	The following IOP metrics were calculated and used for the ana	lyses:
122	Baseline pretreatment IOP, defined as the average of the IOP read	ings obtained
123	in the two pre-randomization visits.	
124	Mean IOP, defined as the average of all post-randomization IOP re	adings.
125	• Peak IOP, defined as the highest IOP reading of all post-randomization	ation IOP
126	readings.	
127	• Supine IOP, defined as the Perkins applanation tonometry IOP rea	dings
128	measured at the first post-randomization visit.	
129	Phasing peak IOP, defined as the highest IOP reading of the diurna	al phasing
130	performed at the first post-randomization visit.	
131	• Diurnal IOP fluctuation, defined as the SD of IOP measurements of	otained from
132	the diurnal IOP phasing performed at the first post-randomization v	isit. Diurnal
133	IOP fluctuation was also calculated using the IOP measurements fr	om the last
134	post-randomization visit.	
135	Long-term IOP fluctuation, defined as the SD of post-randomization	IOP
136	readings.	

Mean ocular pulse amplitude (OPA) from the Pascal Dynamic Contour tonometry.
 OPA was defined as the range of the pulse wave contour and provides a
 measure of how IOP fluctuates over cardiac cycle. We used the average of all
 post-randomization ORA values.

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142 Statistical Analysis

We performed all statistical analyses with the open-source software R (R 143 Foundation for Statistical Computing, Vienna, Austria). Variable distributions were 144 145 inspected with histograms and guantile-guantile plots. We reported mean (± Standard deviation [SD]) and median (interguartile range [IQR]) for Gaussian and 146 147 non-Gaussian variables, respectively. We reported frequencies and proportions for discrete variables. Proportion and pattern of missing data were analyzed. All 148 analyses were conducted with complete cases. All tests were 2-tailed, and p-values 149 150 <0.05 were considered statistically significant.

Demographic and clinical characteristics between the two treatment groups were compared with t-test and chi-squared test for continuous and categorical variables, respectively. Agreement between diurnal IOP fluctuation calculated on the first and last post-randomization visit was investigated with Bland-Altman statistics. We also collected the timing of each IOP measurement and calculated the absolute differences from each measurement and the mean time of day for each patient's IOP measurements.

Linear models were used to evaluate the relationship between (i) mean IOP and long-term IOP fluctuation, (ii) mean IOP and long-term IOP fluctuation/mean IOP, (iii) mean diurnal IOP and diurnal IOP fluctuation, (iv) mean diurnal IOP and diurnal IOP 161 fluctuation/mean diurnal IOP, (v) mean OPA and mean IOP, and (vi) mean

162 OPA/GAT IOP and mean IOP.

IOP fluctuation is known to be positively correlated with mean IOP. Additionally, 163 164 measurement error can contribute to the variability in IOP measurements, potentially confounding true IOP fluctuation. To obtain a measure of IOP fluctuation which is 165 independent from mean IOP, we performed a normalization of IOP fluctuation 166 167 values. Specifically, we ran a linear regression of IOP fluctuation against mean IOP. 168 We then divided the observed IOP fluctuation values by the corresponding predicted 169 values. This process was applied distinctly for each fluctuation metric. For long-term 170 fluctuation, we utilized the SD of all post-randomization IOP readings and their 171 corresponding mean IOP values from all post-randomization readings. For diurnal 172 fluctuation, we used the SD and mean IOP measurements from the diurnal IOP 173 phasing conducted during the first post-randomization visit. For the OPA, we used 174 the average of all post-randomization ORA values for each subject and their 175 corresponding mean IOP across all available post-randomization visits. For OPA, we calculated the average of all post-randomization ORA values for each subject, 176 alongside their corresponding mean IOP from all available post-randomization visits. 177 As shown in Figure S1, normalized IOP fluctuation was unrelated to mean IOP. 178 179 Normalization was further performed on the two study arms separately, leading to 180 almost identical results (data not shown). All analyses were conducted on both 181 normalized and unnormalized IOP fluctuations values.

Linear mixed models with random slopes and random intercepts were used to estimate the rates of progression and investigate associations between the rate of visual field progression and variables of interest. Linear mixed models are an extension of traditional linear models, which can accommodate the repeated186 measure (e.g., multiple measurements from the same eye over time) and clustered 187 (multiple test locations from the same VF) nature of data. We first look at univariable 188 associations between the MD rate of change and each variable of interest. In all 189 models, the MD value at each visit was the outcome variable; the follow-up time in 190 years, the covariate of interest, and their interaction were the fixed effects; the eye 191 identification number and follow-up time were the random intercept and random 192 slope terms, respectively, to account for the repeated measure of data and for the 193 fact that different eves may have different rates of progression over time. Interactions 194 between covariates and time from baseline modeled the variables' effect on the 195 progression rate. We then built multiple variable linear mixed models to account for 196 the impact of fluctuation metrics after adjusting for all other potentially confounding 197 factors, including other IOP metrics. Correlations among candidate covariates were 198 tested with a hierarchical cluster analysis based on the absolute value of Spearman 199 correlations (Figure S2). Some of the variables measuring the magnitude of IOP 200 elevation exhibited high correlations. Highly correlated variables are a source of 201 multicollinearity, causing unstable regression coefficients and large standard errors. 202 To address this issue, all correlated metrics measuring IOP (baseline IOP, peak IOP, 203 mean IOP, supine IOP, peak phasing IOP) were combined using Principal 204 Component Analysis (PCA). These variables had a Spearman rhol of 0.50 or 205 greater. PCA extracts uncorrelated orthogonal vectors (Principal Components [PCs]) 206 from multiple correlated variables. PCs are ranked, with the first PC (PC1) being the 207 one containing the largest amount of combined information from the correlated 208 variables. PCA was performed on standardized data, with zero mean and unit variance. We inspected the PCA model with biplots and scree plots (Figure S3). 209 210 Scree plots were used to visualize the amount of variance explained by the various

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Principal Components and to select the number of PCs to retain for subsequent analyses. PC1 was selected for further analyses, as it explained 81% of the overall variance in the PCA, and used as a fixed effect in the multivariable linear mixed models. The Interaction between PC1 and follow-up time modelled the effect of PC1 on visual field progression rates, as previously explained. PCA was also performed on the two study arms separately, leading to similar results (data not shown).

Similar analyses were run in a pointwise manner, including: (i) all 52 VF test 217 218 locations of the 24-2 grid (after excluding the two locations corresponding to the blind 219 spot), and (ii) the five fastest progressing locations for each study eye (which is 220 conceptually similar to the event-based GPA analysis which identifies the 3 or more 221 locations most different from baseline). Models conducted on the pointwise threshold 222 sensitivity data had a nested random intercept with eye identification number over 223 the test location number to account for the inclusion of multiple pointwise series from 224 the same eye. All models were run separately in the placebo and treatment arms. 225 Regression estimates along with their 95% confidence intervals (95% CIs) and p-226 values were reported.

