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CHEMICAL   STUDIES   OF

SOME   6-DIAZOPENICILLANIC   ESTERS

A thesis submitted in fulfilment

of the Conditions for the

Degree of Doctor of Philosophy

by

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LONDON

March 1982

For Mum and for John

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(iv)

Chapter 6

Chapter 7   The Reactions of 6-Diazopenicillanates

                with Miscellaneous Unsaturated Systems.

Acknowledgements

I wish to express my most sincere appreciation to Dr. S.A. Matlin for his invaluable advice and encouragement throughout the duration of this work.

Thanks are also due to the staff at the University, in particular Dr. A.G. Osborne for his assistance and advice concerning n.m.r. spectra, and Mr. P. Hemming for his efficient elemental analysis service.

For their assistance and friendship I would like also to thank ████████████████████ ██████████████████████████ ██████████████

Finally, I am grateful to both Pfizer for their financial backing during my studies, and to ██████ ██████ for her conscientious typing of this thesis.

Abstract

The main aim of the studies undertaken was to introduce carbon substituents at the 6-position of the penicillin nucleus, by means of the reactions of 6-diazopenicillanates with a variety of nucleophiles, including heterocycles. For this reason, the examples of such C-6 substitution already in the literature were reviewed, as well as the reactions of diazocompounds with heterocycles.

The syntheses of penicillanic esters as starting materials for the reactions were carried out according to the literature methods, incorporating either the p-nitrobenzyl or pivoyloxymethyl groups for protection of the acid function.

Reaction of p-nitrobenzyl 6-diazopenicillanate with diborane gave p-nitrobenzyl penicillanate but with tributylborane afforded only the rearranged thiazepine. In the case of the latter, several attempts were made to trap, isolate or identify the proposed enol-borinate intermediate, but without success. Extensive H.P.L.C. was employed for rapid analysis of product mixtures.

With furans, 6-diazopenicillanates were shown to undergo cyclopropanation, ring-opening and ring-expansion reactions, and a new mechanism for formation of the products via ylid intermediates was proposed. Support for this theory was obtained from a variety of control reactions. Although the corresponding reactions of 6-diazopenicillanates with pyrroles proved unsuccessful, novel substituted penicillins were obtained from the reactions with 3,4-dimethylthiophene and N-methylindole. Assignments of the stereochemistries of these adducts were made with the aid of n.O.e. difference spectroscopy.

The reaction of p-nitrobenzyl 6-diazopenicillanate with 1-cyclohexenyloxytrimethylsilane produced the cyclopropane adduct as required, but the analogous reaction with 1-phenyl, 1-trimethylsilyloxyethene afforded no substituted penicillins. Cyclopropanes were produced in the reaction of p-nitrobenzyl 6-diazopenicillanate with methyl acrylate. Reaction with allyl acetate gave both allyl-oxythiazepine and a doubly-substituted penicillanate, the structure of which has still to be confirmed. With ^{t}butylisonitrile, complexation between reagent and catalyst was thought to be the reason for lack of reaction with the carbenoid of the penicillin.

H.P.L.C. was applied to the analysis and isolation of substituted penicillins. Product mixtures which were inseparable by preparative T.L.C. were successfully resolved by preparative H.P.L.C., and rapidly-occurring reactions successfully monitored by analytical H.P.L.C.

Abbreviations

POM	=	pivoyloxymethyl
PNB	=	p-nitrobenzyl
Trityl	=	Ph_3C
Pyr	=	Pyridine
H.P.L.C.	=	High Performance Liquid Chromatography
T.L.C.	=	Thin Layer Chromatography

Section 1   Literature Review

Chapter 1   Some Developments in the Chemistry of β-Lactam

Antibiotics

1.1   The History of β-lactam antibiotics

β-lactam antibiotics, first discovered more than 50 years
ago by Alexander Fleming, are considered to be the most import-
ant chemotherapeutic agents and are the most widely prescribed
antibiotics used in medicine[1].   Even so, they have two major
drawbacks, in that not all bacteria are susceptible to β-lactam
antibiotics and many can produce enzymes to inactivate them[2].

The discovery of penicillin at St. Mary's Hospital, London
by Fleming occurred by chance when a plate seeded with staphylo-
cocci in the laboratory had been accidentally contaminated with
Penicillium notatum.   It appears that, initially, conditions
were favourable for the Penicillium mould which therefore grew
and began to produce penicillin without rapid growth of the
bacteria.   Conditions then changed to warmer temperatures, so
that the growth of the staphylococci accelerated, with lysis
occurring in close proximity to the mould.

Although Fleming had described the antibacterial activity
of the penicillin and had shown that it was non-toxic to man, he
did not exploit its great potential.   The demonstration of the
chemotherapeutic power of penicillins, first in animals and then
in man, was made twelve years later by Florey and Chain at
Oxford.

In 1943, Abraham et al[3] proposed the β-lactam structure (1)
which met both support, notably from R.B. Woodward, and also

1.

powerful opposition.   It was not accepted generally until 1945

when Crowfoot and Low accomplished an X-ray crystallographic

analysis.

RCONH structure (1)

H₂N structure (2)
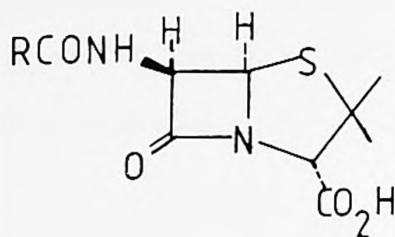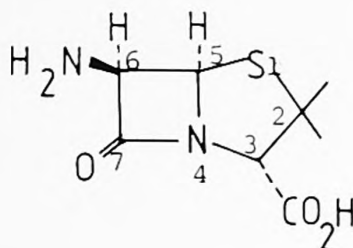
(1)   (2)

Although the total synthesis of 6-aminopenicillanic acid

(6-APA)(2) was reported in 1958 by Wolstenholme and O'Connor[4], and

that of penicillin V(3) in 1959 by Sheehan[5], penicillins were

not readily available until shortly after, when Batchelor et al[6]

found the existence of 6-APA in penicillin fermentations.

PhOCH₂CONH structure (3)

structure (4)
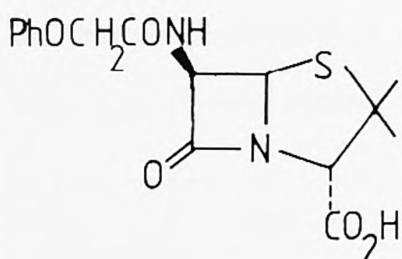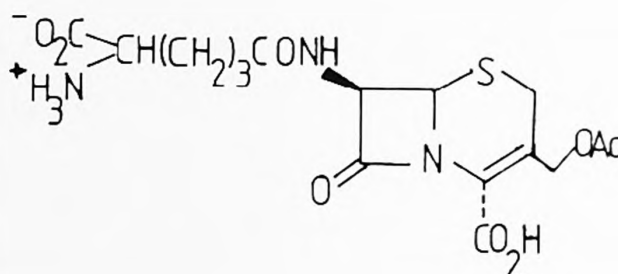
(3)   (4)

In 1945, Brotzu isolated Cephalosporium acremonium from sewage

waste[7].   Its metabolic products were subsequently shown to

possess antibacterial activity against a range of bacteria.

This activity was found to be due to three antibiotics, the

first of which was cephalosporin C (4), discovered in 1953 by

Newton and Abraham[8].   Its structure was proposed in 1959 and

confirmed shortly afterwards by X-ray crystallographic analysis[9],

2.

and its total synthesis was completed in 1966 by Woodward and co-workers[10]. Although low in activity, cephalosporin C showed resistance to hydrolysis by β-lactamases - the enzymes produced by the bacteria to inactivate the antibiotic. Penicillin N(5) was one of the other antibiotics isolated from the same metabolic products.
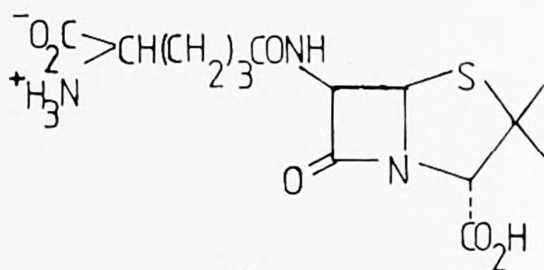
(5)

Since the cephalosporin group of antibiotics are costlier to produce and yet are often clinically more useful than the penicillins due to their broader spectrum of activity and increased resistance to β-lactamases, it was a prime objective of chemical studies at this time to convert the penam nucleus into the cephem system (Table 1).

4, 5-systems	4, 6-systems
Penam	Cephem
Penem	Carbacepham

3.
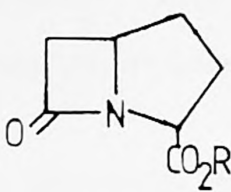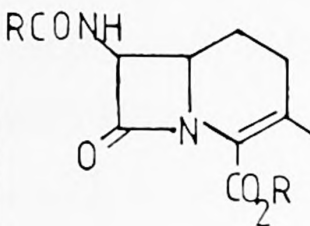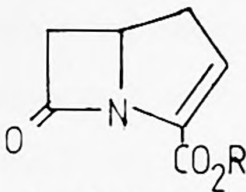
4,5-systems	4,6-systems

Carbapenam

Carbapenem

Carbacephem

Table 1: The nomenclature of Penicillins and Cephalosporins

Such a transformation requires oxidation of the methyl groups of the penicillin and an expansion of the thiazolidine ring. The route employed by Morin and co-workers[11] involved an intermediate sulphenic acid from which the deacetoxycephalosporin was obtained (Scheme 1).

1) Bromination

2) Acetolysis

Scheme 1

4.
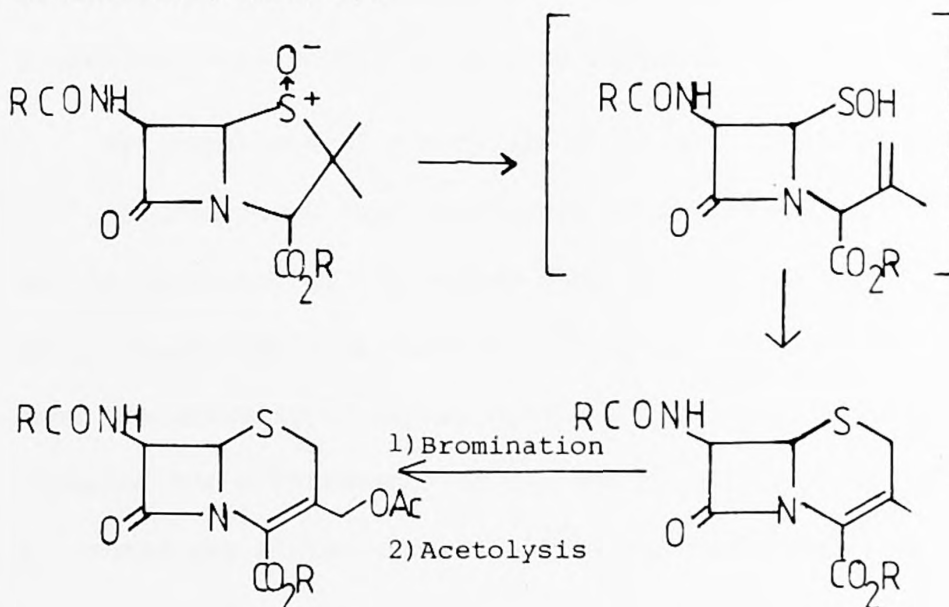
The Morin reaction is extremely general for penicillin sulphoxides. Alternatively, several other methods for converting penicillins into ceph-3-ems have been reported[12-17].

1.2   Structure, Activity and Reactions of Penicillins

& Cephalosporins

Once 6-aminopenicillanic acid (6-APA) and 7-amino-cephalosporanic acid (7-ACA) were readily available, many more substituted penicillins and cephalosporins were produced, with the aim of discovering new, active anti-biotics.

Methicillin (2,6-dimethoxyphenylpenicillin) was both highly active and highly resistant to β-lactamases, but unfortunately was acid labile, rendering it unsuitable for oral administration. This prompted further work which led to the discovery of two derivatives; oxacillin and cloxacillin, which possessed the same favourable character-istics but were stable to acid hydrolysis.

By comparison of penicillin N (5) with cephalosporin C(4), it was shown that resistance to β-lactamases was due to the chemistry of the cephem ring and not the N-acyl side chain which they both possess. However, it was also shown that the activity of cephalosporin C could be increased by changing the substituents in the N-acyl side chain. Thus a whole new series of penicillins and cephalosporins was produced; some with a broad spectrum of activity, others more specific.

Then in 1976 came the discoveries of clavulanic acid (6)
and thienamycin (7)[18,19].
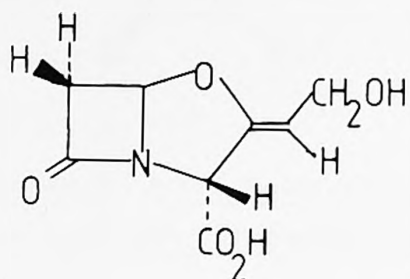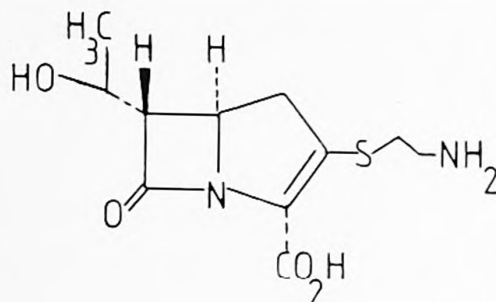
(6)   (7)

Clavulanic acid, a β-lactamase inhibitor but with only low

antibacterial activity, was unusual in that it contained a

β-lactam ring fused to an oxazolidine ring with no side chain

at C-6.   The discovery of thienamycin was a major break-

through as it was shown to possess a broad spectrum of activity

and resistance to many β-lactamases.   It has unparalleled

activity against Pseudomonas species.   It is most likely that

it owes its high activity to its unstable β-lactam ring, as it

has been shown by Woodward et al.[20] that the less stable the

β-lactam ring, the more active the antibiotic. He pointed out

that the position of the nitrogen at the bridgehead between the

4- and 5-membered rings of the penicillin would suppress normal

amide resonance (Figure 1), and this would account for the high

reactivity of the penicillin nucleus.

(1)   (2)

Figure 1: Amide Resonance in β-lactams; (1) longer, weaker
C-N bond and (2) change in hybridization of N from sp^3 to sp^2

In the cephalosporin system, the strain is less, but nevertheless

the β-lactam nitrogen is still not coplanar with its 3 adjacent

atoms - a principal requirement for maximization of this type of charge

delocalization - and again amide resonance is suppressed.   High

reactivity of the β-lactam ring may be correlated with the ability

to inactivate penicillin-sensitive enzymes in the bacterial

membrane.   Steric factors are obviously important and may in-

fluence the ability of the antibiotic to combine with the active

centre of a sensitive enzyme and also its ability to penetrate the

cell wall.

Thienamycin differs from the classical penicillins and

cephalosporins most notably by the incorporation of the novel

carbapenem nucleus and the unusual hydroxyethyl and cysteamine

side chains.   Also, the protons in thienamycin at C-5 and C-6

differ from the usual cis geometry, being trans.   In such a

structure, the β-lactam amide bond would be expected to be

highly active due to both ring strain and electronic effects.

In fact, stability studies have revealed that thienamycin is

relatively unstable in concentrated aqueous solutions suitable

for therapeutic use, proving an obvious disadvantage. In order to more fully understand the factors which are responsible for this instability, and in the hope of obtaining a clinically useful antibiotic, Saltzmann et al.[21] proposed to synthesize an analogue which would incorporate all the essential functionality of thienamycin in a less strained ring system i.e. the homothienamycin (8).
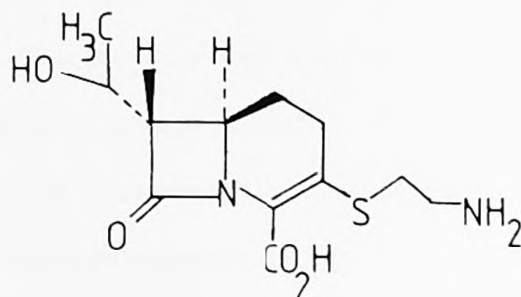
(8)

As anticipated, the chemical stability was vastly superior to that of thienamycin. However, its biological activity was disappointing, giving only low levels of activity against even highly susceptible bacterial species. Thus, it appears that the exceptional biological activity of thienamycin is due mainly to the presence of the highly reactive carbapenem nucleus.

After the initial isolation of thienamycin, several additional natural products containing the carbapenem nucleus were found, all of which may be regarded as derivatives of thienamycin. While all of these natural products possess considerable antibacterial activity, thienamycin is the most potent natural antibiotic. The trans R configuration found in thienamycin itself appears to be optimal for both potency and

8.

β-lactamase resistance. The N-acetylated natural products all possessed reduced antipseudomonal activity.

Synthesis of a series of thienamycin derivatives made it possible to assess the effect of the various structural features on its remarkable biological activity[22]. Carbapen-2-em-3-carboxylic acid possessed considerable potency of the same order of magnitude of activity as clinicially used penicillins. The potency was enhanced by replacement of the 2-proton with a phenyl group. However, neither of these two modified thienamycins showed resistance to β-lactamases or antipseudomonal activity. Addition of the 6α-(1R-hydroxyethyl) side chain not only enhanced the potency of the carbapenem nucleus, but was also responsible for β-lactamase stability. The incorporation of the cysteamine side chain caused 2 to 3-fold enhancement over the decysteamine derivative and the Δ^1-isomer of thienamycin was almost devoid of activity, showing the necessity for the Δ^2-double bond. The incorporation of an additional basic function at C-2 maximized anti-pseudomonal activity.

Hence the potency of thienamycin was seen to be due to the carbapenem-3-carboxylic acid while the 6α-(1R-hydroxyethyl) function conferred β-lactamase stability and further enhanced activity.

Gorman and Ryan discussed several factors, in addition to that of enzyme inhibition, which are involved in the suppression of bacterial growth by β-lactam antibiotics[23].

The total synthesis of thienamycin was achieved by
Johnston et al. in 1978[24].

Olivanic acid (9), which is closely-related to thienamycin
structurally, was another major discovery of this time[25], to-
gether with nocardicin A (10)[26,27].
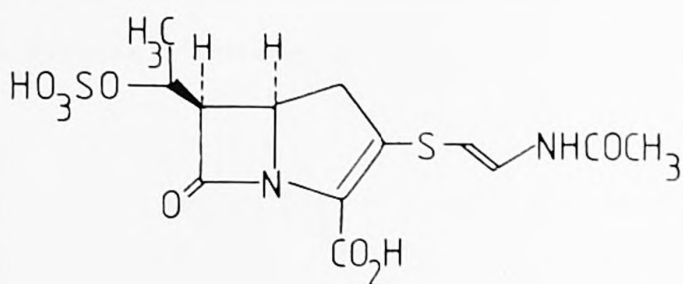
(9)
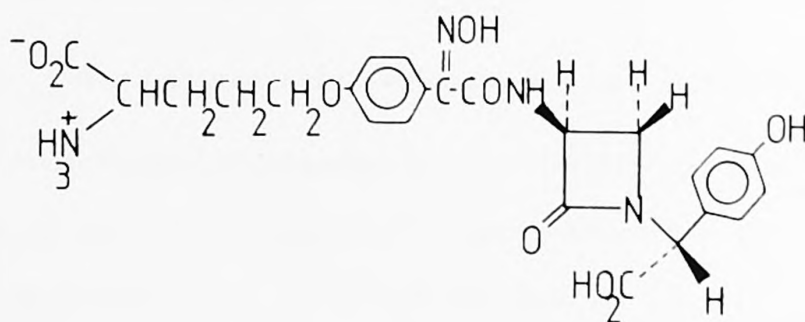
(10)

Nocardicin A is unusual in containing an unfused β-lactam ring
as well as a novel side chain. This, too, has a broad spectrum
of activity but is particularly active against Gram-ve bacteria.

Hence the Nocardicins revealed that appropriate monocyclic

azetidinones could display significant antibacterial activity.

The total synthesis of nocardicin A was achieved by Koppel et al.

in 1978[28]. Subsequently, as part of a programme aimed at the

synthesis of monocyclic derivatives incorporating an electronically-

activated β-lactam linkage, Kaura and Stoodley[29] have been interest-

ed in the preparation of azetidine-2,4-diones(12) from compounds

such as (11), utilizing the method of Binkley for conversion of

esters into ketones[30] (Scheme 2).

Scheme 2
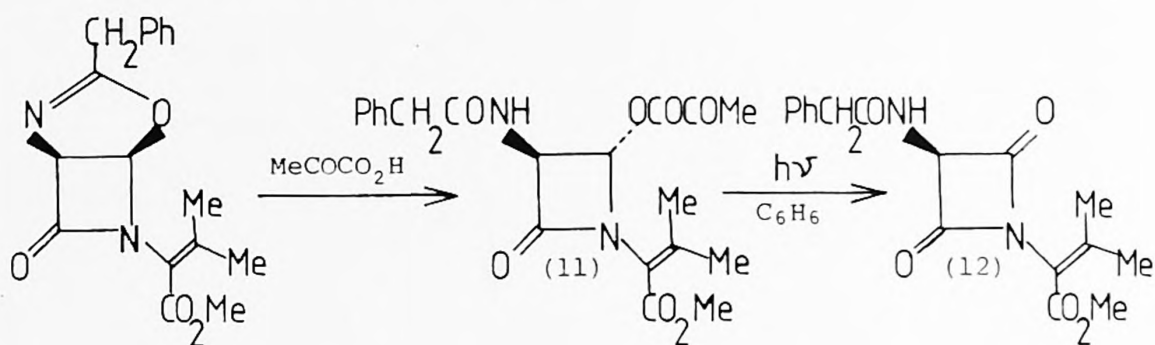
However, their antibacterial activity was not discussed.

The discovery of thienamycin in 1976 triggered a complete

revival in penicillin research. New methods for the introduction

of carbon substituents at C-6 of the penicillin nucleus were

investigated as a means of preparing thienamycin-related

compounds.

Interest in 6-alkyl-penicillins actually dates from Strominger's

theory, proposed in 1965[31], in which he described the action of

penicillin. Several reactions are involved in the final stages

of cell wall synthesis, including closure of the glycine bridges

by transpeptidation, thus linking the two glycopeptides. This

step is of special interest because it is the probable site of

11.

penicillin action.   Closure of the bridge results in the loss

of the terminal D-alanine residue, providing energy for the

transpeptidation (Figure 2).

Glycopeptide   Glycopeptide
      | |
Mur | NAc   Mur | NAc
      | |
  L-ala   L-ala
      | |
  D-glu   D-glu
      | |
L-lys-gly-gly-gly-gly-gly ----. L -lys-gly-gly-gly-gly-gly
      | : |
  D-ala   :   D-ala
      | '-----▶|
  D-ala   D-ala

                         ▼

Glycopeptide   Glycopeptide
      | |
Mur | NAc   Mur | Nac
      | |
  L-ala   L-ala
      | |
  D-glu   D-glu
      | |
--gly-D-ala-L-lys-gly-gly-gly-gly-gly-D-ala-L-lys-gly-gly-gly-gly-gly

                                                + 2 D-ala
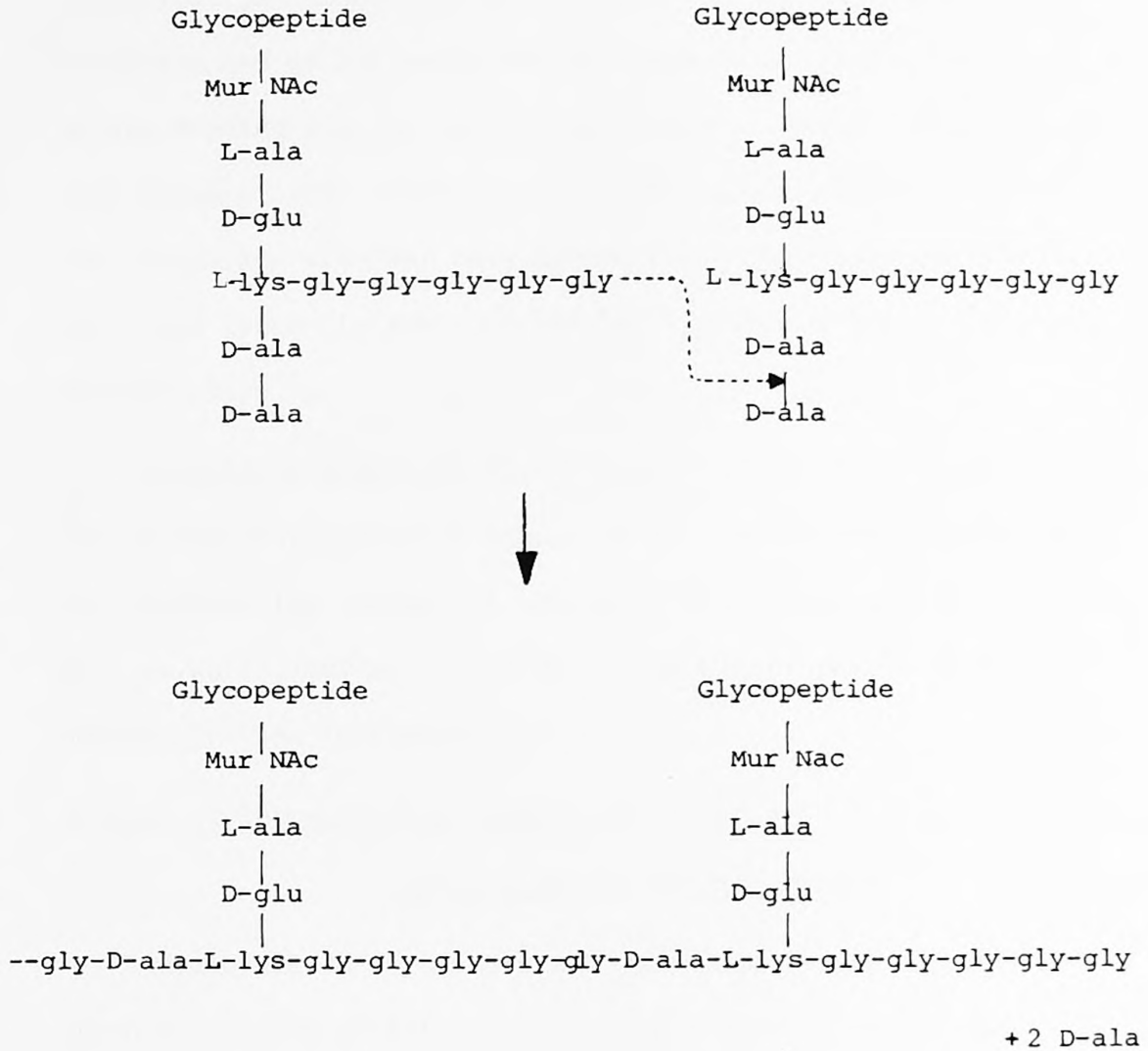
Figure 2 :   Closure of the Glycine Bridges by

                  Transpeptidation

12.

Each glycopeptide ends in two D-alanine residues prior to cross-linking. Penicillin can be viewed as a dipeptide almost identical to one conformation of D-alanine-D-alanine, even though one of the carbons in pencillin has the L-configuration. Strominger postulated that penicillin has the conformation in which the D-alanyl-D-alanine end of the pentapeptide fragment is fixed to the substrate binding site of the transpeptidase. The penicillin therefore becomes irreversibly bound to the transpeptidase in place of the D-alanyl-D-alanine, thus inactivating the enzyme and inhibiting the final cross-linkage. A similar hypothesis was proposed by Wise and Park[32].

Strominger suggested that introduction of a methyl group at C-6 of the penicillin may result in an even closer resemblance to the glycopeptide substrate, and hence might enhance its effectiveness as an antibacterial agent. Thus the search for 6-alkyl penicillins was initiated.

1.3   Methods of Incorporating Carbon Substituents at C-6 of the Penicillins

and C-7 of the Cephalosporins

Subsequent to Strominger's suggestions, Bohme and co-workers[33] synthesized both 6-methylpenicillin and 7-methylcephalosporin to examine their activity as antibiotics. Treatment of N-benzylidene 6-APA methyl ester (13) with sodium hydride and methyl iodide afforded the 6-methyl derivatives (14) and (15) in 90 and 5% yields respectively, as a result of preferential methylation at the sterically-less hindered α-face.

(13)   R = α-H

(14)   R = α-CH$_3$

(15)   R = β-CH$_3$

(16) R =H,α-Me at C-6
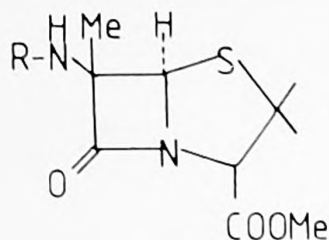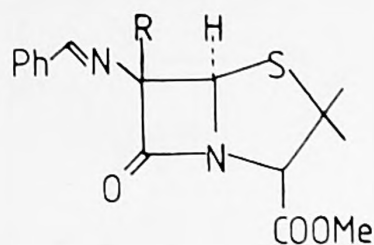
(17) R =C(=O)CH$_2$Ph,α-Me at C-6

(18) R =C(=O)CH$_2$Ph,β-Me at C-6

Hydrolysis of (14) produced (16) in almost quantitative yield.

Acylation of (16) gave the desired 6α-methyl-6-phenylacetimido-

penicillanic acid methyl ester (17) in high yield.   The 6β-

isomer (18) was prepared by first hydrolysing the mother liquors

from (14) followed by acylation, affording (18) in poor yield.

The corresponding cephalosporins have been prepared in a similar

manner.   Surprisingly, both 6-methylpenicillanic acid and

7-methylcephalosporanic acid were inactive.

In 1972, following along this theme, Firestone et al.[34]

described the preparations of further 6-alkyl penicillanates and

7-alkylcephalosporinates by the procedure depicted in Scheme 3,

with the initial formation of the Schiff base from 6-APA.

6-α-methyl (19) and ethyl penicillin G and the corresponding

cephalosporins, prepared as their sodium salts by the following

method, all showed appreciable antibacterial activity.
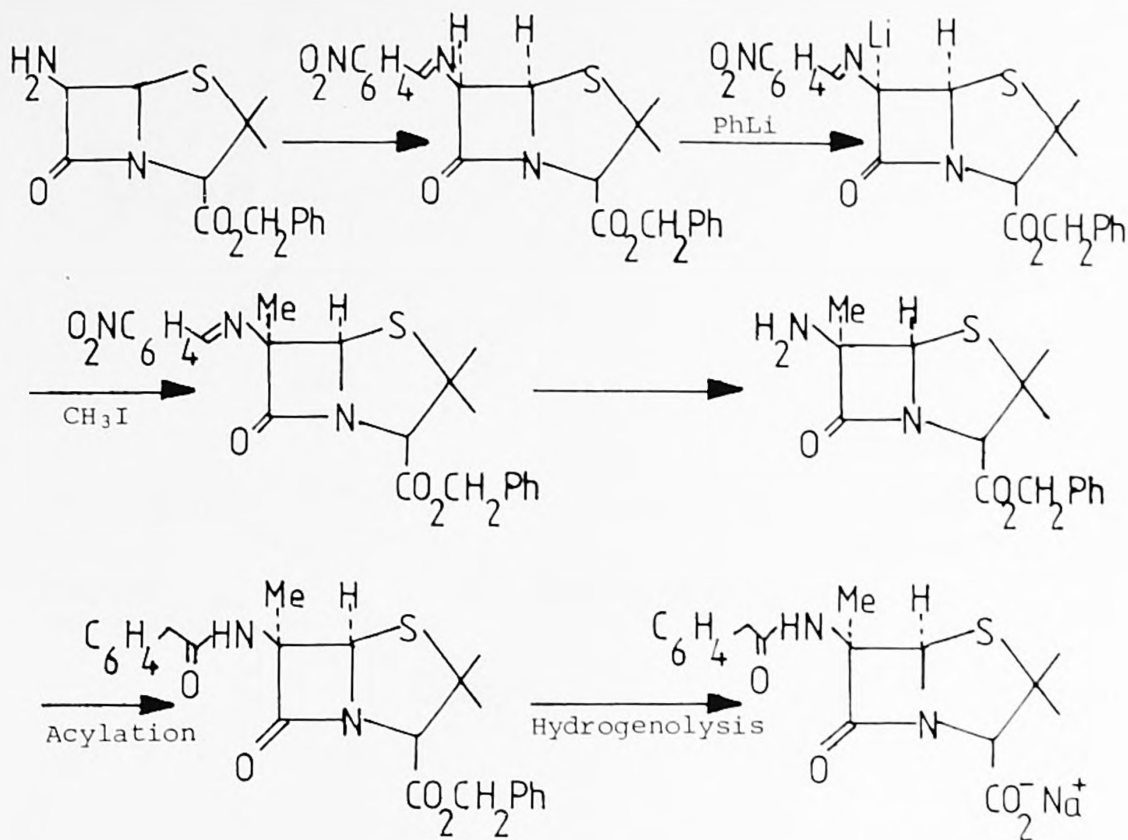
Scheme 3

Rasmusson et al.[35] in 1973 made further use of the knowledge

that a reactive nucleophilic site could be generated at C-6

and C-7 of the penicillins and cephalosporins respectively

by base treatment of the nitrobenzaldehyde Schiff's bases of

6-APA and 7-ACA esters[34,36,37]. The nucleophilic centre

could be induced to undergo alkylation or aldol condensation

to give the corresponding unsubstituted or monosubstituted

alkyl derivatives. Rasmusson reported the preparation of

additional 6-substituted penicillins in which the side chain

contained a carbon atom in a more highly oxidized state

(Scheme 4).
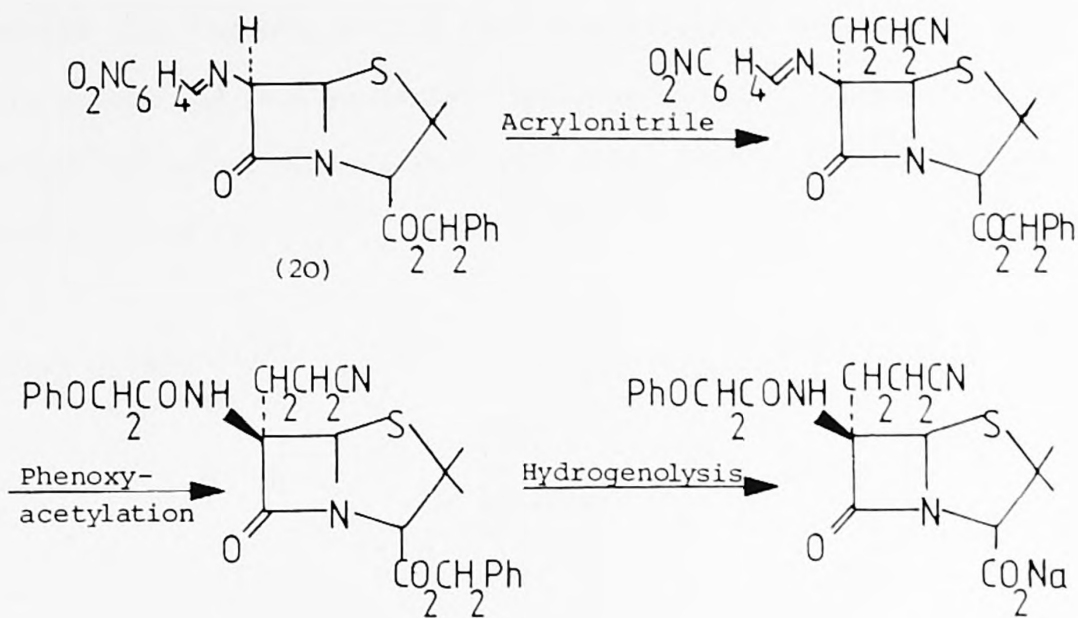
Scheme 4

The spiro-pyrrolidine (21) was also isolated during the purification of the above products. On hydrogenolysis, (21) afforded the zwitterion (22).
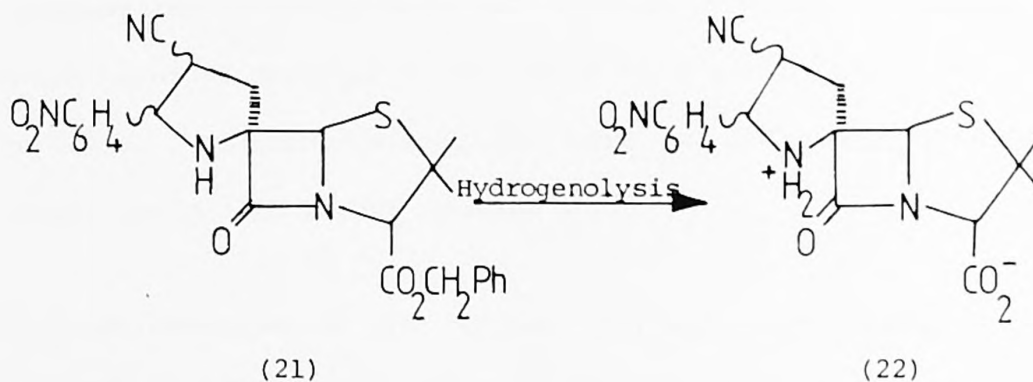
As 6-methoxypenicillins and 7-methoxycephalosporins showed interesting antibiotic activity, Rasmusson et al. attempted to prepare other substituted penicillins in which the new C-6 substituent was electron-withdrawing. The alcohols

16.

obtained via aldol condensation of formaldehyde[36] and acetal-
dehyde with the Schiff base (20) were converted to the correspond-
ing formyl- and acetyl- derivatives. For example, oxidation of the
6-hydroxy-methyl penicillin V (23) with DMSO afforded 6-formyl
penicillin V (24)
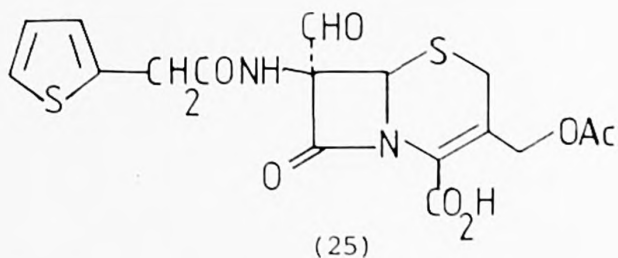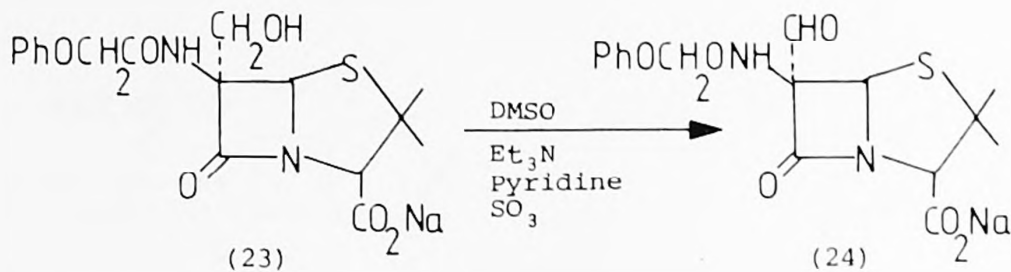
The analogous product (25) was prepared in the same manner.

Condensation of Schiff base (20) with acetaldehyde afforded the

α-hydroxyethyl derivative (26) which in two steps was converted

to (27). Hydrogenolysis of (27) gave sodium 6α-(α-hydroxy-

ethyl) penicillin V (28) (Scheme 5).

Although oxidation of (28) failed, (27) was quantitatively

oxidized to (29), which liberated the free acid on hydrogeno-

lysis. Reaction of (20) with methylchloroformate yielded

(30) which was subsequently converted to (31). (31) was

converted by hydrogenolysis to (32) (Scheme 6).
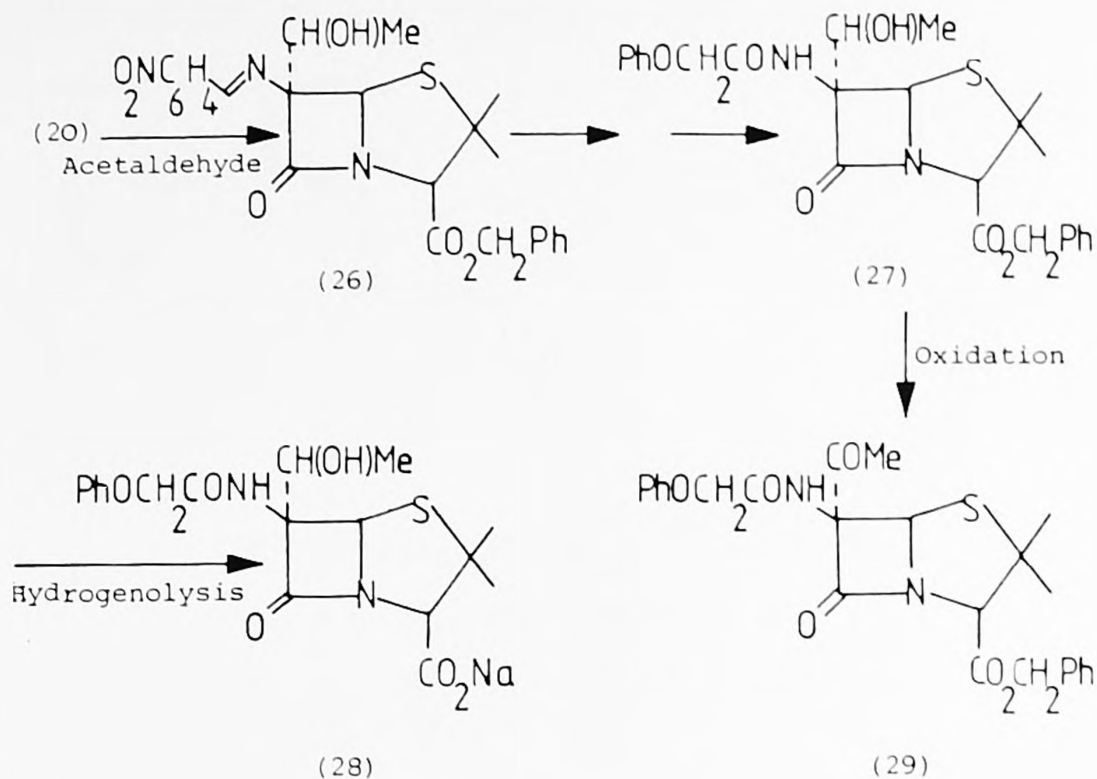
Scheme 5
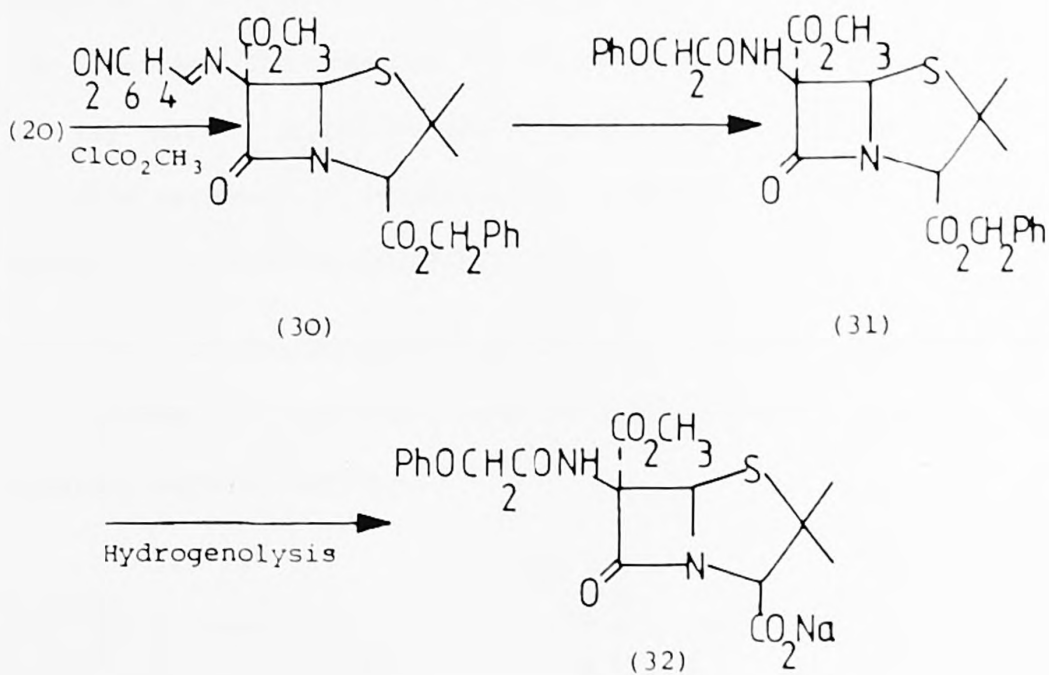
Scheme 6

The final products all possessed some antibacterial activity, providing some evidence in support of Strominger's theory.