#### 227 **RESULTS**

228 Of the 461 participants with longitudinal data included in the primary UKGTS analysis, 31 were excluded because of an insufficient number of VFs. The remaining 229 230 430 (placebo arm: 213, treatment arm: 217) participants were included in this study. As shown in Figure S4, most variables had complete observations, with only a few 231 232 variables having missing observations. Spherical equivalent, CCT, and supine IOP 233 values were missing in 26 eyes (6%), 16 (3.7%), and 15 eyes (3.5%), respectively. 234 Mean arterial pressure, body mass index, ethnicity, corneal hysteresis, peak and 235 mean phasing IOP, and diurnal fluctuation were missing in less than 2% of patients. All other variables had no missing data. 236

237 Baseline characteristics of the UKGTS study population have been published 238 elsewhere.<sup>3, 12</sup> Table 1 illustrates the main demographic and clinical characteristics 239 of the patient cohort. Patients in the treatment cohort had significantly longer follow-240 up time than those in the placebo cohort, with a median (IQR) of 1.9 (1.3 to 2.0) and 241 1.6 (1.0 to 2.0) years, respectively (p=0.004). The number of VFs was also significantly greater (p=0.027) in the treatment arm (median [IQR]: 15 [10-16]) than in 242 243 the placebo arm (median [IQR]: 13 [10-16]). In the post-randomization study period, 244 patients in the treatment arm showed higher mean corneal hysteresis than those in 245 the placebo arm (mean [±SD]: 9.4 [±1.6] vs. 8.9 [±1.6] mmHg, p=0.003). As shown in 246 Figure 5, all post-randomization IOP metrics were significantly different between the two arms (p<0.045 or below), except for normalized diurnal IOP fluctuation (p=0.89) 247 and normalized OPA (p=0.93). The median of the absolute differences from the 248 249 mean time of day for each patient's IOP measurements was 1.1 hours, with an interquartile range (IQR) of 0.5 hours (30 minutes) to 2.0 hours. 250

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252 Global MD rate

The distribution of MD rates in the two groups as estimated with linear mixed models is illustrated in Figure 6. Median (IQR) MD rates in the placebo and treatment cohort were -0.23 (-0.73 to 0.11) dB/year and 0.13 (-0.30 to 0.37) dB/year, respectively (p<0.001).

257 In the univariable analysis (Table S2), higher values of all non-fluctuation IOP parameters, including pretreatment baseline IOP (estimate [standard error (SE)]: -258 259 0.06 [0.02] dB/year for 1 mmHg increase, p<0.001), mean IOP (estimate [SE]: -0.08 260 [0.02] dB/year for 1 mmHg increase, p<0.001), peak IOP (estimate [SE]: -0.07 [0.01] dB/year for 1 mmHg increase, p<0.001), peak phasing IOP (estimate [SE]: -0.05 261 262 [0.02] dB/year for 1 mmHg increase, p<0.001), and supine IOP (estimate [SE]: -0.06 263 [0.01] dB/year for 1 mmHg increase, p<0.001), were significantly associated with 264 faster MD rates in the placebo group. With regards to the IOP fluctuations 265 parameters, higher long-term IOP fluctuation (estimate [SE]: -0.27 [0.07] dB/year for 266 1 mmHg increase, p<0.001) and OPA (estimate [SE]: -0.32 [0.09] dB/year for 1 mmHg increase, p<0.001) were associated with faster MD rates of change, while 267 268 diurnal IOP fluctuation was not (p=0.23). None of the fluctuation parameters was associated with the MD rate after normalizing for the mean IOP (p=0.11 or above). In 269 270 the treatment arm, none of the variables was significantly associated with the MD 271 rate, except for long-term IOP fluctuation (estimate [SE]: -0.12 [0.06] dB/year for 1 272 mmHg increase, p=0.047).

273 Results of the multivariable model for factors associated with MD rate of 274 progression are illustrated in Figure 7 and detailed in Table S3. In the placebo arm, 275 PC1, which combined information from all the non-fluctuation IOP parameters, was 276 the only factor associated with the MD rate (estimate [SE]: -0.19 [0.08] dB/year for 1 277 unit increase, p<0.001), while the various normalized IOP fluctuation metrics were 278 not. Thinner CCT had an association of borderline statistical significance with faster VF progression rates (estimate [SE]: 0.05 [0.02] dB/year for 10 µm thicker, p=0.06). 279 280 None of the variables was significantly associated with the MD rate of progression in the treatment arm. Older age was associated with faster MD rates (estimate [SE]: -281 282 0.12 [0.06] dB/year for a 10-year increase) in the treatment arm, but this only approached nominal statistical significance (p=0.06). Similar results were obtained 283 284 when analyzing unnormalized IOP fluctuation metrics (Table S4).

285

## 286 *Pointwise Rates*

287 Figure 6 illustrates the distribution of pointwise progression rates in the two 288 groups. Pointwise rates were significantly faster in the placebo group than in the 289 treatment group (median [IQR]: -0.42 [-0.59 to -0.26] dB/year vs. 0.03 [-0.14 to 0.19] 290 dB/year, p<0.001). Results of the univariable analysis for factors associated with the 291 pointwise rates of change are illustrated in Table S5. In the placebo group, all the 292 non-fluctuation IOP parameters were significantly associated with the pointwise rates 293 (p=0.003 or below). Higher unnormalized long-term IOP fluctuation (estimate [SE]: -294 0.34 [0.13] dB/year for 1 mmHg increase, p=0.008) and OPA (estimate [SE]: -0.65 295 [0.15] dB/year for 1 mmHg increase, p<0.001) were associated with faster pointwise 296 rates of progression. After normalizing IOP fluctuations for mean IOP, only OPA was 297 associated with the rate of progression (estimate [SE]: -1.36 [0.48] dB/year for 1 unit increase, p=0.005). In the treatment arm, none of the IOP variables was associated 298 299 with the pointwise rates of progression. In the multiple variable model (Figure 8 and 300 Table S6), normalized mean OPA was associated with the pointwise rates of 301 progression in the placebo arm (estimate [SE]: -1.23 [0.46] dB/year for 1 unit

increase, p=0.009), but not in the treatment arm. None of the other fluctuation metrics was associated with the rate of progression in either group. The combined IOP metric, PC1, was associated with the pointwise rate of change in the placebo group (p<0.001), but not in the treatment group (p=0.42). Similarly, none of the unnormalized IOP fluctuation metrics was associated with the pointwise rate of change metrics (Table S7), except for mean OPA in the placebo group (estimate [SE]: -0.47 [0.17] dB/year for 1 mmHg increase, p=0.008).

309 For the five fastest progressing locations, median (IQR) pointwise rates of the 310 five in the placebo and treatment cohort were -1.00 (-1.49 to -0.80) dB/year and -311 0.52 (-0.93 to -0.34) dB/year, respectively (p<0.001). Results of the univariable 312 analysis for factors associated with the rates of the fastest five locations are 313 illustrated in Table S8. In the placebo group, all the non-fluctuation IOP parameters 314 were significantly associated with the pointwise rates (p=0.003 or below). Higher 315 unnormalized (estimate [SE]: -1.67 [0.34] dB/year for 1 mmHg increase, p<0.001) 316 and normalized OPA (estimate [SE]: -3.95 [1.10] dB/year for 1 unit increase, 317 p<0.001) were associated with faster rates of progression. In the treatment arm, 318 higher unnormalized long-term IOP fluctuation was associated with faster rates of 319 progression (estimate [SE]: -0.46 [0.17] dB/year for 1 mmHg increase, p=0.006), but 320 the association was no longer significant after normalizing IOP fluctuation (estimate 321 [SE]: -0.81 [0.44] dB/year for 1 unit increase, p=0.06). In the multiple variable model 322 (Figure 9 and Table S9), CCT (estimate [SE]: 0.26 [0.10] dB/year for 10 µm thicker, p=0.01), normalized OPA (estimate [SE]: -3.50 [1.04] dB/year for 1 unit increase, 323 324 p=0.001), and PC1 (estimate [SE]: -0.58 [0.16] dB/year for 1 PC1 unit increase, p<0.001) were associated with the rates of progression of the fastest five test 325 326 locations in the placebo group; while normalized diurnal and long-term IOP

- 327 fluctuations were not. In the treatment group, PC1 (estimate [SE]: -0.27 [0.12]
- 328 dB/year for 1 PC1 unit increase, p=0.028) was the only factor associated with
- 329 progression rates. Results of the nonnormalized models are shown in Table S10.
- All analyses were repeated with mean IOP, peak IOP and normalized LTF fluctuation
- 331 calculated from corneal compensated IOP as measured with the Ocular Response
- 332 Analyzer (Reichert, Inc, Buffalo, NY) and lead to similar results (Figures S10-S14).

#### 333 **DISCUSSION**

334 In this study, we evaluated whether IOP fluctuation was associated with the rate of glaucomatous visual field progression. We provided a comprehensive 335 336 evaluation of clinically relevant definitions of IOP fluctuation over the course of seconds (OPA), office hours (diurnal fluctuation), and multiple visits over the entire 337 338 follow-up (long-term fluctuation). We found that higher OPA was associated with faster rates of progression, while diurnal or long-term IOP fluctuations were not 339 340 associated with the rate of progression. Elevated IOP metrics (e.g., mean IOP, peak 341 IOP) were consistently associated with the rate of VF progression.

Establishing the relationship between IOP fluctuation and the rates of visual 342 343 field progression is not an easy task for many reasons. First, IOP fluctuation may 344 vary as a function of the time frame over which it is calculated, and there is no 345 consensus on which type of fluctuation is most informative. Our study provided a comprehensive approach, analyzing three measures of fluctuations. Second, the 346 347 definition of IOP fluctuation is not uniform across studies, with IOP range and SD IOP usually used as measures for IOP fluctuation. It has been suggested that SD 348 349 IOP could be a more robust metric than range IOP as the latter may be heavily influenced by outliers and does not account for the number of IOP measurements.<sup>8</sup> 350 351 In this study, we used SD IOP to calculate diurnal and long-term IOP fluctuation; on 352 the other hand, OPA, a measure of very short-term fluctuation, was an average 353 range of several cardiac cycles. We further mitigated the effect of potential outliers 354 on OPA by obtaining two consecutive OPA measurements at each time point, 355 averaging them to have a single value, and then averaging the resulting values throughout all available follow-up visits. Third, isolating the impact of fluctuation from 356 357 the level of IOP may be challenging because of the intimate relationship between

358 these two variables. IOP fluctuation is known to be positively correlated with mean 359 IOP. In a retrospective study performed on non-human primates of experimental glaucoma, Gardiner and colleagues<sup>10</sup> used the coefficient of variation (SD IOP 360 361 divided by mean IOP) to remove the relationship between these two variables. In our cohort, the coefficient of variation reversed the association with mean IOP values, 362 leading to a negative relationship between IOP fluctuation and mean IOP. The 363 explanation for this is likely that there are two components of variability 364 (measurement error and true IOP fluctuation), one of which (true fluctuation) is 365 related to mean IOP and the other (measurement error) is not.<sup>14</sup> Dividing the 366 measurement error by the mean IOP induces the negative association. The method 367 368 of normalization used in our study likely respects both the increased fluctuations at 369 higher mean IOP and constant measurement errors. Fourth, IOP-related metrics 370 tend to be highly correlated because they are related to the same original quantity. Modeling highly correlated variables may lead to a statistical issue called 371 372 multicollinearity. In the presence of multicollinearity, regression models may become 373 inefficient with loss of statistical power, greater computation inaccuracy, unstable estimates, and high variance.<sup>15</sup> Various methods have been proposed to deal with 374 multicollinearity. One or more highly collinear covariates may be omitted from the 375 376 regression model, which may cause information loss. Ridge regression, a form of 377 penalized linear regression, is another popular method to handle multicollinearity; however, it produces biased estimates and is better suited for predictive rather than 378 explanatory models.<sup>16</sup> In our study, we addressed the issue of multicollinearity with 379 380 PCA, which creates a new set of orthogonal linear combinations of the original variables (PCs), by definition perfectly uncorrelated to each other.<sup>17</sup> In this study, we 381 382 used PCA to obtain a maximally informative combined metric of IOP control. Fifth,

383 clinicians are more likely to escalate treatment in progressing patients, inducing IOP 384 fluctuation. This may be easily overlooked in retrospective cohort studies and even in prospective studies if countermeasures are not adopted. The findings of previous 385 386 studies have been greatly questioned because of the possible bias caused by medical and surgical treatment escalation. Our study is not vulnerable to the 387 388 potential confounding effect of treatment escalation as patients in the UKGTS took 389 either latanoprost or placebo for their entire study period. In addition, our study is in 390 the unique position to elucidate the role of IOP fluctuation on glaucomatous 391 progression in untreated patients.

392 The relationship between IOP fluctuation and glaucomatous progression 393 remains highly controversial, with contrasting results reported in the literature. 394 Comparisons of results from different studies, including ours, should be done with 395 caution because of heterogeneity in study populations, designs, definitions of 396 fluctuation and progression, and statistical analysis. Most of the previous studies 397 focused on long-term (intervisit) IOP fluctuation, which is the most accessible fluctuation metric to obtain as it can be estimated from single IOP measurements 398 399 from multiple visits. Our study did not find any relationship between long-term IOP 400 fluctuation and VF progression rates. Bengtsson et al.<sup>5</sup> conducted a post-hoc 401 analysis from the Early Manifest Glaucoma Trial (EMGT); they found that mean IOP 402 was a strong predictor of glaucoma progression, while IOP fluctuation was not. EMGT and UKGTS share many similarities, including the mild disease stage, type of 403 treatment (i.e., nonsurgical intervention), and mean IOP values. An observational 404 study by Medeiros et al.<sup>18</sup> investigated whether IOP fluctuations were associated 405 with the risk of conversion from ocular hypertensive to glaucoma and found that 406 407 mean IOP, but not long-term IOP fluctuation, was associated with glaucoma

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408 development. Other studies found contrasting results, showing a positive association 409 between long-term IOP fluctuation and VF progression. In a post-hoc analysis of the 410 Advanced Glaucoma Intervention Study (AGIS), Nouri-Mahdavi and colleagues<sup>9</sup> 411 found that long-term IOP fluctuation was an independent risk factor for glaucoma progression, while mean IOP was not. The results of this study were criticized 412 413 because the authors analyzed the entire available follow-up, including time points after treatment escalation. Further intervention, either in the form of trabeculectomy 414 415 or laser trabeculoplasty as per AGIS protocol, might have been itself a cause of 416 clinician-induced increased fluctuation in patients at high risk of progression. In a 417 subsequent post-hoc analysis of the AGIS, Caprioli and Coleman<sup>8</sup> investigated the 418 relationship between long-term IOP fluctuation and VF progression, excluding those 419 patients having multiple interventions; they found that long-term IOP fluctuations was 420 significantly associated with VF progression in patients with low mean IOP, but not in 421 those with high IOP. A post-hoc analysis from the Collaborative Initial Glaucoma 422 Treatment Study (CIGTS)<sup>6</sup> examined the role of various IOP parameters on VF progression and found that long-term IOP fluctuation and peak IOP were associated 423 424 with VF progression, while mean IOP was not.