Also in 1973, Bohme et al.[38] prepared structures (33), (34),

(35) and (36) which all proved to be less active than their unsubstituted parent.

(33)   (34) (35) (36)

(34) R = CH$_3$, R' = [phenyl]CHNH$_2$, R" = H

(35) R = CH$_3$, R' = [phenyl]CH$_2$, R" = H

(36) R = CH$_3$, R' = [phenyl]OCH$_2$, R" = OAc
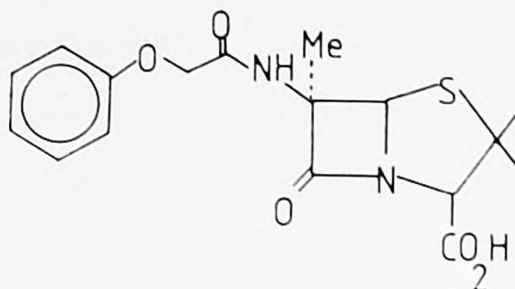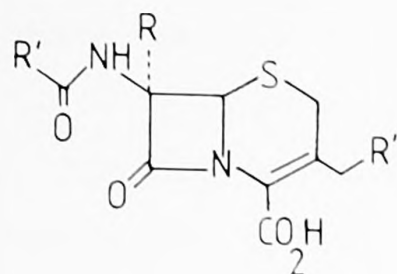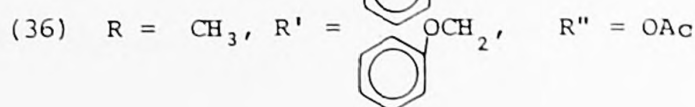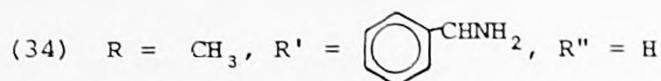
Since the biological activity in such antibiotics is at-tributed to enzymatically-catalysed nucleophilic attack on the β-lactam, the presence of the methyl group at C-6 and C-7 may tend to stabilize the β-lactam, hence causing the observed decrease in antibacterial activity.   Steric inter-action could also be involved.

Cama and Christensen[39] synthesized the thienamycin derivatives (37) and (38), both of which showed activity against certain bacteria.

(37)   (38)

19.
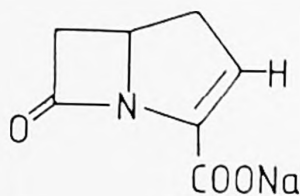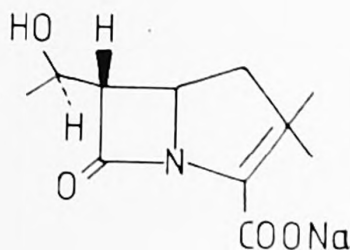
Based on the activating influence of the isocyanide group, several 6α-substituted penicillins were prepared by Bentley and Clayton[40]. As in the previous examples, the reactions proceeded with the intermediate formation of the C-6 anion, followed by nucleophilic substitution. Initially, treatment of benzyl 6β-formylpenicillanate (39) with phosgene at -40°C in the presence of a tertiary base afforded benzyl 6-isocyano-penicillanate (40). By heating the isocyanide (40) with reactive alkyl halides in dimethylformamide and potassium carbonate as base, substitution occurred readily, yielding (41) (42) and (43) (Scheme 7).

(39)   (40)

(41) R' = Ph

(42) R' = CO_2Me

(43) R' = COPh
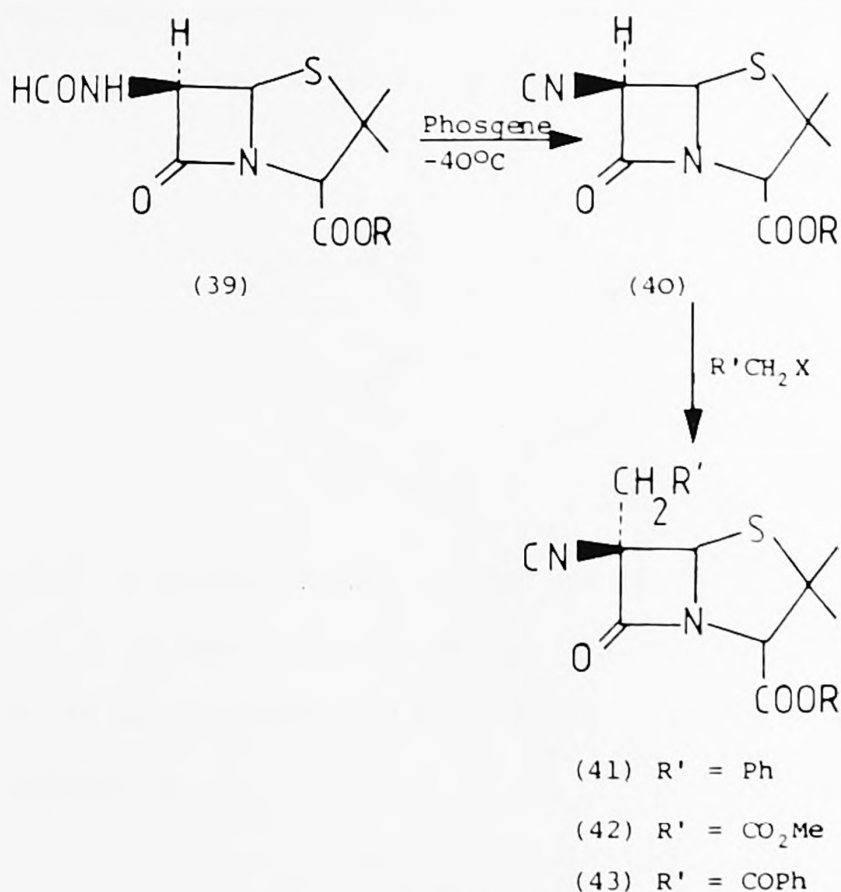
Scheme 7

Similarly, reaction with benzylacrylate gave the Michael addition product (44) and reaction with acetone afforded (45).

(44)   (45)
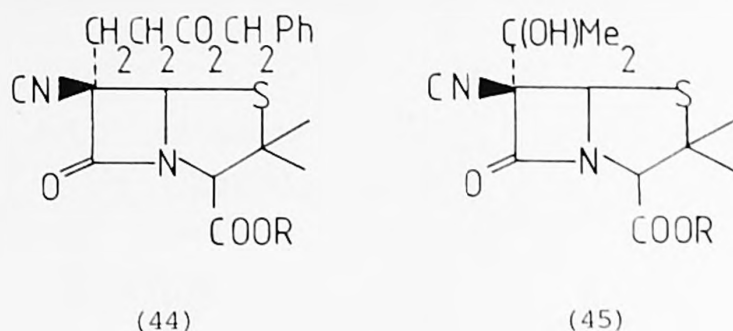
In 1977, DiNinno et al.[41] prepared the hybrid of thienamycin (46). The stereochemistry of thienamycin was unknown at this time. Introduction of the hydroxyethyl function at C-6 could lead to four possible stereoisomers at the designated centres.

(46)
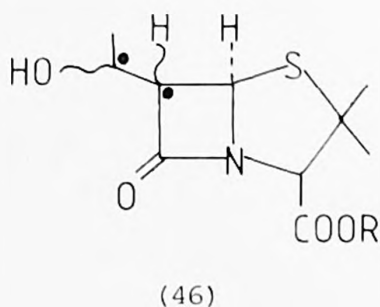
Generally, β-hydroxycarbonyl systems are generated by the use of an aldol or Reformatsky reaction, but few examples of the required penicillin and cephalosporin enolates had appeared in the litera- ture (Figure 3).
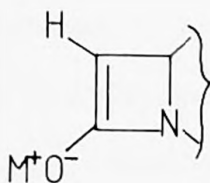
Figure 3:   Structure of the Metal Enolate

21.

However, work by Sheehan et al.[42,43] did give some evidence

for enolate formation, and so this method of metal-halogen

exchange was utilized in the formation of the four possible

stereoisomers of the thienamycin-related species (Scheme 8).
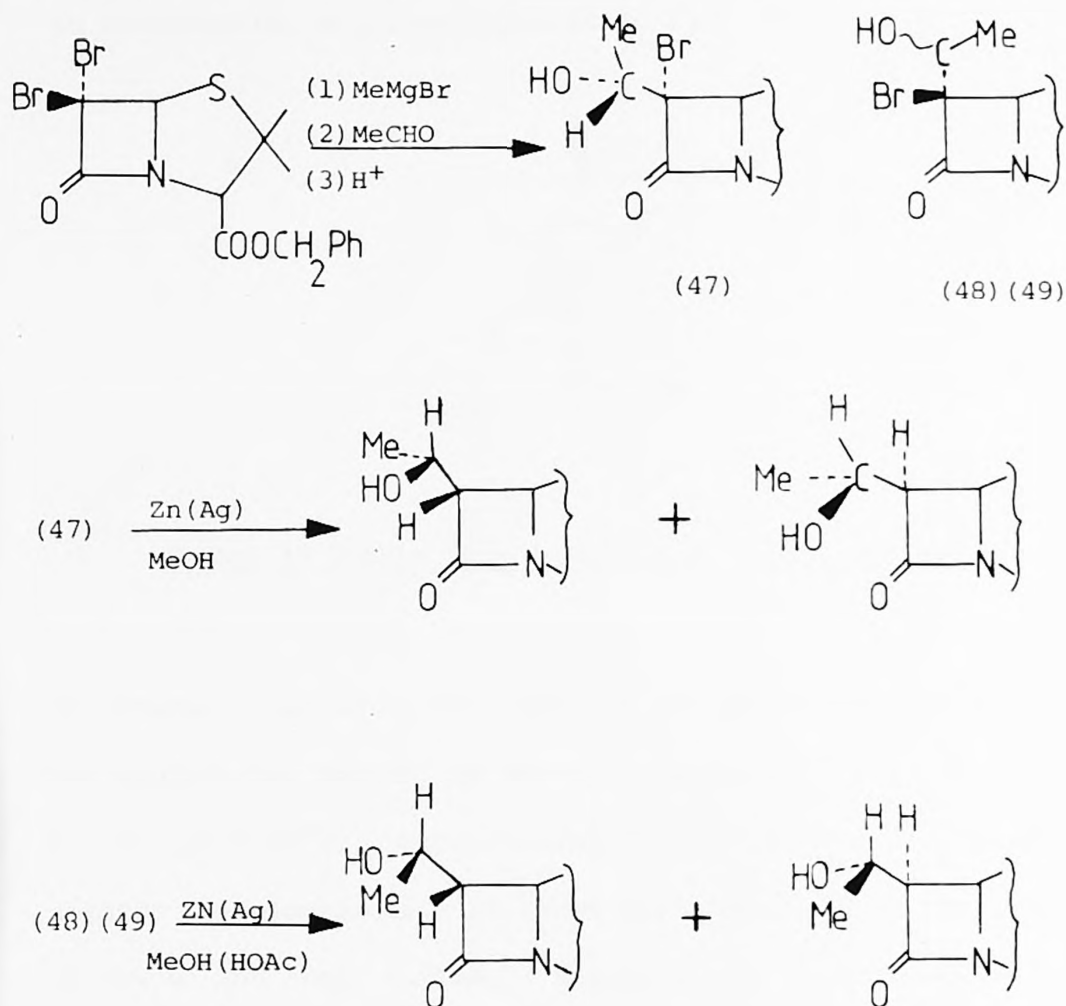
Scheme 8

Similarly, the cephalosporin derivatives bearing the hydroxyethyl

function at C-7 were produced.   In the above reactions, only

one of the two possible 6β-hydroxyalkylpenicillanate diastereo-

isomers could be detected.   This was rationalized on the grounds

of steric hindrance of substrate approach to the more sterically

22.

hindered face of the penicillanate with concomitant coordination

of the aldehyde carbonyl oxygen atom to the metal of the enolate.

Molecular models show a severe steric interaction of the aldehyde

R_1 group with the thiazolidine ring and the C-2β-Me group when

it occupied the position depicted in Figure 4.
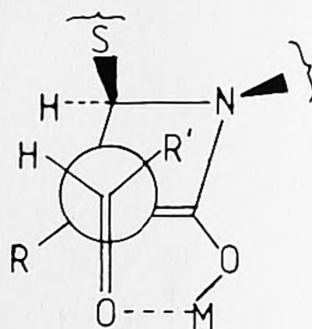
Figure 4: Steric Interaction in the Metal Enolate

In general, control of the required stereochemistry at C-6 of

the penicillins and C-7 of the cephalosporins[44] has proved to be

a major problem[45].   Hence a considerable amount is known about

methods of epimerisation at these positions, and of the properties

of the 6- and 7-epi series.   Almost all of these compounds are

devoid of antibiotic activity.   Aimetti et al.[46]   had independ-

ently obtained similar results to those of DiNinno et al.[41] but

in addition demonstrated the marked influence of the solvent and

the metal cation on the reaction.   Reaction of benzyl 6,6-dibromo-

penicillanate with sec or tert butyl lithium in toluene at -78°C,

followed by condensation of the anion with acetaldehyde gave, in

89% yield, a mixture of the isomers (50) to (53).   Isomers (50)

and (51) were isolated separately in the ratio 26:1.   The dia-

stereomeric pair (52) and (53) were an inseparable equicomponent

mixture comprising 60% of the total yield.

(50)   (51)

(52)   (53)
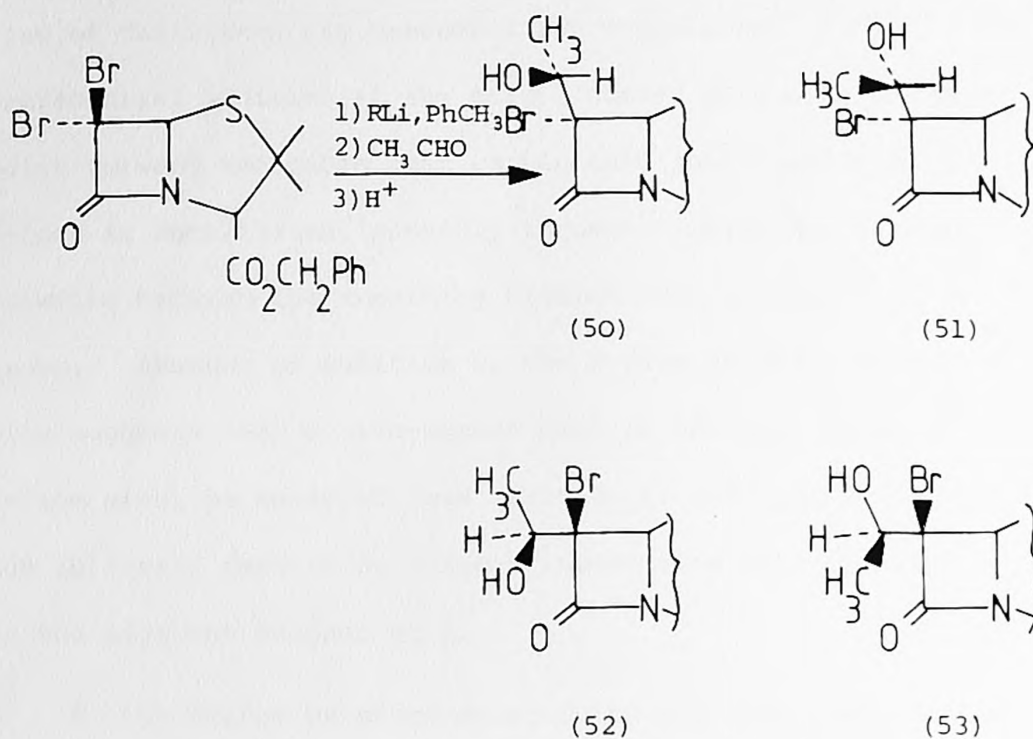
Aldolization in toluene produced an α to β ratio of 6:4 com-
pared to the corresponding ratio of 1:4 produced in THF, in-
dicating that solvent plays an integral rôle in the stereotopic
formation of the C–C bond.

Since zinc ions accommodate ligands to form complexes more
readily than either magnesium or lithium[47], and since stereo-
chemical control of aldol-type condensation by zinc enolates is
well precedented[48], the behaviour of zinc enolates in this
reaction was also investigated.   Reduction of the dibromo-
penicillanate in toluene at $-78°C$ with sec-or tert-butyllithium
followed by addition of a concentrated solution of anhydrous
zinc chloride in THF and acetaldehyde produced benzyl 6-α-
bromopenicillanate and one single aldol product, which proved

24.

to have the structure (52) each in approximately 40% yield.

Predominant addition to the hindered β-face was surprising in

view of the literature precedent for α-addition[33,34,36,40,49,50].

Preferential addition at the more hindered β-face in the more

polar solvent indicates that carbanionic localization on the

β-face is facilitated, possibly through elimination of steric

crowding between the remaining bromine atom and the β-methyl

group.   Absence of addition to the β-face in the presence of

zinc suggests that a zinc-carbon bond on the more hindered

β-face might be rendered less reactive to aldolization, either

due to steric factors or through interaction with the lone pair

on the adjacent sulphur atom.

A high degree of stereoselectivity was also demonstrated

in the reduction of 6α-alkyl-6β-isocyanopenicillanates to 6β-

alkylpenicillins using tri-n-butyl tin hydride[51].   Although

reduction of isocyanides by trialkyl tin hydrides had not been

widely studied, it had been reported that benzyl isocyanide was

reduced to toluene by tri-n-butyl tin hydride[52].   Since 6α-

alkyl-6β-isocyanopenicillins are readily available by alkylation

of 6-isocyanopenicillins[40], the reduction of these compounds as

a possible route to 6-alkylpenicillins was studied.   6α-benzyl-,

6α-(1-hydroxy-1-methyl ethyl) -, 6α-methoxycarbonylmethyl-, and

6α-(2-methoxycarbonyl ethyl) - 6β-isocyanopenicillins (54,55,

56,57) were prepared according to the literature method and were

treated with tri-n-butyl tin hydride[53] to afford 6β-alkylpenicillins

(58,59,60,61).

(54)	R	=	$PhCH_2$		(58)	R	=	$PhCH_2$
(55)	R	=	Me_2CHOH		(59)	R	=	Me_2CHOH
(56)	R	=	MeO_2C-CH_2		(60)	R	=	MeO_2C-CH_2
(57)	R	=	$MeO_2C-CH_2CH_2$		(61)	R	=	$MeO_2-C-CH_2-CH_2$
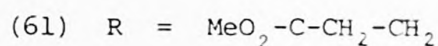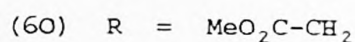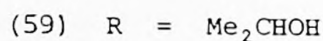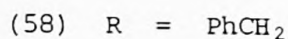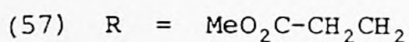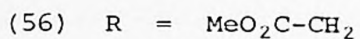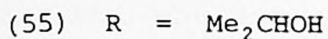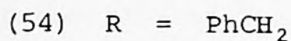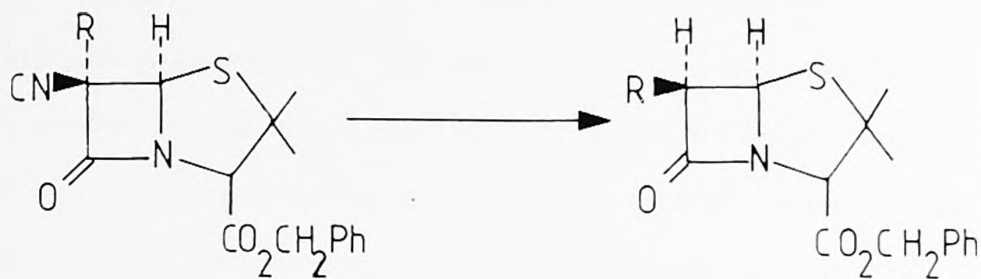
Similarly, the reduction of a 6α/6β mixture of the 6-iso-cyano-penicillins (62,63) afforded benzylpenicillanate (64).

(62) R_1 = H, R_2 = NC

(63) R_1 = NC, R_2 = H

(64) R_1 = R_2 = H
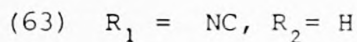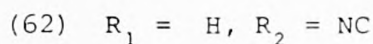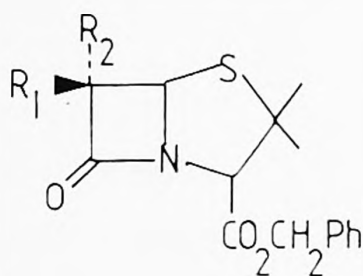
The products were assigned as β-substituents on the basis of the H_5-H_6 coupling, which was 4.4Hz in each case, indicating cis protons[54]. The high stereoselectivity of reduction of the 6α-alkyl-6β-isocyanopenicillins (54-57) was initially surprising. The stereochemistry-determining step probably involved the transfer of a hydrogen atom from a molecule of tri-n- butyl tin hydride to the less hindered α-face of the penicillin radical to give the 6β-alkyl product. This stereoselectivity is analogous to the selectivity of alkylation of C-6 penicillin anions[33,34].

A preparation of C-6 substituted β-lactams based on the olivanic

26.

acid series was reported by Corbett and Eglington[55]. The ethyl

sulphate p-nitrobenzyl carboxylate (66) was prepared from the

corresponding disodium salt (65) by esterification. Elimination

of the sulphate group gave rise to the useful intermediates (67)

and (68). Hydride reduction of the ethylidene group in (67) gave

predominantly the trans β-lactam (69), together with a small

proportion of the cis isomer (70)(9:1). In contrast to the

hydride reduction, catalytic hydrogenation of the 6-ethylidene

derivatives gave predominantly the cis-6-ethyl isomer(cis:trans=4:1).
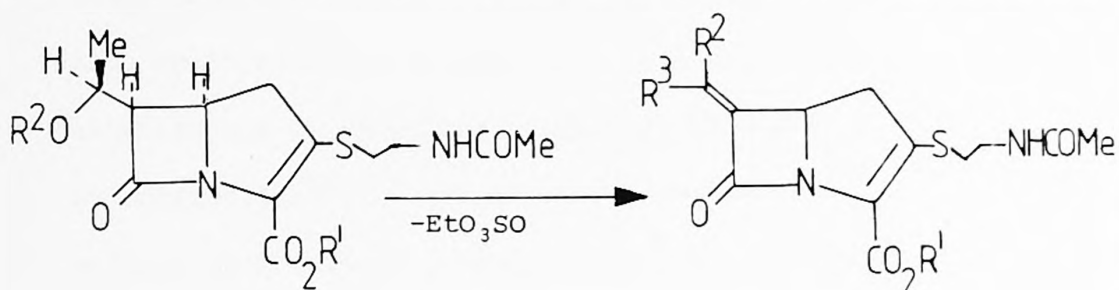
(65) R^1 = Na, R^2 = NaO_3S (67) R^1 = PNB, R^2 = Me, R^3 =H

(66) R^1 = PNB, R^2 = EtO_3S (68) R^1 = PNB, R^2 = H, R^3 =Me
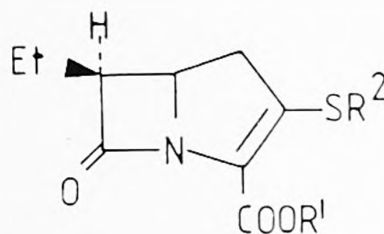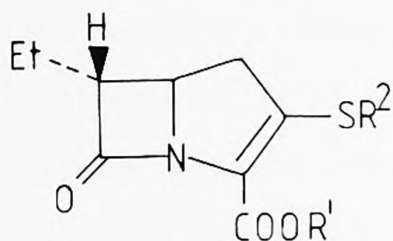
(69) R^1 = PNB, R^2 = CH_2CH_2NHCOMe   (70) R^1 = PNB, R^2 = CH_2CH_2NHCOMe

(71) R^1 = H, R^2 = CH=CHNHCOMe   (73) R^1 = H, R^2 = CH_2CH_2NHCOMe

(72) R^1 = H, R^2 = CH_2CH_2NHCOMe   (74) R^1 = H, R^2 = CH=CHNHCOMe

In order to obtain samples bearing the free acid for testing,

the four isomers (71)-(74) were prepared bearing the p-methoxycarbonyl-

27.

benzyl protecting group, which could be readily removed by electrochemical means. Cleavage was effected by reduction at a mercury cathode. All four derivatives showed a high level of anti-bacterial activity.

Many reports of spiro-structures generated at C-6 and C-7 to form, overall, tricyclic structures have appeared in the literature. Such spiro-substitution has been of considerable interest due to virucidal properties of the 6-spiropyrroline[56]. Baldwin has reported the general synthesis of α-methoxyamides by genera-tion of an acylimine followed by addition of methanol to the highly reactive functionality[57]. The possibility of intercepting such an acylimine inter-mediate with an internal nucleophile prompted Koppel and Koehler to investigate the reaction of (75) in a similar manner[58]. Treatment of (75) with lithium methoxide afforded (77a) which was de-protected to give the free acid (77b), and was subsequently shown to possess biological activity.

(75)

LiOMe

(77a) R=CHPh$_2$

(77b) R=H

(76)
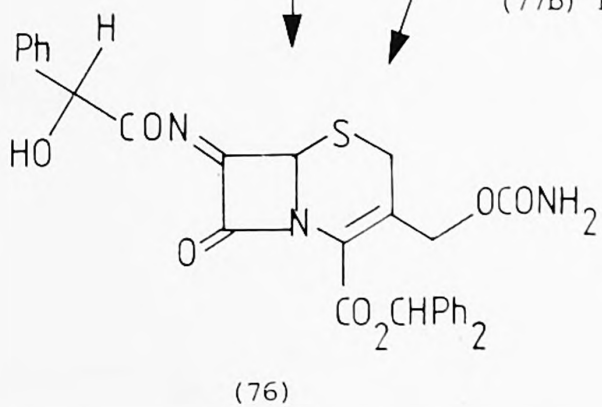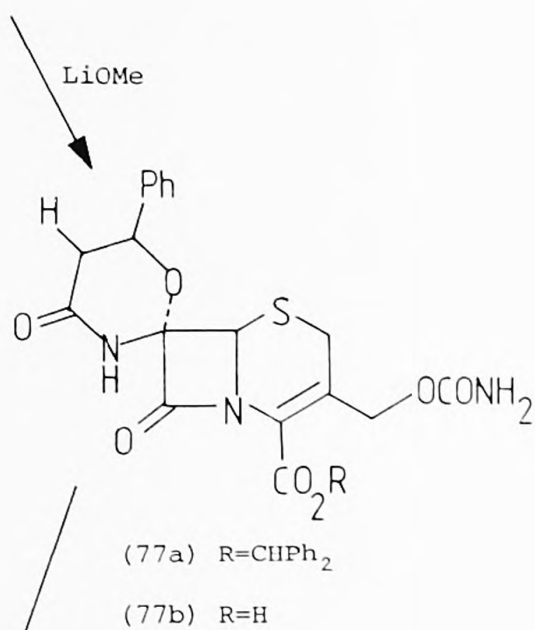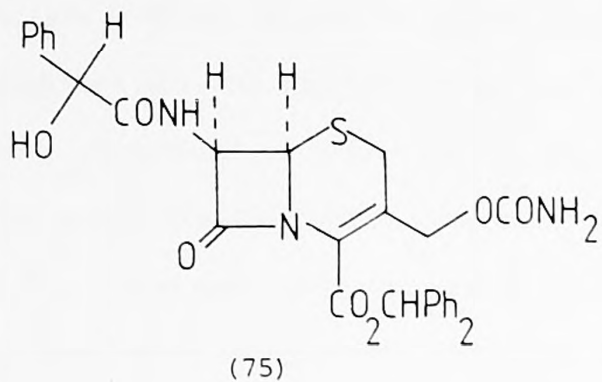
In 1976, Hirai et al.[59] reported a tricyclic penicillin structure formed by means of a 1,3-dipolar addition. The 1,3-dipolar intermediate (79) was generated by base treatment of (78). Suitable dipolarophiles for the addition were acrylonitrile and methyl acrylate. Treatment of (79) with the dipolarophile afforded the tricyclic structure (80) which underwent tautomerization to (81) (Scheme 9). The same sequence was followed with the cephalosporins, whereby (82), on treatment with base such as DBN, afforded (83) which was subsequently reacted with the dipolarophile to yield the spiro-cephalosporanate (84) (Scheme 10).

Scheme 9

30.

(82)   (83)   (84)

Scheme 10

From the 6,6-disubstituted penams (85) and (86), Bremner,
Campbell and Johnson reported the production of the spiro-1,
3-azetidin-2-one structures (87) and (88)[60]. Neither of
these possessed signifcant activity as antibiotics following
their deprotection.

(85) R^1 = Me, R^2 = CO_2Et (87) R^1 = Me

(86) R^1 = CH_2CCl_3, R^2 = CO_2Et (88) R^1 = CH_2CCl_3

The formation of the spiropyrrolidine structure (21) by Rasmusson
et al[35] was discussed earlier in Chapter 1.3.

1.4 Introduction of C-6 Substituents via 6-Diazopenicillanate and 6-Oxopenicillanate Esters

Generation of the diazo group from the amine function at C-6 of the penicillins and C-7 of the cephalosporins has provided a facile route for the introduction of substituents at these positions. The formation of 6-diazopenicillanates (89) will be discussed in detail in the following chapter.
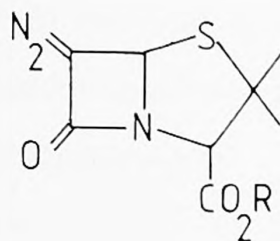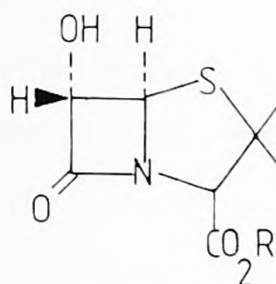
(89)   (90)

Sheehan et al. exploited the use of 6-diazopenicillanates as convenient precursors in the preparation of a wide range of 6-substituted penicillins. In 1972, Sheehan hydrolysed a 6-diazopenicillanate ester in aqueous acetone with perchloric acid to give a 60% yield of 6α-hydroxypenicillanate (90, $R=CH_2CCl_3$) which was isolated from the reaction mixture by crystallization.

Sheehan developed synthetic procedures for the conversion of both 6-hydroxypenicillanate and 6-diazopenicillanate into 6-oxopenicillanate (91)[61,62] which was known to be a useful intermediate for the synthesis of novel β-lactam antibiotics. A third synthesis for the preparation of 6-oxopenicillanate (91)

was reported by Jen, Frazee and Hoover[63], and involved oxidation
of (92) by treatment with mercuric chloride in DMF   (Scheme 11).

$$\text{(92)} \xrightarrow[\text{DMF/H}_2\text{O}]{\text{HgCl}_2} \text{(91)}$$
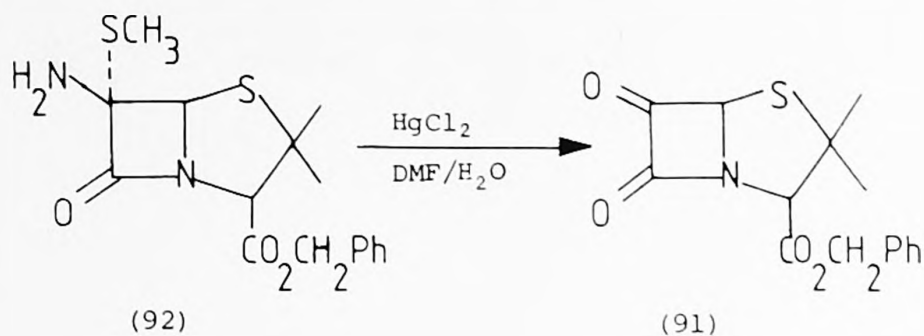
Scheme 11

This method was also successfully employed in the conversion

of the cephalosporanate analogue of (92) into the corresponding

7-oxocephalosporanate.   Benzyl 6-oxopenicillanate was utilized

by Sheehan and Lo[42] in the preparation of various analogues of

pencillin V.   The readily available benzoylmethylene-triphenyl-

phosporane (93a) was allowed to react with benzyl 6-oxopenicillanate

in refluxing benzene to give, after chromatography, a mixture of

isomers of benzyl benzoylmethylene penicillanate (94a) (95a)

(Scheme 12).
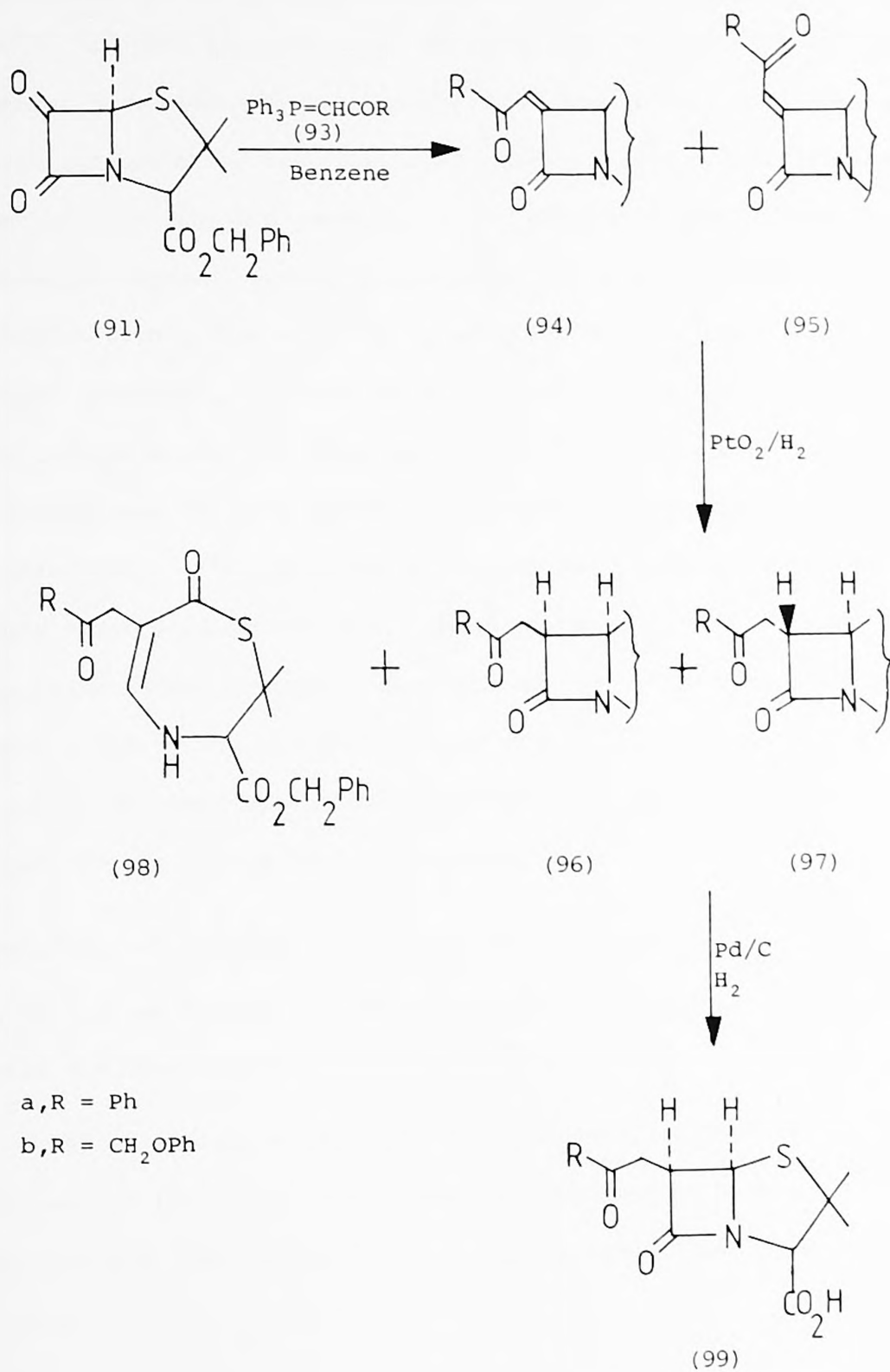
Scheme 12

Hydrogenation of this isomeric mixture in the presence of platinum

oxide afforded two fractions, one of which contained cis-and trans-

benzyl benzoylmethylpenicillanate (96a) and (97a), while the other

fraction contained the rearranged product (98a).   A similar re-

action involving the condensation of benzyl6-oxopenicillanate with

phenoxyacetylmethylenetriphenylphosporane (93b) followed by hydro-

genation with platinum oxide/H_2 afforded the cis and trans isomers

(96b) and (97b).   Further hydrogenation in the presence of

palladium on charcoal gave rise to the free acid (99b) which, on

testing, was shown to possess antibiotic activity and penicillanase

resistance.   The synthesis of 6β-phenoxyacetoxypenicillanic acid

from benzyl6-oxopenicillanate was also reported by Sheehan and Lo[61],

in which benzyl 6α-hydroxypenicillanate (90, R=CH_2Ph) was prepared

from 6-APA by the method of Hauser and Sigg[64].   Oxidation of (90)

to (91) followed by immediate reaction with liquid hydrogen cyanide

produced the crystalline cyanohydrin (100)

Reduction of the ketone (91) with potassium borohydride

afforded the 6β-isomer (101) which was phenoxyacetylated to

give the ester (102).

In an analogous reaction, Sheehan used the ketone(91)

to prepare the N-acyl imine (104) by condensation with the

phosphorane (103) which, in turn, was prepared from its azide

(Scheme 13).

Scheme 13

36.
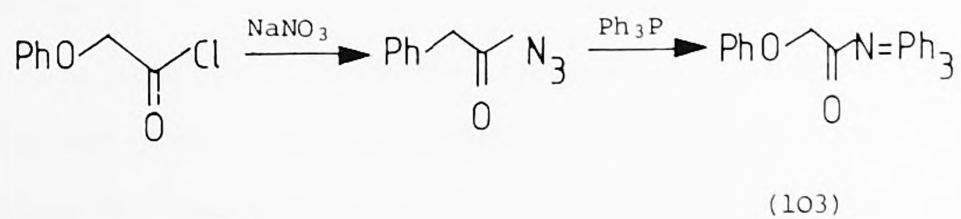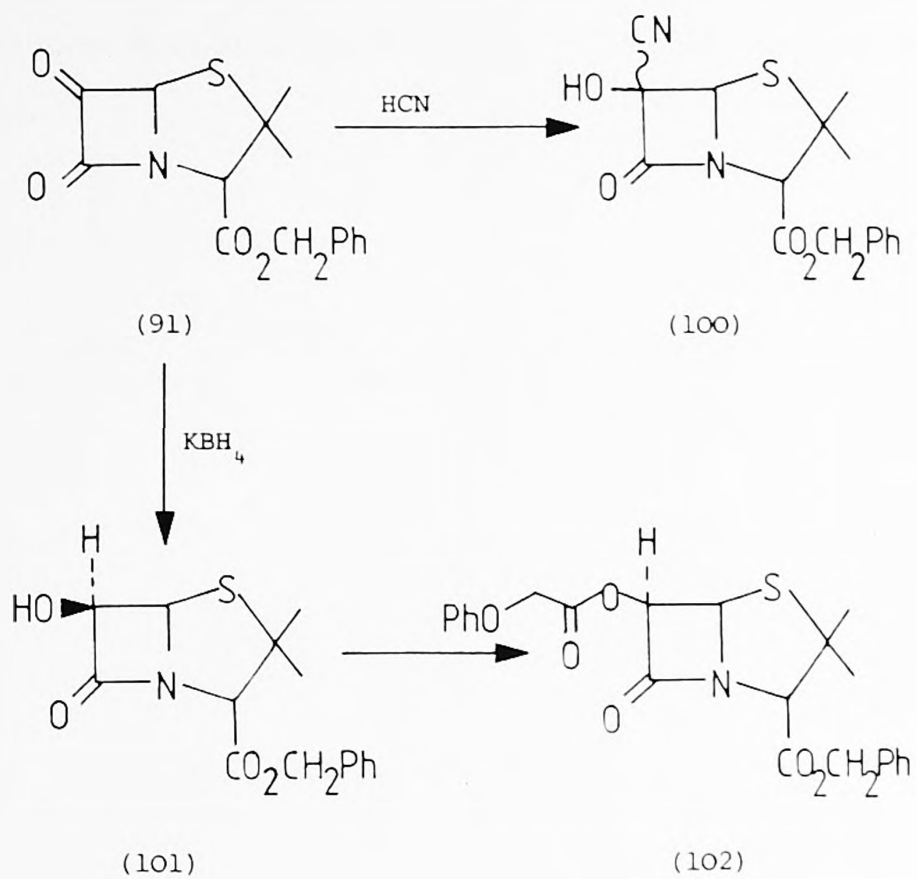
Addition of methanol or hydrogen cyanide to the imine gave the substituted penicillins (105). In both cases, addition occurred from the less-sterically hindered side to give the α-substituted products.