The literature on the role of diurnal (or diurnal-nocturnal) IOP fluctuation is 425 426 scarce, of lower quality, and with conflicting reports. In the Malmö Ocular Hypertension study,<sup>19</sup> diurnal IOP fluctuation was not an independent risk factors for 427 the development of glaucoma; conversely, mean IOP was associated with the 428 incidence of glaucomatous visual field loss in patients with OHT. Our study did not 429 430 find an association between diurnal IOP fluctuation and the rate of glaucomatous progression in any of the models, corroborating the findings of the Malmö Ocular 431 432 Hypertension study. In a secondary analysis from a Swedish clinical trial
433 randomizing patients to either pilocarpine or argon laser trabeculoplasty, Bergea et al.<sup>20</sup> investigated the relationship between visual field progression and different IOP 434 variables, and they found that both mean IOP and diurnal IOP fluctuation were 435 436 associated with visual field progression. That study, however, had several limitations, including the small sample size (76 eyes), high proportion of pseudoexfoliation 437 438 glaucoma (72%), and the use of range IOP as a measure of fluctuation, which is vulnerable to outlier and highly related to peak IOP. A retrospective study by Matlach 439 and colleagues<sup>21</sup> assessed the impact of long-term and diurnal-nocturnal IOP 440 441 fluctuation on glaucoma progression in a cohort of 120 glaucoma patients randomly selected from a tertiary referral center; they found that diurnal-nocturnal IOP 442 443 fluctuation was associated with glaucoma progression, while long-term IOP fluctuation and mean IOP were not. A retrospective study by Kim et al.<sup>22</sup> found 444 similar results in a cohort of NTG patients, with higher diurnal IOP fluctuations and 445 disc hemorrhages being associated with higher hazard of visual field progression. 446 447 Both these studies are limited by their retrospective nature, making them vulnerable to potential confounders and selection bias. Also, these studies did not employ any 448 449 statistical method to mitigate multicollinearity.

Besides including these two established measures of IOP fluctuation, we also 450 451 investigated the role of very short-term fluctuation, as measured by the mean ocular 452 pulse amplitude (OPA) over follow-up. OPA is calculated as the difference between systolic and diastolic IOP, as measured by the Pascal dynamic contour tonometer, 453 and informs on how IOP varies across the cardiac cycle, secondary to the pulsatile 454 455 influx/efflux of blood volume into the eye (mainly to choroid). Ocular pulse may be determined by various ocular and systemic factors, including ocular tissue rigidity,<sup>23-</sup> 456 <sup>25</sup> axial length,<sup>26</sup> IOP,<sup>23, 27</sup> blood pressure pulse amplitude,<sup>28, 29</sup> left ventricular 457

ejection time,<sup>30</sup> heart rate,<sup>31, 32</sup> and conditions influencing ocular perfusion (e.g., 458 carotid artery stenosis, tight encircling band).<sup>33, 34</sup> To the best of our knowledge, 459 there are currently no clinical studies investigating the role of OPA (or any metric for 460 461 very short IOP fluctuation) on glaucoma progression. We found that higher OPA was significantly associated with faster pointwise rates of progression in the placebo 462 463 group. Reasons for this finding are speculative. This association may result from an effect of the OPA itself or be related to one or more of its determinants. Animal 464 studies have shown that acute IOP elevation may induce structural optic nerve head 465 466 deformations and functional electrophysiological changes. Hence, multiple transient IOP spikes may cause faster glaucoma progression in vulnerable eyes. This 467 468 explanation seems unlikely as these studies investigated large IOP changes, much 469 larger than those measured with OPA. Higher OPA is associated with increased scleral rigidity and stiffer ocular tissues, which may be less compliant to IOP 470 changes, causing larger stress within the lamina cribrosa secondary to IOP 471 elevation.<sup>35, 36</sup> In a simulation study based on finite element analysis reconstructing a 472 healthy eye model, Jin et al.<sup>36</sup> found that stiffer sclera was associated with higher 473 OPA, larger ONH deformation, and increased shearing forces to neural axons of the 474 475 neuroretinal rim. OPA has been proposed as a surrogate measure for 476 hemodynamics, being influenced by the arterial pulse pressure, heart rate, and left-477 ventricular ejection time. Low diastolic blood pressure, vascular dysregulation and optic nerve hypoperfusion have been associated with glaucoma progression, 478 479 especially in some phenotypes of open-angle glaucoma. However, one would expect 480 an opposite association to that found in this study, as lower OPA has been associated with lower ocular blood supply. On the other hand, larger arterial pulse 481 482 pressure is associated with systemic hypertension, which may lead to vascular

damage. So, high OPA might be a surrogate for hypertensive vascular damage, and
previous studies<sup>37, 38</sup> have shown that high blood pressure may be a risk factors for
primary open-angle glaucoma.

486 Our study confirms the importance of elevated IOP on glaucoma progression. PC1, which combined information from various IOP parameters (i.e., mean IOP, 487 peak IOP, baseline IOP, peak phasing IOP, and supine IOP), was consistently 488 489 associated with the rate of visual field progression in the placebo group. On the other 490 hand, such a relationship was significant in the treatment group only for the rates of 491 the fastest five visual field locations, but not for global rates of change. The progression rate of the treatment arm was extremely slow during the trial duration, 492 493 and the signal from a few progressing locations may be obscured by the overall stability of most test locations. Comparative studies<sup>39, 40</sup> have shown that pointwise 494 495 methods (especially those considering only locations with significant deterioration) 496 have higher sensitivity and require less time to detect progression than those based 497 on global indices or all test locations. Our study does not provide any information on which IOP metric is the most important for disease progression; this is arduous to 498 499 tackle because of the intimate relationship among these variables. De Moraes and 500 colleagues<sup>2</sup> evaluated the effect of mean IOP, peak IOP, and SD IOP in a large 501 retrospective cohort of glaucoma patients under clinical care; they found that all 502 these variables were associated with disease progression in the univariable analysis, 503 but only peak IOP was significantly associated with VF progression in the 504 multivariable model. However, mean IOP and peak IOP are highly correlated, and a 505 multivariable model containing both variables would likely suffer from 506 multicollinearity. Treatment modifications highly influence mean IOP and SD IOP in

real-world settings; although the occurrence of glaucoma surgery during follow-upwas taken into consideration, medical treatment escalation was not.

509 We also investigated the impact of non-IOP and other ocular factors on 510 glaucomatous progression rates, including age, CCT, and corneal hysteresis. The evidence for role of CCT as a risk factor for glaucoma progression is often 511 512 misunderstood. A thinner cornea causes artifacts in applanation tonometry, with underestimation of the true IOP.<sup>41</sup> Alternatively, corneal thickness may serve as a 513 biomarker of the biomechanical properties of the lamina cribrosa and peripapillary 514 515 sclera, providing insights into the vulnerability of the optic nerve to increased IOP.<sup>42</sup> An experimental study by Wells and colleagues<sup>43</sup> investigated whether CCT was 516 517 associated with optic disc compliance after inducing acute IOP rise and found no 518 significant association, indicating that CCT may not reflect ocular biomechanics. In our cohort, thinner CCT was associated with faster progression rates in some 519 520 multivariable models (which included IOP metrics), while it did not show significance 521 in any of the univariable models. This suggests that CCT alone is not directly 522 associated with glaucoma progression: rather, it becomes statistically significant 523 when measured IOP is included in the model due to the effect of CCT on measured IOP. Other studies, including the Early Manifest Glaucoma Treatment (EMGT)<sup>44</sup> and 524 the Los Angeles Latino Eye Study (LALES),<sup>45</sup> found similar finding, associating thin 525 526 CCT with conversion to glaucoma and incident glaucoma in multivariable models, 527 but not in univariable models. Khawaja and Jansonius<sup>46</sup> performed a simulation study that mimicked datasets similar to the LALES and Ocular Hypertensive 528 529 Treatment Study so that IOP, but not CCT, was not associated with glaucoma risk. Consistent with our findings and those from other studies, they found that CCT was 530 531 not associated with the risk of glaucoma in the univariable model, but a spurious

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association between CCT and glaucoma appeared when measured IOP was addedto the model.

Although previous studies<sup>47-49</sup> have shown a relationship between corneal 534 535 hysteresis and visual field progression rates, we were not able to confirm such association in our cohort. In any given eye, corneal hysteresis is inversely related to 536 537 IOP. Therefore, low corneal hysteresis may reflect high IOP, which is an established risk factors for faster glaucoma progression. Also, corneal hysteresis is directly 538 539 related to corneal stiffness and thickness. Hence, IOP might have underestimated in 540 patients with low corneal hysteresis, with consequent undertreatment leading to 541 faster progression.

542 Many studies have reported an association between older age and faster progression rates.<sup>1, 44, 50, 51</sup> In our study, older age was associated with faster MD 543 544 (but not pointwise) progression rates in the latanoprost group but not in the placebo 545 group. Ageing causes the lamina cribrosa to become stiffer and less compliant, 546 potentially reducing its ability and that of peripapillary sclera to comply with IOP changes. Girard and colleagues<sup>35</sup> investigated the age-related biomechanical 547 differences in monkey posterior sclera and found that older animals had higher 548 549 tensile stress secondary to IOP elevation than younger ones. As tensile stress 550 increased non-linearly with IOP rise, the impact of ageing should theoretically be 551 more pronounced in patients with higher mean IOP; however, we found that older 552 age was associated with worse progression rates in the treatment arm, which had lower mean IOP than the placebo arm. This finding is in agreement with a large 553 554 retrospective cohort study by De Moraes and colleagues<sup>2</sup>, reporting that older age was independently associated with glaucomatous VF progression only in patients 555 556 with lower mean IOP. Similar findings were found in the JAMDIG study, a large

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retrospective study conducted in Japanese patients with fairly low mean IOP
values.<sup>50</sup> An explanation to these findings may be that the impact of non-IOP factors,
including age, becomes more important only after substantially lowering the IOP.

560 This study has limitations. This was a planned secondary analysis based on the UKGTS dataset and the number of subjects and the duration of follow-up may 561 562 not provide enough statistical power to identify a meaningful relationship between 563 IOP fluctuation and visual field progression, especially in the treatment arm, where 564 progression rates were extremely slow over the study period. The study cohort 565 included treatment-naïve primary open-glaucoma patients, mainly of European descent and with early glaucomatous damage. Some authors<sup>11</sup> have hypothesized 566 567 that the effect of IOP fluctuation on the rates of visual progression might vary as a 568 function of disease stage, mean IOP, glaucoma subtype, ethnicity, and treatment 569 modality (medical vs surgical intervention); hence, the results of this study may be 570 not entirely generalizable to other populations. Nevertheless, the results of this study 571 are in agreement with those from the EMGT analysis.<sup>5</sup> The diurnal IOP fluctuation calculation was based on five measurements obtained during the morning and 572 573 afternoon, and this study provides only IOP snapshots across the day and no 574 information on IOP fluctuation outside office hours. Although we used clinically 575 relevant definitions of IOP fluctuation, these measurements may not adequately 576 characterize short-term IOP variability. Diurnal phasing has been shown to be poorly 577 reproducible, indicating that single-day IOP measurements may not be sufficient to accurately assess short-term fluctuations.<sup>52-55</sup> Our findings are consistent with 578 579 existing literature in this field. A comparison between the two available diurnal IOP curves revealed that the 95% limits of agreement were around 4 mmHg, aligning 580 581 closely with the most pronounced fluctuation extremes observed in this dataset

582 (Figure S15). Differences between diurnal IOP fluctuation calculated in the first and 583 last post-randomization visits were random and approximate a normal distribution (Figure S16). Several studies<sup>56, 57</sup> have documented a nocturnal peak in IOP, 584 585 primarily attributed to an increase in episcleral venous pressure when the body is in a horizontal position. Although our study did not include night-time IOP 586 587 measurements, we did record IOP in a supine position, which is recognized as a reasonable proxy for estimating nocturnal peak levels.<sup>58</sup> While devices for home IOP 588 monitoring<sup>59, 60</sup> or continuous IOP tracking<sup>61, 62</sup> have been introduced, they were not 589 590 collected in the UKGTS study and are generally reserved for research rather than 591 routine clinical use. Although the methodology employed in this study may not 592 capture the entire spectrum or precise patterns of IOP fluctuations, we adopted a 593 clinically relevant approach to defining diurnal IOP fluctuation. 594 In conclusion, this study finds no evidence to support that either diurnal or

In conclusion, this study finds no evidence to support that either didmar of
 long-term IOP fluctuation, defined in a clinically relevant manner, are independent
 factors for glaucoma progression. Other aspects of IOP, such as mean IOP and
 peak IOP, may be more informative. Higher OPA may be an independent factor for
 faster glaucoma progression.

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### 608 Declaration of Generative AI and AI-assisted technologies in the writing

### 609 process

- 610
- 611 During the preparation of this work the authors used chatGPT3.5 in order to improve
- 612 readability and language of the manuscript. After using this tool/service, the authors
- 613 reviewed and edited the content as needed and take full responsibility for the content
- 614 of the publication.

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#### 790 **FIGURE LEGENDS**

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Figure 5. Boxplots comparing the various IOP metrics in the placebo and treatment
groups. IOP: intraocular pressure; MD: mean deviation; OPA: ocular pulse
amplitude; SD: standard deviation.

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Figure 6. Density plots for the distribution of MD (left panel) and pointwise (right
panel) rates of progression in the placebo and latanoprost groups. MD: mean

798 deviation. PLR: pointwise linear rates.

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800 Figure 7. Forest plots for factors associated with the MD rates of progression in the 801 placebo (left panel) and treatment (right panel) group. Dots and bars indicate point 802 estimates and 95% confidence intervals, respectively. Estimates are intended for 1-803 unit increase, unless specified otherwise. Combined IOP metrics PC1 is an unitless 804 variable, which combines fluctuation unrelated IOP metrics (baseline IOP, peak IOP, 805 mean IOP, supine IOP, peak phasing IOP) through Principal Component Analysis. 806 CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular pressure; 807 MD: mean deviation; OPA: ocular pulse amplitude; PC1: principal component 1. 808

**Figure 8.** Forest plots for factors associated with the pointwise rates of progression in the placebo **(left panel)** and treatment **(right panel)** group. Dots and bars indicate point estimates and 95% confidence intervals, respectively. Estimates are intended for 1-unit increase, unless specified otherwise. Combined IOP metrics PC1 is an unitless variable, which combines fluctuation unrelated IOP metrics (baseline IOP, peak IOP, mean IOP, supine IOP, peak phasing IOP) through Principal Component Analysis. CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular
pressure; OPA: ocular pulse amplitude; PC1: principal component 1; PLR: pointwise
linear rates.

818

819 **Figure 9.** Forest plots for factors associated with the pointwise rates of progression

of the five fastest locations in the placebo (left panel) and treatment (right panel)

group. Dots and bars indicate point estimates and 95% confidence intervals,

respectively. Estimates are intended for 1-unit increase, unless specified otherwise.

823 Combined IOP metrics PC1 is an unitless variable, which combines fluctuation

unrelated IOP metrics (baseline IOP, peak IOP, mean IOP, supine IOP, peak

phasing IOP) through Principal Component Analysis. CCT: central corneal thickness;

826 CH: corneal hysteresis; IOP: intraocular pressure; OPA: ocular pulse amplitude;

827 PC1: principal component 1; PLR: pointwise linear rates.









## PLR – ALL LOCATIONS



# **PLR – 5 FASTEST LOCATIONS**



<b>Table 1.</b> Baseline demographic and clinical characteristics of thestudy population		
Variable	Placebo Cohort	Treatment Cohort
No. Eyes/Patients	213/213	217/217
Age, years, mean (±SD)	66.5 (±10.3)	65.1 (±10.4)
Sex, male/female	105/108	119/98
Eye, right / left	92/121	80/137
Ethnicity		
White	193 (90.6%)	197 (90.8%)
Black	11 (5.2%)	7 (3.2%)
Asian	5 (2.3%)	9 (4.2%)
Other	1 (0.5%)	2 (0.9%)
Unknown	3 (1.4%)	2 (0.9%)
Baseline IOP, mmHg, mean (±SD)	19.5 (16.0 to 22.8)	19.3 (16.5 to 22.0)
Baseline MD, dB, median (IQR)	-3.4 (-2.0 to -5.6)	-3.4 (-2.1 to -5.4)
CCT, micron, mean (±SD)	544 (±34)	539 (±34)
CCT: central corneal thickness, IOP: intraocular pressure; IQR: interguartile range; MD: mean deviation; SD: standard deviation.		