(105a) X = OMe
(105b) X = CN
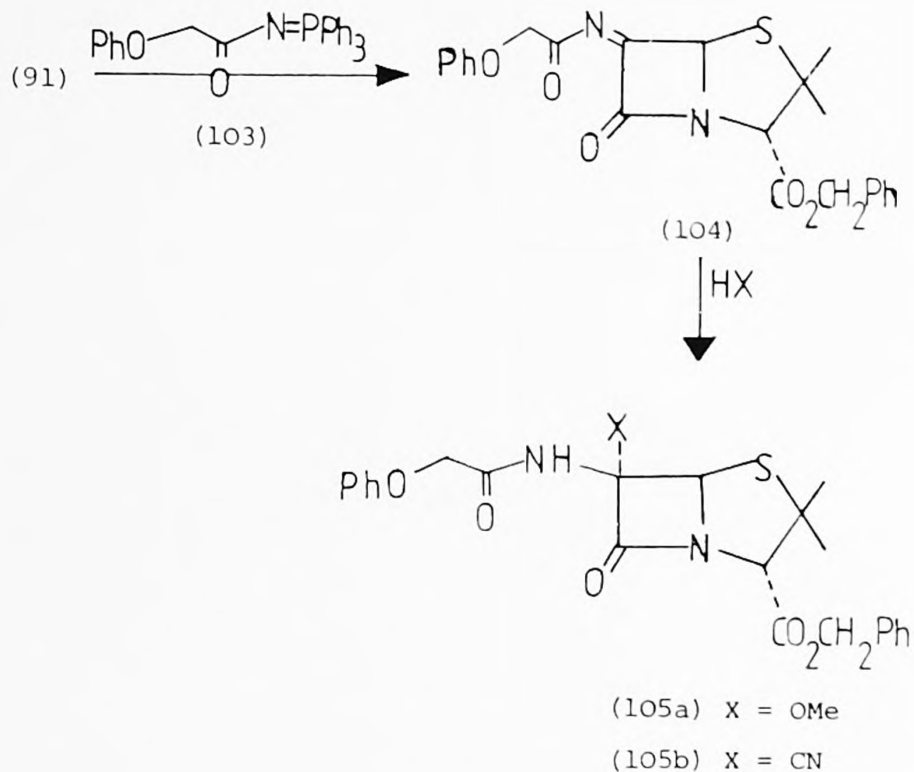
Both 6α-methoxy- and 6α-cyano-6β-phenoxyacetamido-penicillanic acids showed weak antibacterial activities. Chandrasekaran and co-workers[65] utilized 6-oxopenicillanate and 7-oxocephalosporanate in the condensation with nitromethane and potassium tert-butoxide at 0°C to produce the α-nitromethyl compounds (106)(107) and (108). The two nitroalcohols (106) and (107) were subsequently converted into nitroolefins (109) and (110) by reaction with mesylchloride and triethylamine at -40°C. Catalytic hydrogenation using tris (triphenylphosphine) rhodium chloride afforded the reduced compounds (111)(112) and (113).

Compounds (112) and (113) were also obtained by NaBH$_4$ reduction of (110) (Scheme 14).

(106)

(107) R=H, (108) R=OAc

(106) ⟶

(109)

(107) ⟶

(110)

(111)

(112) R$_1$=H, R$_2$= CH$_2$NO$_2$

(113) R$_1$=CH$_2$NO$_2$, R$_2$= H
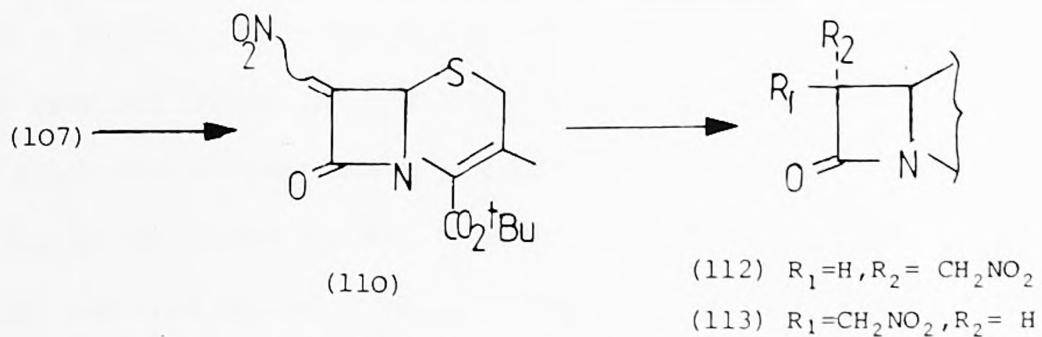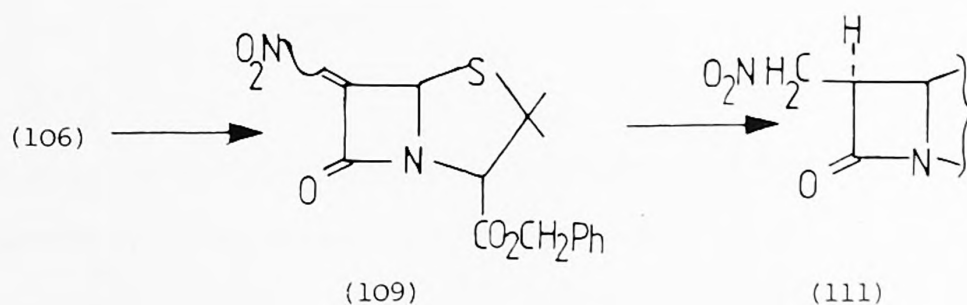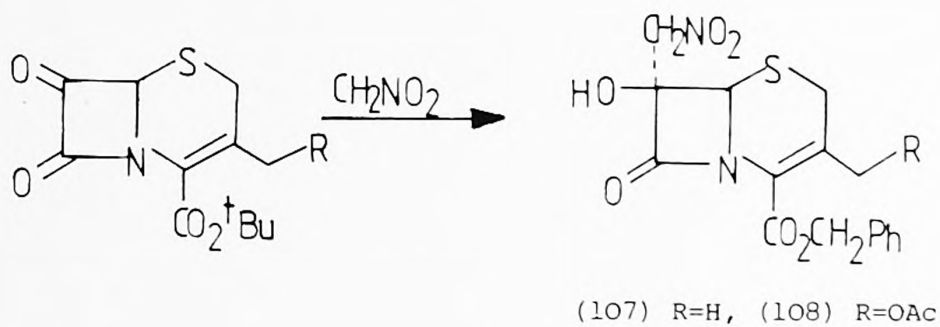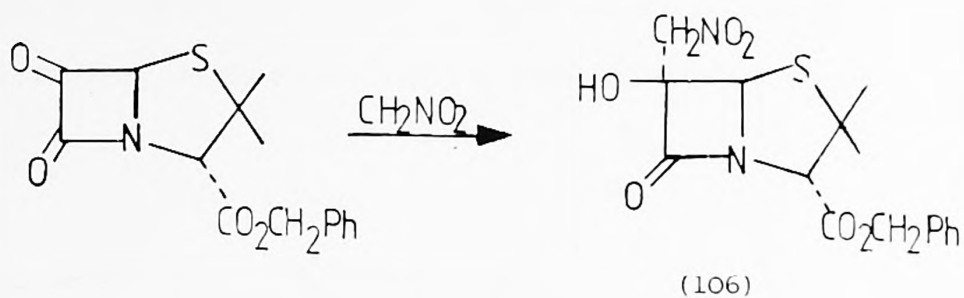
Scheme 14

Compound (107) was deprotected and converted to its sodium salt, which was subsequently shown to possess only low antibacterial activity.   In 1977, Sheehan et al.[62] reported the Wittig reaction of 6-oxopenicillanate with the ylid (114), affording the E and Z isomers of (115) (R_1 = CH_2CCl_3, R_2 = OCH_2Ph) (Scheme 15).   The major isomer, as expected, was the less-hindered Z-structure.

(114)

(115-E)

(115-Z)
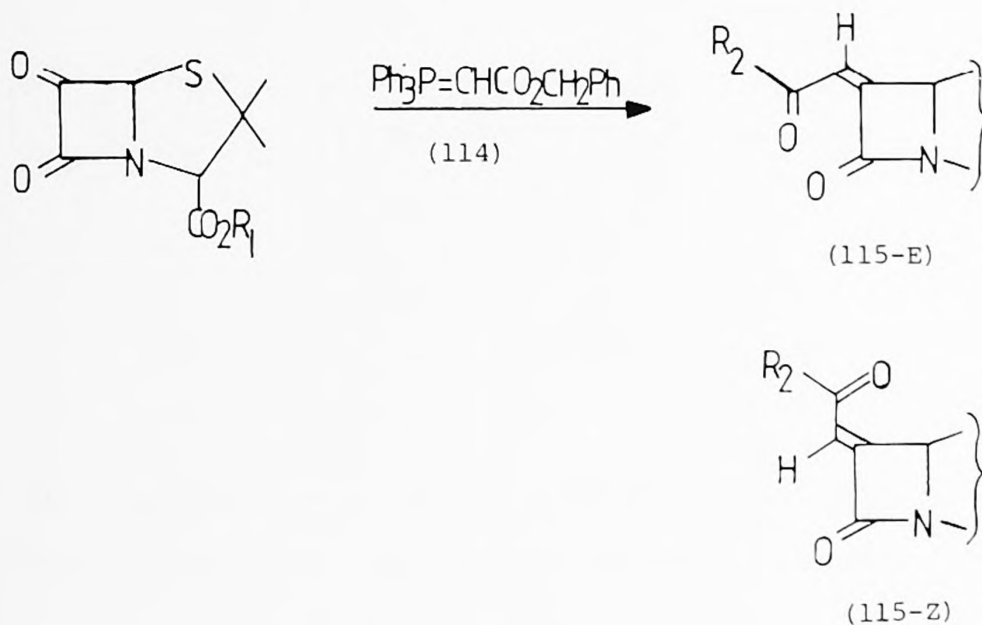
Scheme   15

Addition of hydrogen cyanide to 6-oxopenicillanate afforded the cyanohydrin (116) which, on reaction with the ylid (117) again yielded (115) (R_1 = CH_2Ph, R_2 = O^+Bu).   Hydrogenation of (115) (R_1 = CH_2CCl_3, R_2 = OCH_2Ph) in the presence of rhodium on alumina gave one isomer of (118).   Treatment of (118) with sodium azide caused rearrangement to (119), which, in turn, was treated with tert-butyl alcohol to yield (120, R_3 = COO^tBu).

(120) reacted with salicylaldehyde, affording the Schiff base

(121) on deprotection (Scheme 16).
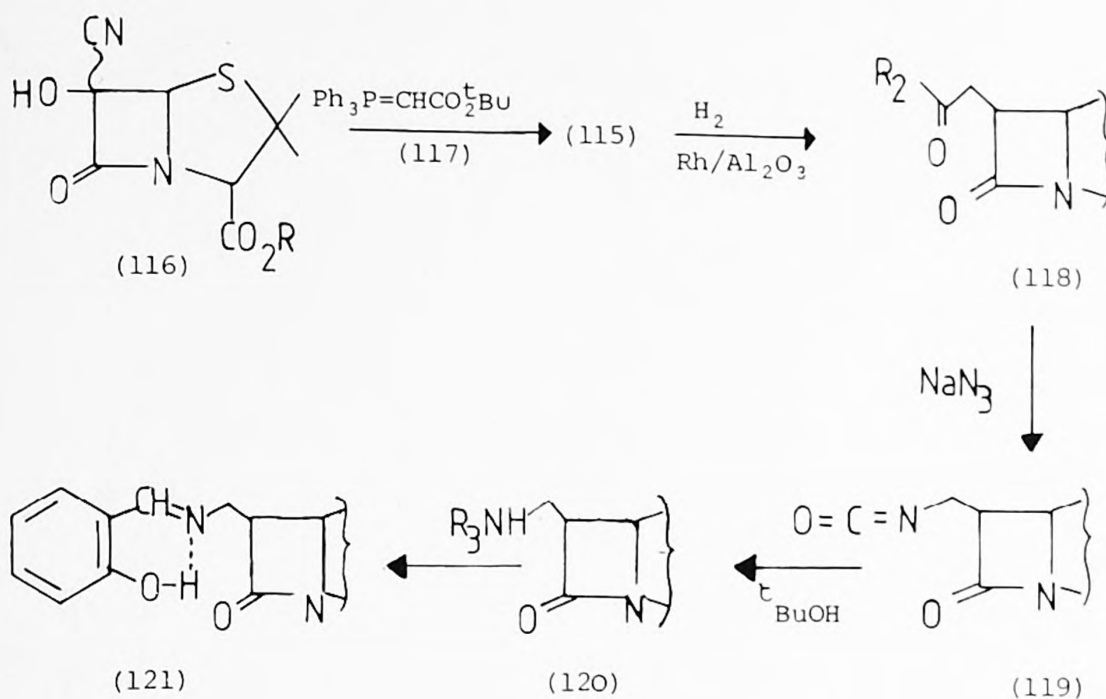
Scheme 16

All compounds were tested for antibacterial activity, but only the C-6 carbon analogues of (118) showed any activity.

The stereoselective conversion of 6-diazopenicillanates to analogues in which the side chain nitrogen was replaced by a sulphur atom was described by Sheehan, Commous and Lo[66] in 1977. Treatment of a THF solution of 6-diazopenicillanate with hydrogen sulphide afforded 66% yield of the hydrazone (122), whereas a mixture of 6-diazopenicillanate and an excess of either thio-benzoic acid, phenylthiolacetic acid or phenoxythiolacetic acid, on irradiation in carbon tetrachloride, gave the cis thiol esters (123)(125) and (127) together with small quantities of the cor-responding trans isomers (124)(126) and (128) (Scheme 17).

(123)　R = PhCO　　　　　(124)
(125)　R = PhCH$_2$CO　　　(126)
(127)　R = PhOCH$_2$CO　　(128)
(129)　R = Ph　　　　　　(130)
(131)　R = PhCH$_2$　　　　(132)
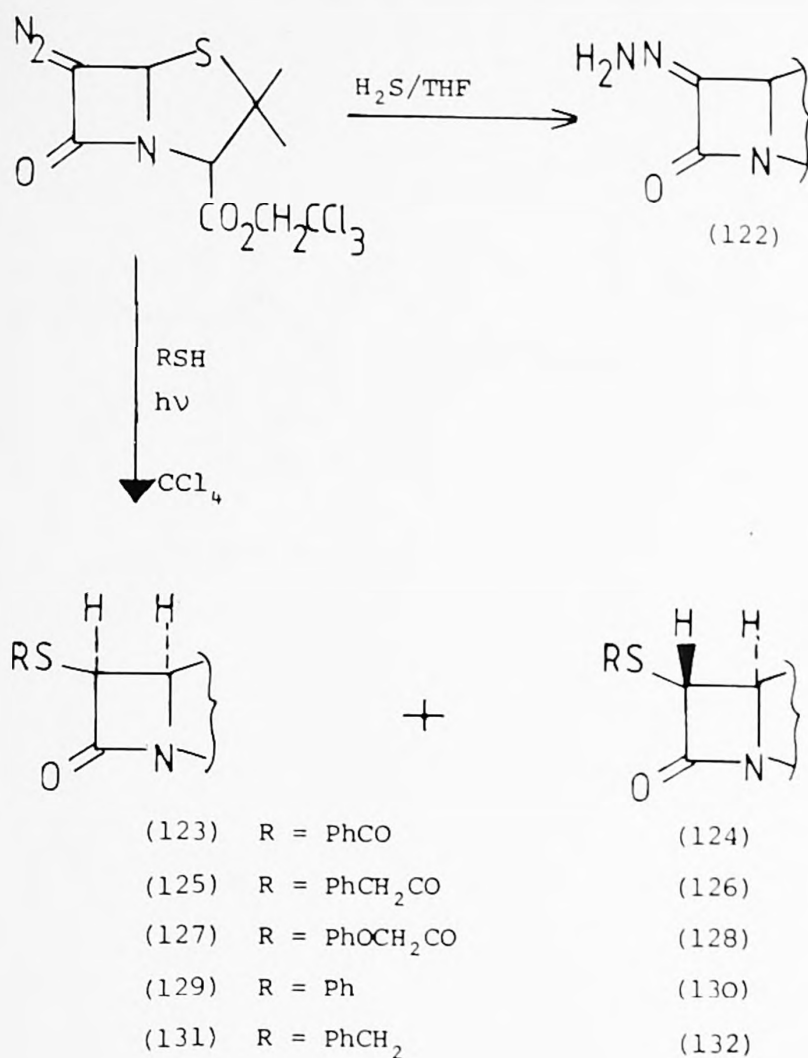
Scheme 17

The stereochemistry at C-6 was assigned on the basis of the coupling between the C-5 and C-6 protons on the β-lactam ring. Irradiation of 6-diazopenicillanate in thiophenol gave a mixture of sulphides (129) and (130), and similarly, irradiation with benzylmercaptan gave (131) and (132). In each case the cis isomer was the most abundant, and this was attributed to attack by the sulphur on the nitrogen of the diazo moiety forming an azo intermediate in which the β-lactam protons were cis. Loss of nitrogen with retention of configuration led to the desired product with the cis stereochemistry. Reaction of (133) and

41.

(134) with sodium methoxide followed by acylation afforded the
thiol esters (136) and (137) via the mercaptan (135) (Scheme 18).

(133) R = PhOCH$_2$CO
(134) R = ClCH$_2$CO

(136) R'=

(137) R'=
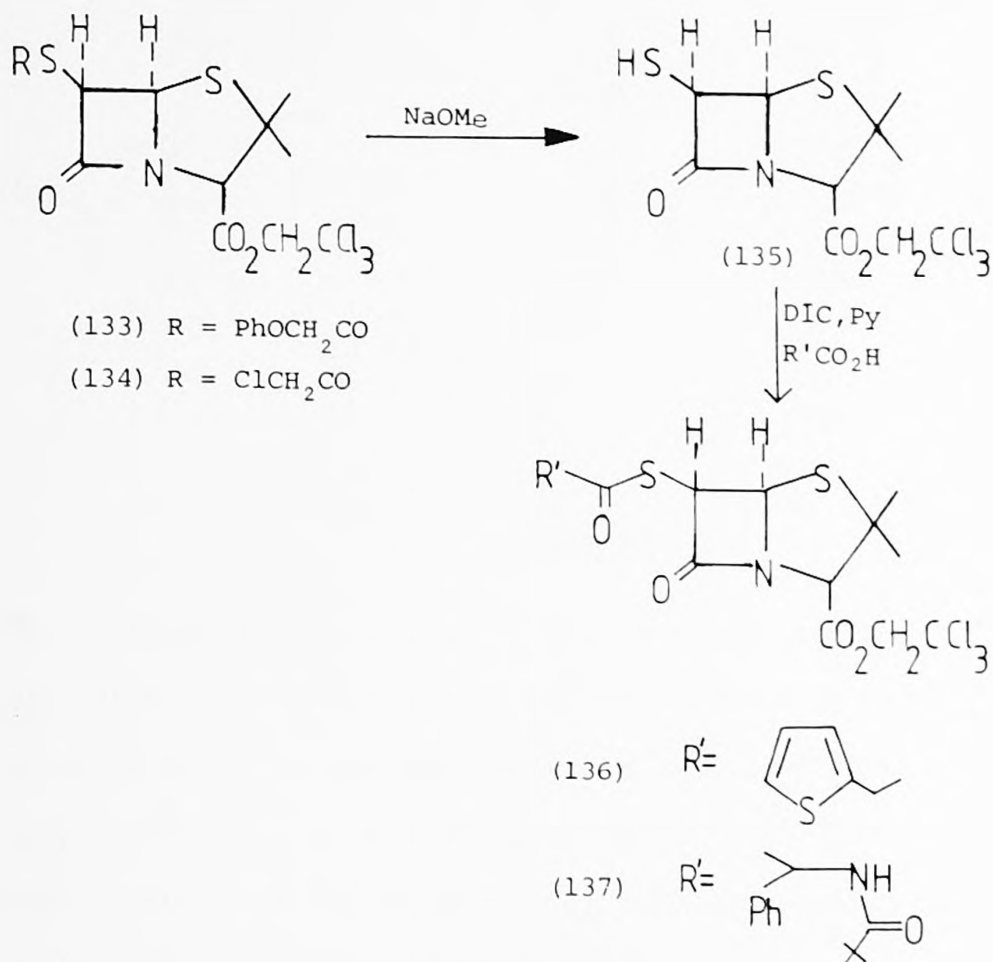
Scheme 18

The reactions of 6-diazopenicillanate with sulphenyl chlorides
were also reported by Sheehan[67]. In the presence of methanol,
6-diazopenicillanate reacted with carbomethoxysulphenyl chloride
to produce the 6α-methoxythiol penicillanate(139) together with
a small amount of the 6α-chloro ester (140).
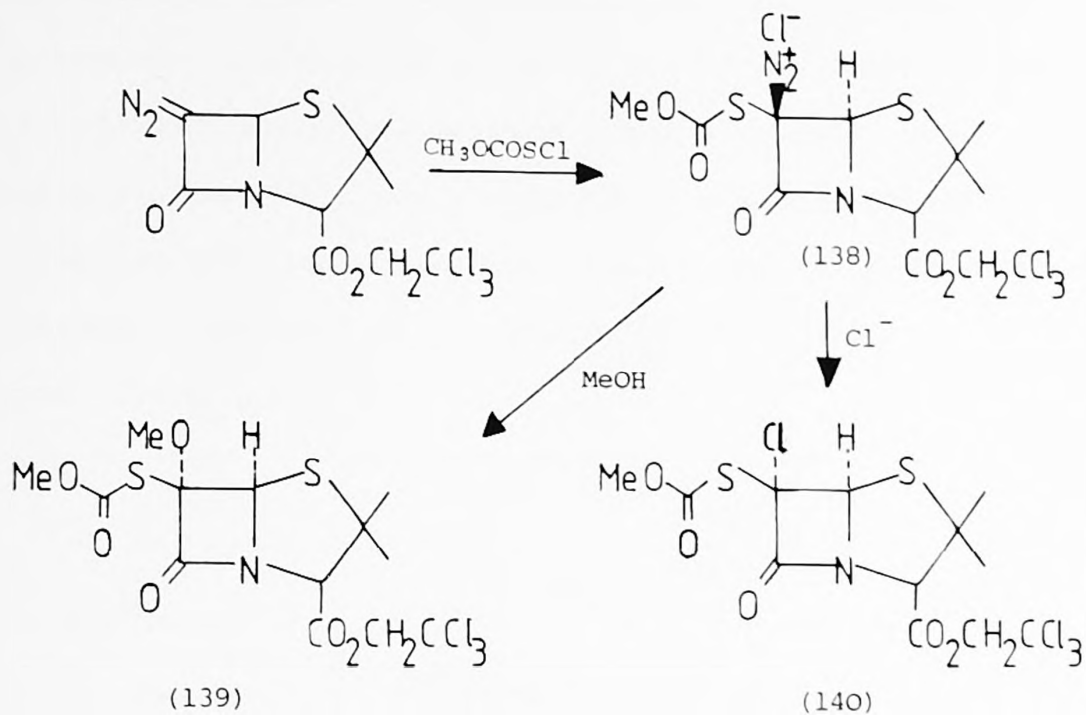
Scheme 19

The proposed mechanism involved the initial formation of a

diazonium intermediate (138), followed by addition of methanol

or chloride ion to the less-hindered α-face of the β-lactam

with simultaneous loss of nitrogen (Scheme 19). Similar results

were obtained for the corresponding cephalosporin series.

In the reactions with olefins[50], 6-diazopenicillanate under-

went 1,3-dipolar additions to give isomeric spiropyrazolines,

with preferential addition occurring at the least-hindered

α-side (Scheme 20).

Scheme 20

43.
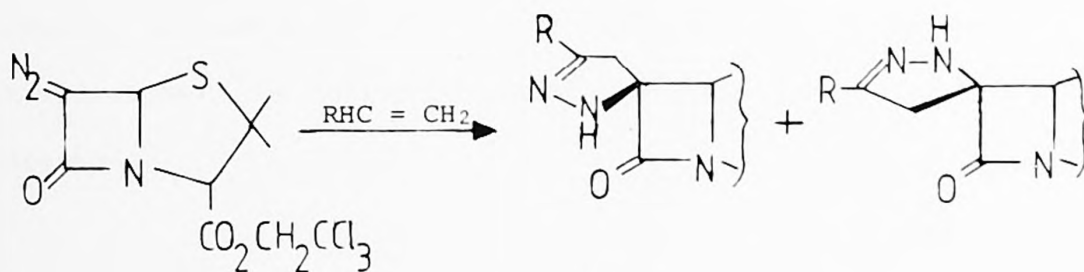
Spiropyrazolines were also produced when the dipolarophile was incorporated at C-6 of the penicillin molecule. Hence reaction of (141) with diphenyldiazomethane afforded a single isomer of the pyrazoline (142), with the considerable steric interaction preventing formation of the other isomer. Pyrolysis of (142) resulted in evolution of nitrogen to give the spirocyclopropane (143) (Scheme 21).

$R' = CO_2CH_2Ph$

$R'' = H$
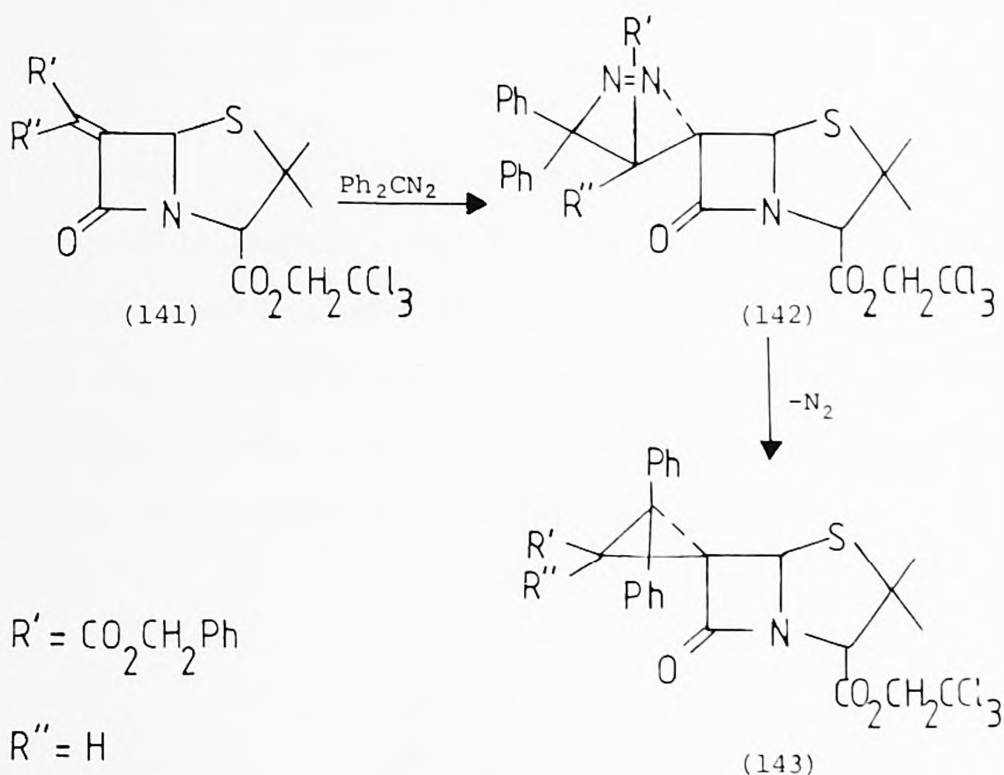
Scheme 21

Reaction of 6-diazopenicillanate with acetaldehyde afforded compounds (144), (145)(146) and (147). (145) and (146) were rapidly converted to (147) during chromatography. At reduced temperatures, the sole products of the reaction were the epoxides.

44.

(144)

R' = CH$_3$

(145) R' = (structure) R''= H

(146) R'= H R''= (structure)
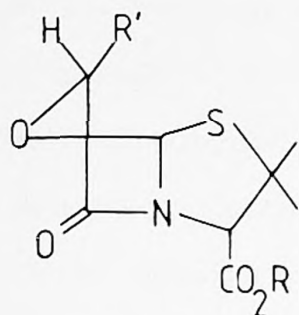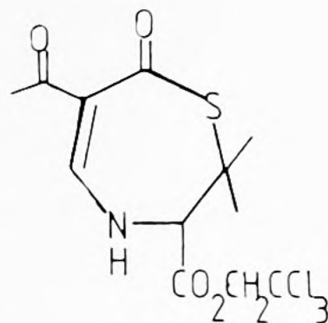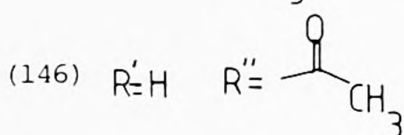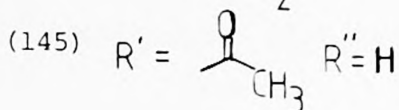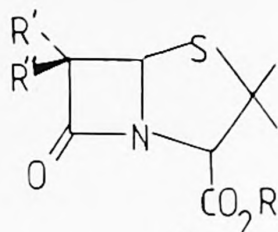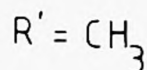
(147)

The reactions of olefins with 6-diazopenicillanate were also investigated by Campbell, Harcus and Ray[68]. Benzyl 6-diazo-penicillanate was reacted with ethyl vinyl ether in the presence of Cu(acac)$_2$ to yield a mixture of the four possible isomers of the ethoxy-substituted spirocyclopropane (148a-d). The four analogous cyclopropanes were also obtained in the cephem series.
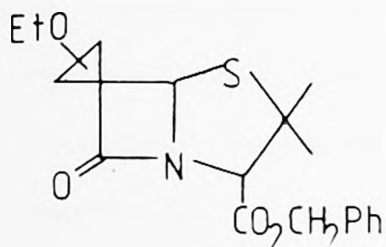
(148a-d)
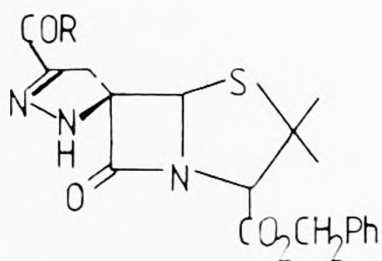
(149)   R = OMe

(150)   R = NH$_2$
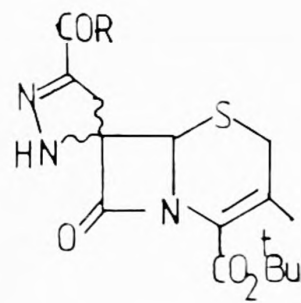
(151)

However, reaction of 6-diazopenicillanate with methylacrylate and acrylamide each gave one single pyrazoline isomer (149) and (150) respectively, with or without catalyst, as a result

45.

of a 1,3-dipolar addition. It appeared that the initial 1,
3-cycloaddition afforded 1-pyrazolines which then gave the
corresponding 2-pyrazolines by prototropic rearrangement.
Extrusion of nitrogen to produce the cyclopropanes did not occur.
In the reaction of methyl acrylate with 6-diazoceph-3-em, two
spiro-pyrazolines were produced which were isomeric at C-7
(151).

It is possible that the formation of the cyclopropane
isomers (148a-d) occurred as a result of rapid extrusion of
nitrogen from the corresponding pyrazoline structures. This
would account for the difference in products observed between
the two sets of reactions.

C-7-alkylated cephalosporanates were produced by Wiering
and Wynberg in 1977 to determine the effect on biological
activity[69]. To introduce the side chain at C-7, they utilized
the reaction of trialkylboranes with diazoesters previously
demonstrated by Hooz and Linke on diazoamides[70]. The reaction
of 7-diazocephem with trioctylborane gave rise to the alkylated
species (152). Other trialkylboranes proved to be equally
successful (see Chapter 4 for a full discussion of these
reactions).

(152)

46.
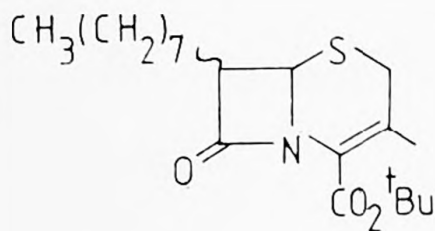
The Lewis-catalysed reactions of 6-diazopenicillanate with alcohols, thiols and related compounds as a direct route to 6-oxy- and 6-thiopenicillanates were reported by Giddings et al. in 1978[71]. The addition of $BF_3.Et_2O$ to a solution of 6-diazopenicillanate and an alcohol led to the formation of the corresponding 6α-alkoxy penicillanate (153)(Scheme 22).

R=Me-
  tBu-
  PhCH₂-
  PhCH₂CO-

(153)
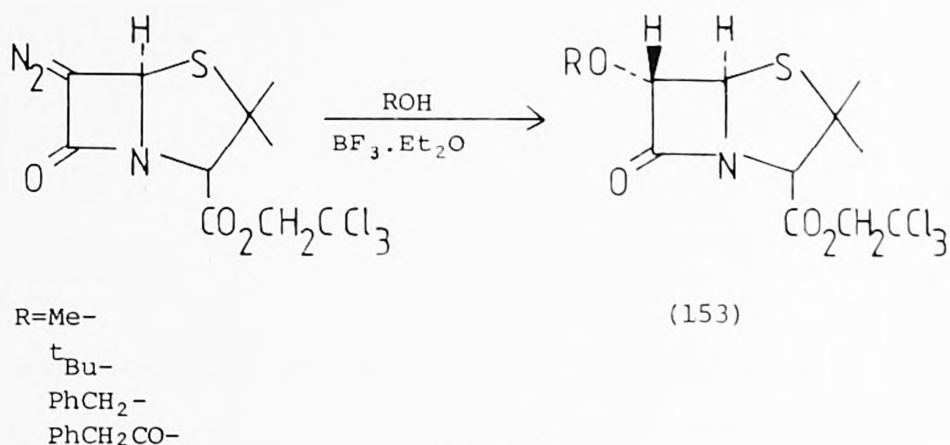
Scheme 22

In this manner, 6α-methoxy, tbutoxy, and benzyloxypenicillanates were obtained. Under the same conditions, 6-diazopenicillanate also reacted with phenylacetic acid to give the 6α-phenylacetoxy-penicillanate (153; R = PhCH₂CO). In excess thiol, 6-diazopenicillanate reacted to form the corresponding 6α-alkylthio-penicillanate (154, R = PhCH₂,Me₂CHCH, Et-)(Scheme 23).

R=PhCH₂-
  Me₂CHCH-
  Et-

(154)
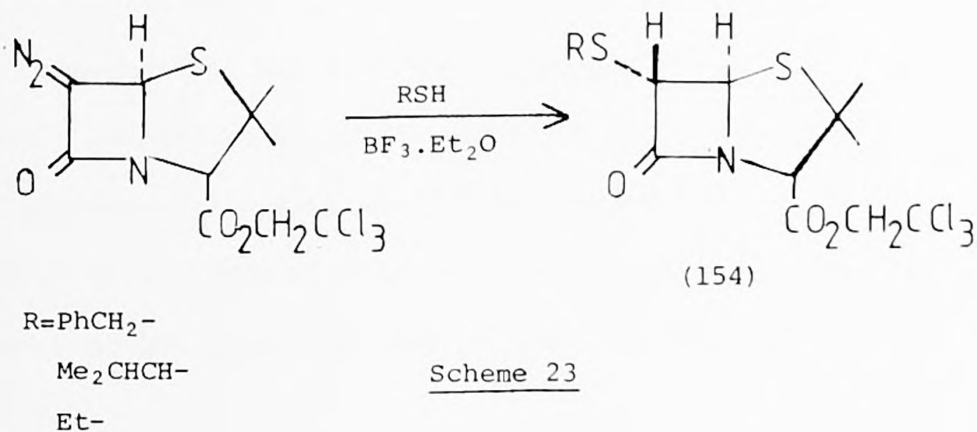
Scheme 23

Decomposition of the diazoester in dioxan resulted in the

formation of a dioxan cleavage product (155), and similarly,

reaction with diethylether resulted in cleavage to form (153,

R = Et).
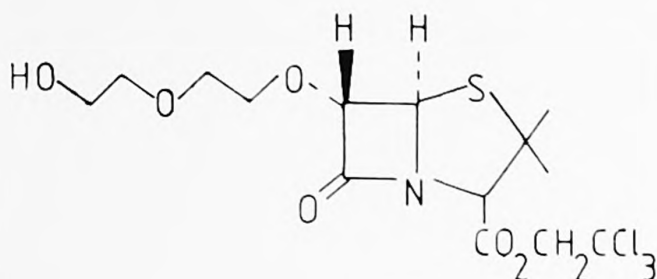
(155)

Two mechanisms have been proposed for the above $BF_3.Et_2O$ -

catalysed reactions.   Either the $BF_3.Et_2O$ coordinates with

the alcohol or thiol which then protonates the 6-diazo-

penicillanate, or, alternatively, the $BF_3.Et_2O$ coordinates with

the 6-diazopenicillanate so making it more electrophilic and

more susceptible to nucleophilic attack.

As an extension to this work, Giddings et al.investigated

the reactions of 6-diazopenicillanates with allylic sulphides,

selenides and bromides[72], producing various 6-substituted

penicillanates via a [2,3] sigmatropic rearrangement.   The

work complemented the reactions of Campbell et al[68] and was

directly related to Baldwin's report on [2,3] sigmatropic

rearrangements of 6-alkylaminopenicillanates[73].   Initially,

reaction of 6-diazopenicillanate phenylallylsulphide in the

presence of $BF_3.Et_2O$ gave rise to three products (156)(157)

and (160).

(156) X = PhS   (157) X = PhS

(158) X = MeS   (159) X = MeS

(160) X = PhS   (162)   (163)

(161) X = MeS
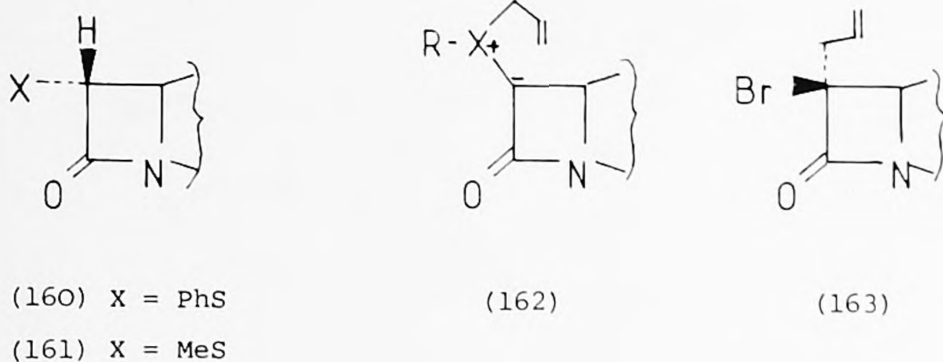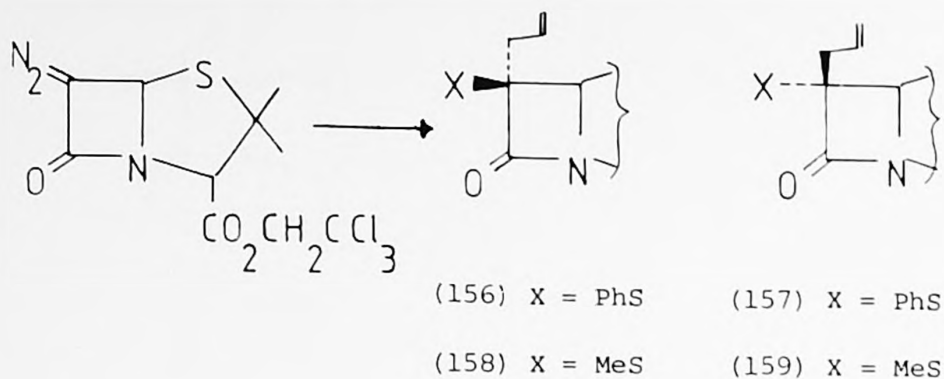
Similarly, reaction with methyl allyl sulphide afforded (158)

(159) and (161). By using $Cu(acac)_2$ in place of $BF_3.Et_2O$,

the 6α-monosubstituted products were avoided. The mechanism

proceeded via an intermediate ylid (162).

The reaction of 6-diazopenicillanate with allyl bromide

in the presence of $Cu(acac)_2$ afforded 6α-allyl-6β-bromopenicillanate

(163). Treatment of 6-allyl-6-phenylselenylpenicillanates (164)

(165) with m-chloroperoxybenzoic acid resulted in the rapid

formation of the dienes (166) and (167). Both selenides gave

the same dienes in approximately the same 2:1 ratio (Scheme 24).

(164)

(165)

+

(166)

(167)
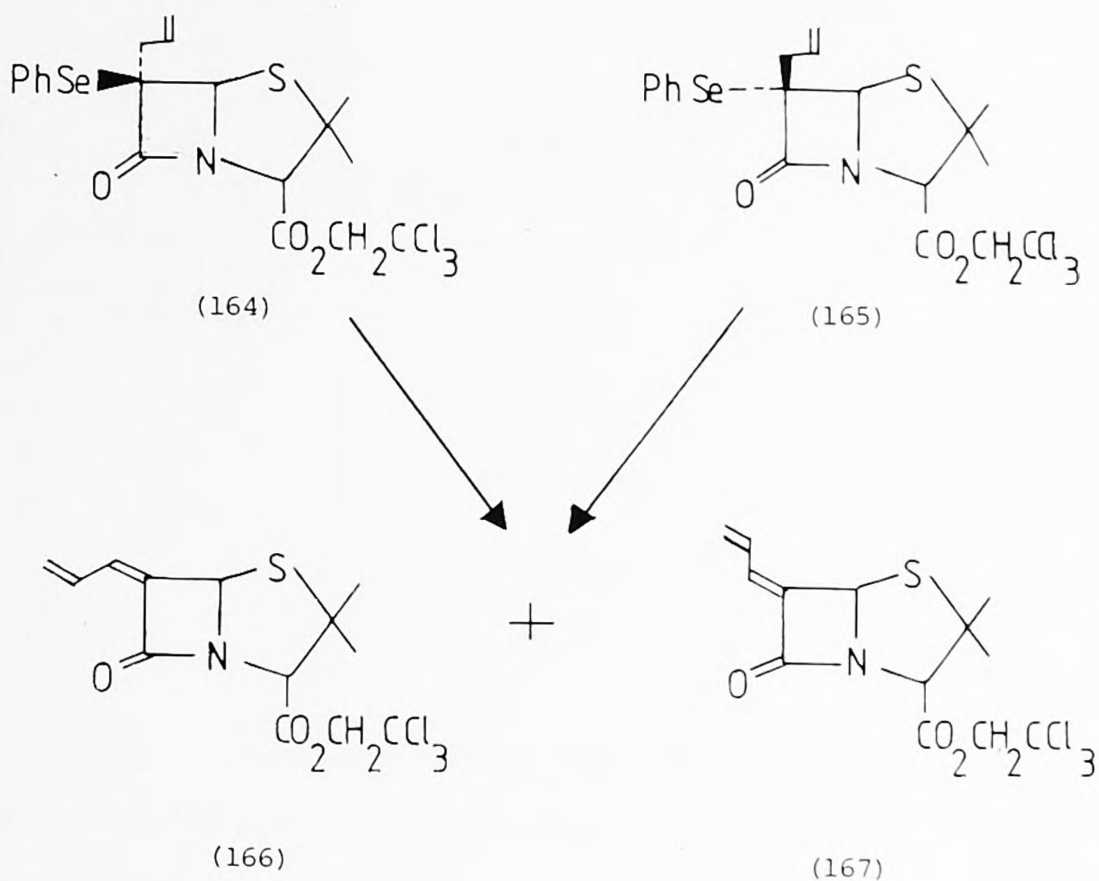
Scheme 24

The preparation of several 6-phenylselenylpenicillanates and
their subsequent reduction by tri-n-butyl tin hydride to give
novel 6β-substituted penicillanates was also described by
Giddings and co-workers[74]. It was shown that 6-diazopenicillanate
reacted rapidly with phenyl selenyl chloride to give the correspond-
ing 6-chloro-6-phenylselenylpenicillanates (168) in high yield.
Only one isomer was produced, which, by mechanistic consideration,
was supposed to bear the phenylselenyl group in the 6β-position.
The similar reaction of 6-diazopenicillanate with diphenylselenide,
in the presence of $BF_3.Et_2O$ catalyst, afforded the corresponding
6,6-bis(phenylselenyl)penicillanate (169).

(168) X = Cl   (170) X = Cl

(169) X = PhSe   (171) X = PhSe

R = CH$_2$CCl$_3$ R = CH$_2$Ph

CH$_2$Ph
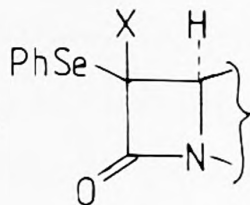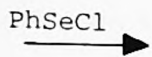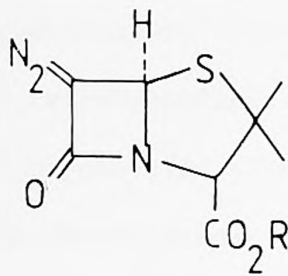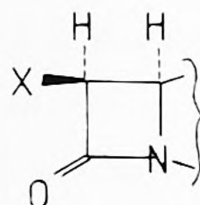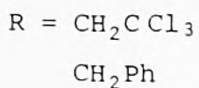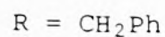
In contrast, the analogous reaction with phenyl selenyl bromide
was unsuccessful, as the products could not be isolated from
the complex reaction mixture.

The reductions of the esters (168)(169) bearing the tri-
chloroethyl group were complicated by competing reduction of the
protecting group.   However, reduction of benzyl 6-phenylselenyl-
pencillanates (168)(169) using tri-n-butyl tin hydride in the
presence of a catalytic amount of azobisisobutyronitrile led
to the formation of one product in each case, (170) and (171)
respectively.   Both reductions were highly stereoselective,
producing only the 6β-isomers.   The preparation of 6-phenyl-
selenylpenicillanate by direct reaction of 6-diazopenicillanate
with phenyl selenol in dichloromethane, in the presence of
BF$_3$.Et$_2$O, led to a single isomer, (172), which was subsequently
identified as the 6α-isomer.   However, when a mixture of
dichloromethane and tetrahydrofuran was used as the reaction

51.

solvent, a mixture containing both the 6α- and 6β-phenylselenyl-
penicillanates (172)(173) was obtained, in which the β-isomer(173)
predominated.   The inverted stereoselectivity in the presence
of tetrahydrofuran may be due to its participation in the reaction.
An oxygen ylid (174) could possibly be involved, which reacts with
the phenyl selenol to give the 6β-phenylselenylpenicillanate (173).
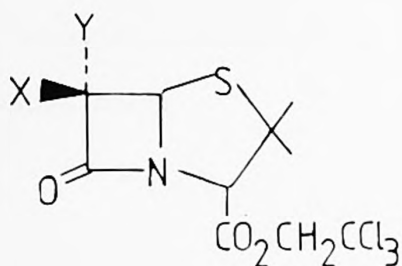
(172) X = H,Y = PhSe
(173) X = PhSe, Y=H
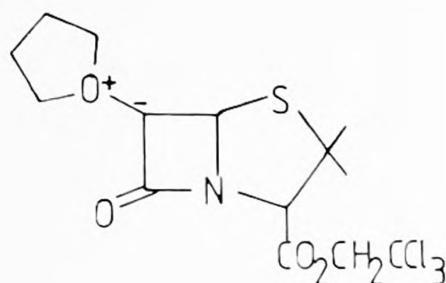
(174)

Closely-related studies on the reactions of 6-diazopenicillanate
with alcohols were reported by Matlin and Chan[75], in which benz-
hydryl 6-diazopenicillanate decomposed in ethanol by Cu(acac)$_2$
catalysis to produce a mixture of the 6α-ethoxypenicillanate
(175) and the ethoxythiazepine (176) in the ratio 1:1.5.
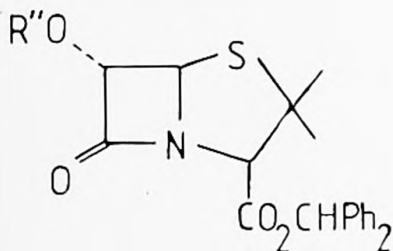
(175) R" = Et
(177) R" = ^{t}Bu
(178) R" = PhCH$_2$
(179) R" = Me
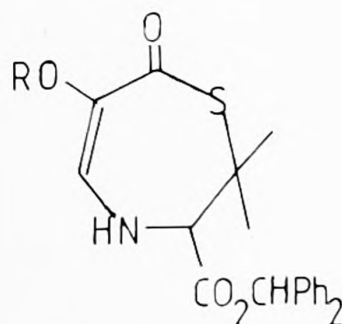
(176) R = Et

Although the corresponding thiazepine was the major product
in each case, the synthesis of butoxy- and benzyloxypenicillanates
(177) and (178) were achieved in the same manner.   The same
result was obtained when the reactions were repeated in the
presence of rhodium acetate catalyst.   Use of $BF_3.Et_2O$ to
catalyse the analogous reaction between 6-diazopenicillanate and
methanol resulted in the formation of 70% of the 6α-methoxy-
penicillanate (179) with no detection of the thiazepine. TsOH
as catalyst also produced a high yield of the substituted
penicillanate.

Section 1

Chapter 2 : The Reactions of Diazo Compounds with Heterocycles

2.1   Introduction

The reactions of diazo compounds by catalytic decomposition
to give the carbene or carbenoid (in which the carbene complexes
to the metal of the catalyst) are a well-documented area of organic
chemistry[76,77].   Ethyl diazoacetate, $N_2 = CHCO_2Et$, for example,
has been used for more than seventy years for the preparation of
cyclopropane carboxylic esters through addition to olefins[78].
This important method of synthesis of cyclopropanes has been
extended to other unsaturated systems such as allenes, acetylenes
and aromatic compounds.

Skell[76d] pointed out the similarity in structure between the
singlet carbene and a carbonium ion (Figure 1).

Singlet carbene   Carbonium ion
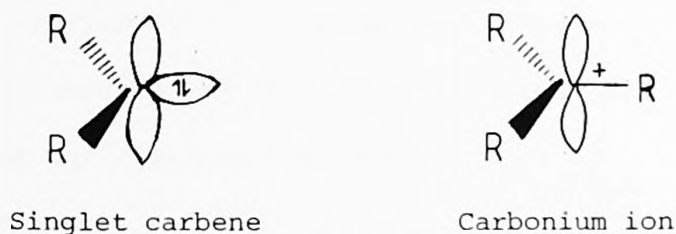
Figure 1

In the reaction involving the addition of dibromocarbene to olefins,
dibromocarbene was seen to act as an electrophile, leading to stereo-
specific cycloaddition in which the stereochemistry of the olefin
was retained in the cyclopropane (Scheme 1).

54.
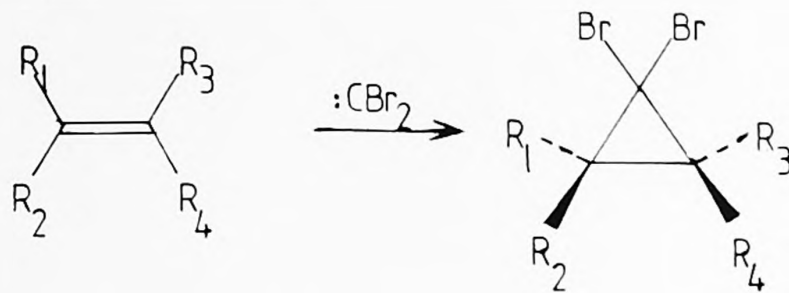
Scheme 1

Skell rationalized this observation by pointing out that a
singlet carbene could add to an olefin in a concerted manner,
since the two new σ-bonds of the cyclopropane could be formed
without changing the spin of any of the electrons involved. On
the other hand, for a triplet carbene, the cycloaddition should
go through a triplet diradical intermediate. Before this dira-
dical can close to a cyclopropane, there must be an inversion of
spin of one of the electrons. If the free rotation about the
C-C bonds of the diradical is faster than the spin inversion,
then the stereochemistry of the original olefin will not be
retained in the cyclopropanes (Scheme 2).

There are many carbenes which do not add stereospecifically to
olefins, and it has been possible to correlate stereospecific
addition with carbenes in a singlet state, and non-stereospecific
addition with triplet carbenes, by use of the Skell Theory.

Spin Inversion
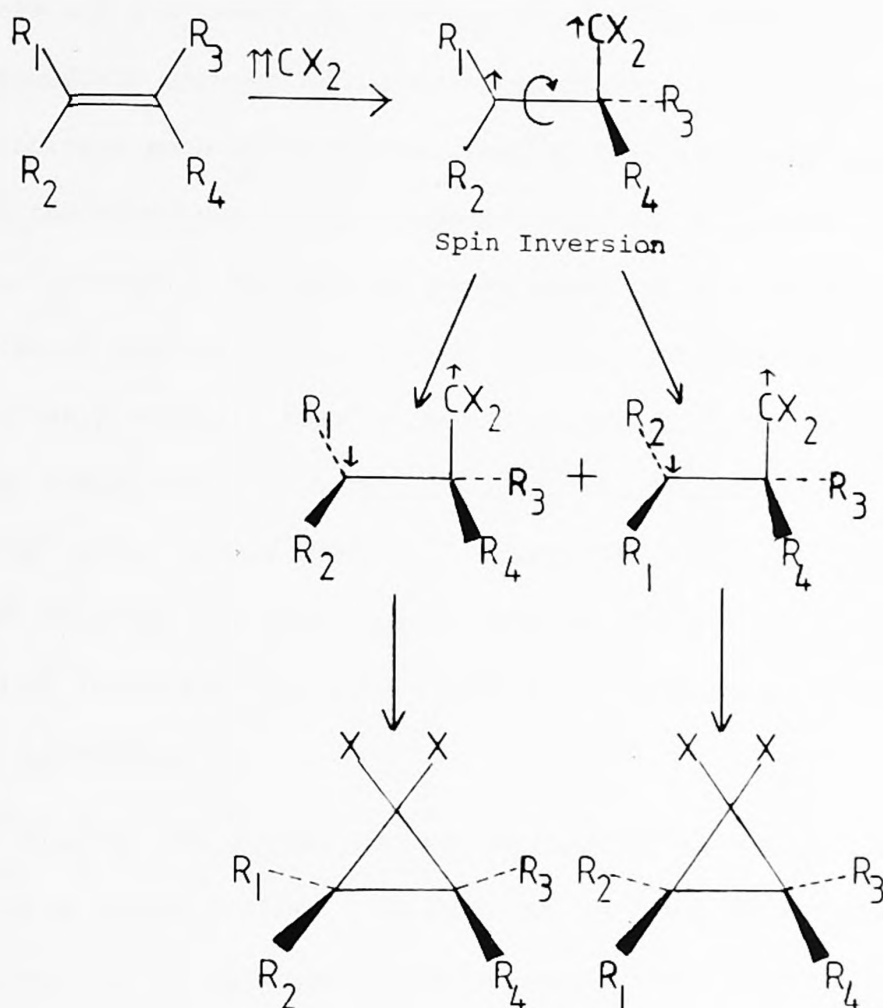
Scheme 2

The use of copper and its salts for the catalytic de-
composition of diazo compounds has been thoroughly investigated[76,77,79-82].
Surprisingly, little is known concerning the mechanism of the
processes involved and what is generally known tends to be over-
simplified[83-85], or neglects reasonable alternative explanations[76,84,85].
However, some light has been shed on the subject by Wulfman et al.[86]
The reactions of olefins with diazocompounds in the presence of a

metal catalyst can lead to three possible products; cyclopropanes, dimers and C-H insertion products, due to the formation of an intermediate carbene or carbenoid species. Surprisingly, no pyrazolines such as those described by Sheehan[50] and Campbell[68] from the reactions of 6-diazopenicillanates with olefins have been observed. Perhaps the pyrazolines are the initial products, but rapid extrusion of nitrogen leads to cyclopropane formation. This was previously seen to be a possibility in the reaction between 6-diazopenicillanate with ethyl vinyl ether to afford the ethoxy cyclopropanes (Chapter 1) (148a-d). The presence of an electron-releasing substitutent such as the ethoxy group could lead to immediate expulsion of nitrogen, whereby no pyrazolines were identified.

Wulfman found that product distibution is a function of catalyst concentration. He proposed at least three possible pathways to cyclopropane formation alone. Two involve a single common intermediate which is formed before product partitioning between C-H insertion and cyclopropanation and involves a single molecule of catalyst. The third process involves two molecules of catalyst and therefore requires higher catalyst concentrations. There exists only a small probability that some processes are occurring by dissociation of an intermediate to a free carbene. It appears much more probable that the carbene binds to the metal of the catalyst, affording a carbenoid complex.

Paulissen et al.[87] have reported evidence in support of this probable coordination mechanism in their studies of the palladium-catalysed cyclopropanation of olefins. By investigating the

model system A, using palladium dichloride, rhodium trichloride
and tris(triphenylphosphine) rhodium (1) chloride, the palladium
catalysts were shown to give striking rate enhancement as compared
to the other catalysts.

$$Ph-CH=CH_2 \xrightarrow{N_2 \diagdown CO_2Et}$$
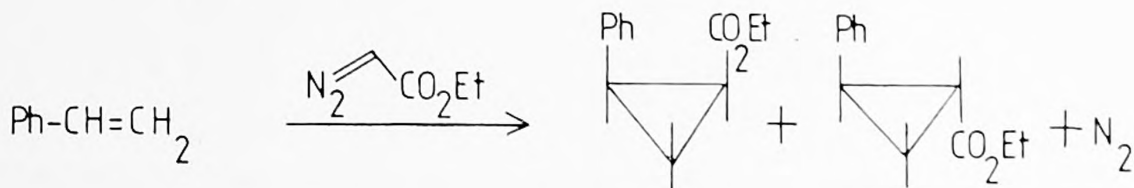
System A: Reaction of Ethyl Diazoacetate with Styrene
in the presence of a) $PdCl_2$ b) $RhCl_3$ c) $Rh(PPh_3)_3Cl$.

This rate enhancement, together with the influence of ligands on
the stereochemistry, point towards formation of a coordination
complex.   Similar suggestions that the reactions of diazoalkanes
with olefins to produce cyclopropanes proceed through a soluble
metal-carbene complex had also been made by Moser[83].   It there-
fore is dangerous to generalise on the mechanism involved in the
reactions between diazocompounds and olefins, as each proceeds by
its own pathway, dependent on various factors such as catalyst and
catalyst concentration, all of which have some influence on the
mechanism.   Many reports have appeared in the literature concerning
the reactions of diazocompounds with heterocycles, proceeding via
metal-carbene complexes, or initiated by the action of heat or light.

2.2   The Reactions of Diazocompounds with Pyrroles

Nenitzescu and Solomonica[88] reported the reaction between
1-methylpyrrole and diazoacetic ester as long ago as 1931.   This
reaction was then utilized by Sohl and Shriner in 1933 in their
synthesis of ethylhomogrinate[89] in an attempt to investigate the
structure of cuscohygrine.   The product of the reaction was

1-methyl-2-pyrrylacetic ester (1).

(1)
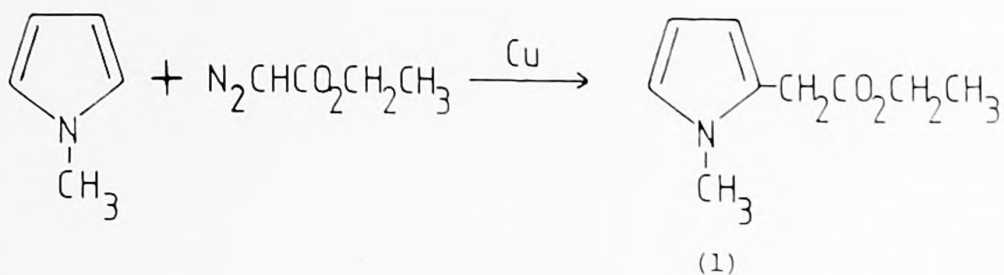
Similarly, Clemo and Metcalf[90], in an attempt to synthesize 5,
5'-dimethyldi(1,2) pyrrolidine (3), a lupin alkaloid, produced
(2) initially by the method of Sohl and Shriner[89].

(2)   (3)
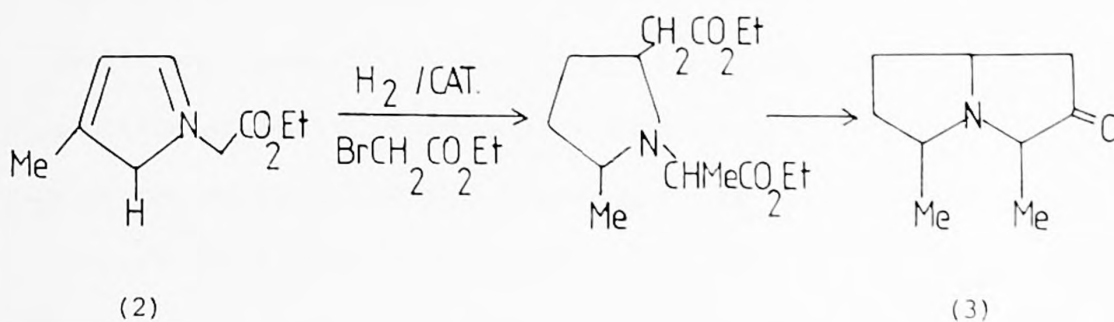
In 1944, Blicke and co-workers[91] prepared a series of acids,
and esters containing phenylpyrryl nuclei.   Among the variety
of methods employed was that involving attack on the pyrrole
ring by carbenic species. For example, ethyl 2-(5-phenyl)-
pyrrylacetate (4) was produced by the reaction of ethyl diazo-
acetate with 2-phenylpyrrole.   Similarly, ethyl-2-(3-phenyl-5-
methyl)-pyrrylacetate (5) was prepared by the action of ethyl
diazoacetate on 3-phenyl-5-methyl pyrrole.   Copper powder or
copper bronze were employed as catalysts.
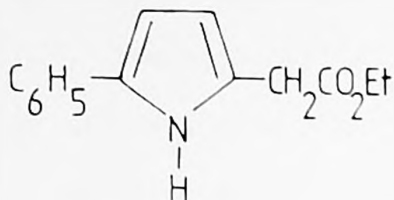
(4)
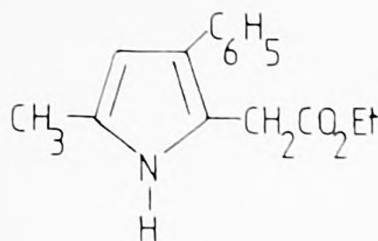
(5)

In 1958, Badger, Christie, Rodda and Pryke[92] reported the
reaction of ethyl diazoacetate with naphthalene and its hetero-
cyclic analogues. With polycyclic aromatic hydrocarbons, the
addition of ethyl diazoacetate to carbon-carbon double bonds to
form the cyclopropane derivative occurs at the bond having the
greatest bond order[93,94]. Previously, only a few attempts had
been made to apply this reaction to heterocyclic systems, al-
though the reaction with thiophene had been studied and was
known to produce a cyclopropane adduct[95]. On the other hand,
pyrrole has been shown to undergo substitution to give, after
hydrolysis, 2-pyrrylacetic acid[96]. Badger had heated indole,
1-methylindole, thionaphthene and benzofuran with ethyl diazo-
acetate; and the resulting esters were distilled and hydrolysed
to the corresponding acids. The acidic product from the reaction
employing indole was identified as 3-indolylacetic acid . No
cyclopropane was isolated, which was surprising but confirmed the
findings of previous workers[97,98]. Substitution therefore was
shown to occur at the 3-position, whereas it had been at the 2-
position in pyrroles. Fowler reported the first carbene or

60.

carbenoid addition to a pyrrole derivative, thus providing the

first known synthesis of the 2-azabicyclo-[3.1.0] hex-3-ene ring

system (2,3 homopyrrole)[99].   The crude reaction products were

a complex mixture, from which the mono- and bis-adducts (6) and

(7) were isolated in 14%   and 5% yields respectively.

(6)   (7)
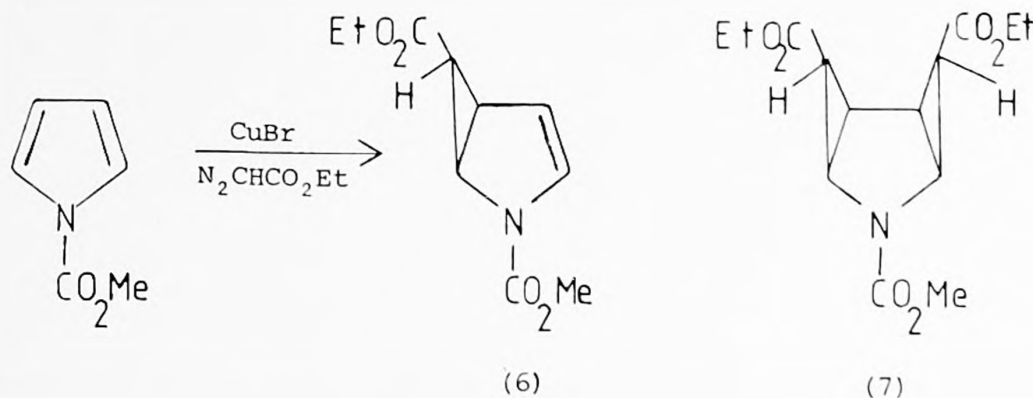
The presence of the electron-withdrawing methoxycarbonyl group

could be responsible for the change in mode of action of these

pyrroles.   (6) could be converted into (7) in 10% yield by de-

composition of ethyl diazoacetate in the presence of (6) using

copper (1) bromide.   Although not confirmed, the ethoxycarbonyl

group in (6) would be predicted to occupy the exo-position since

this is the stereochemistry of the main adduct from the addition

of ethyl diazoacetate to cyclopentadiene[100].   However, the

configuration of the ethoxycarbonyl groups in (7) could not be

assigned with certainty.

The preference for N-substituted pyrroles to undergo

substitution at the 2-position was demonstrated again in 1971

by Cauquis et al.[101] in the reaction between diazoanthraquinone

and N-methyl pyrrole.   The products of the reaction, induced

by irradiation, were the hydroxyanthraquinone (8), the azine
(9) and the bianthronyl compound (10).
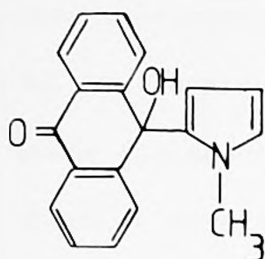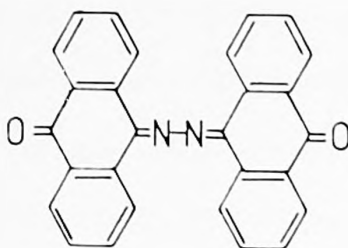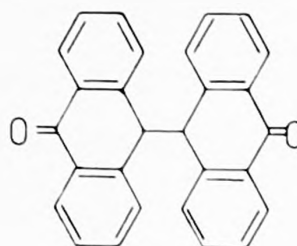
(8)   (9)   (10)

Formation of (8) is thought to occur as a result of auto-
oxidation of (12) during chromatographic purification procedures,
to yield the hydroperoxide (13), which, as a result
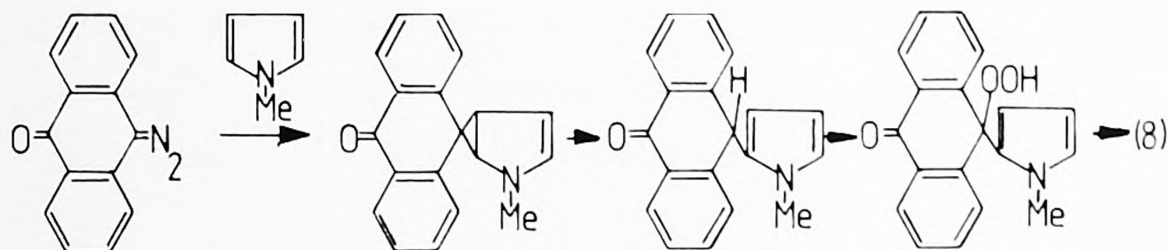
(11)   (12)   (13)

of its instability, decomposes to the hydroxyanthraquinone (8).
Structure (12) is thought to form from a cyclopropane intermediate
(11), which undergoes ring opening to furnish the substituted
anthraquinone.   Alternatively, (12) may be formed as a result
of nucleophilic attack by the pyrrole on the carbene.   Either

62.

way, the normal pattern of 2-substitution was observed.

2.3   The Reactions of Diazocompounds with Thiophenes

The reactions of sulphur heterocycles with carbenes have
been thoroughly investigated.   Steinkopf and Angestad-Jensen[95]
in 1922 reported that thermolysis of ethyl diazoacetate in thio-
phene yielded the cyclopropane adduct (14). Photolysis was
shown to give the same result by Schenck and Steinmetz[103] many
years later.
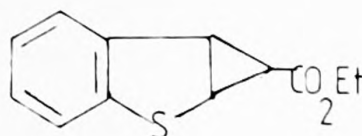
(14)   (15)

Rearrangement of (14) afforded ethyl-3-thiopheneacetate.
Pettit[104] confirmed the structure of (14) and effected its
conversion to the thiapyrylium cation (Scheme 3).
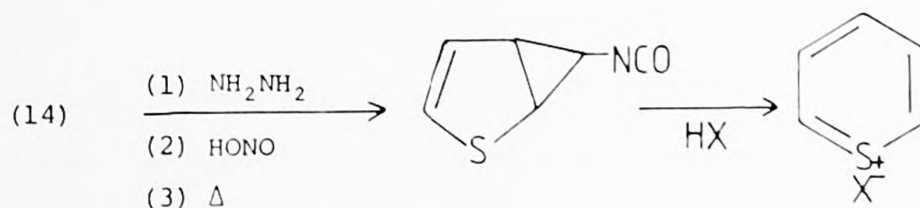
Scheme 3

Photolysis, thermolysis and metal catalysis of diazomethane or
ethyl diazoacetate result in addition to the π system of thiophene

to form bicyclic products. However, copper catalysed decomposition of diazoacetone in thiophene afforded α-(2-thienyl)acetone[105].

Badger et al[94] in 1958 showed that thionaphthene, unlike its nitrogen counterpart, reacted with ethyl diazoacetate to yield the cyclopropane (15). As previously mentioned, the indole analogue had formed no cyclopropane, but instead had undergone substitution at the characteristic 3-position. Again cyclopropanation was shown to be the favoured pathway for thiophene reactions in the reactions between diazomethane and thiophene, in which the major product was 2-thiabicyclo[3.1.0]hex-3-ene (16) and between ethyl diazoacetate and thiophene, from which the major product was ethyl 2-thia-bicyclo-[3.1.0]hex-3-ene-6-carboxylate (17)[106].

(16)   (17)   (18)   (19)
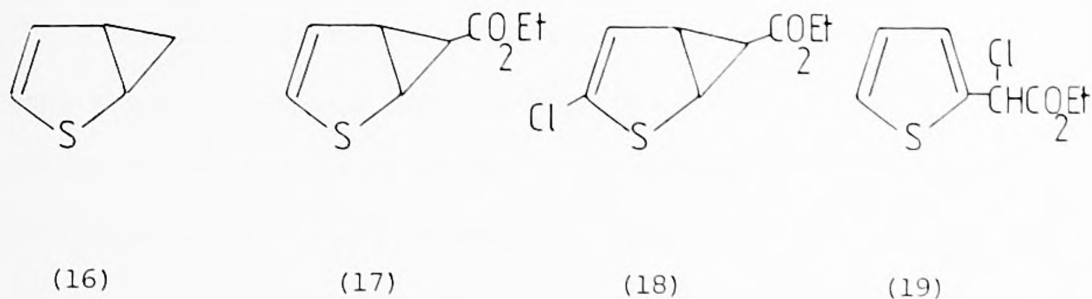
Carbenic reactions of substituted thiophenes and furans with diazomethane and diazoesters were studied by Jackson[107] in order to investigate the directive effects of substitutents on the heterocycle. Repeating the above reactions of thiophene with diazomethane and ethyl diazoacetate yielded the same products (16) and (17) respectively whether by thermolytic, photolytic or catalytic methods. Thermolysis of ethyl diazoacetate in 2-chlorothiophene furnished 3-chloro-2-thiabicyclo [3.1.0]hex-

3-ene-6-carboxylate (18) and ethylα-chloro-2-thiophenacetate (19)
in poor yield.   But although the yields were low, the effect of
the chlorine was obvious.   None of (20) was detected, which
indicates
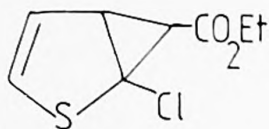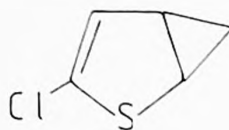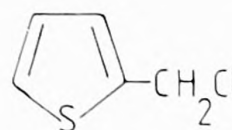
(20)   (21)   (22)

that if it is formed, it isomerised to (19), the insertion

product, very readily.   Hence there was a 2:1 preference for

the mode of carbene attack which avoided direct reaction with

the chlorine substituent.   The effect was even more pronounced

when the reaction was repeated catalytically as the adduct

formation occurred only at the unsubstituted position of the

thiophene ring.   The difference in results of thermolysis and

catalysis indicated that the catalytic intermediate possesses

reduced carbenoid character since no insertion products were

observed.

Reaction of diazomethane and 2-chloro-thiophene afforded

both the adduct (21) and the insertion product (22).   Steric

and electronic factors favour (21) to (22).   It was claimed

that, on standing, (22) rearranged to the thiopyran (23), al-

though evidence was by no means conclusive.   The decomposition

of diazomethane by CuCl in 2-methylthiophene resulted in a 2:1

mixture of (24) and (25). Again, steric and electronic factors made (24) the more favourable adduct. As expected, the reaction of methyldiazoacetate with 2,5-dimethylthiophene in the presence of CuCl yielded (26), and similarly, the reaction with diazomethane afforded (27).
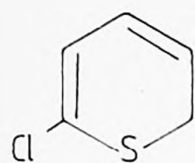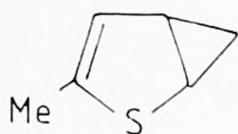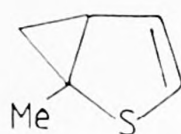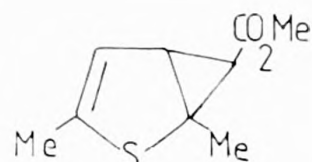
(23)	(24)	(25)	(26)

To confirm that steric hindrance favoured the unsubstituted face of thiophene, 2-tert-butylthiophene was reacted with diazomethane, from which only one isomer, (28), was produced. Finally, Jackson showed that the reaction between 2,5-dichlorothiophene and diazomethane in the presence of CuCl formed no cyclopropane. Instead, the product was 5-chloro-2-chloromethylthiophene (29), presumably forming by a mechanism similar to that by which (19) was produced.

Extensive work has been carried out on the reactions of sulphur heterocycles to form sulphonium ylids. In 1969, Ando et al.[108] reported the formation of (30) by the catalysed decomposition of diacetyldiazomethane in 4-t-butylthiacyclohexane. Ando complemented these results by studying the reactions of carbenes with cyclic

(27)	(28)	(29)

$$S^+ - \bar{C}(CO_2Me)_2$$

(30)

(31)

$$\overset{\pm}{S}$$
$$\bar{C}(CO_2Me)_2$$

(32)

$$CO_2Me$$
$$CO_2Me$$
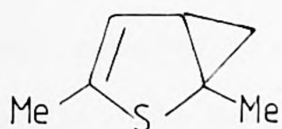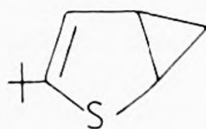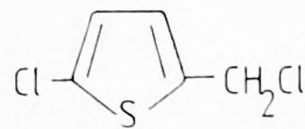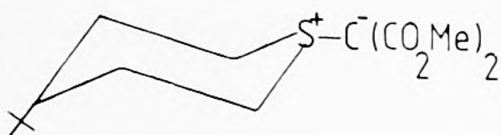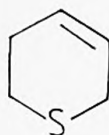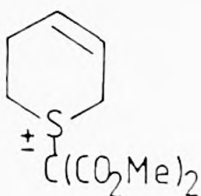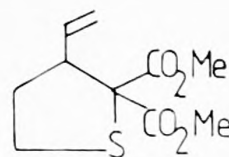
(33)

allyl sulphides[109], work also carried out by Appleton and co-
workers[110].   Photolysis of dimethyl diazomalonate in Δ^3-di-
hydrothiopyran (31) afforded the sulphonium ylid (32), which was
sufficiently stable for isolation but rearranged on heating to
(33).   Open chain allylic sulphides gave rise to unstable
sulphonium ylids which rearranged at room temperature and hence
could not be isolated.   Catalysis using $CuSO_4$ produced ylids in
improved yields compared to photolysis.   The electrophilic
carbene formed by both processes attacked the non-bonding electron
pairs in sulphur to form the ylid.   The isolation of stable
sulphonium ylids is direct evidence for involvement of ylids
in the reactions of carbenes with compounds containing hetero-
atoms, even when no intermediate ylid has been isolated.   For
example, in the reaction between dimethyl diazomalonate with
saturated cyclic sulphides, the corresponding sulphonium ylids
were obtained as stable solids when initiation was by irradiation[111].
On the other hand, copper catalysed thermal reaction of diazo-
malonate in thietane gave the insertion product of the carbene

into the C-S bond.   The ring-expanded product was probably

formed through the facile rearrangement of the intermediate

sulphonium ylid[109] (Scheme 4).

$$N_2C(CO_2CH_3)_2 \quad + \quad \text{[structure]}$$
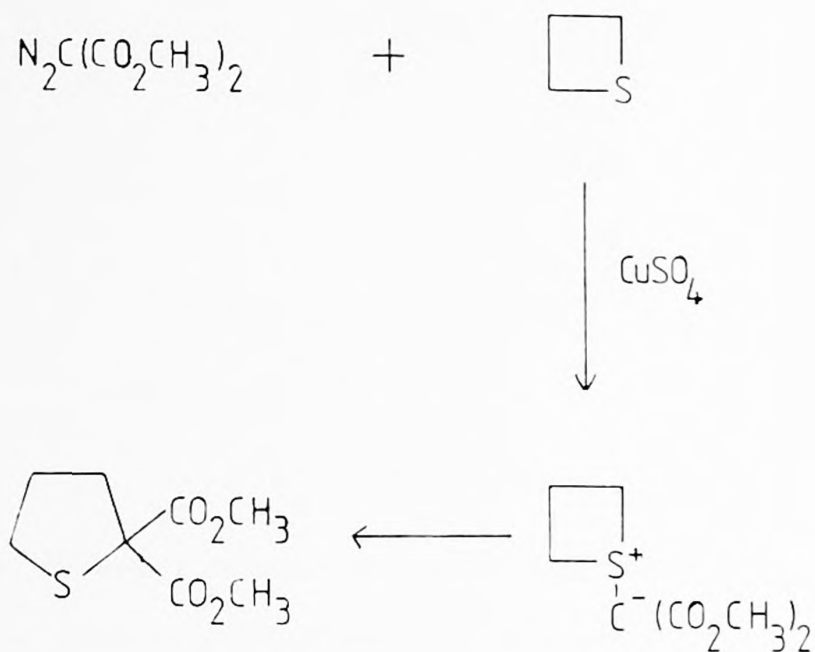
Scheme 4

An intermediate sulphonium ylid was also suggested in the reaction

between the episulphide (34) and ethyl diazoacetate, in which the

cis-olefin (35) was formed (Scheme 5).   The ylid appeared to

decompose stereospecifically by fragmentation into the olefin

and the thioglyoxalic ester (36).

68.

$$\text{(34)} + N_2CHCO_2Et \xrightarrow{Cu(acac)_2} \quad S^+\!\!-CHCO_2Et$$

S=CHCO$_2$Et $\quad + \quad$ (35)

(36)
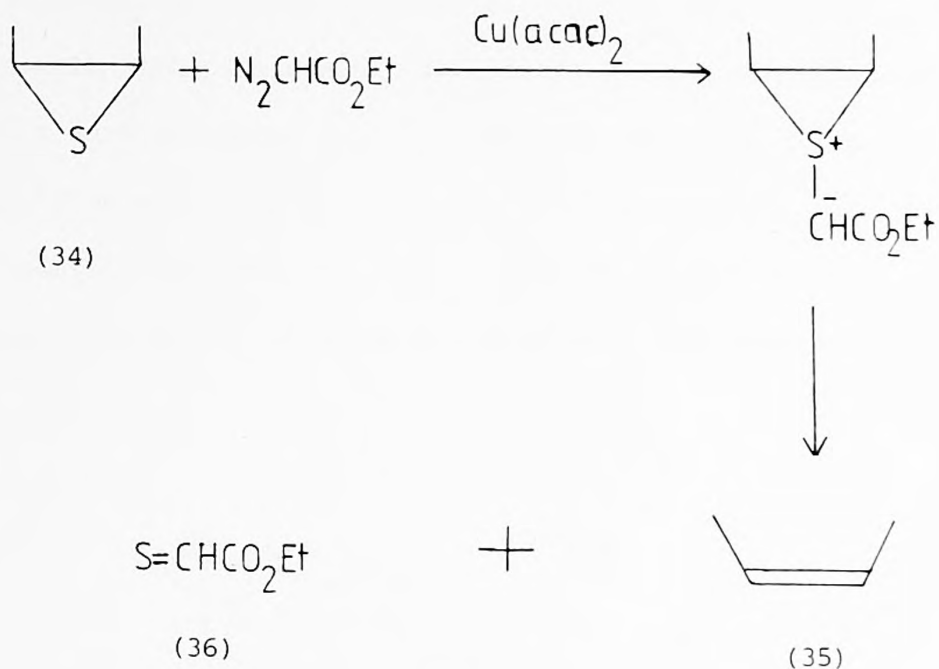
Scheme 5

In contrast to Ando's results, Kaiser[112] observed no carbene

insertion or sulphonium ylid formation, but only cyclopropanation.

In more recent studies, Parham[113,114] also observed the formation

of cyclopropanes as major reaction products in the reaction of

dichlorocarbene with several vinyl sulphides. The difference

in behaviour was attributed to the difference in nucleophilicity

of the double bond related to sulphur, since vinyl sulphides

are known to be electron rich olefins due to the M effect of the

sulphur atom.

The recent report by Hubert and co-workers[115] that rhodium

(11) carboxylates catalyse carbene insertion of bismethoxycarbonyl-

carbenes into olefinic systems prompted Gillespie et al.[116] to

examine this type of catalyst. They employed rhodium (11)

acetate in the reactions between dimethyl diazomalonate and sub-

stituted and unsubstituted thiophenes. The ylid (37) was

produced quantitatively from the reaction of dimethyl diazomalonate
with thiophene, employing rhodium (11) acetate as catalyst. The
same reaction had previously been attempted using conventional
catalysts such as copper (1) chloride, but the diazo group underwent
decomposition extremely slowly.   It is interesting to note the
stereochemistry of the ylid (37) (Figure 2).   The molecule has
an approximate mirror plane with the sulphur atom pyramidal as
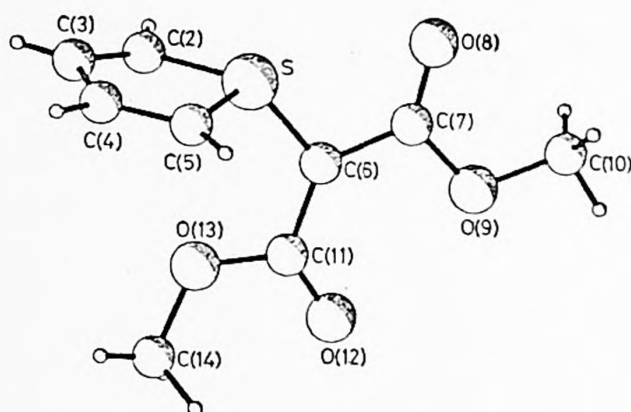
Figure 2 : The Structure of the Ylid (37)

expected.   The ylid bond is significantly shorter than the C-S bonds
in the thiophene ring, and there is greater localization of
double bonds than in thiophene itself, reflecting the shift of
electron density from the ring to the malonate group. Surprisingly,
the molecule is not planar.   Thiophenes bearing electron-with-
drawing substituents such as acetyl and cyano groups failed to
produce ylids, but other systems capable of stabilizing ylid
structures did not form thiophenium ylids either.   For example,
ethyldiazoacetate, ethyl diazoacetoacetate and diazoacetophenone

gave rise to the corresponding cyclopropanes with no evidence

for ylid formation.   Thus, it appears that fairly stringent

electronic demands are necessary for stabilization of the ylid

structure in the system.   In further studies, Gillespie et al.[117]

identified the thermal rearrangement of the ylids to thiophene

esters.   On heating (37) in thiophene, decomposition occurred

to afford one major product (38).

(37)   (38)
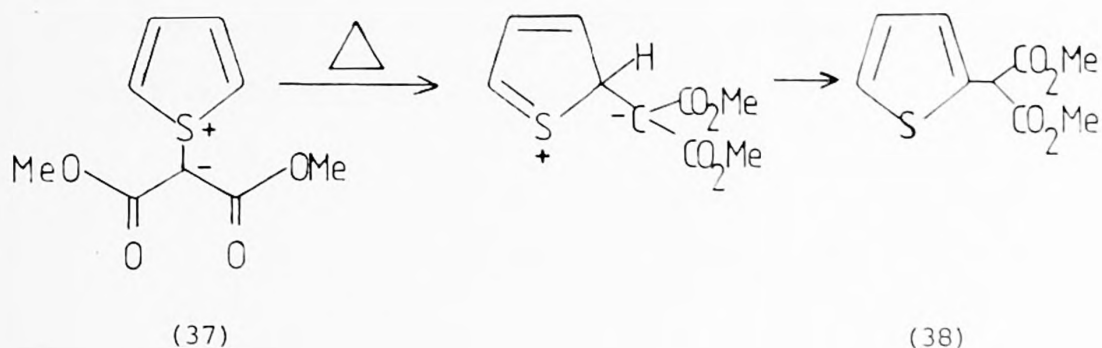
This thermal rearrangement of thiophenium ylids appears to be

general.   For instance, on refluxing (39) in 2-methylthiophene,

rearrangement to the malonate (40) occurred in 95% yield.