Figure S1

**UNNORMALIZED** 

### LONG-TERM IOP FLUCTUATION



Figure S1. Bivariate plots showing the relationship between mean IOPs and the various unnormalized (top row) and normalized (bottom row) IOP

**DIURNAL IOP FLUCTUATION** 

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fluctuation metrics. Blue lines and grey shadow represent regression lines and 95% confidence intervals, respectively. IOP: intraocular pressure; OPA: ocular pulse amplitude; SD: standard deviation.

**MEAN OPA** 





**Figure S2.** Hierarchical cluster analysis of covariates based on the absolute value of Spearman's correlation coefficient for original variables (**left panel**) and after combining baseline IOP, peak IOP, mean IOP, supine IOP, peak phasing IOP into a combined IOP metric through PCA (**right panel**). IOP: intraocular pressure; OPA: ocular pulse amplitude; PCA: principal component analysis; PC1: principal component 1.

COVARIATES CORRELATIONS PCA

HYSTERESIS

NORMALIZED DIURNAL FLUCTUATION

COMBINED IOP METRICS - PC1'

NORMALIZED MEAN OPA'

NORMALIZED LONG-TERM FLUCTUATION



Figure S3. Principal component analysis (PCA) Biplot (left panel) and scree plot

(right panel). IOP: intraocular pressure; PC1: principal component 1; PC2: principal

component 2.

### **Proportion of Missing Data**

### Patterns of Missing Data



**Figure S4.** Fraction of missing data for each variable (**left panel**) and hierarchical cluster analysis of missingness combinations (**right panel**). ACD: anterior chamber depth; AXL: axial length; BMI: body mass index; CCT: central corneal thickness; IOP: intraocular pressure; MD: mean deviation; OPA: ocular pulse amplitude; VA: visual acuity.

## **GAT IOP**

### LONG-TERM GAT IOP FLUCTUATION

## **ORA IOPcc**

### LONG-TERM IOPcc FLUCTUATION



**Figure S10.** Bivariate plots showing the relationship between mean IOP and long-term IOP fluctuation as measured with GAT (**left column**) and ORA (**right column**). Blue lines and grey shadow represent regression lines and 95% confidence intervals, respectively. GAT: Goldmann applanation tonometer; IOP: intraocular pressure; IOPcc: corneal-compensaterd IOP; ORA: ocular response analyzer; SD: standard deviation.



**Figure S11.** Principal component analysis (PCA) biplot **(left panel)** and scree plot **(right panel)** using IOPcc values to estimate mean and peak IOP. IOP: intraocular pressure; IOPcc: corneal-compensated IOP; PC1: principal component 1; PC2: principal component 2.

# **MD RATE**



**Figure S12.** Forest plots for factors associated with the MD rates of progression in the placebo **(left panel)** and treatment **(right panel)** group. Mean IOP, peak IOP and normalized LTF fluctuation were calculated from corneal compensated IOP as measured with the Ocular Response Analyzer (Reichert, Inc, Buffalo, NY). Dots and bars indicate point estimates and 95% confidence intervals, respectively. Estimates are intended for 1-unit increase, unless specified otherwise. CCT: central corneal thickness; IOP: intraocular pressure; IOPcc: corneal-compensated IOP; MD: mean deviation; OPA: ocular pulse amplitude; PC1: principal component 1

# **PLR – ALL LOCATIONS**



**Figure S13.** Forest plots for factors associated with the pointwise rates of progression in the placebo (**left panel**) and treatment (**right panel**) group. Mean IOP, peak IOP and normalized LTF fluctuation were calculated from corneal compensated IOP as measured with the Ocular Response Analyzer (Reichert, Inc, Buffalo, NY). Dots and bars indicate point estimates and 95% confidence intervals, respectively. Estimates are intended for 1-unit increase, unless specified otherwise. CCT: central corneal thickness; IOP: intraocular pressure; IOPcc: corneal-compensated IOP; MD: mean deviation; OPA: ocular pulse amplitude; PC1: principal component 1

#### Figure S14

# **PLR – 5 FASTEST LOCATIONS**



**Figure S14.** Forest plots for factors associated with the pointwise rates of progression of the fastest five locations in the placebo (**left panel**) and treatment (**right panel**) group. Mean IOP, peak IOP and normalized LTF fluctuation were calculated from corneal compensated IOP as measured with the Ocular Response Analyzer (Reichert, Inc, Buffalo, NY). Dots and bars indicate point estimates and 95% confidence intervals, respectively. Estimates are intended for 1-unit increase, unless specified otherwise. CCT: central corneal thickness; IOP: intraocular pressure; IOPcc: corneal-compensated IOP; MD: mean deviation; OPA: ocular pulse amplitude; PC1: principal component 1

Figure S15

## **DIURNAL IOP FLUCTUATION**



**Figure S15.** Bland-Altman plots of agreement for diurnal IOP fluctuation calculated from the IOP phasing performed at the first and last post-randomization visits. Black solid line and red dashed lines indicate the no difference lines. and 95% limits of agreements, respectively.

# **DIURNAL SD IOP DIFFERENCES BETWEEN LAST AND FIRST PHASING**



**Figure S16.** Frequency histogram **(left panel)** and quantile-quantile plot **(right panel)** for the difference in IOP fluctuation values calculated from IOP phasings performed at the last and first post-randomization visits.
Table S2. Univariable analysis for factors associated with the MD rate of progression					
	PLAC	CEBO	TREAT	MENT	
Variable	Est (SE)	p-value	Est (SE)	p-value	
Baseline Age, decades	-0.01 (0.08)	0.89	-0.08 (0.06)	0.18	
CCT, per 10 μm	0.02 (0.02)	0.34	-0.02 (0.02)	0.35	
СН	0.05 (0.05)	0.34	0.05 (0.04)	0.25	
Baseline IOP	-0.06 (0.02)	<0.001	0.00 (0.01)	0.77	
Mean IOP	-0.08 (0.02)	<0.001	-0.03 (0.02)	0.18	
Peak IOP	-0.07 (0.01)	<0.001	-0.02 (0.01)	0.10	
Peak Phasing IOP	-0.05 (0.02)	<0.001 <0.001	-0.02 (0.02)	0.14	
Supine IOP	-0.06 (0.01)		-0.01 (0.02)	0.49	
ΟΡΑ	-0.32 (0.09)	<0.001	-0.04 (0.08)	0.62	
Long-term Fluctuation	-0.27 (0.07)	<0.001	-0.12 (0.06)	0.047	
Diurnal Fluctuation	-0.11 (0.09)	0.23	-0.09 (0.09)	0.35	
Normalized OPA	-0.42 (0.26)	0.11	0.02 (0.18)	0.90	
Normalized long-term Fluctuation	-0.28 (0.20)	0.17	-0.16 (0.15)	0.30	
Normalized diurnal Fluctuation	0.05 (0.15)	0.77	-0.01 (0.13)	0.91	
Estimates are intended for 1-unit increase unless specified otherwise. CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular pressure; OPA:					

CCT: central corneal thickness; CH: corneal h ocular pulse amplitude; SE: standard error.