(39)   (40)
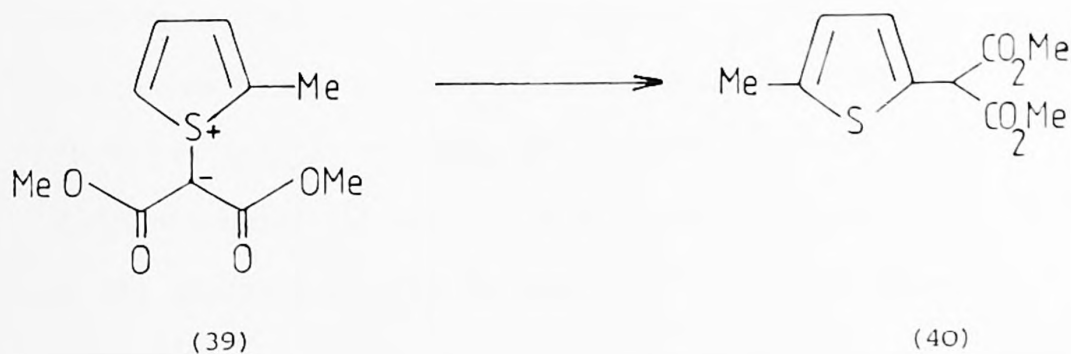
When heated in cyclohexene, (41) gave both the cyclopropane (42)

and the carbene insertion product (43), confirming the dissociation

(41)   (42)   (43)
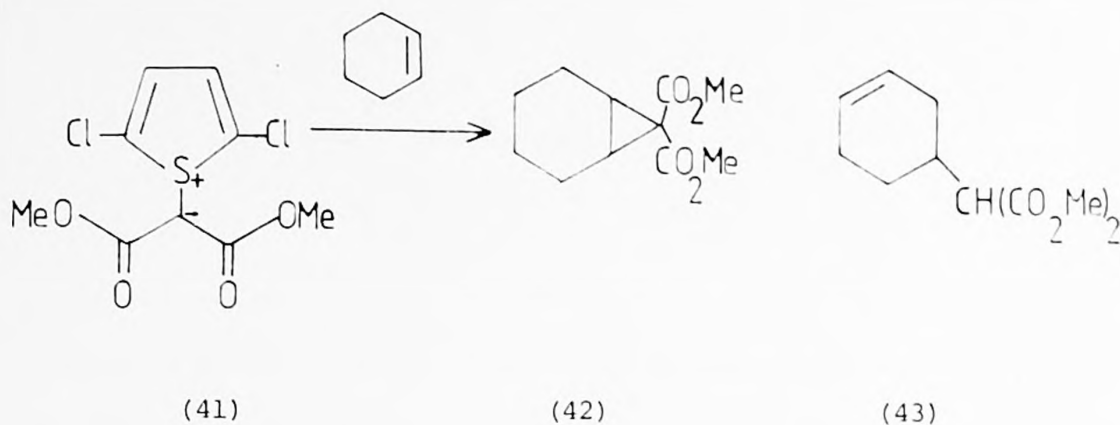
of (41) into dichlorothiophene (44) and the carbene (45). [It
should be noted that a trace of rhodium catalyst was probably
present in the reaction mixture].

(44)   (45)
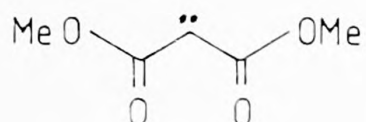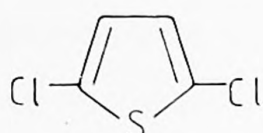
The ylid (37) therefore provides a convenient source of bis-
methoxycarbonylcarbene (45) which can undergo reaction with a
variety of olefins such as cyclohexene to yield the correspond-
ing cyclopropanes and malonates in excellent yields[118].
Gillespie et al.[119] demonstrated the effectiveness of the
rhodium acetate catalyst and its superiority over copper catalysts
in the reaction between thiophene and n-butyl diazoacetate
(Scheme 6).

Scheme 6

72.
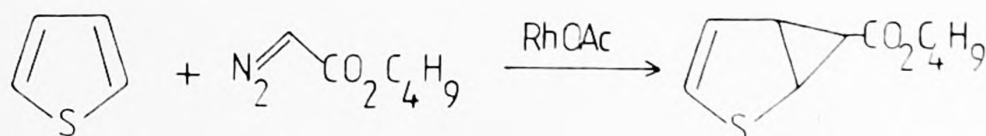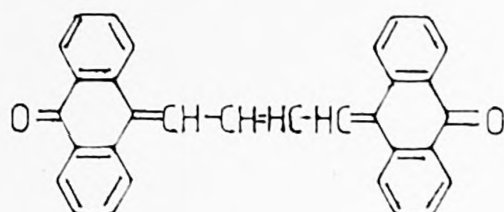
(46)

n-Butyl-2-thiabicylo[3.1.0]hex-3-ene-6-carboxylate was formed in 71% yield when RhOAc was employed, but only 17% using copper(1)chloride.

In the previous section, the reactions of pyrroles with diazoanthraquinone as reported by Cauquis et al. were discussed[101]. The same author also reports the reactions of thiophene with diazoanthraquinone by photolysis[101], but none of the three products (9), (10), (46) of the reaction contained thiophene. Products (9) and (10) were also produced in the pyrrole reaction, and their mechanism of formation was described in a preceding paper[102]. Compound (46) is thought to be formed either via a bis-cyclopropane (47) (Scheme 7), or via a dienyl thioaldehyde (48) (Scheme 8).

(47)

$\longrightarrow$ (46) + S

Scheme 7

73.

(48)

(46) + S
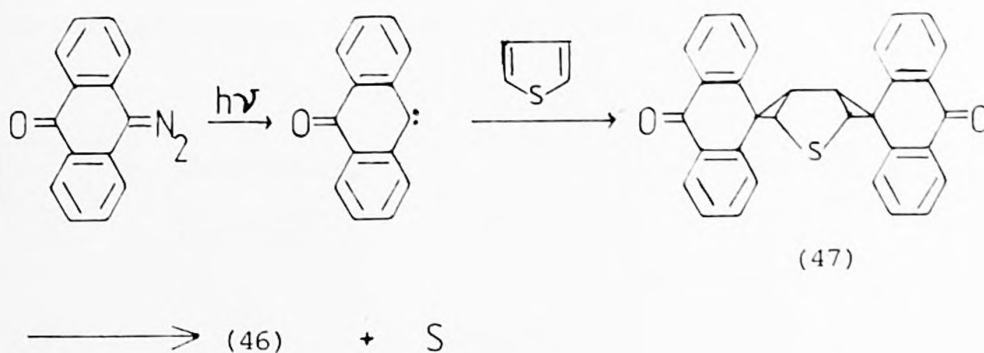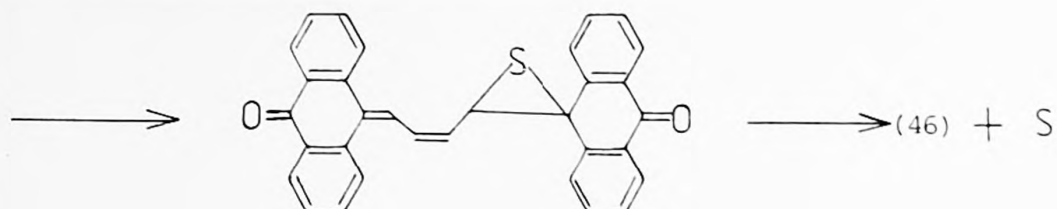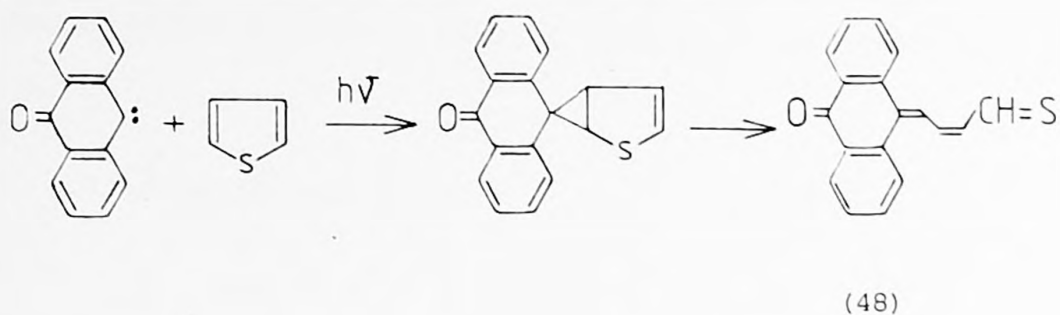
Scheme 8

2.4 The Reactions of Diazocompounds with Furans

There have been numerous reports of furan, 2-methyl-
furan and 2,5-dimethylfuran reacting with a variety of
diazocompounds. Sorm and Novak[120,121] decomposed diazo-
acetone with copper bronze in furan to give a ring opened
conjugated aldehyde - ketone (49) (Scheme 9).

(49)
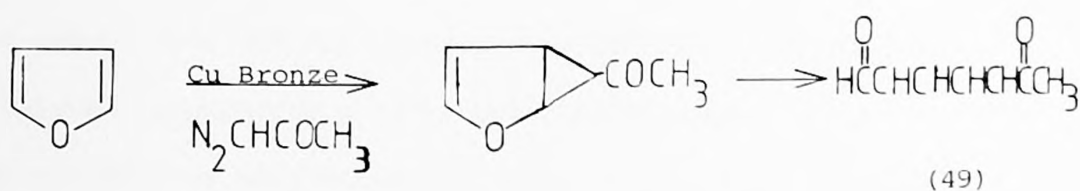
Scheme 9

2-methylfuran and 2,5-dimethylfuran reacted to yield (50)
and (51) respectively. Similarly,ethyl diazoacetate with

74.

copper bronze in 2-methylfuran also gave a ring-opened product
(52).

$$CH_3\overset{O}{\overset{||}{C}}-CH=CH-CH=CH-\overset{O}{\overset{||}{C}}CH_3$$

(50)

$$CH_3\overset{O}{\overset{||}{C}}-CH=CH-\overset{Me}{\overset{|}{C}}=CH-\overset{O}{\overset{||}{C}}CH_3$$

(51)

$$CH_3\overset{O}{\overset{||}{C}}CH=CH-CH=CH-\overset{O}{\overset{||}{C}}OEt$$
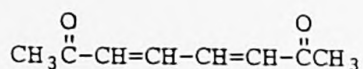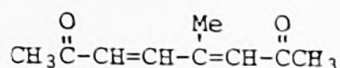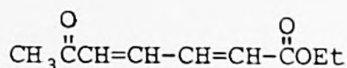
(52)
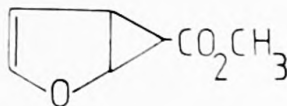
(53)

The products (49)-(52) are assumed to arise from intermediate
bicyclic adducts.   Photolysis of methyl diazoacetate in furan
yields the bicyclic adduct (53), methyl 2-oxabicyclo[3.1.0]hex-3-
ene-6-carboxylate, which is sufficiently stable to allow isolation[103,106].
The action of heat on this cyclopropane derivative causes ring
opening to the dienal, methyl-5-formyl trans, cis, 2,4-penta-
dienoate (54)

$$HC\overset{O}{\overset{||}{}}-CH=CH-CH=CH-CO_2CH_3$$
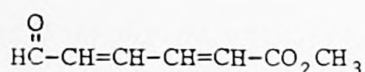
(54)
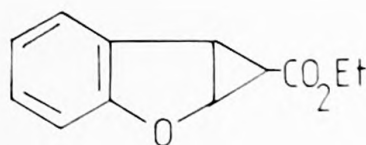
(55)

The adduct (54) was also prepared by Jackson[107] by the copper-
catalysed decomposition of methyl diazoacetate in furan.
The reaction of benzofuran with ethyl diazoacetate to furnish the
cyclopropane (55) was demonstrated by Badger et al. in 1958[92].
Similarly, with diazoacetone, benzofuran affords the cyclopropane
(56)[105], and with dichlorocarbene yields (57)[122].   Although (57)

75.

was not isolated, its existence was confirmed by hydrolysis to (59), probably via (58).
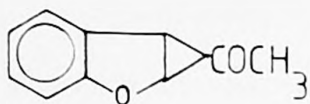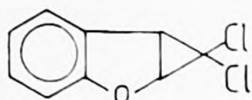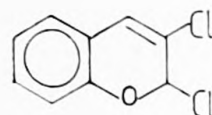
(56)   (57)   (58)

Decomposition of diazomethane in furan afforded the bicylic adduct (60)[123].   By photolysis, diazomethane in furan also
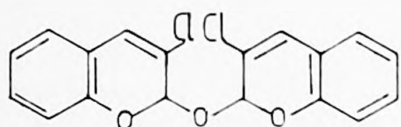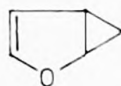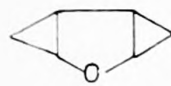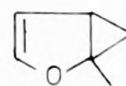
(59)   (60)   (61)   (62)

gave (61).   With 2-methylfuran, copper catalysed decomposition of diazomethane afforded 30% of 1-methyl-2-oxabicyclo$[3.1.0]$hex-3-ene(62), 20% of 3-methyl-2-oxabicyclo$[3.1.0]$hex-3-ene(63) and 7% of 1-methyl-2-oxatricyclo$[4.1.0.0]$heptane (64)[124].   But with

$$CH_3\overset{O}{\overset{\|}{C}}CH=CH-CH=CHCO_2Me$$
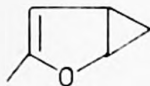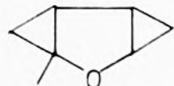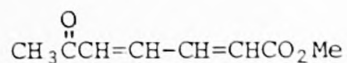
(63)   (64)   (65)

methyl diazoacetate, 2-methylfuran formed only the dienoate (65), presumed to result from ring-opening of a cyclopropane intermediate.   Generally, the 2,3-double bond of the furan remains in the cis conformation on ring opening to form the dienal, unless

76.

it is converted to the thermally-stable trans isomer by, for example, excessive heat.

2,5-dimethylfuran reacted with ethyl diazoacetate in the presence of copper (1) chloride affording the dienone (66), whereas with diazomethane, both the cyclopropane (67) and the dienone (68) were produced[107].

$$CH_3\overset{O}{\overset{\|}{C}}CH=CH\cdot C=CH\cdot CO_2Et$$
$$CH_3$$

(66)

$$CH_3\overset{O}{\overset{\|}{C}}CHCHCCH_2$$
$$CH_3$$

(67)   (68)
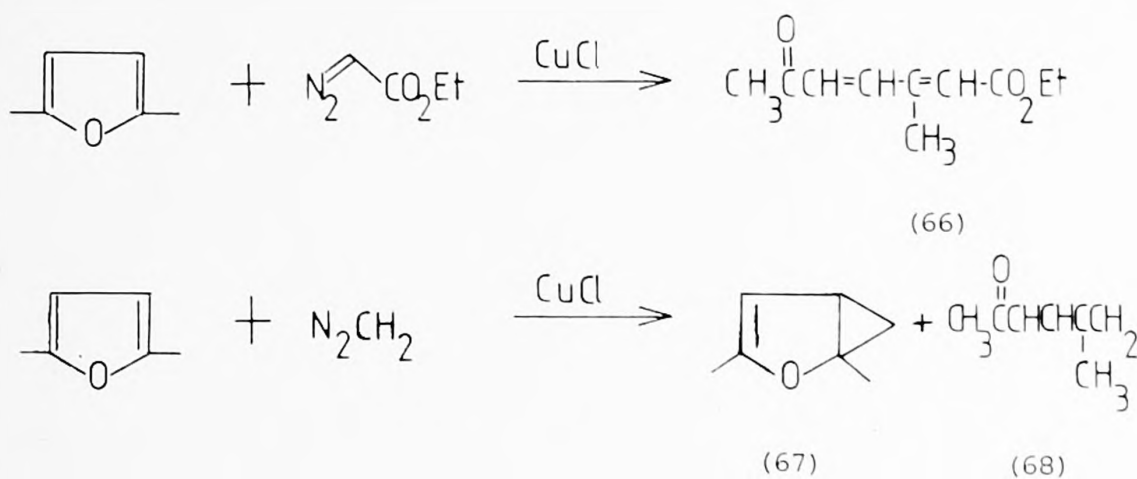
^{t}Butylfuran afforded only the ring-opened products (69) and (70) on catalytic reaction with methyl diazoacetate and diazomethane respectively.

$$^t Bu-\overset{O}{\overset{\|}{C}}CH=CH-CH=CH-CO_2CH_3 \qquad ^t Bu-\overset{O}{\overset{\|}{C}}-CH=CH-CH=CH_2$$

(69)   (70)
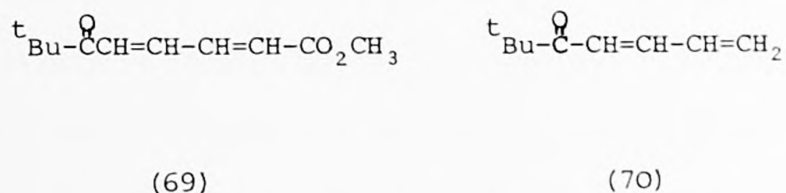
As seen in both the pyrrole and the thiophene photolytic reactions with diazoanthraquinone, several products were produced. In the furan case[102], the major products were the isomers (71) and (72) which constituted 53% of the total yield (Scheme 10).
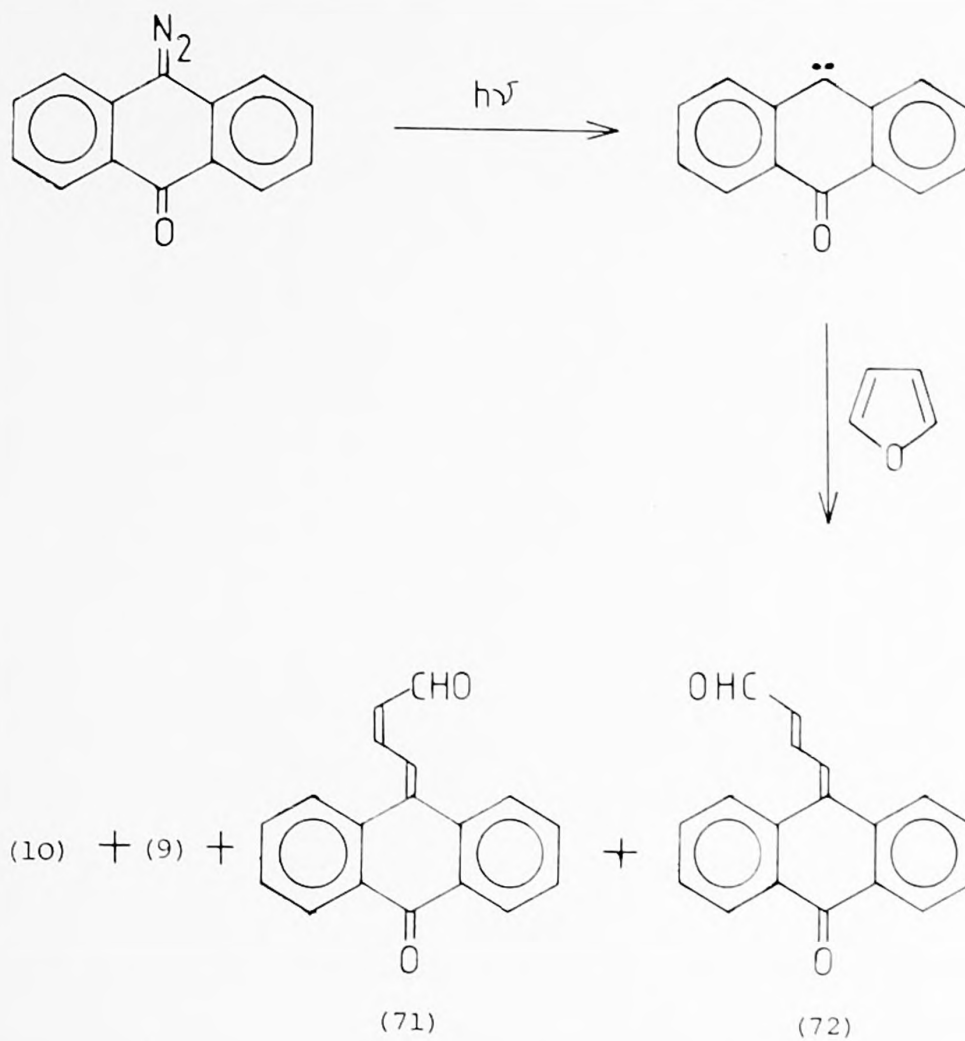
Scheme 10

Hoffman and Shechter[125] reported the thermolysis of 1-diazo-
1-(2-furyl)alkanes (73) to generate the furfurylidenes (74)
which, being unstable, rearranged to the corresponding alde-
hydes or ketones (75)(76) (Scheme 11).

(73)

(74)

(75)

(76)
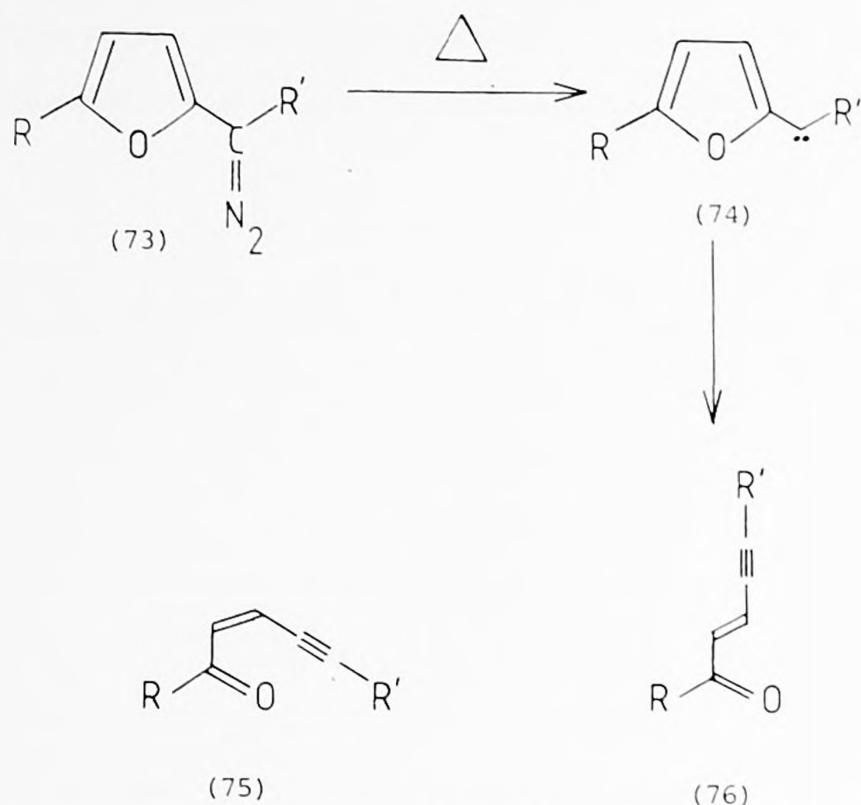
Scheme 11

As seen previously, the double bond in the ring-opened product will generally be cis, and therefore partial rearrangement must occur to afford the mixture of cis and trans products. This is supported by the ready conversion of the cis isomer into the trans at elevated temperatures.

The reaction of alkenylfurans with ethyl diazoacetate was investigated by Nefedov and co-workers in 1977[126]. Whereas alkenylarenes such as vinylbenzene and vinylnaphthalene react with ethyldiazoacetate with exclusive formation of cyclopropane derivatives, the alkenylfurans undergo ring opening to yield the corresponding esters, presumably via a cyclopropane intermediate. For example, 2-isobutenyl furan (77) reacts with ethyl diazoacetate, furnishing the ester (78) (Scheme 12). In contrast

79.

2-vinylfuran and substituted vinlyfurans react with ethyl diazo-
acetate to yield the corresponding cyclopropanecarboxylic esters.
An example is the reaction of 2-methyl-5-vinylfuran (79) with
ethyl diazoacetate to afford the cyclopropanes (80).

$$CH_3 \quad \diagup \quad C=CH \diagdown \quad + \quad N_2 \diagup CO_2Et \quad \longrightarrow \quad CH_3 \diagup C=CH \diagdown CO_2Et$$

(77)

$$\longrightarrow \quad CH_3 \diagdown C=CH-\underset{\underset{O}{\parallel}}{C}-CH=CH-CH=CH-CO_2Et$$

(78)

Scheme 12

$$CH_2=CH-\diagdown O \diagdown -CH_3 \quad + \quad N_2 \diagup CO_2Et \quad \longrightarrow$$

(79)

(80)
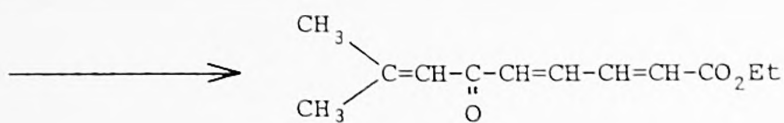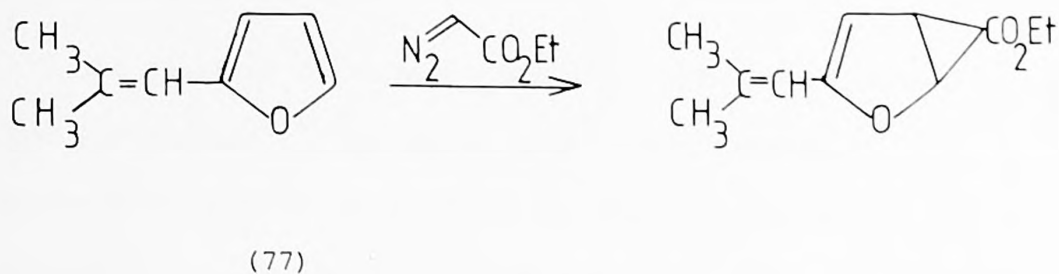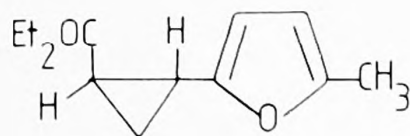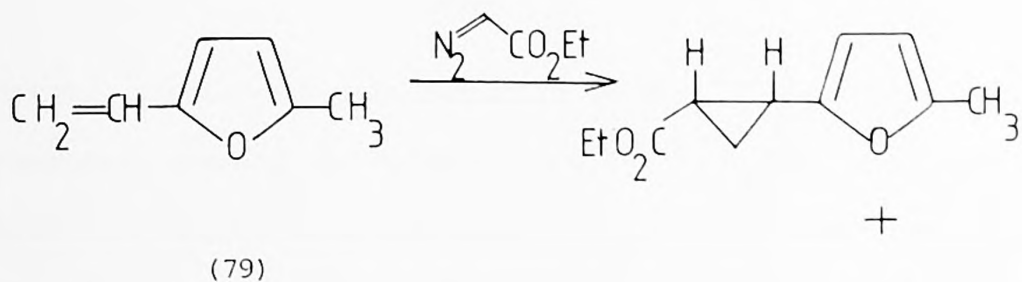
The difference in behaviour is due to steric interaction in (77) preventing attack on the vinyl group by the carbene. Attack therefore occurs on the furan ring. In (79), however, the vinyl group is no longer sterically hindered and there is no longer a free α-position on the furan ring, hence attack at this bond occurs preferentially.

The reaction of carbon atoms with furan was discussed by Dyer and Shevlin[127], pyrolysis of 5-diazotetrazole in the presence of gaseous furan affording cis -2-penten-4-ynal (81) (Scheme 13). As the unsaturated aldehyde (81) has previously been obtained in the rearrangement of the 2-furfurylcarbene (82) (Scheme 14), the formation of (81) could be rationalized by proposing a C-H insertion reaction followed by rearrangement.

(81)
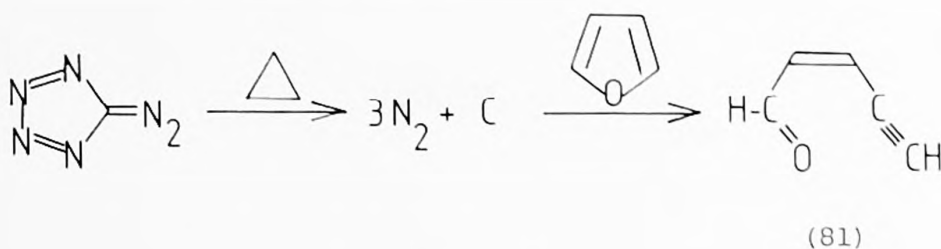
Scheme 13

However, atomic carbon is selective in its C-H insertions and invariably prefers the weakest C-H bond[128]. Since vinyl C-H bonds are rather strong and other sites are available for reaction, it seems unlikely that a C-H insertion by atomic carbon provides the major pathway in this system. An alternate mode of attack is initial carbon addition to a vinylic bond of the furan, generating a cyclopropylidene intermediate (83). The intermediacy of cyclopropylidenes in the reactions of carbon

with simple alkenes has been well-documented[129] and it appears

to be the more probable route to formation of (81). The cyclo-

propylidene rearranges to afford the 2-penten-4-ynal as

depicted in Scheme 15.

(82)   (81a)

Scheme 14

(81b)
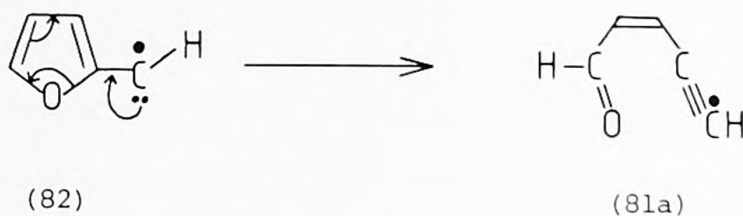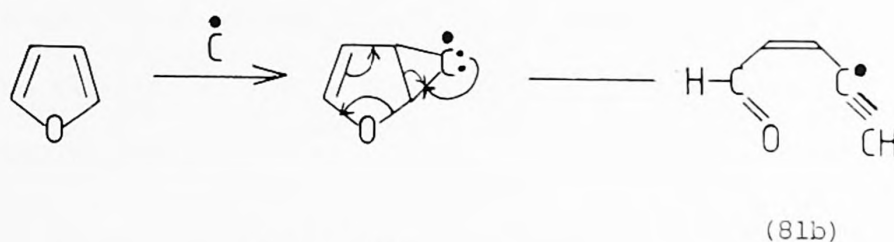
Scheme 15

The mechanism was investigated by means of ^{13}C labelling experi-

ments, as each pathway would generate an aldehyde bearing the

labelled carbon atom or a different position (Schemes 14, 15).

It was found that the aldehyde so formed was 83% labelled at C_4,

indicating that the major route of the reaction was via the

cyclopropylidene, with only a small amount of (81) forming from

C-H insertion.

Section 2

Chapter 3

Synthesis of 6-Diazopenicillanic Esters

3.1   Introduction

Various methods for the synthesis of 6-diazopenicillanates

and 7-diazocephalosporanates have been described in the literature.

The first, by Cignarella et al. in 1962[130], reported the diazo-

tization of 6-APA at 0-2°C by treatment with sodium nitrite and

hydrochloric or hydrobromic acid.   However, the 6-diazopenicillanic

acid was not isolated, but instead converted directly to the

corresponding 6-chloro and 6-bromopenicillanic acids.   Similar

examples describing the substitution of the amino group of an amino

acid or amino alcohol through a diazotization in hydrohalogenic

acid have been reported[131-134].

In 1967, Hauser and Sigg[64] developed a route for the conversion

of 6-amido penicillanates into their N-nitrosamides by treatment

with N_2O_4.   Subsequent treatment with pyridine afforded 6-diazo-

penicillanate (3.2) in poor yield (Scheme 1).

Scheme 1

83.
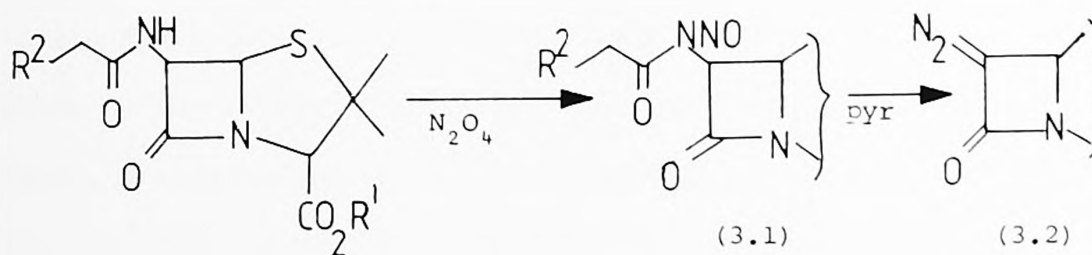
The preparation of benzhydryl 7-diazocephalosporanate was reported in 1972 by Cama et al[37]. A two-phase system was developed in which the p-toluene sulphonic acid salt of benzhydryl 7-amino-cephalosporanate in dichloromethane was diazotized by an aqueous nitrous acid solution. The presence of the two phases prevented undue exposure of the 7-diazocephalosporanate to the acid solution, thus minimizing the risk of decomposition by hydrolysis. The same method of diazotization was also adopted by Wiering and Wynberg in their studies of the reactions of 7-diazocephalosporanates with trialkylboranes[69].

Slight modifications to the method of Hauser and Sigg were implemented by Sheehan and co-workers[135] in 1974, enabling the isolation of β,β,β-trichloroethyl 6-diazopenicillanate as a yellow crystalline solid. The nitrosamide (3.1), prepared by the method of Hauser and Sigg, was refluxed with pyridine in dichloromethane for three hours, producing a brown syrup, which, on recrystallization from carbon tetrachloride, afforded β,β,β-trichloroethyl 6-diazo-penicillanate in 72% yield.

In 1978, Sheehan applied Cama's two phase synthesis of 7-diazo-cephalosporanates to the conversion of benzhydryl 6-aminopenicillanate to its diazo derivative. Nitrous acid was generated in the aqueous phase by the action of perchloric acid on sodium nitrite. Once again, decomposition of the diazo compound was minimized by avoid-ing prolonged contact with the acid, leading to the formation of benzhydryl 6-diazopenicillanate in high yield.

3.2 P-Nitrobenzyl 6-aminopenicillanate (3.6).

6-APA(3.3) is a convenient starting material for the synthesis of 6-diazopenicillanate as it is produced commercially by large scale fermentation. Prior to conversion of 6-APA to 6-diazopenicillanic acid, the acid function requires protection in order to avoid acid-catalysed decomposition of the diazo group, and to avoid acid involvement in subsequent reactions. The use of the p-nitrobenzyl (PNB) group for acid protection[136] has several practical advantages. For example, it causes many 6-substituted penicillins to exist as solids rather than oils, thus facilitating handling; it provides a strong chromophore for U.V. detection; it gives a substantial increase in molecular weight; it introduces readily - recognizable peaks in the n.m.r. spectra; and it requires only mild conditions for its removal. Its one major drawback is the necessity to protect the amine function prior to introduction of the p-nitrobenzyl group as p-nitrobenzyl halides readily react with both amines and acids in the presence of base.

Hence the initial reaction of 6-APA with triphenylmethyl chloride (trityl chloride) to protect the amine was carried out. Although the trityl group has also been used for the protection of acids[137], in this case it reacted solely with the amine due to the stronger nucleophilic nature of the latter. This left the acid group available for reaction with p-nitrobenzyl chloride, thus affording the doubly-protected penicillin (3.4) (Scheme 2).
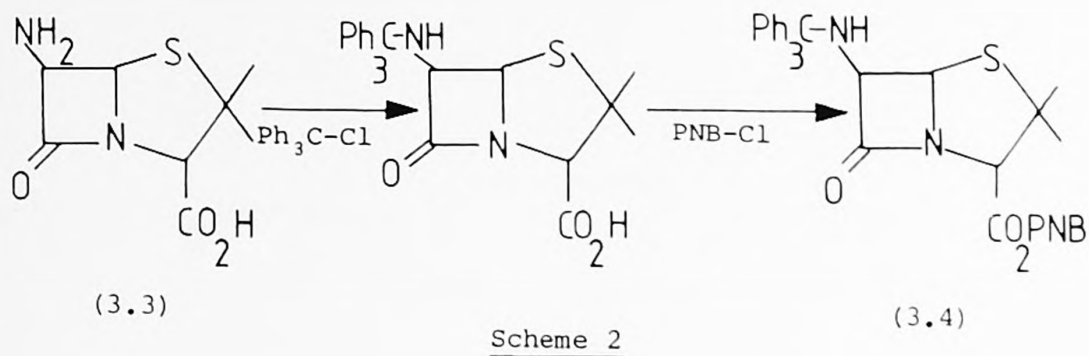
Scheme 2

Removal of the trityl group involved a straight-forward reaction in which the ester (3.4) was stirred in acetone with p-toluene sulphonic acid (tosic acid) to generate the tosic acid salt of the amine (3.5) (Scheme 3).

Scheme 3
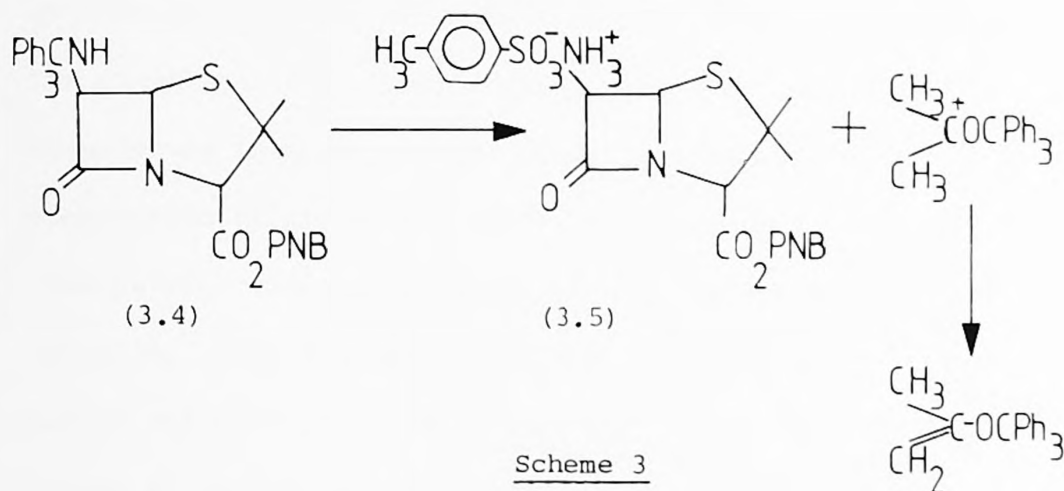
The salt so formed is extremely stable and can be stored in-definitely.

3.3   P-Nitrobenzyl 6-diazopenicillanate (3.7)

P-Nitrobenzyl 6-aminopenicillanate(3.6) was converted to the corresponding diazo compound(3.7) by the method of Sheehan and Commons[67].

(3.6)   (3.7)
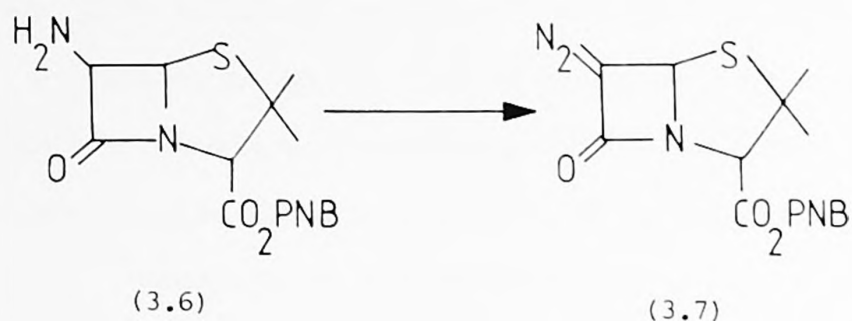
This involved dissolving the amine (3.6) in water together with sodium nitrite and perchloric acid, and partitioning the solution so formed with dichloromethane.   The sodium nitrite and perchloric acid reacted to produce nitrous acid, which brought about the diazotization.   Once formed, the 6-diazopenicillanate (3.7) transferred into the organic phase, preventing subsequent decomposition by the acid.   Prior removal of the tosic acid was unnecessary, due to liberation of the free amine in the aqueous solution.   The 6-diazopenicillanate afforded by the above reaction was produced cleanly in 90-95% yield and therefore required no purification prior to use.   It can be stored for fairly long periods at -20°C without significant decomposition.

Slight modifications to the above procedure at a later date enabled the formation of the 6-diazopenicillanate in a fraction of the time in equally good yield[138].

3.4   Alternative Procedure for Synthesis of p-Nitrobenzyl 6-aminopenicillanate

The necessary protection of the amine function prior to introduction of the p-nitrobenzyl group had proved tedious, and

a new method for the protection was consequently attempted.

It was hoped that 6-APA would react directly with p-nitrobenzyl-diazomethane (3.9) to afford the required acid-protected penicillin (3.6) in a one-step reaction. The synthesis of p-nitrobenzyl-diazomethane was reasonably straightforward, involving the reaction of p-nitrobenzaldehyde with hydrazine hydrate to yield the hydra-zone (3.8)[139]. Oxidation of the hydrazone with nickel peroxide[140] led to formation of the required p-nitrobenzyl diazomethane (3.9).

                    (3.8)   (3.9)
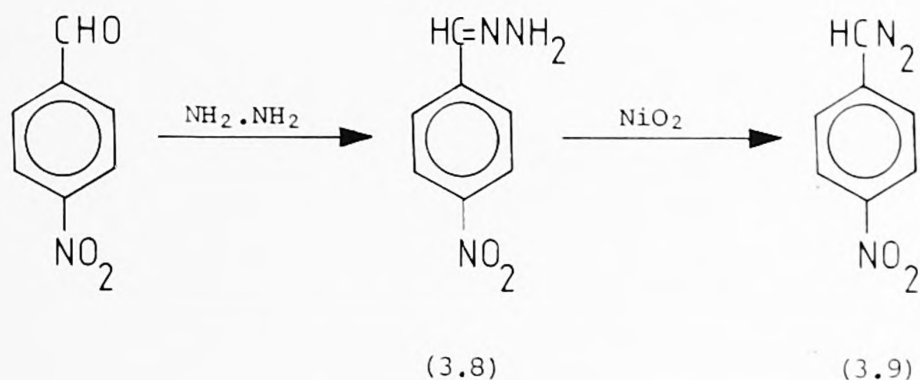
Unfortunately, the reaction of p-nitrobenzyldiazomethane (3.9) with 6-APA was extremely slow, and resulted in a final yield of only 8.1%. Such a low yield was obviously impractical, and the original synthesis therefore had to be adhered to.

3.5   Pivoyloxymethyl 6-aminopenicillanate, Tosic Acid Salt(3.11).

The introduction of the pivoyloxymethyl group (POM)[141] for protection of the acid function of the penicillin has two import-ant advantages over the use of the p-nitrobenzyl group. Firstly, it requires no deprotection prior to bacteriological testing, as the free acid is liberated in the biological system. Secondly, no

amine protection is needed as the pivoyloxymethyl chloride

reacts solely with the acid, enabling the synthesis of the

pivoyloxymethyl ester (3.11) in two facile steps. Initially,

the 6-APA is reacted with pivoyloxymethyl chloride in the

presence of base, affording the amine (3.10), which, on stirring

with p-toluene sulphonic acid, crystallizes out as the correspon-

ing salt (3.11) (Scheme 4).
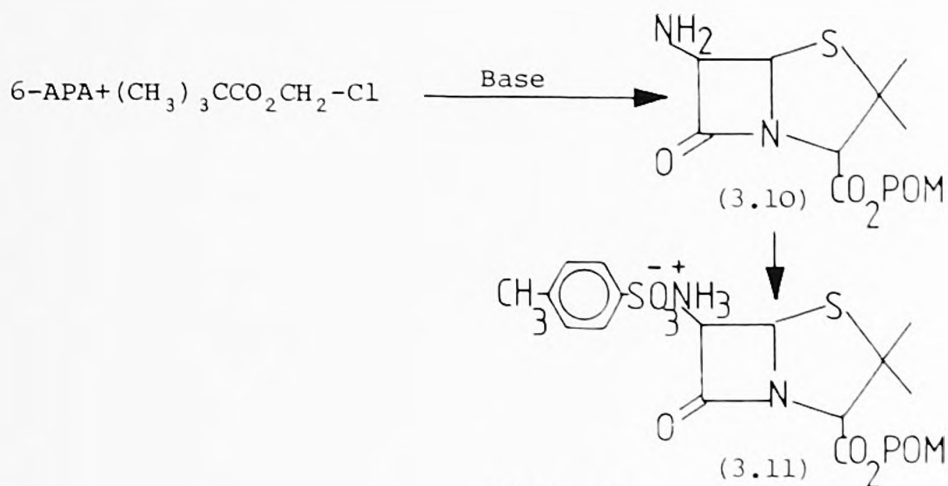
Scheme 4

3.6   Pivoyloxymethyl 6-diazopenicillanate (3.12)

In exactly the same manner in which the p-nitrobenzyl 6-

aminopenicillanate was converted to the corresponding diazo

derivative, the pivoyloxymethyl ester (3.11) was diazotized to

give pivoyloxymethyl 6-diazopenicillanate (3.12).   The p-toluene

sulphonic acid salt of the amine (3.11) was stirred with sodium

nitrite and either perchloric acid or p-toluene sulphonic acid

in an aqueous solution partitioned with dichloromethane.   As

the product formed, it transferred to the organic phase, enabling

isolation of the ester (3.12) in yields comparable to those of

the corresponding p-nitrobenzyl ester (Scheme 5).

(3.11)

(3.12)
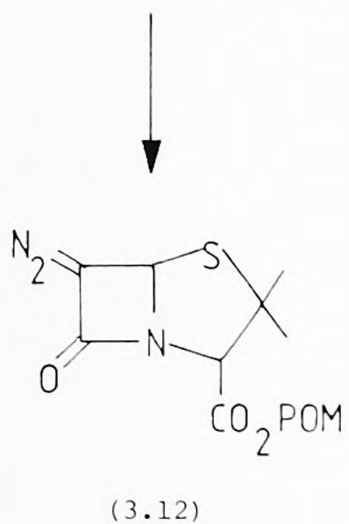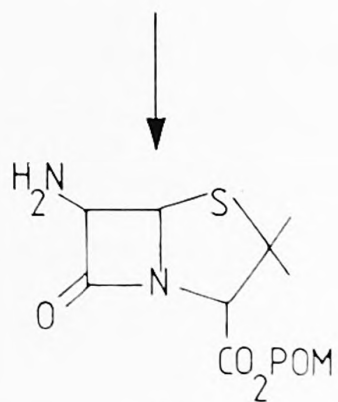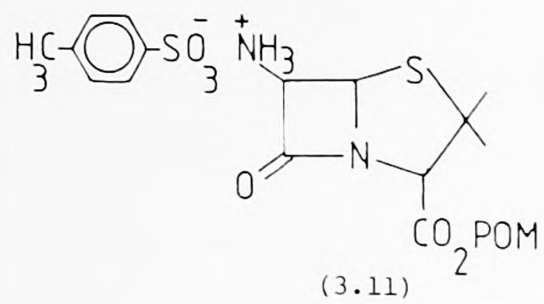
Scheme 5

Section 2

Chapter 4

The Reactions of p-Nitrobenzyl 6-diazopenicillanate with Boranes

4.1   Introduction

It has been known for some time that certain boron compounds
will induce polymerisation in simple diazoalkanes such as diazo-
methane[142,143].   Gutshe and Kinoshita[144] have shown that trimethyl
thioborate reacts with α-diazocarbonyl compounds to produce thio-
ethers (Scheme 1), although yields were poor and numerous by-products
were also formed.   It was suggested that the substituents around
the diazomethyl group were crucial in diverting the reaction away
from polymerisation.
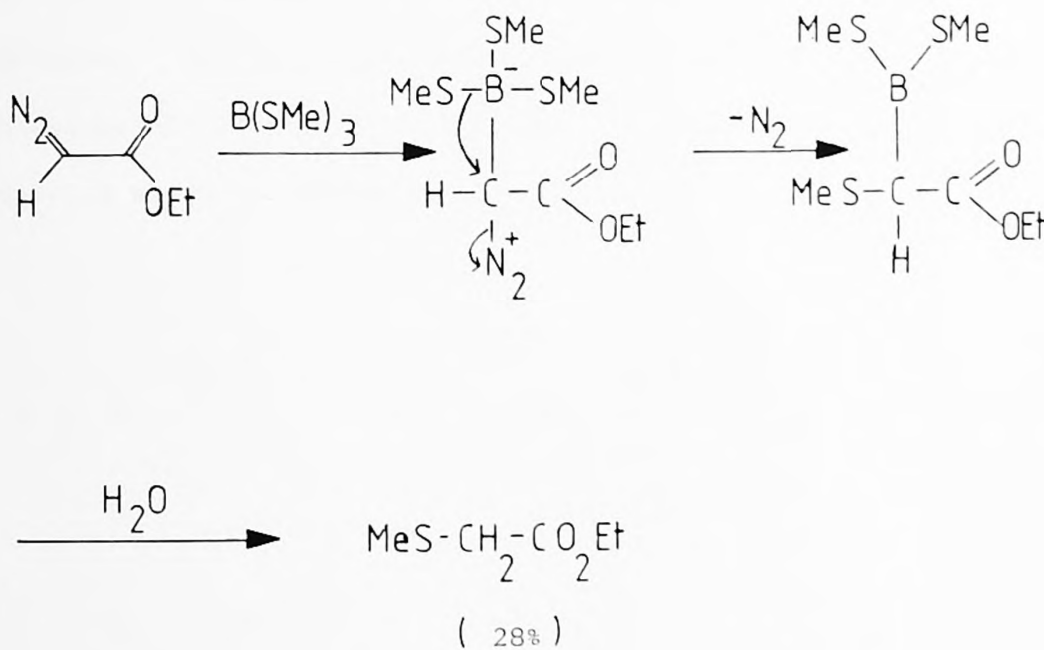
Scheme 1

91.

It has been established that trialkylboranes will react, in general, with ylids and carbanions to give homolagated boranes from which alcohols can be obtained. For example, the reaction with dimethyl-oxosulphonium methylide[145,146] is depicted in Scheme 2.

$$R_3B + {}^{-}CH_2{-}\overset{+}{\underset{\parallel}{S}}Me_2 \longrightarrow R{-}\overset{R}{\underset{R}{\overset{|}{\underset{|}{B^-}}}}{-}CH_2{-}\overset{+}{\underset{\parallel}{S}}Me_2$$

$$RCH_2OH \xleftarrow[\quad[O]\quad]{\quad H_2O\quad} R_2BCH_2R + Me_2SO$$
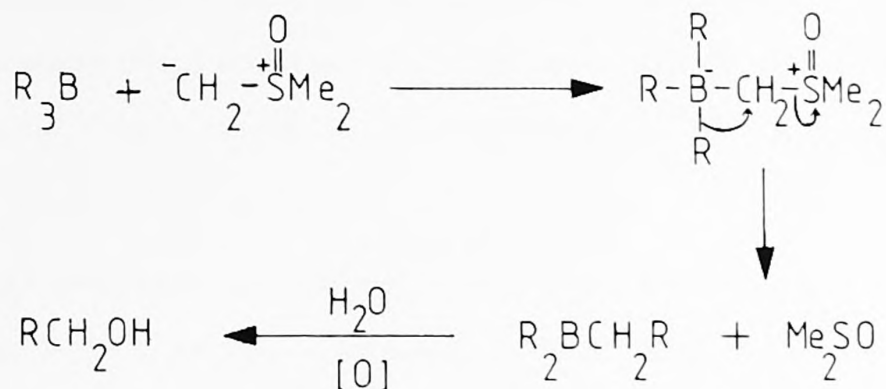
Scheme 2

In the light of these observations, Hooz and co-workers[147-150] examined the reactions of α-diazocarbonyl compounds with trialkyl-boranes. The reactions with α-diazoacetone provided three-carbon-homologated ketones in good yield. The mechanism proposed by Hooz is shown in Scheme 3.

$$R_3B + \overset{N_2}{\underset{H}{\overset{\parallel}{C}}}{-}\overset{O}{\overset{\parallel}{C}}\overset{}{\underset{Me}{}} \longrightarrow \overset{R}{\underset{\overset{\textstyle N_2}{\underset{}{}}}{\overset{|}{R{-}B{-}R}}}{\underset{H{-}C{-}C}{}}{\overset{}{\underset{R}{{=}O}}} \xrightarrow{-N_2}$$

$$\overset{R}{\underset{R{-}\overset{|}{\underset{H}{C}}{-}C}{\overset{\diagdown}{\underset{\diagup}{B}}}}\overset{R}{\underset{}{}} \underset{Me}{\overset{{=}O}{}} \xrightarrow{OH^-} RCH_2{-}\overset{O}{\overset{\parallel}{C}}{-}Me$$

(4.1)   Scheme 3

92.
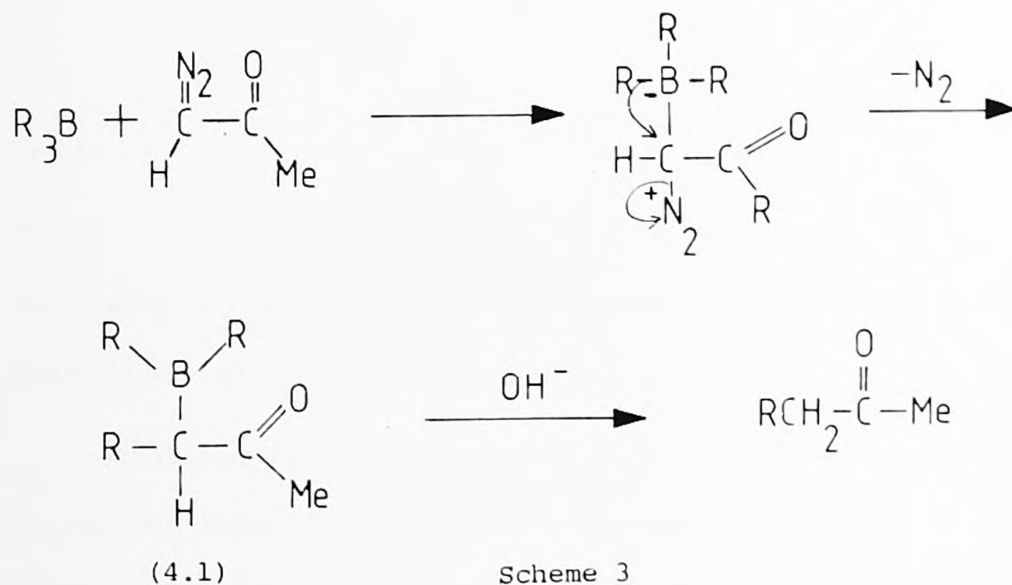
It was shown that similar reactions of alkylboranes with α-diazoacetonitrile and with ethyl diazoacetate could be used for high yield syntheses of homologated nitriles and esters, respectively, and the reaction between an alkylborane and α-diazoacetophenone gave the corresponding alkyl phenyl ketone. The reaction could be extended to the synthesis of α-deuterio-carbonyls[149] by carrying out the hydrolysis of the intermediate boron derivative with D_2O.

The proposed intermediate (4.1) in Hooz's mechanism (Scheme 3) is a borane. However, in 1970, Pasto and Wojkowski[151] studied the reaction between tri-n-propylborane and α-diazo-acetophenone. They were able to isolate the intermediate by evaporation of the THF solvent and found that it was not a β-ketoborane, but an enol borinate (4.2) of unspecified stereochemistry.

$$Pr_3B \quad + \quad \underset{H}{\overset{N_2}{>}}C\overset{O}{\underset{Ph}{=}} \quad \longrightarrow \quad PrCH=C\overset{OBPr_2}{\underset{Ph}{<}}$$

(4.2)
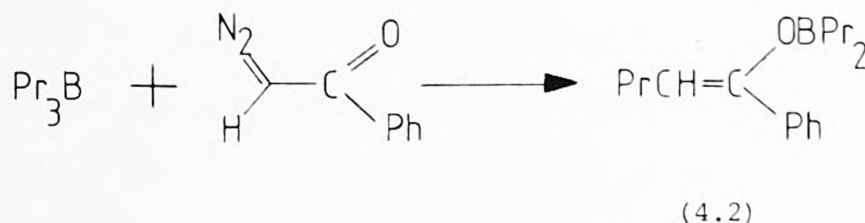
Brown[152] has modified the Hooz reaction by using a dialkyl-chloroborane, which greatly improves the yields when transfer of bulky alkyl groups is required.

Utilizing the knowledge that an intermediate enol borinate is formed, Hooz[153] later developed a modified Mannich reaction in which the enol borinate is trapped with an iminium salt

93.

Scheme 4).   This is particularly effective for the case of

unsymmetrical ketones, where the Mannich reaction usually gives

isomeric mixtures.

$$\underset{\underset{2}{R_2BO}}{\overset{R'}{>}}C=CHR \quad + \quad \underset{Me}{\overset{Me}{>}}\overset{+}{N}=CH_2 \quad I^- \quad \longrightarrow \quad \underset{O}{\overset{R' \quad R}{\bigvee}}NMe_2$$
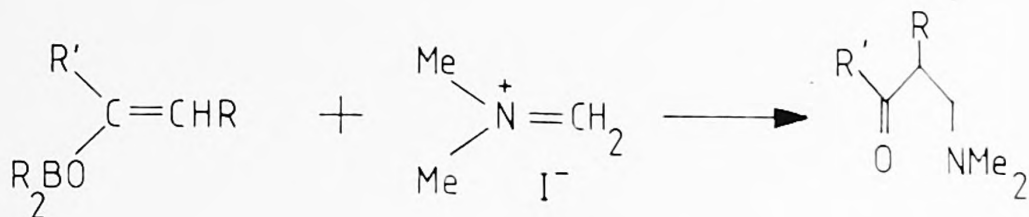
<p align="center">Scheme 4</p>

In 1976, Wiering and Wynberg[69] reported the reaction of alkyl-

boranes with a 7-diazocephalosporanate (4.3).   The reactions

were carried out at $-40°$ to $-80°C$ in the presence of 1-5

equivalents of water in THF, conditions which appeared to be

crucial to success.   The 7-alkylcephalosporanates formed were

assumed to arise by the *in situ* hydrolysis of either an inter-

mediate borane (4.4) or the alternative enol borinate (4.5)

(Scheme 5).

Recent work by Masamune and Mori[154] has greatly clarified the

picture and has also led to useful new synthetic applications.

It was shown that the enol-borinate formed by the reaction of

a trialkylborane with a simple α-diazocarbonyl compound is

predominantly the E-isomer (4.6) and that this can be conveniently

converted into the Z-isomer (4.7) by the action of a catalytic

amount of lithium phenoxide or pyridine in benzene.   A series

of isomeric enol-borinates was prepared and the stereochemistry

of addition of various aldehydes in a directed aldol-type

condensation was investigated.   In general, it was found that

Z-isomers gave erythro aldol products and E-isomers gave threo

<p align="center">94.</p>
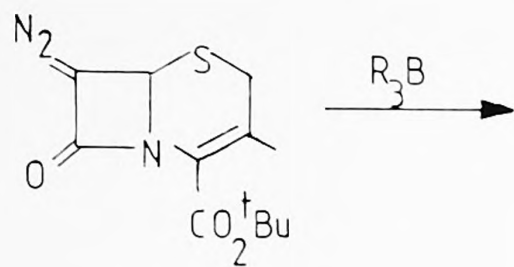
(4.3)
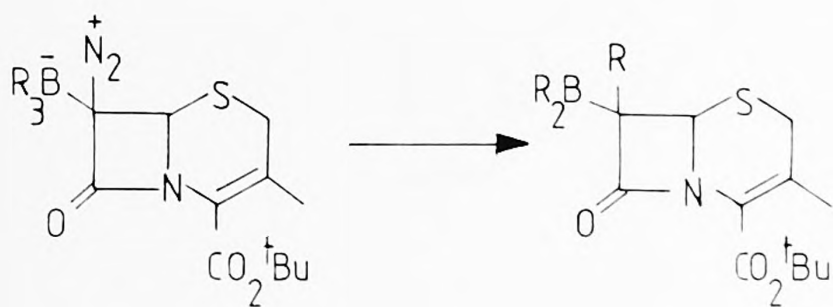
(4.4)
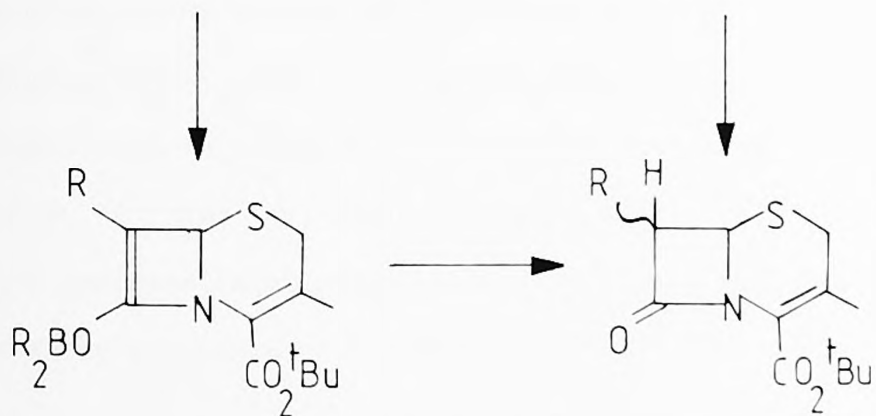
(4.5)

Scheme 5

aldol products (Scheme 6).

(4.6)E   (4.7)Z

Threo   Erythro
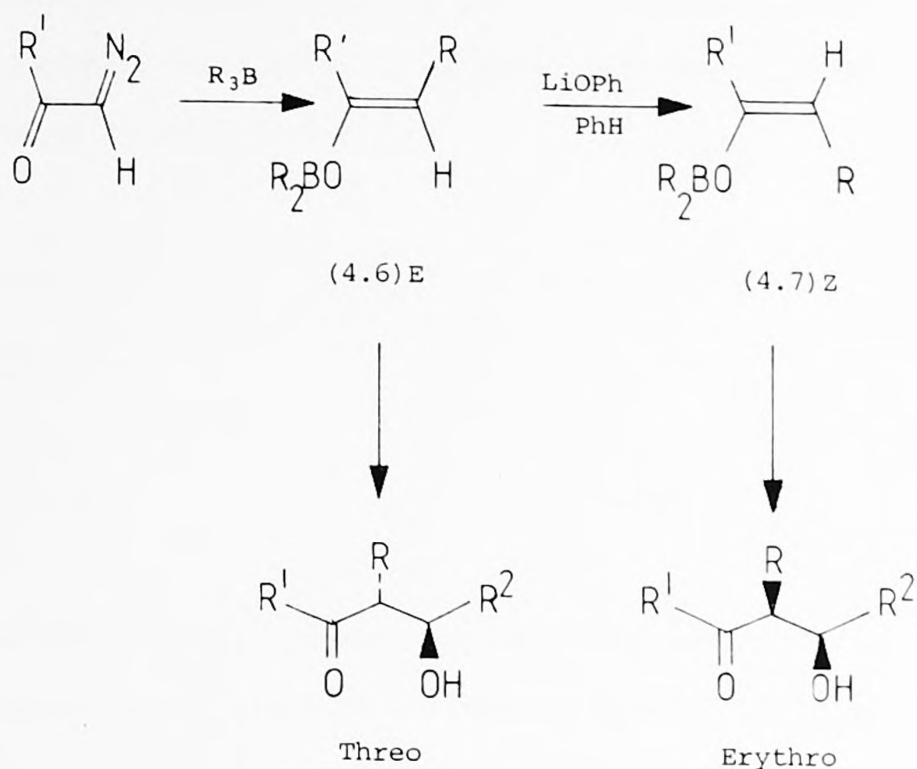
Scheme 6

In the light of the studies reported in the literature, it was decided to investigate the reactions of alkylboranes with 6-diazo-penicillanic esters. It was hoped that in this way it would be possible to introduce 6-alkyl substituents in place of the 6-amino group, and also that the trapping by aldol condensation of any enol borinate intermediate formed in such a reaction might lead to a new synthesis of 6-(α-hydroxylalkyl) derivatives.

4.2   Reaction of p-Nitrobenzyl 6-diazopenicillanate with borane-THF

Using the experimental procedure described by Wiering and Wynberg[69], p-nitrobenzyl 6-diazopenicillanate (4.8) was reacted with diborane in THF containing a small amount of water. It was

found that a large excess of diborane had to be used to obtain

complete reaction of the diazo compound.   p-Nitrobenzyl penicil-

lanate (4.9) was formed in 60% yield.   After chromatographic

work-up, several other products were isolated, none of which

contained an intact β-lactam ring.

(4.8)   (4.9)
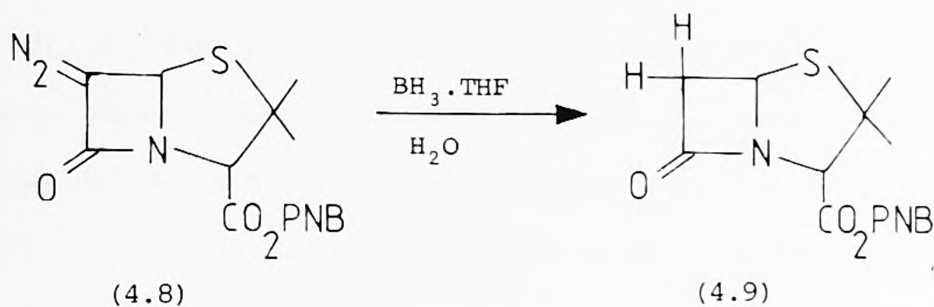
This reaction provides a convenient method for the conversion of

6-aminopenicillanic esters to the corresponding penicillanic

esters and an alternative to the more cumbersome literature

procedure[155].

The formation of p-nitrobenzyl penicillanate (4.9), as

expected in this reaction, was encouraging evidence in support of

an intermediate boron derivative being formed and trapped by the

water which was present.   A further reaction between the diazo

ester (4.8) and diborane was then carried out under anhydrous

conditions, with addition of water at a later stage.   The

penicillanic ester (4.9) was produced in lower yield (30%),

indicating that the intermediate boron compound is not very stable

and requires rapid trapping before undergoing decomposition.

These results are consistent with the observations of Wiering

and Wynberg[69].

It was hoped to trap any intermediate enol borinate (4.10)

present in the reaction mixture by means of an aldol condensation
(Scheme 7). Benzaldehyde was selected for this purpose. Because
of the indications of instability of the intermediate boron deri-
vative, initial attempts were made to carry out the reaction of
the diazo compound (4.8) with diborane in the presence of benz-
aldehyde. However, apart from some p-nitrobenzyl penicillanate
(4.9), no other β-lactam-containing products were formed. A
large amount of benzyl alcohol was obtained, as well as recovered
benzaldehyde, and the presence of these materials hampered the
work-up and made the identification of by-products difficult.

$$(4.8) \xrightarrow{BH_3.THF} (4.10) \xrightarrow{PhCHO} (4.11)$$
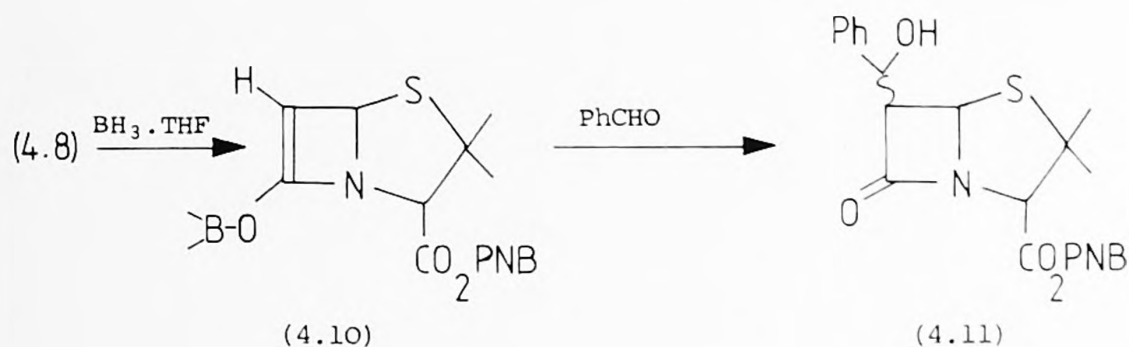
Scheme 7

It was therefore decided to modify the reaction by first adding
diborane to the diazo compound (4.8) in dry THF, then evaporating
to dryness and adding benzaldehyde in dichloromethane. It was
hoped that this would avoid the presence of excess reducing agent
and simplify work-up. After evaporation of a solution of diazo
compound (4.8) and borane-THF, an n.m.r. spectrum of the vacuum-
dried residue showed the presence of a butyl compound in great
quantity. The multiplets at 0.92, 1.44 and 3.84 δ compared
well with the literature values for the alkyl chain in n-butanol
(0.93, 1.5, 3.66 δ)[156], but no hydroxyl proton was observed. It

was then recognised that the product was a butyl borate ester[157], which was presumably formed by ring-opening of the THF. Since borane-THF is normally stable, this reaction must be occurring as a result of an increase in electron demand by the boron atom in a THF complex. It is proposed that this reaction is occurring via an intermediate enol-borinate complex (4.12), where electron withdrawal from the boron by the enolate group makes the boron more electron deficient and thereby activates a complexed THF molecule towards hydride attack (Scheme 8).
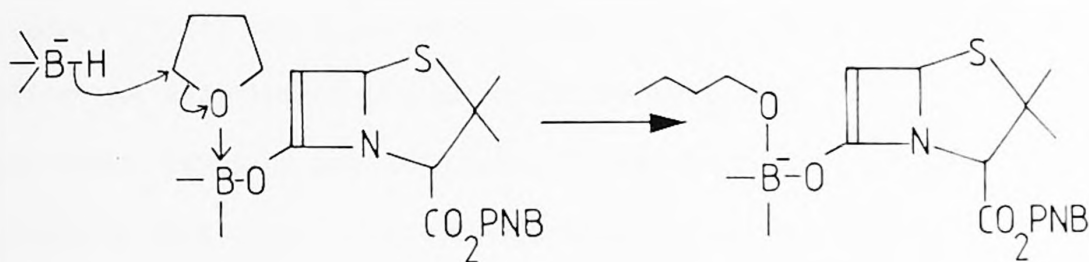
(4.12)

Scheme 8

This type of reaction has not been previously reported in the literature, although Thomas et al.[72], during an investigation of BF_3^- mediated reactions of diazopenicillanates, isolated isomeric 6-(4-hydroxybutoxy) penicillanates in low yield. They suggested that these were formed by adventitious hydrolysis of an intermediate oxonium ylid involving the solvent THF (Scheme 9).
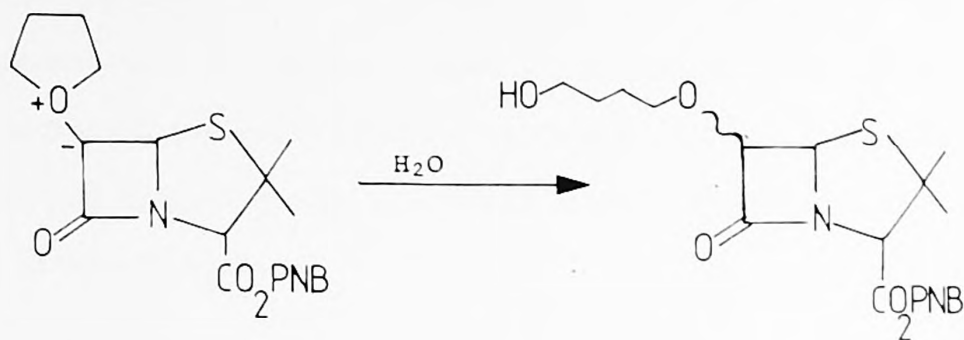
Scheme 9

After subsequent addition of benzaldehyde to the dried product

of the reaction of borane with the diazo ester (4.8), the aldol

product (4.11) could not be detected and TLC both before and

after the addition of the benzaldehyde indicated that most of

the final reaction products were already present before the

aldehyde addition.   Apart from p-nitrobenzyl penicillanate

(4.9), another product was obtained which was tentatively

assigned as the thiazepine (4.13) on the basis of its spectral

data.   The IR spectrum showed stretches at 3,400 cm^{-1} and at

1735 cm^{-1} corresponding to the N-H group and the carbonyl of the

α,β-unsaturated thiolactone, and the n.m.r. spectrum showed low

field multiplets between 6 and 7.5 δ characteristic of vinyl

protons.   In addition, the mass spectrum gave a maximum m/e

value of 338, corresponding to a further reduction of the thiaze-

pine to (4.14), although no peak at m/e 336 for the molecular ion

was observed.   Its formation presumably results from collapse

of the unstable enol borinate intermediate (Scheme 10).   This

mechanism is consistent with several other known cases[75] in which

the build-up of anionic character at C-6 in a penicillin derivative

100.

results in expulsion of the neighbouring sulphur atom at C-5 and

rearrangement to a thiazepine. Some p-nitrobenzyl alcohol was

also detected in the crude reaction mixture by n.m.r., indicating

that reducing hydride groups were still present in the inter-

mediate after evaporation.

(4.14)   (4.13)
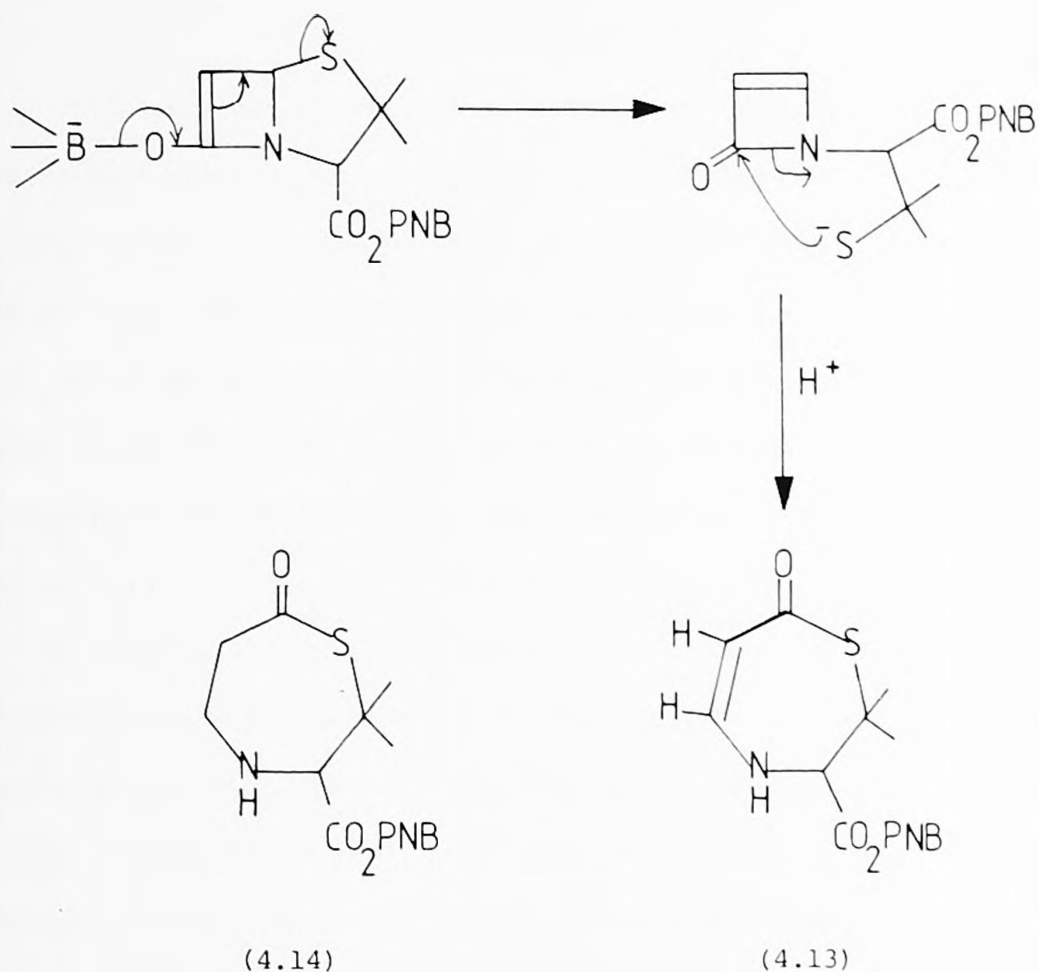
Scheme 10

In summary, the reaction of the 6-diazopenicillanate ester with

diborane appears to involve hydride transfer to C-6, and formation

of an unstable enol borinate intermediate. The latter may under-

go protonation at C-6 leading to the penicillanate ester, or re-

arrangement to the thiazepine, depending on the reaction conditions. The reducing environment of the reaction medium tends to complicate the outcome, especially on attempting to trap the enol borinate by reaction with an aldehyde.

Attention was then turned to the reaction of the 6-diazopenicillanate with a non-reducing trialkylborane.

4.3 Reaction of p-Nitrobenzyl 6-diazopenicillanate with tributylborane

Following the conditions of Wiering and Wynberg, tributylborane was added under nitrogen to p-nitrobenzyl 6-diazopenicillanate in THF containing a trace of water, at -65°C. After a few minutes, the reaction mixture was allowed to warm to -45°C and worked up with addition of hydrogen peroxide. An n.m.r. spectrum of the crude product mixture showed evidence for the presence of the enol borinate intermediate (4.15) $\left[\delta\ 3.40(q,\ 2H,\ CH_2\ at\ C_6),\ 4.60\ (s,\ 1H,\ H-3),\ 5.80\ (s,\ 1H,\ H-5)\right]$, although no 6-butyl penicillanate (4.16) could be detected. However, the major product was the butyl thiazepine (4.17), and in fact, after purification (TLC) this was the only product isolated, in 60-70% yield. $\left(n.m.r.\ \delta\ 4.46(d,\ 1H,\ J=6Hz,\ H-3),\ 5.76\ (m,\ 1H,\ N-H),\ 6.83(d,\ 1H,\ J=7.5Hz,\ H-5)\right)$. Thus, if the enol borinate intermediate or the 6-butyl penicillanate had been produced, then either the yield was too low to allow isolation, or conversion to the thiazepine had occurred during chromatography. The latter of these appears the more probable. A mechanism which accounts for the observations is shown in Scheme 11 and involves alkylation by the tributylborane to give an enol-borinate intermediate

(4.15) which may either rearrange to butyl thiazepine (4.17) or undergo protonation, giving 6-butyl penicillanate (4.16). Alkyl substitution should tend to destabilize anionic charge at C-6 and hence it would be expected that more rearrangement would occur for the butyl-substituted intermediate (4.15) than for the unsubstituted one (4.10), as observed.
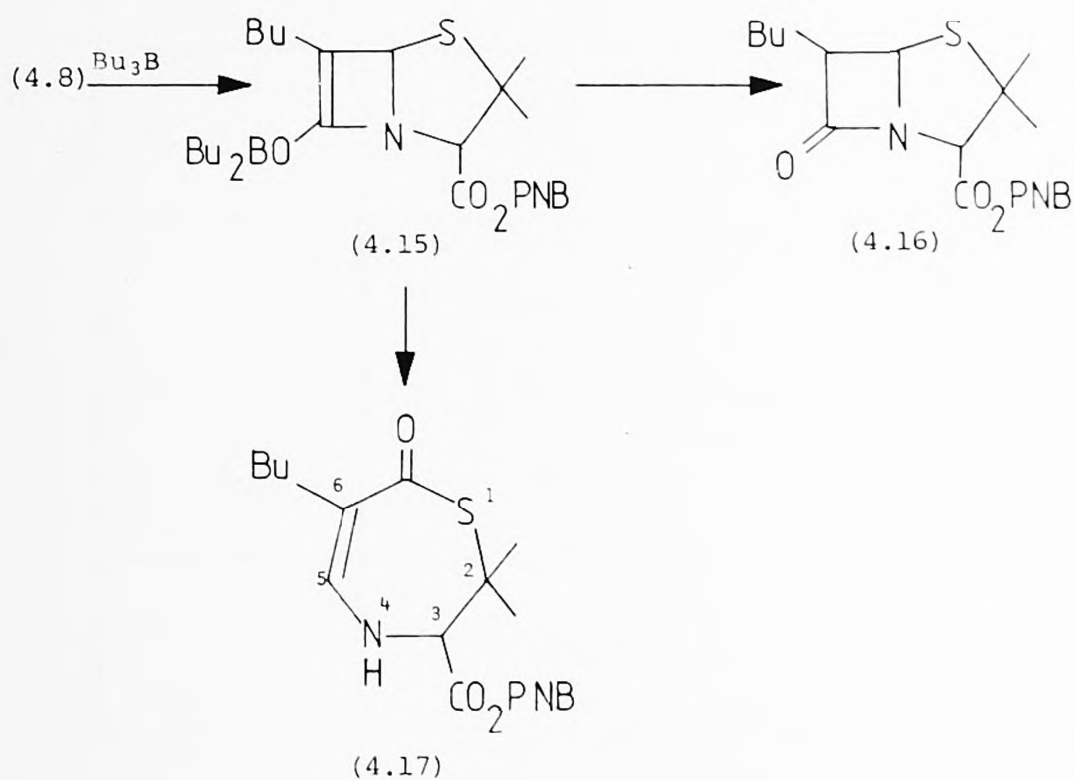
Scheme 11

The influence of reaction conditions on the course of the reaction was then examined. The fate of the intermediate (4.15) might be expected to depend on temperature and on the presence of water, as in Wiering and Wynberg's study, and might also be influenced by the presence of a base which could affect proton transfer

processes, as in Ramsey and Stoodley's studies of the epimeri-

sation and rearrangement of 6-aminopenicillanate derivatives[158].

Five sets of conditions were therefore selected for study, as

shown in Table 4.1

System No.	Conditions	Temperature
1	WET	$-65^{\circ}C$
2	DRY	$-65^{\circ}C$
3	WET	$0^{\circ}C$
4	DRY	$0^{\circ}C$
5	DRY/Et_3N	$-65^{\circ}C$

Table 4.1

The Conditions employed in each of the 5 systems

To be able to follow closely the course of each reaction, it was

decided to establish an H.P.L.C. method for the quantitative analysis

of thiazepine formed in each system shown in Table 1. After trials

with several potential candidates, p-nitrobenzyl alcohol was

selected as a suitable internal standard which had an appropriate

chromophore and a convenient retention time. For calibration, six solutions were prepared each containing known quantities of butyl thiazepine (4.17) and p-nitrobenzyl alcohol. The resulting peak area ratios were plotted against mole ratios to give the calibration graph shown in Figure 4.1. With the aid of this graph, it was now possible to determine the absolute yield of butyl thiazepine produced in any set of reaction conditions simply by adding a known amount of p-nitrobenzyl alcohol to the reaction solution and measuring the H.P.L.C. peak areas for the mixture.

Peak Area
Ratio
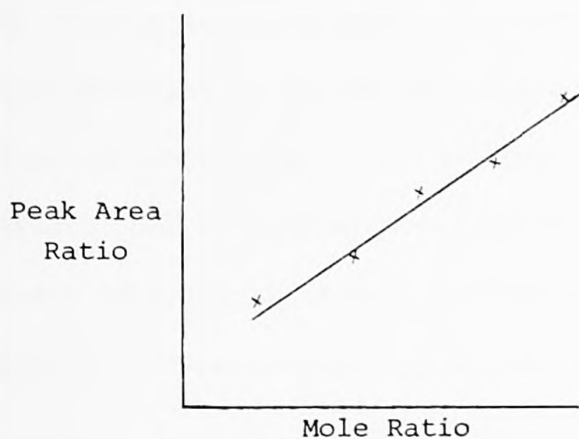
Mole Ratio

Figure 4.1

Calibration Graph of Peak Area Ratio Against Mole Ratio

Reactions were then performed according to each of the sets of conditions, systems 1-5, shown in Table 4.1. Surprisingly, when H.P.L.C. analyses were performed shortly after mixing the reagents, none of the five systems showed thiazepine, but each showed instead a peak of shorter, but somewhat varying retention time. A second injection of each reaction solution was then performed after a further 10 minutes and this now revealed butyl thiazepine (4.17) as the sole product in each of systems 1-4. However, system 5

105.

did not show any thiazepine at this point and in fact the shorter-retained peak persisted over a period of several weeks.

The reaction conditions of systems 1-4 were repeated several times, on each occasion the reaction being closely followed by frequent H.P.L.C. injections commencing a few seconds after mixing. It became apparent that the peak of shorter retention time than butyl thiazepine appeared very rapidly after mixing the reagents: that its retention time, even for a given solution, varied un-predictably from one injection to the next: that the eventual dis-appearance of this peak was unpredictable and did not correlate in any obvious way with temperature or moisture content, and that its disappearance coincided exactly with the sudden appearance of the final product, the butyl thiazepine (4.17). It should be emphasised that throughout these studies, injections of stock solutions of butyl thiazepine at intervals gave consistently sharp peaks of reproducible retention time.

The explanation which is proposed to account for these unusual observations is as follows: on mixing the reagents the butyl borinate intermediate (4.15) is formed rapidly and persists for a few minutes in solution. It is then transformed into butyl thiaze-pine (4.17). The factor which triggers this transformation is not clear (but is possibly due to a trace of adventitious acid), although once started it occurs very rapidly and cleanly. When solutions containing the intermediate (4.15) are injected onto the silica H.P.L.C. column, the enol borinate travels some distance down the column and suddenly and rapidly transforms into the more polar butyl thiazepine (4.17) which then completes its passage

106.

through the column. This explanation would account for the somewhat erratic retention times of the HPLC peaks observed.

Efforts were made to characterise the postulated intermediate enol borinate. Preparative T.L.C. of crude reaction mixtures containing the intermediate compound led only to the isolation of butyl thiazepine, of which the R_f on the preparative plates varied erratically in accordance with the HPLC observations above. An attempt to observe the intermediate by n.m.r. was made by carrying out the reaction of tributylborane with p-nitrobenzyl 6-diazopenicillanate in $CDCl_3$. However, this change of solvent and the fact that the reaction temperature used was $0^{\circ}C$ rather than $-65^{\circ}C$ to avoid freezing of the solvent proved unhelpful: the disappearance of 6-diazopenicillanate coincided exactly with the appearance of butyl thiazepine in the n.m.r. spectrum, and no signals for an intermediate could be detected.

It was recognised that the rôle of solvation was critical, but unfortunately, the requisite deuterated THF was unavailable. A new reaction was carried out in THF and the solution gradually concentrated under vacuum, with frequent H.P.L.C., sampling and comparisons with a standard solution of butyl thiazepine. This study revealed that the intermediate would survive until a very late stage in the concentration procedure, but rearranged rapidly on being stripped of the last traces of THF. In the light of these results, a concentrated THF solution containing the intermediate (H.P.L.C. evidence) was partitioned between water and $CDCl_3$. The n.m.r. spectrum of this solution was partially masked

by the large quantity of THF which extracted into the organic phase, but a singlet was visible at δ 6.7 which did not correspond to any peak in the butyl thiazepine, and which could be due to H-5 of an enol borinate. The $CDCl_3$ solution was gradually concentrated and further n.m.r. spectra taken at intervals, with the following results:

a) at 50% of original volume: the singlet at δ 6.7 was still present, with no evidence for the butyl thiazepine.

b) at 25% of original volume: as in (a)

c) with complete evaporation and re-addition of fresh $CDCl_3$: only thiazepine was now present.

It seems apparent that the enol borinate intermediate receives stabilization from the THF molecules coordinating to the boron, and rearranges rapidly once separated (either by evaporation or chromatography) from the THF. This view explains the considerably increased stabilization observed in the presence of triethylamine, since the latter will coordinate more strongly than THF to the electron-deficient boron. This consideration led to the attempt to isolate an Et_3N-stabilized enol borinate by evaporation of a THF solution. Once again the intermediate persisted, as shown by HPLC, until a late stage in the concentration process, but did not survive evaporation to dryness.