Table S3. Multivariable analysis for factors associated with the MD rate of progression				
	PLAC	CEBO	TREATMENT	
Variable	Est (SE)	p-value	Est (SE)	p-value
Baseline Age, decades	0.01 (0.08)	0.95	-0.12 (0.06)	0.06
CCT, per 10 µm	0.05 (0.02)	0.06	-0.02 (0.02)	0.23
СН	-0.02 (0.05)	0.73	0.05 (0.04)	0.25
Normalized OPA	-0.30 (0.26)	0.24	-0.06 (0.18)	0.72
Normalized long-term Fluctuation	-0.27 (0.21)	0.20	-0.12 (0.17)	0.49
Normalized diurnal Fluctuation	0.16 (0.15)	0.31	-0.02 (0.14)	0.88
Combined IOP metrics – PC1	-0.19 (0.04)	<0.001	-0.05 (0.04)	0.23
Estimates are intended for 1-unit increase. Combined IOP metrics PC1 is an unitless				

variables, combining fluctuation unrelated IOP metrics (baseline IOP, peak IOP, mean IOP, supine IOP, peak phasing IOP) through Principal Component Analysis.

CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular pressure; PC1: principal component 1; SE: standard error.

Table S4. Multivariable analysis for factors associated with the MD rate of progression				
	PLAC	CEBO	TREATMENT	
Variable	Est (SE)	p-value	Est (SE)	p-value
Baseline Age, decades	0.02 (0.08)	0.85	-0.13 (0.06)	0.037
CCT, per 10 µm	0.05 (0.02)	0.056	-0.03 (0.02)	0.19
СН	-0.02 (0.05)	0.73	0.04 (0.04)	0.32
ΟΡΑ	-0.15 (0.10)	0.11	-0.05 (0.08)	0.54
Long-term Fluctuation	-0.12 (0.09)	0.17	-0.12 (0.08)	0.11
Diurnal Fluctuation	0.09 (0.10)	0.35	-0.06 (0.11)	0.61
Combined IOP metrics – PC1	-0.14 (0.05)	0.005	0.00 (0.05)	0.95
Estimates are intended for 1-unit increase. Combined IOP metrics PC1 is an unitless variable, combining fluctuation unrelated IOP metrics (baseline IOP, peak IOP, mean IOP,				

supine IOP, peak phasing IOP) through Principal Component Analysis.

CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular pressure; OPA: ocular pulse amplitude; PC1: principal component 1; SE: standard error.

	PLA	CEBO	TREA	TMENT
Variable	Est (SE)	p-value	Est (SE)	p-value
Baseline Age, decades	-0.04 (0.14)	0.78	-0.04 (0.09)	0.67
CCT, per 10 μm	0.03 (0.04)	0.50	0.01 (0.03)	0.84
СН	0.07 (0.09)	0.49	0.10 (0.06)	0.12
Baseline IOP	-0.09 (0.03)	0.003	0.00 (0.02)	0.87
Mean IOP	-0.13 (0.03)	<0.001	-0.03 (0.03)	0.36
Peak IOP	-0.11 (0.03)	<0.001	-0.02 (0.02)	0.30
Peak IOP Phasing	-0.09 (0.03)	<0.001	-0.02 (0.03)	0.32
Supine IOP	-0.10 (0.03)	<0.001	0.00 (0.02)	0.86
OPA	-0.65 (0.15)	<0.001	0.11 (0.11)	0.32
Long-term Fluctuation	-0.34 (0.13)	0.008	-0.12 (0.08)	0.16
Diurnal Fluctuation	-0.22 (0.15)	0.14	-0.08 (0.13)	0.53
Normalized OPA	-1.36 (0.48)	0.005	0.48 (0.26)	0.07
Normalized Long-term Fluctuation	-0.36 (0.35)	0.32	-0.12 (0.22)	0.58
Normalized Diurnal	-0.02 (0.29)	0.93	-0.01 (0.20)	0.97

<b>Table S6.</b> Multivariable analysis for factors associated with the pointwise rate of progression				
	PLA	CEBO	TREAT	ſMENT
Variable	Est (SE)	p-value	Est (SE)	p-value
Baseline Age, decades	0.04 (0.14)	0.79	-0.06 (0.09)	0.52
CCT, per 10 μm	0.07 (0.04)	0.11	0.01 (0.03)	0.81
СН	-0.05 (0.10)	0.59	0.11 (0.07)	0.11
Normalized OPA	-1.23 (0.46)	0.009	0.52 (0.27)	0.055
Normalized Long-term Fluctuation	-0.23 (0.35)	0.52	0.06 (0.25)	0.81
Normalized Diurnal Fluctuation	0.13 (0.28)	0.63	-0.06 (0.21)	0.77
Combined IOP metrics – PC1	-0.29 (0.07)	<0.001	-0.05 (0.06)	0.42
Estimates are intended for 1-unit increase unless specified otherwise. Combined IOP				

metrics PC1 is an unitless variable, combining fluctuation unrelated IOP metrics (baseline IOP, peak IOP, mean IOP, supine IOP, peak phasing IOP) through Principal Component Analysis.

CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular pressure; OPA: ocular pulse amplitude; PC1: principal component 1; SE: standard error.

<b>Table S7.</b> Multivariable analysis for factors associated with the pointwise rate of progression					
	PLAC	CEBO	TREAT	MENT	
Variable	Est (SE)	p-value	Est (SE)	p-value	
Baseline Age, decades	0.05 (0.14)	0.74	-0.07 (0.09)	0.44	
CCT, per 10 μm	0.07 (0.04)	0.13	0.01 (0.03)	0.84	
СН	-0.05 (0.10)	0.60	0.10 (0.07)	0.15	
ΟΡΑ	-0.47 (0.17)	0.008	0.18 (0.12)	0.14	
Long-term Fluctuation	-0.09 (0.14)	0.54	-0.06 (0.11)	0.60	
Diurnal Fluctuation	0.03 (0.16)	0.87	-0.09 (0.14)	0.55	
Combined IOP metrics – PC1	-0.17 (0.09)	0.06	-0.05 (0.08)	0.50	

Estimates are intended for 1-unit increase unless specified otherwise. Combined IOP metrics PC1 is an unitless variable, combining fluctuation unrelated IOP metrics (baseline IOP, peak IOP, mean IOP, supine IOP, peak phasing IOP) through Principal Component Analysis.

CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular pressure; OPA: ocular pulse amplitude; PC1: principal component 1; SE: standard error.

Table S8.     Univariable analysis for factors associated with the rate of fastest five locations				
	PLAC	CEBO	TREAT	MENT
Variable	Est (SE)	p-value	Est (SE)	p-value
Baseline Age, decades	-0.42 (0.33)	0.19	-0.16 (0.17)	0.37
CCT, per 10 µm	0.15 (0.10)	0.11	0.01 (0.05)	0.88
СН	0.25 (0.22)	0.26	0.15 (0.12)	0.24
Baseline IOP	-0.21 (0.07)	0.003	-0.07 (0.04)	0.06
Mean IOP	-0.27 (0.07)	<0.001	-0.09 (0.05)	0.09
Peak IOP	-0.19 (0.06)	0.002	-0.07 (0.04)	0.07
Peak IOP Phasing	-0.20 (0.06)	0.002	-0.08 (0.05)	0.12
Supine IOP	-0.21 (0.06)	<0.001	-0.01 (0.05)	0.80
ΟΡΑ	-1.67 (0.34)	<0.001	-0.24 (0.28)	0.28
Long-term Fluctuation	-0.49 (0.30)	0.11	-0.46 (0.17)	0.006
Diurnal Fluctuation	-0.56 (0.34)	0.10	-0.33 (0.26)	0.20
Normalized OPA	-3.95 (1.10)	<0.001	-0.19 (0.52)	0.71
Normalized Long-term Fluctuation	0.23 (0.83)	0.79	-0.81 (0.44)	0.06
Normalized Diurnal Fluctuation	-0.15 (0.65)	0.82	-0.19 (0.40)	0.63
Estimates are intended for 1-unit increase, unless specified otherwise. CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular pressure; OPA:				