Since attempts at the physical isolation of the postulated enol borinate intermediate proved unsuccessful, attention was

turned to its chemical manipulation by means of added trapping

agents. Three different methods were used in an effort to

capture the enol borinate and prevent its rearrangement to butyl

thiazepine:

a) Addition of bromine was carried out in the hope of effecting

an electrophilic substitution of the enolate (Scheme 12).

However, there were no identifiable products of this reaction.
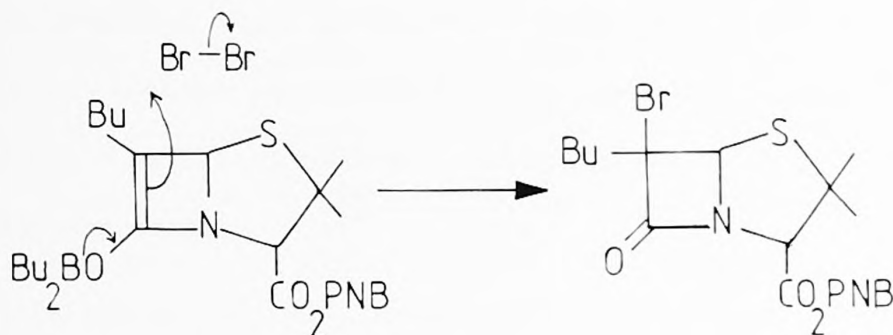
Scheme 12

b) Condensation with acetaldehyde was attempted in an effort

to obtain an aldol condensation (Scheme 13) , but none of

the desired product could be detected. Instead, the main

product was again butyl thiazepine.

Scheme 13
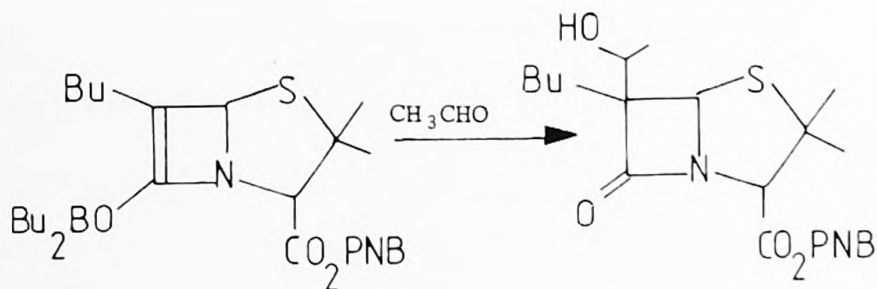
c) Acetic acid addition to the reaction mixture was examined in the hope that rapid proton transfer to the intermediate might lead to butyl penicillanate rather than butyl thiazepine. However, no 6-butyl penicillanate could be isolated.

4.4   Attempted Synthesis of p-nitrobenzyl 6-butyl penicillanate

In the study of the reaction of 6-diazopenicillanate with tributyl-borane, there was no evidence for the production of 6-butyl penicillanate under any of the conditions examined. It was felt that it would be helpful to prepare an authentic sample of the 6-butyl penicillanate by an independent route, so that its n.m.r., H.P.L.C. behaviour and stability could be accurately assessed.

Initially, p-nitrobenzyl 6-diazopenicillanate was converted to the 6-oxopenicillanate (4.18)[62].   Purification of the latter proved extremely difficult, since it was found to decompose during chromatography.   Eventually, therefore, the ketone (4.18) was used in crude form for a reaction with butylidene triphenyl-phosphorane (4.19) (Scheme 14).   Again, the crude products were found to be extremely difficult to purify, and were therefore hydrogenated at once over 10% Pd/C.   None of the required product (4.20) could be isolated, although several modifications in the overall procedure were tried.

$$\text{PrCH=PPh}_3$$

(4.18)　　　　(4.19)　　　　　　H$_2$/Pd/C　　　　(4.20)

CO$_2$PNB
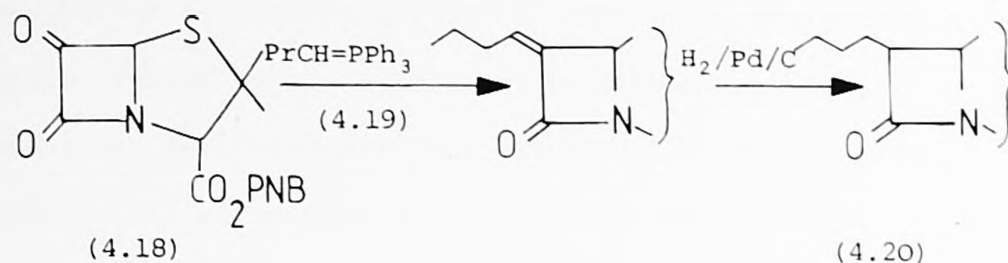
Scheme 14

An alternative to this route was considered, which avoided

involvement of the 6-oxopenicillanate and butylidene phos-

phorane, both of which are difficult to handle. If the phos-

phorane (4.21) could be prepared, it should be relatively stable,

because of the carbonyl group adjacent to the ylid, and should

be capable of condensing with an aldehyde to give the Wittig

product.   Nucleophilic displacement of a 6-halogeno substituent

by phosphorus would not be an appropriate approach to the

phosphonium salt, and hence ylid, in a bicyclic β-lactam.

However, ylids can also be formed by carbenoid reaction with

a phosphine.   Consequently, the reaction of 6-diazopenicillanate

with triphenylphosphine in the presence of rhodium acetate was

investigated.   It was hoped that rhodium catalysis would result

in the loss of nitrogen from the diazo compound and trapping of

the metallocarbenoid with phosphorus.   Since the desired ylid

intermediate (4.21) might be of limited stability, it was

attempted to trap it without isolation, by immediate addition

of an aldehyde.   Benzaldehyde was selected for initial study

as a convenient model.   Unfortunately, the product of this

reaction was not the desired benzylidine penicillanate (4.22),

111.

but the yellow azine (4.24). This indicated that the phosphazine (4.23) rather than the ylid (4.21) was being formed (Scheme 15). A number of variations in reaction conditions were attempted, but all reactions afforded the azine (4.24) as the main product, and no Wittig product (4.22). In addition to the yellow azine, a white solid was also produced in some reactions, the spectra suggesting it to be the hydrazone (4.25)

(4.8) $\xrightarrow[Rh_2(OAc)_4]{PPh_3}$

(4.21)

$\xrightarrow{PhCHO}$

(4.22)

(4.23)

$\xrightarrow{PhCHO}$

(4.24)

+

(4.25)

Scheme 15

112.
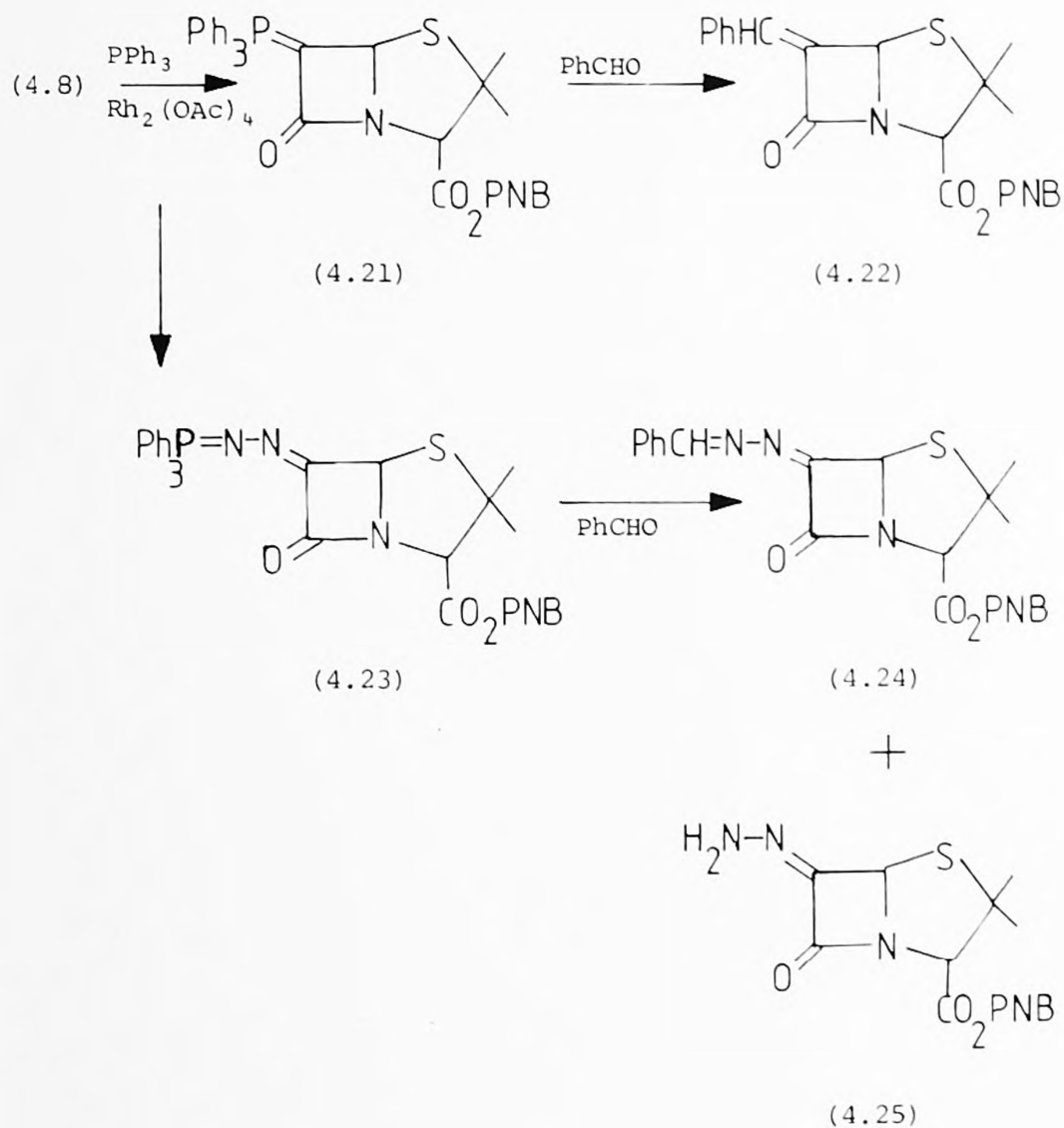
The presence of the phosphazine (4.23) as a stable inter-
mediate prior to the addition of benzaldehyde was shown by
n.m.r. Attempts to remove nitrogen thermally[159] from the
phosphazine in order to convert it to the ylid (4.21) proved
unsuccessful. Refluxing in a variety of solvents with
increasing boiling points led eventually to destruction of
the β-lactam ring. At this point, no further attempts to
synthesize 6-butyl penicillanate were made.

Section 2

Chapter 5 : The Reactions between 6-Diazopenicillanates and Furans

5.1   Introduction

In general, diazo compounds react with furans to form both
cyclopropanes and ring opened products.   Substitution at the
2-and 3-positions as observed for pyrroles and indoles respectively,
is rare, if, indeed, it occurs at all.   Thiophenes, under the
correct conditions, form stable sulphonium ylids, and although
oxonium ylids are known, they are less stable and tend to rearrange[74,75].

The recent paper concerning the reaction of benzhydryl 6-
diazopenicillanate with furan[160] reports the quantitative production
of the dienal isomers (5.2a)(5.3a)by rhodium acetate catalysis.   The
initial product ratio was a 2:1 mixture of the ZE:ZZ isomers, but
isomerization occurred during chromatographic separation to afford
the EE and EZ isomers also (5.4a)(5.5a)(Scheme 1).

(5.1)　　　　　　　(5.2) ZE　　　　　　(5.3) ZZ

(5.4) EE　　　　　　(5.5) EZ

a,　R=CHPh$_2$

b,　R=PNB

c,　R=POM
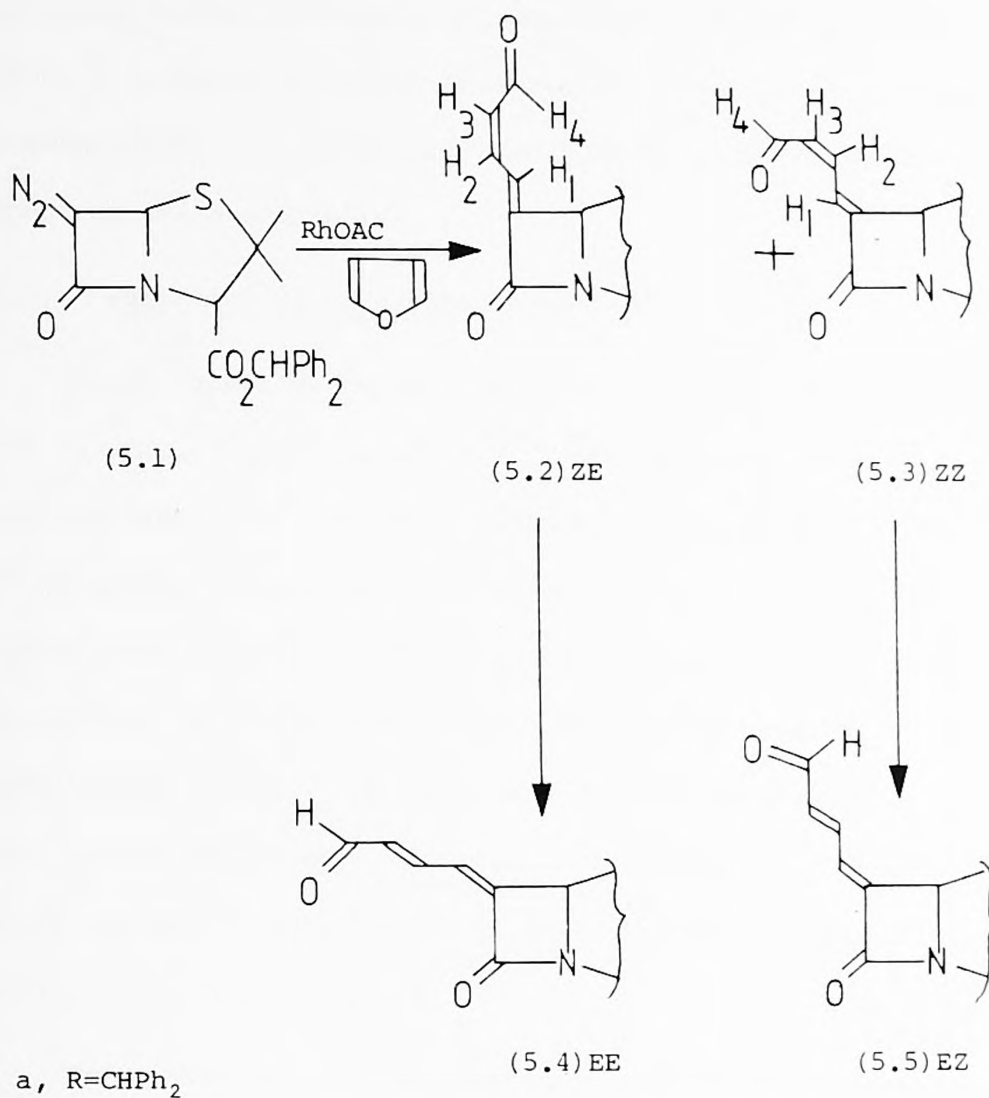
Scheme 1

It was felt that the above reaction held potential for
further investigations and consequently, several develop-
ments were examined.

5.2　Variation of Protecting Group

The literature reaction of 6-diazopenicillanate with
furan utilized the benzhydryl group for protection of the
acid.　Similar reactions in which the diazopenicillanic

acid was protected by a) the p-nitrobenzyl group and b) the pivoyloxymethyl group, were subsequently attempted and were shown to proceed in equally good yields. The isomer ratios, determined by the characteristic aldehyde peaks in the n.m.r. spectrum, were unaffected.

5.3. Variation of Furan Concentration

In the previous cases, the furan had acted as both reagent and solvent, thus being present in the reaction mixtures in large excess. By employing dichloromethane as solvent and reducing the concentration of furan to 1,2,5 and 10 molar equivalents in each of four systems, it was found that 5 molar equivalents of furan afforded the same yield of products as a much larger excess. Satisfactory results could also be obtained for 2 molar equivalents of furan, but in reactions where the scale was small, this amount of furan proved difficult to handle owing to its volatility.

These observations are important for two reasons. Firstly, reduction in the amount of furan used means less waste of reagent and improved economy. Secondly, in the planned studies of substitution effects in this reaction, some of the furan derivatives would be very high boiling and their presence in large excess would hinder work-up.

5.4 Reaction of Pivoyloxymethyl 6-diazopenicillanate with furfuryl alcohol

Matlin and Chan[75] have shown that simple alcohols react with 6-diazopenicillanates to give a mixture of 6α-alkoxy-penicillanate and alkoxythiazepine products, the latter arising by

rearrangement of an intermediate oxonium ylid. The product

distribution was found to depend on alcohol structure, with

methanol giving mainly 6α-methoxypenicillanate and benzyl

alcohol giving virtually complete rearrangement to benzyloxy-

thiazepine.

It was therefore of interest to examine the behaviour of

furfuryl alcohol, where competition for attack on the ring

and on the side-chain hydroxyl group might be expected. Thus,

three possible products (5.7-5.9) would be anticipated for

this reaction (Scheme 2).

Scheme 2

117.
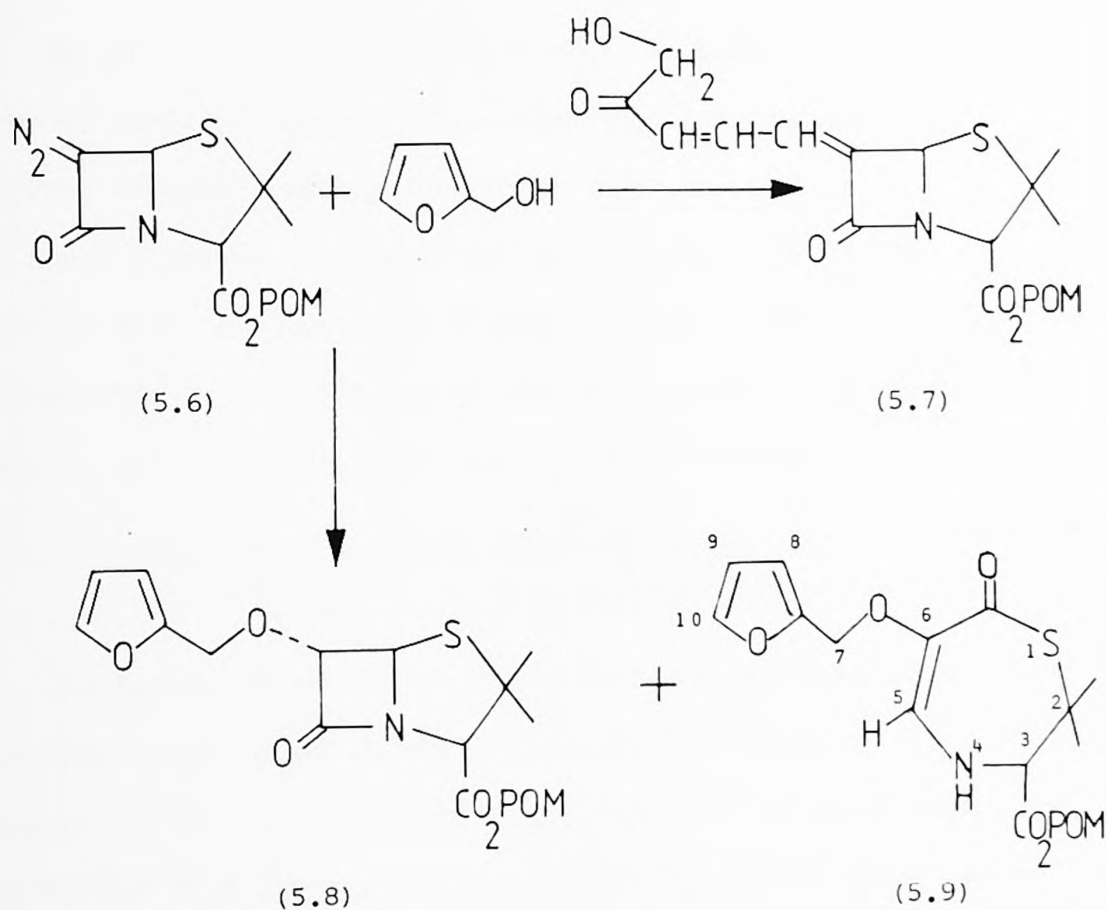
A five-fold excess of furfuryl alcohol in dichloromethane was used (since this alcohol is high boiling and inconvenient to use as the solvent), being reacted with pivoyloxymethyl 6-diazo-penicillanate (5.6) in the presence of rhodium acetate. On chromatographic work-up, none of the dienone (5.7) nor of the furfuryloxypenicillanate (5.8) could be detected, only the thiazepine (5.9) being isolated. The thiazepine was recognised by its characteristic ^{1}H n.m.r. spectrum, which included doublets for the olefinic proton (H-5) and for the proton α to the ester (H-3), both of these protons coupling to the N-H group which appeared as a multiplet.

The result obtained indicates that the side-chain hydroxyl group in furfuryl alcohol is considerably more reactive than the ring towards electrophilic carbenoid species derived from the diazo compound (5.6) and rhodium acetate. Evidently, the reaction then proceeds through rearrangement of the oxonium ylid intermediate to give the thiazepine (5.9) rather than by a proton transfer process which would lead to the alkoxypenicillin (5.8).

5.5 The Reaction of p-Nitrobenzyl 6-diazopenicillanate with Diethyl 3, 4- furandicarboxylate

The 6-diazopenicillanate was stirred with rhodium acetate in the presence of excess diethyl 3,4- furandicarboxylate. In contrast to the reaction with furan, there was no rapid evolution of nitrogen from the solution and even after 2 hours some diazo-penicillanate was still present, shown by its characteristic stretch in the IR spectrum. After 3 hours, however, total decomposition of the diazo group had occurred, and so the re-action mixture was investigated. Separation of the products by

chromatography afforded no 6-substituted penicillins and it was evident that no reaction had occurred between the two reagents. This difference in activity between diethyl 3,4-furandicarboxylate and furan is probably due to electronic factors, as the ethoxycarbonyl groups are strongly deactivating.

5.6 The Reaction of Pivoyloxymethyl 6-diazopenicillanate with Methyl 2-furoate

The diazopenicillanate was decomposed by rhodium acetate in the presence of a five-fold excess of methyl 2-furoate. Although the rate of decomposition was rapid, no identifiable products could be isolated from the complex reaction mixture. The reaction was repeated several times, and the products carefully separated by repeated chromatography on silica plates. On each occasion, no furan-substituted penicillins could be detected. It appears that the methoxycarbonyl group is too deactivating, withdrawing electron density from the furan nucleus and decreasing the nucleophilic nature of the ring.

5.7 The Reaction of Pivoyloxymethyl 6-diazopenicillanate with 2-Methoxyfuran

Incorporation of electron withdrawing groups onto the furan nucleus has caused complete deactivation of the furan, with the disappointing result of no reaction. In contrast, 2-methoxyfuran should possess increased nucleophilicity due to the incorporation of the electron releasing methoxy substituent, although it may still, being a fairly bulky group, have some steric effects. The reaction between 2-methoxyfuran and the 6-diazopenicillanate proceeded with the rapid evolution of nitrogen and total loss of the diazo group within 1 hour. The product mixture was separated

by preparative T.L.C. on silica, affording two isomers of the diene (5.10) (Scheme 3).
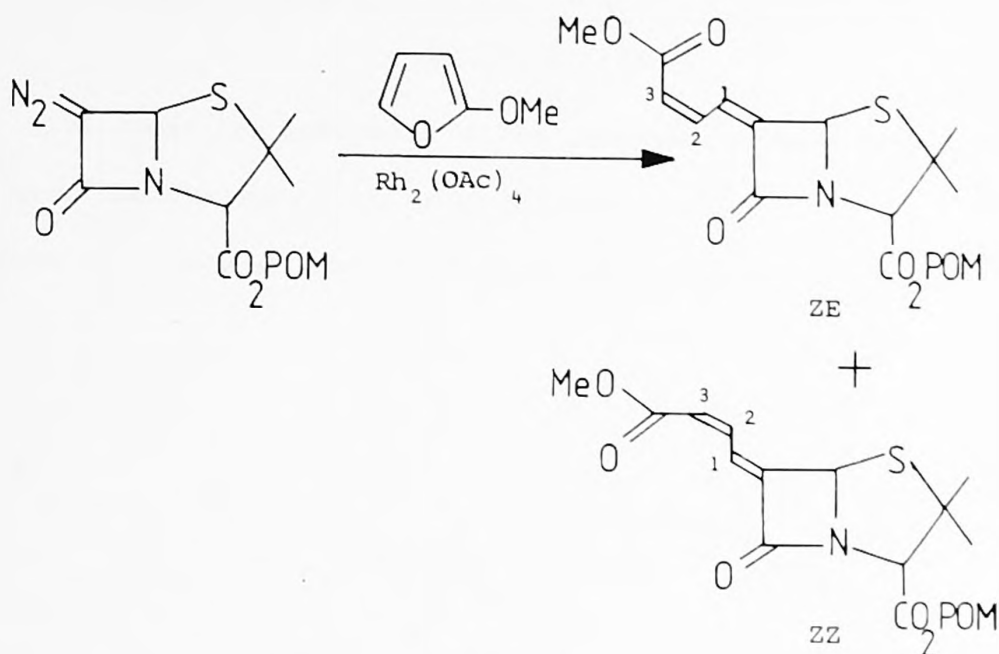
Scheme 3   (5.10)

As no aldehydic peaks were present in the n.m.r. spectra, it was concluded that attack had occurred solely at the side of the furan bearing no substituent.   The two isomers were due to two configurations about the double bond adjacent to the penicillin nucleus being possible.   The configuration about the remaining double bond, as expected, was cis in both cases, having been derived directly from the furan ring.   The ratio of ZE to ZZ isomers was 5:1.

The combined yield of both isomers after several purifications amounted to 26%.   Comparing the yield of this reaction with the almost quantitative yield in the parent furan reaction, it can be seen that a large decrease in yield of products has occurred in the methoxyfuran case.   This may be due to steric hindrance

120.

and/or to excessive reactivity of the strongly activated furan

leading to side reactions and to possible competing interaction

of the side-chain ether oxygen with the carbenoid.

5.8   The Reaction of p-Nitrobenzyl 6-diazopenicillanate with
                    2,5-Dimethylfuran

This reaction proceeded in the presence of rhodium acetate

to give the dienone (5.11),   as described in

Scheme 4.   However, the final yield of (5.11) was a mere 2.5%.
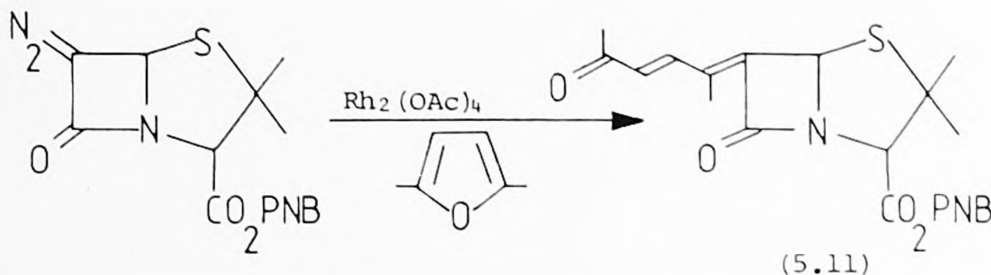
Scheme 4

The crude reaction mixture was extremely complex, and repeated

chromatography was necessary in order to isolate any of the

required product.   Preparative H.P.L.C. had to be employed

finally to obtain a pure sample (see Chapter 8).   It is there-

fore probable that the initial yield of the dienone was sub-

stantially greater than 2.5%, but was reduced by mechanical

losses as well as some decomposition during the chromatographic

procedures.   Clearly, however, the yield is substantially lower

than in the case of furan, and it is apparent that substituent

groups at the 2- and 5- positions, even when activating in

electronic character, have a pronounced steric effect.

5.9   Mechanistic Study of Reactions with Furans

The literature reports of reactions of diazo compounds with furans, leading to dienals and dienones, have all suggested that the reactions proceed via cyclopropanation and ring opening (Scheme 5).   The evidence for this has been that on some occasions cyclopropanes (5.12) have been isolated from the reaction mixtures and these have been shown to undergo thermal ring opening to diene derivatives at elevated temperatures.

(5.12)
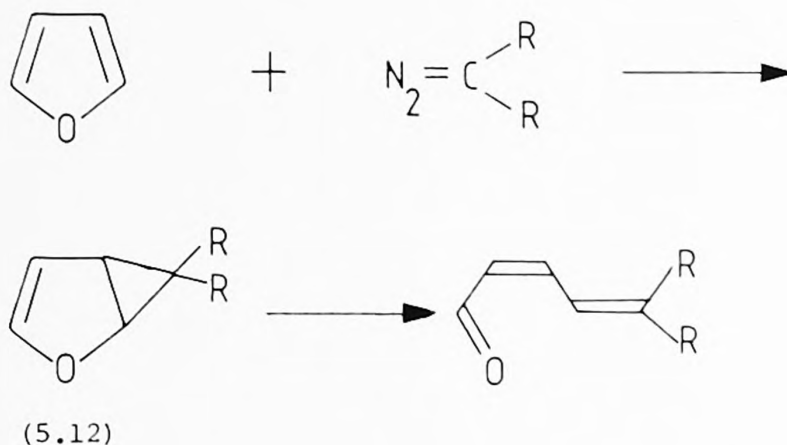
(Scheme 5)

This evidence is by no means conclusive and examination of the results of our own reactions has led us to consider an alternative possibility, in which the α-substituted furan intermediate (5.15) is formed, either by direct electrophilic attack on the α-carbon of furan, or via the rearrangement of an oxonium ylid (5.13) (Scheme 6).   The latter intermediate would be consistent with the known behaviour of saturated compounds containing oxygen, which do give oxonium ylids.   For the present, to simplify the discussion, it will be assumed that the ylid (5.13) is a precursor to (5.15).   Although the zwitterion (5.15) could

now ring close to give the intermediate cyclopropane (5.12) proposed in the literature, a simple ring opening could produce the observed dienals directly (Scheme 6).
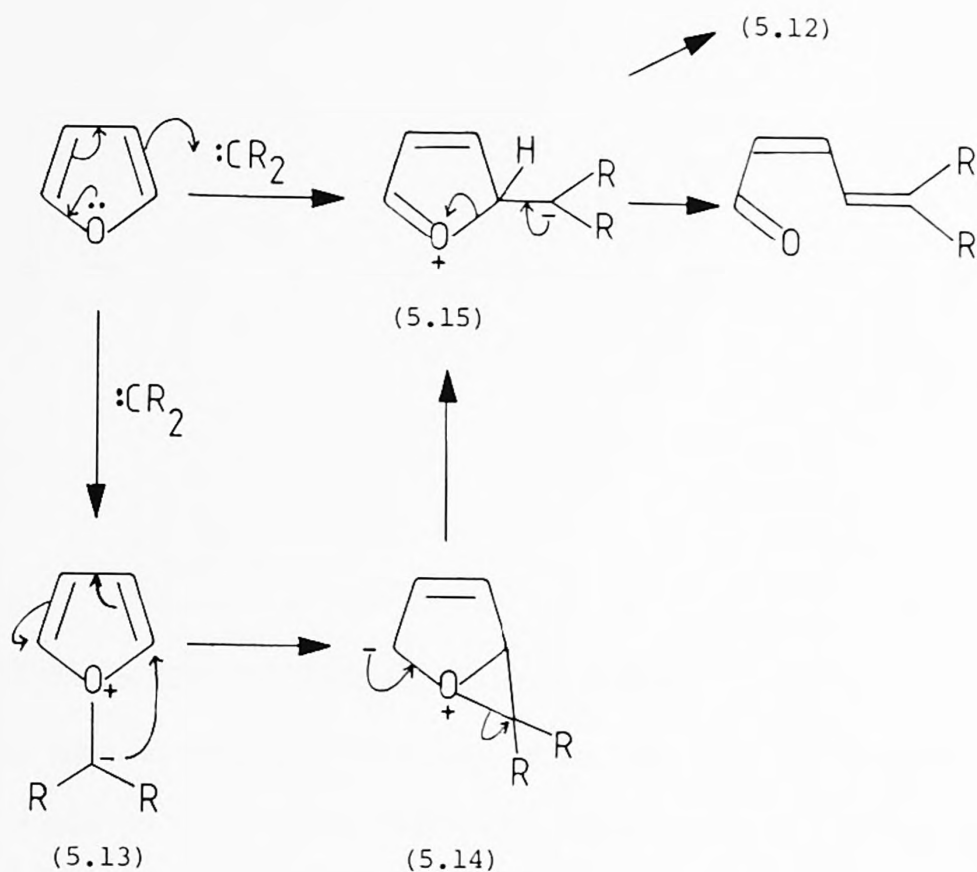
Scheme 6

In order to explore this alternative mechanistic scheme, the following reactions were studied.

a) Reaction of 6-diazopenicillanate with 2-methylfuran

The rhodium catalysed reaction of the pivoyloxymethyl diazoester (5.6) with 2-methylfuran led exclusively to two isomers of the methyl ketone (5.16) (Z/E, Z/Z). There was no evidence, either from n.m.r. or from the chromatographic work-up, for formation

123.

of aldehydes.   Thus, it is evident that the presence of the 2-methyl group completely blocks reaction on the substituted side of the furan ring.   This observation is also consistent with the very poor yield of ring-opened adduct obtained in the case of 2, 5-dimethylfuran (Section 5.8).

(5.6)

(5.16)
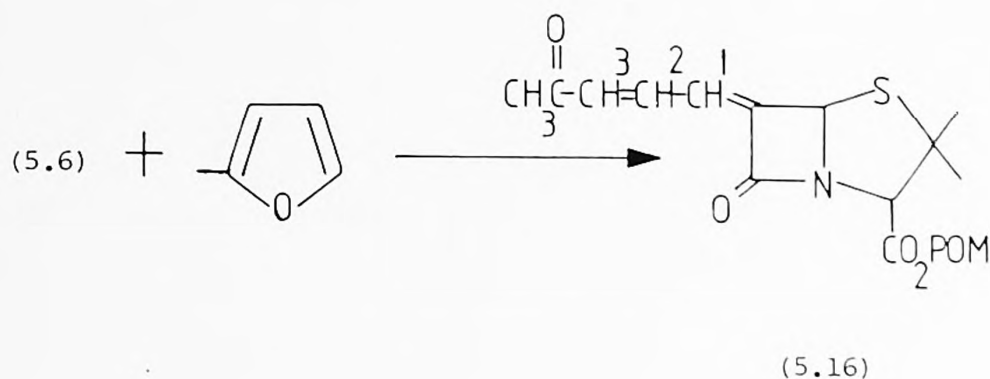
b) Reaction of 6-diazopenicillanate with 3-methylfuran

The rhodium catalysed reaction, under the usual conditions, led to a mixture of products which was partially separated into two fractions by preparative T.L.C.   In one fraction there was a single proton visible in the aldehyde region of the n.m.r. spectrum and this was observed to be a singlet.   This is consistent with the product (5.17) bearing a methyl group α to the aldehyde function.   The second chromatographic fraction showed two sets of aldehydic doublets, indicating a mixture of the isomers (5.18) and (5.19) bearing β-methyl groups.   In view of the complexity of the aldehyde region in this mixture, it cannot be stated with certainty that there was no further alde-hyde singlet buried under the doublets, which would represent a

124.

second isomer of the α-methyl product (5.17). It will be assumed that this is not the case. Integration of the signals for the aldehyde singlet and for the aldehyde doublets in the n.m.r. spectrum of the total crude product mixture showed that the α-methyl and the β-methyl types of product were present in the ratio 60:40.

(5.6)

(5.17)

(5.18) ZE

(5.19) ZZ
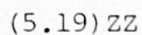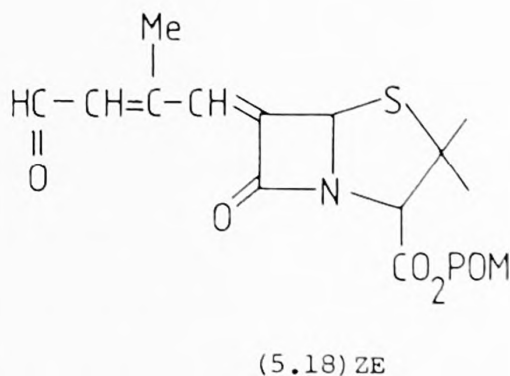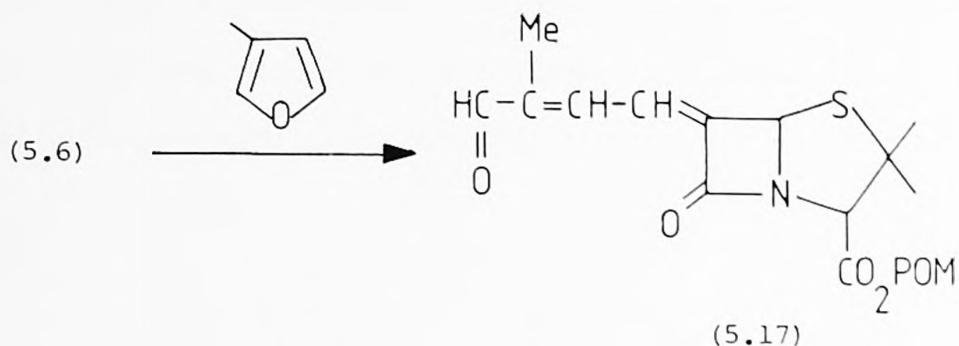
This result provides evidence contradictory to the cyclopropanation mechanism. It is apparent that a 2-methyl group blocks attack on the substituted side. If this were a steric effect preventing cyclopropanation of the 2,3-bond, it should be equally present for the case of a 3-methyl substituent.

However, if the mechanism proceeds through oxonium ylid formation and rearrangement to an α-substituted furan intermediate (Scheme 6), attack should be very sensitive to the presence of a 2-methyl group, but much less so to a 3-methyl group.

c) Reaction of Ethyl Diazoacetate with Furan

In order to further explore the mechanism of these reactions, the literature reports on the reaction of ethyl diazoacetate with furan were reinvestigated in detail. Ethyl diazoacetate was prepared from ethyl glycinate hydrochloride according to the method of La Forge et al.[161], and obtained as a yellow liquid.
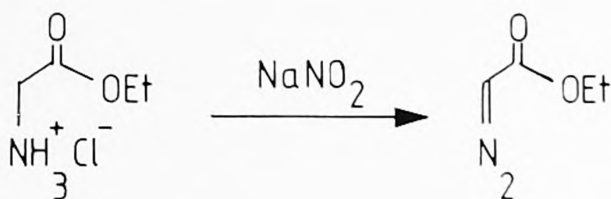
Jackson has reported that methyl diazoacetate reacts with furan, either by copper catalysis or photochemically, to give the cyclopropane adduct[107]. Schenck and Steinmetz also obtained the cyclopropane from the reaction of methyl diazoacetate[106], and claimed that on heating to 150°C it rearranged to the cis-trans-dienal (5.20), which further rearranged to the trans-trans isomer (5.21) (Scheme 7).

Scheme 7

126.
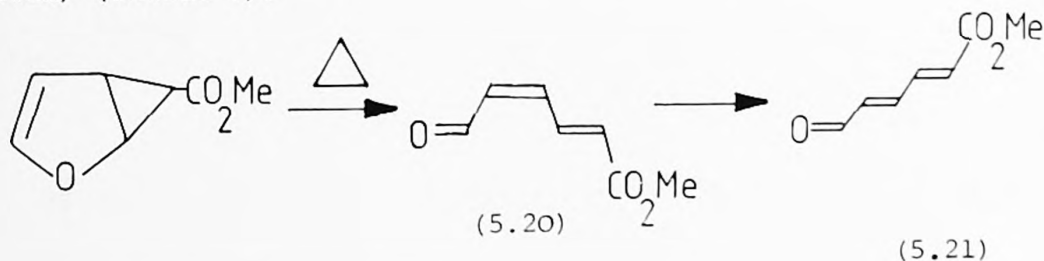
The addition of ethyl diazoacetate to furan was investigated, using both copper acetylacetonate and rhodium acetate as catalysts in separate experiments. In each case, the product mixture was examined closely by H.P.L.C. and n.m.r., which revealed no significant differences in products from the two catalysts. Both gave a mixture of three products, which were separated and individually characterized as the cyclopropane (5.22) and the isomeric dienals (5.23) and (5.24), in the ratio 6:1:1. There was, however, a substantial difference in the rate of reaction for the two catalysts, as revealed by monitoring the diazo band by IR, the rhodium acetate giving complete loss of diazoester in 1 hour, whereas the copper acetylacetonate took 24 hours. Although all three of the reaction products had previously been synthesized[106], no n.m.r. data had been obtained due to n.m.r. spectroscopy being in its infancy at that time. U.V. had been the only previous guide to the configuration of the isomers. However, n.m.r. is a necessity for accurate assignment and differentiation between the isomers.

(5.22)　　　　　　　(5.23)　　　　　(5.24)　　　　　(5.25)

127.
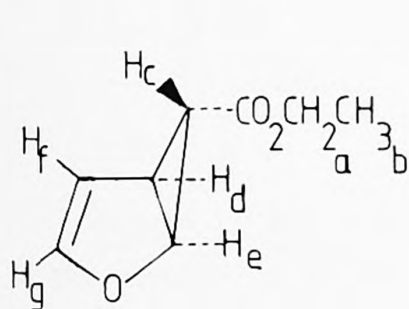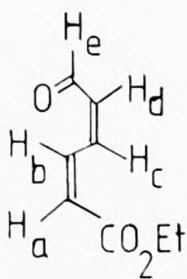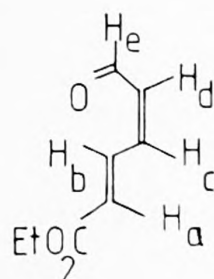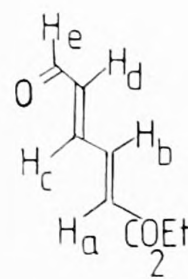
From the n.m.r. spectrum of the cyclopropane (5.22), the 3Hz and

1Hz coupling constants between H_c/H_d and H_c/H_e respectively are

typical of trans couplings, thus indicating that the carboxyethyl

group occupies the position exo to the furan ring, as predicted

on stereochemical grounds. By comparison of the assignments of

the dienals prepared by Matlin and Chan[160], it was possible to

determine that the stereochemistry about the double bond adjacent

to the aldehyde group was cis in each of (5.23) and (5.24). The

original assignments had been made by use of the "Tobey-Simon

Rules", for estimation of the chemical shift of a proton attached

to a double bond. This cis configuration was as expected consider-

ing that the bonds were derived directly from the furan ring. The

stereochemistry of the second double bond was rather more difficult

to assign. Figure 1 illustrates the splitting pattern obtained

for the protons $H_a - H_e$ in the n.m.r. spectrum of (5.23).
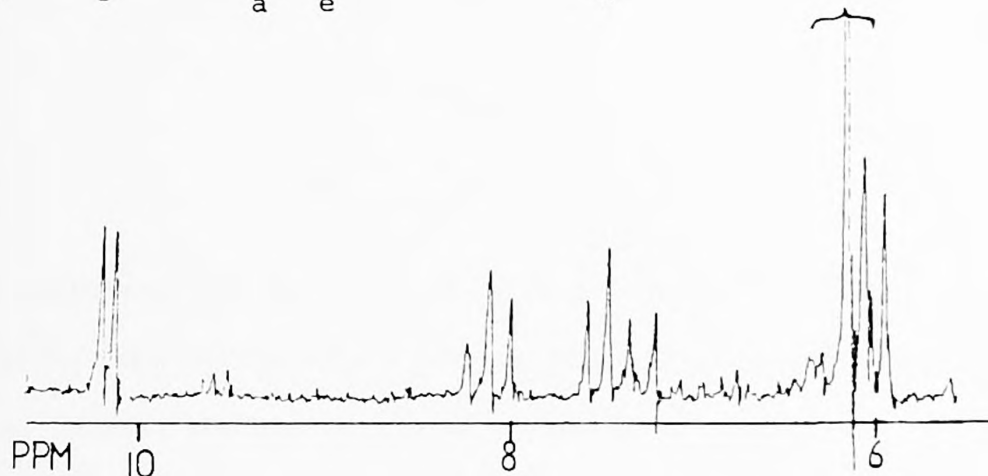
Figure 1: The splitting pattern of protons $H_a - H_e$ in (5.23)

The doublet at 10.26δ represents the aldehydic proton H_e. By

irradiation, the signal at 6.24δ, due to proton H_d, causes collapse

of the aldehydic doublet to a singlet, as expected, in a de-

coupling experiment. The occurrence of H_d as an apparent singlet

was surprising, as coupling to neighbouring protons H_e and H_c

should cause a more complicated pattern. Irradiation of the aldehydic doublet was shown to cause sharpening of the signal, verifying that it was, indeed, H_d. Protons H_b and H_c had very similar splitting patterns, both occurring as triplets rather than the expected doublets of doublets, due to overlap of signals and the couplings between H_d/H_c, H_c/H_b and H_b/H_a being equal. From the amount of information available, it was not possible to distinguish between the triplets of H_c and H_b.