Ocular Pulse Amplitude; SE: standard error.

locations				
	PLAC	EBO	TREATI	MENT
Variable	Est (SE)	p-value	Est (SE)	p-value
Baseline Age, decades	-0.13 (0.33)	0.69	-0.19 (0.18)	0.28
CCT, per 10 µm	0.26 (0.10)	0.010	0.01 (0.06)	0.93
СН	-0.09 (0.22)	0.69	0.13 (0.13)	0.31
Normalized OPA	-3.50 (1.04)	0.001	-0.38 (0.51)	0.46
Normalized Long-term Fluctuation	0.48 (0.80)	0.55	-0.71 (0.47)	0.13
Normalized Diurnal Fluctuation	0.20 (0.62)	0.75	-0.28 (0.39)	0.48
Combined IOP metrics – PC1	-0.58 (0.16)	<0.001	-0.27 (0.12)	0.028

Estimates are intended for 1-unit increase unless specified otherwise. Combined IOP metrics PC1 is an unitless variable, combining fluctuation unrelated IOP metrics (baseline IOP, peak IOP, mean IOP, supine IOP, peak phasing IOP) through Principal Component Analysis.

CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular pressure; OPA: ocular pulse amplitude; PC1: principal component 1; SE: standard error.

Table S10.     Multivariable analysis for factors associated with the rate of fastest five       locations     Instant and the rate of fastest five					
	PLAC	CEBO	TREAT	MENT	
Variable	Est (SE)	p-value	Est (SE)	p-value	
Baseline Age, decades	-0.14 (0.32)	0.66	-0.24 (0.18)	0.18	
CCT, per 10 μm	0.24 (0.10)	0.016	0.01 (0.06)	0.91	
СН	-0.09 (0.22)	0.66	0.11 (0.13)	0.40	
ΟΡΑ	-1.36 (0.39)	<0.001	-0.19 (0.23)	0.40	
Long-term Fluctuation	0.12 (0.33)	0.73	-0.47 (0.22)	0.032	
Diurnal Fluctuation	-0.06 (0.36)	0.87	-0.33 (0.27)	0.22	
Combined IOP metrics – PC1	-0.32 (0.21)	0.13	-0.06 (0.15)	0.71	

Estimates are intended for 1-unit increase unless specified otherwise. Combined IOP metrics PC1 is an unitless variable, combining fluctuation unrelated IOP metrics (baseline IOP, peak IOP, mean IOP, supine IOP, peak phasing IOP) through Principal Component Analysis.

CCT: central corneal thickness; CH: corneal hysteresis; IOP: intraocular pressure; OPA: ocular pulse amplitude; PC1: principal component 1; SE: standard error.

Date:	10/4/2023
Your Name:	David Crabb
Manuscript Title:	Intraocular pressure fluctuation and rates of visual field progression in primary open-angle glaucoma: an exploratory analysis from the United Kingdom Glaucoma Treatment Study (UKGTS)
US-based Author (if yes, you must fill out Open Payment section below):	NO
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		Time frame: past 36 month	s
2	Grants or contracts from	D None	
	any entity (if not	Santen	Financial support
	indicated in item	Allergan	Financial support
	#1 above).	AbbVie	Financial support
		Apellis Pharmaceuticals	Financial support
		CenterVue-Icare	Financial support

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4	Consulting fees	None     Allergan     Apellis Pharmaceuticals     CenterVue-Icare     Thea     Roche	
5	Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events	□ None	
6	Payment for expert testimony	⊠ None	
7	Support for attending meetings and/or travel	⊠     None	
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Your Name:	David F Garway-Heath
Manuscript Title:	Intraocular pressure fluctuation and rates of visual field progression in primary open-angle glaucoma: an exploratory analysis from the United Kingdom Glaucoma Treatment Study (UKGTS)
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	manuscript (e.g.,	Moorfields Eye Hospital NHS Foundation Trust	UKGTS trial sponsor
	funding, provision	Pfizer	Unrestricted investigator-initiated research
	of study materials,		grant
	medical writing,	UK's NIHR Biomedical Research Centre at	Supplementary Funding
	article processing charges, etc.) No time limit for this item.	Moorfields Eye Hospital NHS Foundation Trust	
		UCL Institute of Ophthalmology, London, UK	Supplementary Funding
		Heidelberg Engineering	Equipment loan
		Carl Zeiss Meditec	Equipment loan
		Optovue	Equipment loan
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2	Grants or	□ None	
	contracts from		
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	#1 above).	Santen	

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4	Consulting fees	None     AbbVie     Genentech     Janssen     Novartis     Omikron     Roche     Santen	
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6	Payment for expert testimony	⊠ None	
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Date:	9/22/2023
Your Name:	Giovanni Montesano
Manuscript Title:	Intraocular pressure fluctuation and rates of visual field progression in primary open-angle glaucoma: an exploratory analysis from the United Kingdom Glaucoma Treatment Study (UKGTS)
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Your Name:	Alessandro Rabiolo	
Manuscript Title:	Intraocular pressure fluctuation and rates of visual field progression in primary open-angle glaucoma: an exploratory analysis from the United Kingdom Glaucoma Treatment Study (UKGTS)	
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6	Payment for expert testimony	⊠     None	
7	Support for attending meetings and/or travel	None     Bausch + Lomb     Thea farma spa     Visufarma spa	Flight, hotel reservation, and congress fee for ARVO 2023 meeting Flight and hotel reservation for the 2023 Moorfields International Glaucoma Symposium Hotel reservation and congress fee for the the Associazione per lo Studio del Glaucoma (AISG) 2023 annual meeting
8	Patents planned, issued or pending	⊠     None	
9	Participation on a Data Safety Monitoring Board or Advisory Board	⊠     None	

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TITLE OF ARTICLE: Intraocular pressure fluctuation and rates of visual field progression in primary open-angle

#### glaucoma: an exploratory analysis from the United Kingdom Glaucoma Treatment Study (UKGTS)

AUTHORS: Alessandro Rabiolo, Giovanni Montesano, David P Crabb, David F Garway-Heath

AUTHOR NAME	RESEARCH DESIGN	DATA ACQUISITION AND/OR RESEARCH EXECUTION	DATA ANALYSIS AND/OR INTERPRETATION	MANUSCRIPT PREPARATION
Alessandro Rabiolo	$\boxtimes$	$\boxtimes$	$\boxtimes$	$\boxtimes$
Giovanni Montesano			$\boxtimes$	$\boxtimes$
David P Crabb				$\boxtimes$
David F Garway-	$\boxtimes$	$\boxtimes$	$\boxtimes$	$\boxtimes$
Heath				

OTHER CONTRIBUTIONS:

±

### **United Kingdom Glaucoma Treatment Study investigators**

David F. Garway-Heath MD, David P. Crabb PhD, Catey Bunce DSc, Gerassimos Lascaratos MSc, Francesca Amalfitano BSc, Nitin Anand MD, Augusto Azuara-Blanco PhD, Rupert R. Bourne MD, David C. Broadway MD, Ian A. Cunliffe FRCOphth, Jeremy P. Diamond PhD, Scott G. Fraser MD, Tuan A. Ho MSc, Prof Keith R. Martin DM, Andrew I. McNaught MD, Anil Negi MD, Krishna Patel MSc, Richard A. Russell PhD, Ameet Shah MRCOphth, Paul G. Spry PhD, Katsuyoshi Suzuki PhD, Edward T. White BSc, Richard P. Wormald FRCOphth, Wen Xing MSc, Thierry G. Zeyen PhD