As H_b and H_c give equal patterns, and as it is known that H_c is cis to H_d, then because the coupling between H_b and H_c is equal to the coupling between H_c and H_d, then H_b and H_c must be vicinal[162]. Similarly, the coupling between H_a and H_b must be cis also, as it is equal to the vicinal coupling between H_b and H_c. These assignments have been based on the fact[162] that

$$J_{cis} = J_{vic}$$

$$J_{trans} > J_{vic}$$

The assignment of (5.24) was much more straightforward, as the coupling constant between H_a and H_b could be measured. This being 15.5Hz, the olefinic bond was assigned as trans. Also, $J_{trans} > J_{vic}$ as predicted. Hence (5.24) was assigned as the cis-trans isomer, which is in agreement with the assignment of (5.23) as the cis-cis isomer.

If the cyclopropanes were intermediates in dienal formation, then the cis-trans isomer would arise from the cyclopropane with the ethoxycarbonyl group in the exo position, and the cis-cis isomer from the cyclopropane with the ethoxycarbonyl group in

129.

the endo position (Scheme 8)

(5.22)

(5.24)

(5.23)
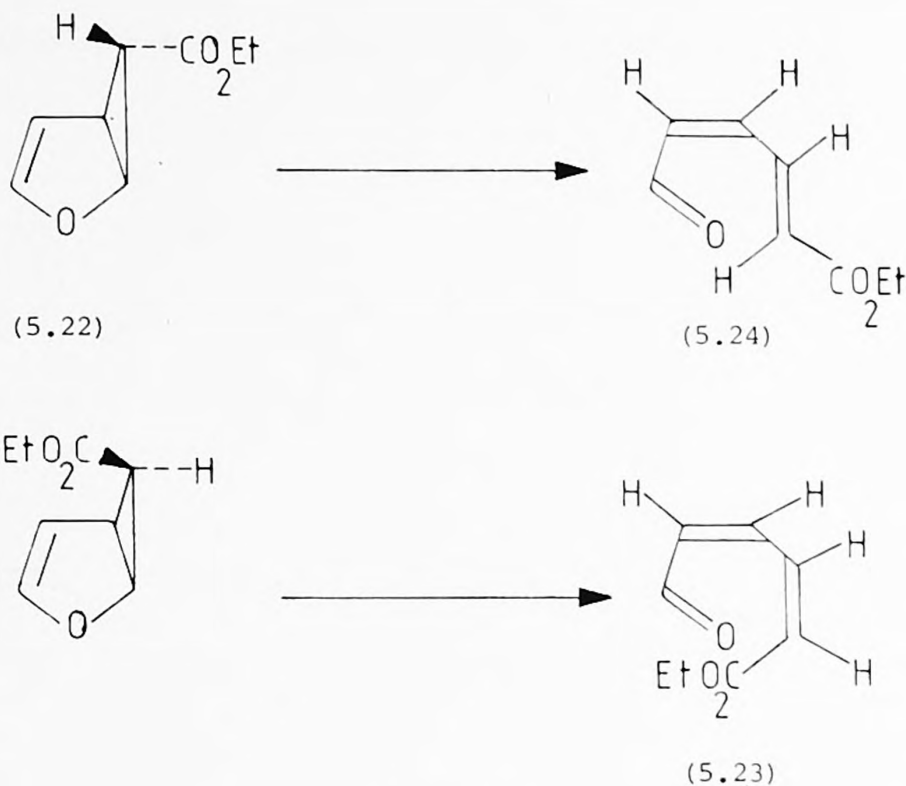
Scheme 8

Stirring of the exo-cyclopropane (5.22) in the presence of rhodium acetate was subsequently attempted in order to effect ring opening to the cis-trans dienal (5.24), which would be expected to occur if the cyclopropane was an intermediate in its formation. However, no ring opening was observed. It therefore appears unlikely that (5.24) is derived from the cyclopropane.

To verify that the cis-trans isomer was not occurring as a result of isomerization of the cis-cis isomer, (5.23) was stirred in the presence of rhodium acetate, but no isomerization was observed. Cyclopropanation does not therefore appear to be the intermediate step in the production of the dienals. Also, the cis-cis isomer (5.23) and the cis-trans isomer (5.24)

occurred in the ratio 1:1. On considering both ylid and cyclo-propane mechanisms (Schemes 9 and 10 respectively), this becomes further evidence in support of ylid formation.

Scheme 9

Scheme 10

131.
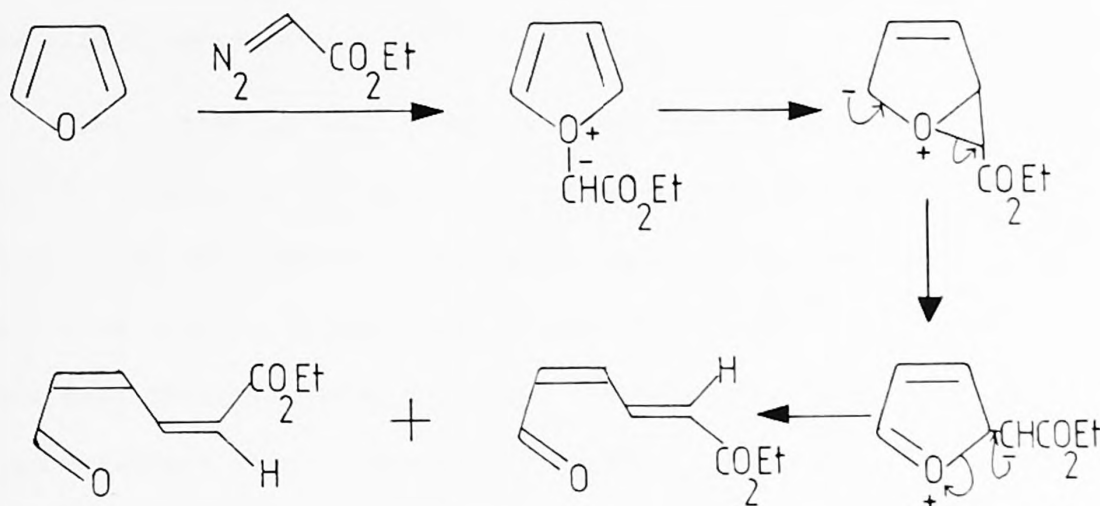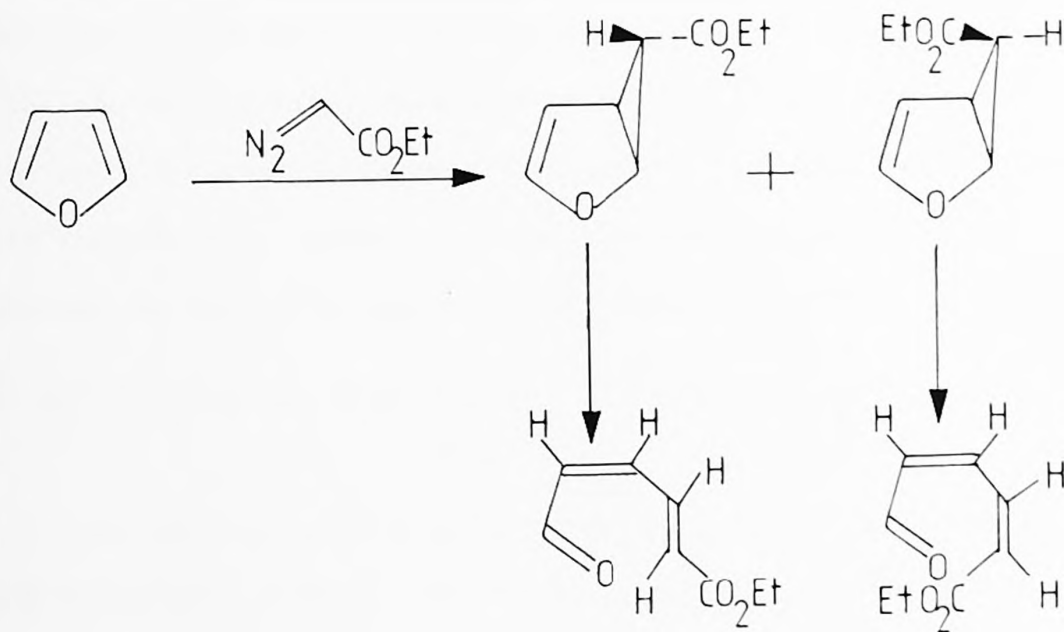
From Scheme 9, both dienals are equally probable, whereas from Scheme 10, the cis-trans isomer appears to be the most likely, having arisen from the sterically less-hindered and therefore preferred cyclopropane. Formation of equal quantities of each dienal therefore favours the mechanism depicted in Scheme 9 via an ylid intermediate.

The action of heat on the cyclopropane (5.22), according to the literature[106], should effect ring opening to give a mixture of dienal isomers. Repeating this reaction, however, afforded only the trans-trans dienal (5.25), which is obviously the most thermally stable isomer. None of the cis-cis or cis-trans isomers were isolated, but it would be unlikely for these isomers to be stable to temperatures of 150°C, even if they had been the initial products of ring opening.

The formation of the trans-trans isomer appears to suggest that the cyclopropane could be an intermediate in the thermal reaction between ethyl diazoacetate and furan, with ring opening being followed by isomerization of the initially formed cis-cis and cis-trans isomers. It is therefore probable that the thermal and catalytic decompositions occur by different pathways.

d) The Reaction of Pivoyloxymethyl 6-diazopenicillanate and Benzofuran

The reaction between pivoyloxymethyl 6-diazopenicillanate and benzofuran could provide further interesting information as to the mechanism of this series of reactions, as ring-opening to the analogous dienone (5.27) would involve the destruction of the aromaticity of the adjacent benzene ring and would therefore

132.

be less likely to occur. Also, the product would be very closely related to the series of compounds known as o-quinone methides (5.28) which have been thoroughly investigated in recent years and are known to be relatively unstable species[163]. Therefore, unless some new rearrangement is undergone, the most likely product of the reaction would be the cyclopropane (5.26).

The reaction between pivoyloxymethyl 6-diazopenicillanate and benzofuran was initiated by the presence of 1% rhodium acetate catalyst and began with the immediate and rapid evolution of nitrogen. Within only ten minutes the reaction had reached completion, and separation of the products by chromatography afforded one major component in 10-15% yield as a yellow oil. The remaining material was a complex mixture from which no further substituted penicillins were isolated. There was no evidence for the formation of the cyclopropane (5.26), and the purified product proved, on close examination, to be the new spiro penicillin derivative (5.31). This showed both β-lactam and ester carbonyl stretches in the IR spectrum, but no carbonyl absorptions below 1745 cm^{-1}. This ruled out the possibility that the product was an o-quinone methide such as (5.29,5.30) as it would have a carbonyl stretch in the 1600-1650 cm^{-1} region[163,164].

(5.26)   (5.27)

133.

(5.28)

(5.29)

PhSN

O

(5.30)

Ha

Hb

20

21 O

6

S

5 1

2

7

O

N 4

3

CO₂POM

(5.31)
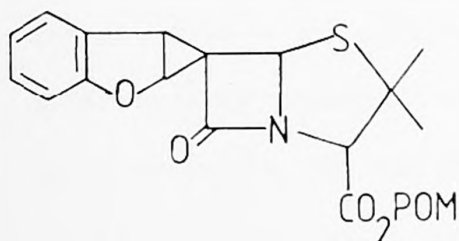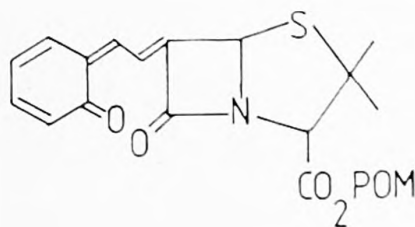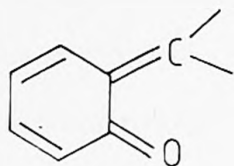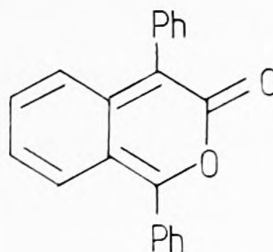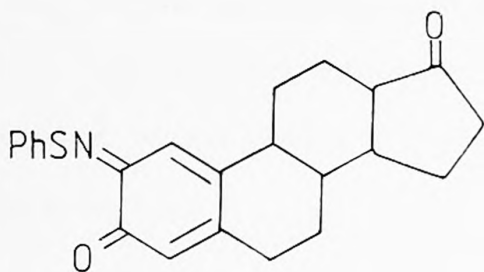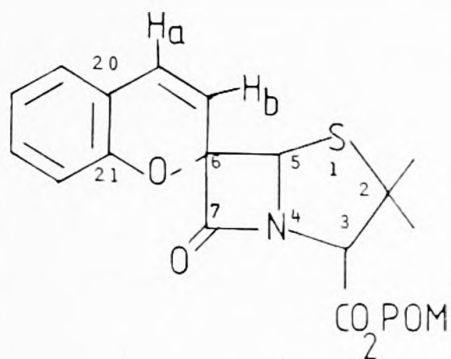
The 'H n.m.r. spectrum of the product revealed it to have six

olefinic hydrogens and no cyclopropane hydrogens, excluding the

possibility of the cyclopropane (5.26). In addition the ^{13}C

n.m.r. spectrum showed the presence of six vinylic carbons

which were doublets in the proton-coupled spectrum (all with

coupling constants of about 170Hz, typical of olefinic C-H

coupling). The stereochemistry about C-6 of the product (5.31)

was assigned by an n.O.e. difference experiment. In n.O.e.

difference spectroscopy, a control spectrum without n.O.e. is

subtracted from the spectrum with n.O.e. so that only differences

in the spectrum should appear. This overcomes the limited scope

of traditional n.O.e. experiments due to the need to resolve the

signal to be observed.   Also, with traditional n.O.e. experiments, the minimum observable effect using conventional integration is ~5%, whereas in n.O.e. difference spectra, effects are observable at levels well below 1%.   On irradiating a particular proton, the n.O.e. difference spectrum will only show intensity changes for other protons close to it.

Irradiation of the 2β-methyl group in (5.31) gave an n.O.e. effect on the vinylic doublet H_b and also on H-3.   This result shows that the stereochemistry at C-6 is as shown, with the oxygen attached to the α-face and the vinylic protons H_a and H_b occupying the β-face.

The observed formation of the spiro adduct (5.31) provides further evidence for the proposed reaction mechanism.   If the cyclopropane (5.26) was an intermediate, it is difficult to see any direct way in which it would rearrange to (5.31).   At best, it might be undergoing ring opening to the conjugated ketone (5.27), which could then recyclize by a 6π electrocyclic process to give (5.31).   In contrast, the mechanism involving ylid formation (Scheme 11) provides an intermediate (5.32) which can cleave an internal bond and give the observed product (5.31) directly, without involving either cyclopropane or polyenone intermediates.

135.
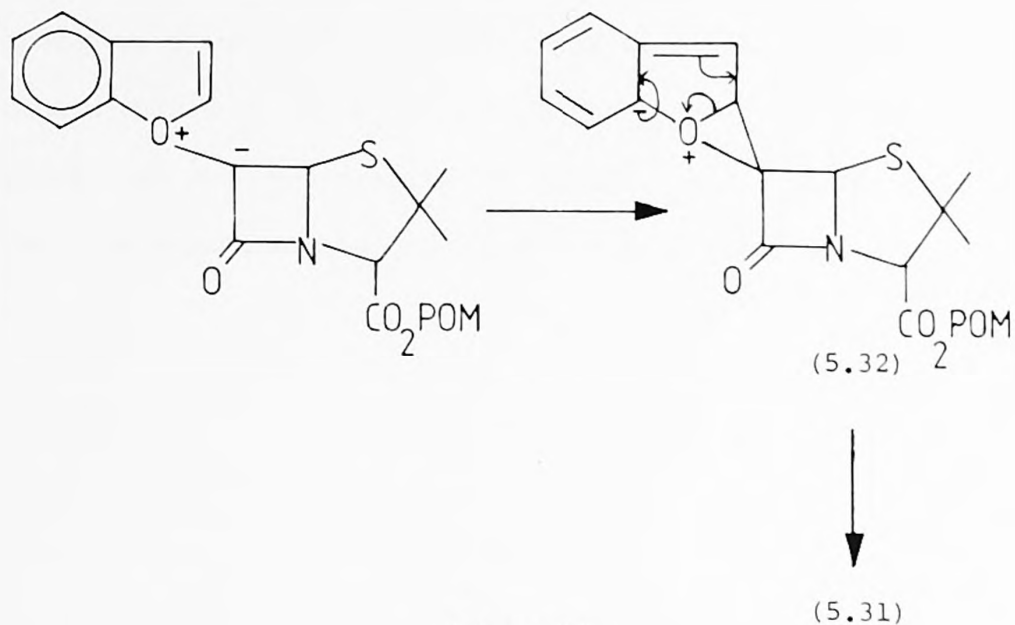
Scheme 11

e) Reaction of Ethyl Diazoacetate with Benzofuran

As a test of the above argument, the rhodium-catalysed reaction of ethyl diazoacetate with benzofuran was examined. The thermal reaction had previously been shown[94] to give the cyclopropane (5.33) and this was isolated as the sole product from the rhodium-catalysed process. By comparing the splitting patterns on the n.m.r. spectrum of this product with those of the cyclopropane formed in the reaction of ethyl diazoacetate with furan, it appeared that, as expected, the carboxy-ethyl group occupied the position exo to the ring.

(5.33)

136.
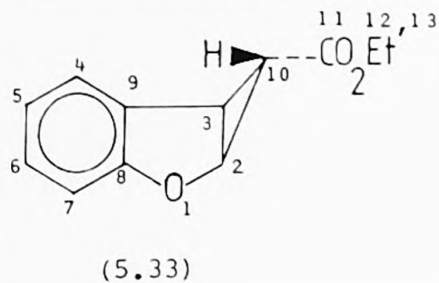
The cyclopropyl ester (5.33) was stable to prolonged contact with rhodium acetate and was stable thermally up to 150°C. At higher temperatures, it decomposed to afford a complex mixture of products, in which no evidence could be found for either o-quinone methides or for ring expanded benzopyrans. This result suggests that the cyclopropanation product is not an intermediate in the ring expansion reaction with the diazopenicillanate.

Section 2

Chapter 6:   The Reactions of 6-Diazopenicillanates with Sulphur
            and Nitrogen Heterocycles

6.1   Introduction

    6-Diazopenicillanates, with only a few exceptions, react

with furans and substituted furans to give ring-opened dienals

and dienones.   However, the catalysed reaction between benzhydryl

6-diazopenicillanate and thiophene was recently reported to afford

the cycloaddition products (6.1)(6.2) together with the 2H-

thiopyran (6.3)[165].   Product differences were observed between

the reactions when conducted in the presence of rhodium acetate

and copper acetylacetonate.   Catalysis by rhodium acetate afforded

the single cyclopropane adduct (6.1) and the thiopyran (6.3) in the

ratio 2:1.   With copper acetylacetonate, only a mixture of the two

2-thiabicyclo[3.1.0]hex-3-enes(6.1) and (6.2) was observed in the

ratio 1:1, with no evidence for the ring-expanded product (6.3).
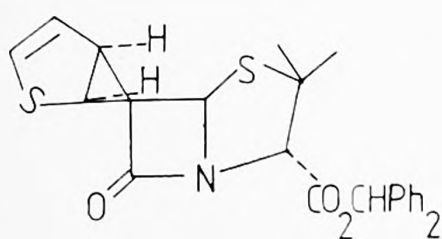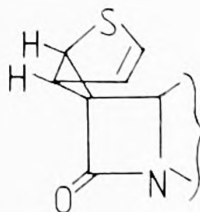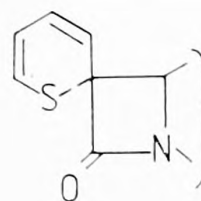
(6.1)   (6.2)   (6.3)

Although cyclopropanation is the normal mode of reaction of thio-

phenes, this is believed to be the first known production of a

2H-thiopyran during their reactions with diazoesters.

138.

6.2 The Reaction of Pivoyloxymethyl 6-diazopenicillanate with 3,4-Dimethylthiophene: Results and Discussion

The reaction discussed in Chapter 5 between pivoyloxymethyl 6-diazopenicillanate and 2-methylfuran was shown to furnish products in which attack on the furan ring by the carbenoid took place exclusively at the unsubstituted side of the ring. Similarly, Jackson showed [107b] that the catalysed reaction between 2-chlorothiophene and ethyl diazoacetate led to attack solely at the unhindered side of the thiophene, to yield the cyclopropane adduct (Chapter 2, 18).

On the basis of these observations, it was expected that the presence of the methyl groups on the thiophene ring in the reaction of pivoyloxymethyl 6-diazopenicillanate with 3,4-dimethylthiophene would block cyclopropanation, either wholly or partially, leading to preferential formation of the 2H-thiopyran (6.5) and/or the substitution product (6.6) (Scheme 1).

(6.4)

(6.5)

(6.6)
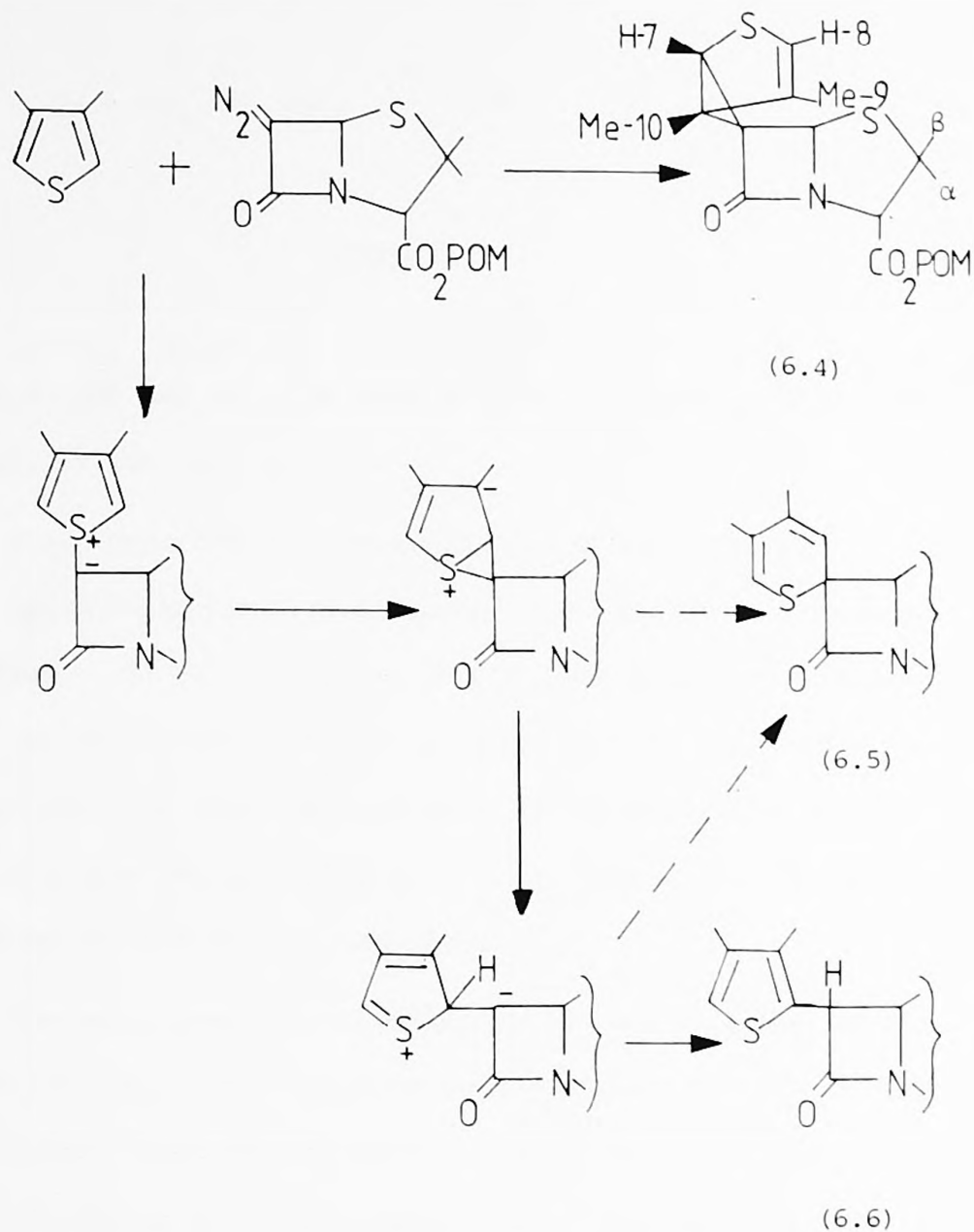
Scheme 1

3,4-dimethylthiophene was prepared prior to the reaction by modifying the method of Brandsma et al.[166]. 2,3-Dimethyl-buta-1,3-diene was reacted with sulphur dichloride in the presence of triethylamine (to remove HCl) and the crude products were distilled to obtain 3,4-dimethylthiophene as a pure liquid (Scheme 2). The structure of the product was confirmed by its n.m.r. spectrum

140.
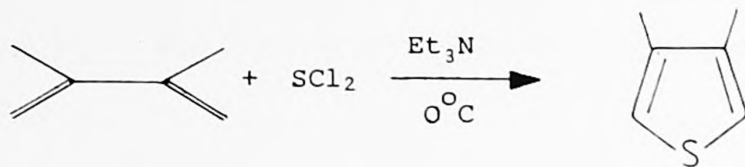
Scheme 2

which showed two singlets corresponding to the vinyl and methyl

protons in the ratio 1:3.

Pivoyloxymethyl 6-diazopenicillanate was decomposed at 20°C

in a two-fold excess of 3,4-dimethylthiophene by 2% rhodium acetate

catalyst. An I.R. spectrum after 2 hours showed total decom-

position of the diazo group, and separation of the complex

product mixture into its components by chromatography surprisingly

afforded only the cyclopropane (6.4). None of the 2H-thiopyran

(6.5) or the adduct (6.6) was isolated.

The assignment of the product as the cyclopropane was based

on the ^{1}H n.m.r. spectrum which showed a sharp singlet for H-5,

signifying a doubly-substituted carbon at the 6-position and there-

for discounting (6.6) as a possibility. The thiopyran (6.5) was

also discounted owing to the presence of only one vinylic proton.

The signal assigned to the cyclopropyl proton H-7 occurred as a

very fine doublet of doublets at 3.44δ due to small couplings to

H-5 and H-8. This was verified by a decoupling experiment in

which irradiation of H-7 caused H-5 to collapse from a fine doublet

to a singlet, and H-8 to collapse from a doublet of doublets to a

doublet.

Irradiation of H-8 at 5.7δ caused H-7 to sharpen to a fine

141.

doublet and the methyl group at C-9 to collapse from a doublet to a singlet. The C-10 methyl group at 1.63δ was not coupled, as expected.

An n.O.e. difference spectrum provided evidence supporting the configuration of (6.4) in which the sulphur atom occupied the position above the plane of the β-lactam. This was shown by irradiating the 2β-methyl group of the penicillin at 1.58δ, giving an n.O.e. on H-7 only. Also, irradiating the C-9 methyl gave an n.O.e. on H-5, confirming that the methyl groups lie below the plane of the β-lactam, and indicating that the C-9 methyl group is very close to H-5 in space, as depicted in (6.4). No n.O.e. on H-5 on irradiation of the C-10 methyl confirmed this.

The cyclopropane adduct was produced in a very low yield, which was as predicted on account of steric hindrance by the methyl groups. It is probable that the absence of (6.5) and (6.6) was due to destabilization of the intermediate by the electron-releasing methyl groups (Scheme 1).

6.3 The Reactions of p-Nitrobenzyl 6-diazopenicillanate with Pyrroles: Results and Discussion

The reactions of diazoesters with pyrroles have been less thoroughly investigated in the literature than the corresponding reactions with furans and thiophenes. In general, however, the 2-position of the pyrrole tends to be the preferred site of attack by electrophiles.

The reaction between p-nitrobenzyl 6-diazopenicillanate and pyrrole was initially conducted at room temperature in the

presence of copper acetylacetonate and rhodium acetate catalysts. The reactions proceeded extremely rapidly to yield complex mixtures of reaction products. Several chromatographic purifications afforded no pyrrole-substituted penicillins. Repeating the reactions at $-35^{\circ}C$ gave the same result.

Similarly, p-nitrobenzyl 6-diazopenicillanate reacted with both N-methylpyrrole and N-phenylpyrrole to afford large numbers of unidentifiable products which were unstable to chromatography and consequently proved extremely difficult to separate.

6.4 The Reaction of Pivoyloxymethyl 6-diazopenicillanate with

N-Methylindole: Results and Discussion

The absence of substituted penicillins from the complex product mixtures of the reactions between 6-diazopenicillanates and pyrroles suggested that the pyrroles were too reactive to be of any synthetic value.

Indoles, however, are generally less reactive than pyrroles due to the fused aromatic ring withdrawing electrons from the pyrrole ring. They should therefore prove sufficiently deactivated to avoid the occurrence of such a large number of side-reactions and afford less complicated product mixtures. In general, indoles react with electrophiles to undergo β-substitution.

The reaction of pivoyloxymethyl 6-diazopenicillanate with N-methylindole was conducted in the presence of rhodium acetate catalyst. The rate of evolution of nitrogen from the solution, which marked the decomposition of the diazo group, was notably slower than in the reactions with pyrroles, and proceeded at a steady rate. Even so, an I.R. spectrum of the reaction mixture

143.

after only 15 minutes indicated that the reaction had reached

completion.   Separation of the products by chromatography

afforded two indole-substituted penicillins (6.7) and (6.8)

together with the rearranged product (6.9).   Compounds (6.7)

and (6.8) were extremely closely-running on silica T.L.C.

plates and had to be separated by preparative H.P.L.C. (see

Chapter 8).
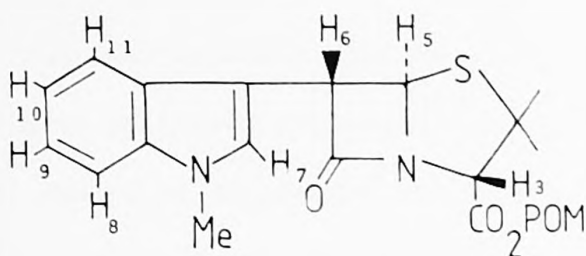
(6.7)
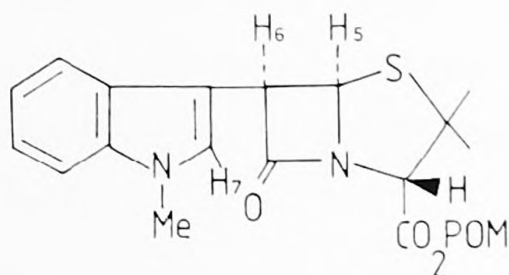
(6.8)
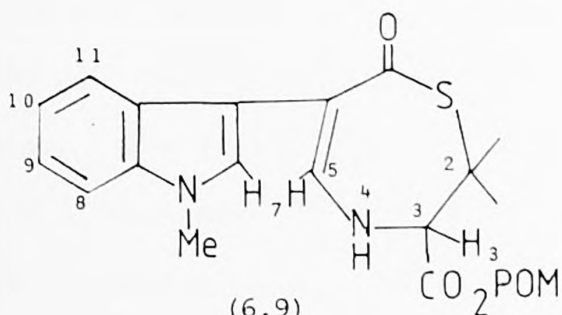
(6.9)

The yields were difficult to assess due to partial loss of the

isomers (6.7) and (6.8) during H.P.L.C. peak shaving, but

amounted to approximately 33% in total, in the ratio 1:1:1. The

products were identified on the basis of their ^{1}H n.m.r. spectra.

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In (6.7) and (6.8), substitution was shown to have occurred at the β-position in the indole by the loss of the n.m.r. signal for the proton at that position. The spectrum of (6.7) showed a pair of doublets for H_5 and H_6 with a coupling constant of 1.5Hz, characteristic of trans coupling and signifying α-substitution at C-6. Similarly, in (6.8), H_5 and H_6 both appeared as doublets, but in this case the coupling constant was 4Hz, indicating cis protons and therefore 6β-substitution.

The rearrangement product (6.9) was assigned by its characteristic splitting pattern in its ^{1}H n.m.r. spectrum. Coupling of H_3 and H_5 to the proton on the nitrogen atom resulted in a doublet at 4.52δ corresponding to H_3 and a low field doublet at 7.09δ corresponding to H_5. The N-H proton was an ill-defined multiplet occurring at 5.80δ, which, on irradiation, caused the collapse of the H_3 and H_5 doublets to two individual singlets. As with (6.7) and (6.8), the disappearance of the proton at 6.45δ on the pyrrole ring indicated β-substitution.

Further evidence for the thiazepine (6.9) was obtained from its I.R. spectrum, which possessed no carbonyl absorption at 1780 cm^{-1} and therefore confirmed the rearrangement of the β-lactam. The new thiolactone carbonyl stretch appeared at 1735 cm^{-1}, alongside the stretch at 1750 cm^{-1} of the carbonyl of the protecting group. A second new band at 1625 cm^{-1}, characteristic of an unsaturated thiolactone, was also present.

Both elemental analysis and a molecular ion at 444 in the mass spectrum were in agreement with the proposed structure.

It is likely that these products are derived from direct

electrophilic substitution of the pyrrole ring by the carbenoid

(Scheme 3), as the dipolar intermediate so formed would be

stabilized by electron donation from the nitrogen into the ring,

and electron withdrawal towards the oxygen from the C-6 carbanion.
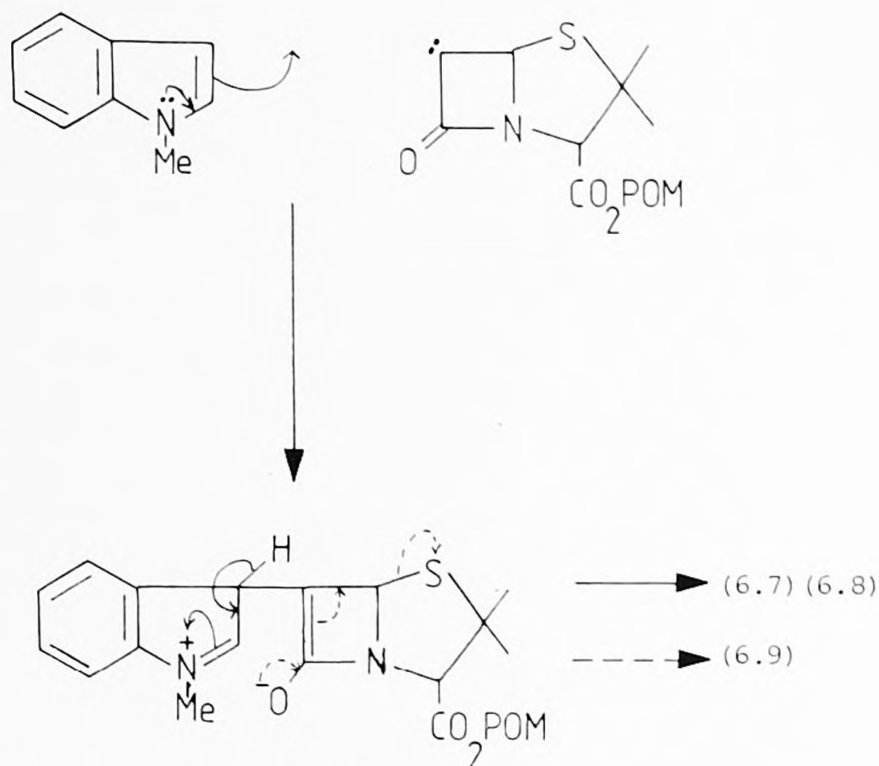
Scheme 3

The same intermediate which gives rise to (6.7) and (6.8) can

undergo an alternative reaction in which the C-6 anion forces

expulsion of the thiolate anion, leading to rearrangement to

the thiazepine (6.9).

6.5 The Reaction of p-Nitrobenzyl 6-diazopenicillanate with thionaphthene: Results and Discussion

The formation of ring-expanded products in the reaction of

6-diazopenicillanate with thiophene and benzofuran prompted

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the examination of the analogous reaction with thionaphthene, in the hope of producing the corresponding 2H-thiopyran (6.12). The reaction of ethyl diazoacetate with thionaphthene has been previously described by Badger et al.[92] to afford the cyclopropane adduct (6.13). The benzothiopyran (6.12) and the cyclopropane (6.14) were therefore the anticipated products.
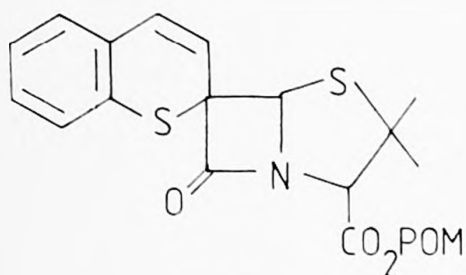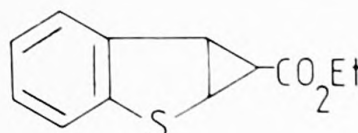
(6.12) (6.13)
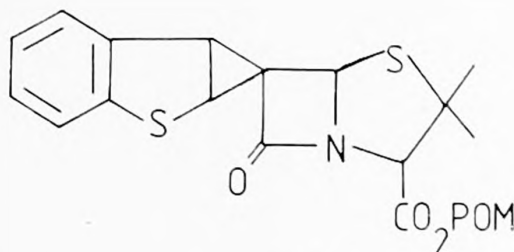
(6.14)

Pivoyloxymethyl 6-diazopenicillanate was decomposed in the presence of a two-fold excess of thionaphthene at room temperature using rhodium acetate catalysis. On completion, the solvent was removed and the products separated by chromatography affording no substituted penicillins. Repeating the reaction at lower temperatures gave the same results. The presence of the aromatic ring could be responsible for causing deactivation of the thiophene, whereby preventing its reaction with the electrophilic penicillanate.

6.6. The Reaction of Pivoyloxymethyl 6-diazopenicillanate with Pyridine: Results and Discussion

Whereas pyrroles react with electrophiles to undergo α-substitution, pyridine requires severe conditions for electrophilic substitution to occur, owing to the instability of the intermediates involved, caused by electron withdrawal by the nitrogen atom (Figures 1,2).
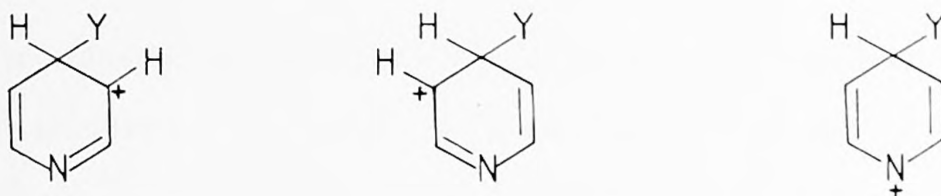
Figure 1 : Electrophilic Substitution at the 4-position
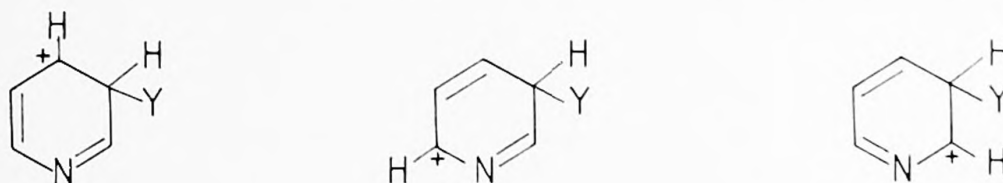
Figure 2 : Electrophilic Substitution at the 3-position

The reaction between pyridine and 6-diazopenicillanate would therefore not result in substitution. However, the ability of the nitrogen to donate electrons, and the ability of the carbonyl group α to C-6 to stabilize a negative charge may allow the formation of the ylid (6.15).

Pivoyloxymethyl 6-diazopenicillanate was subsequently decomposed in a large excess of pyridine in an attempt to obtain evidence for the formation of (6.15).

148.
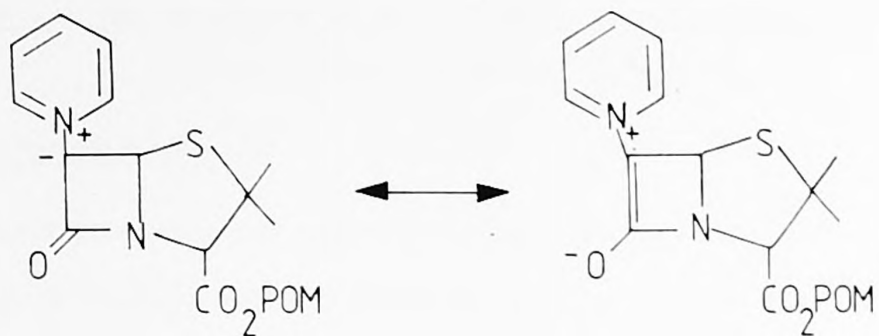
(6.15)

Copper bronze was employed as catalyst to avoid complexing to the pyridine. On completion of the reaction, chromatographic work-up of the large number of components afforded no identifiable products.

Section 2

Chapter 7:   The Reactions of 6-Diazopenicillanates with
               Miscellaneous Unsaturated Systems

7.1   Introduction

Since carbenes and carbenoids are electrophilic reagents,
they react readily with unsaturated systems such as alkenes and
alkynes to form the corresponding cyclopropanes and cyclopropenes[76a].
The presence of alkyl substituents increases the nucleophilic
character of the unsaturated system, as does the presence of a
heteroatom adjacent to the double or triple bond.   Thus alkenes
and alkynes bearing alkyl substituents, together with enol ethers,
enamines and vinylicesters make excellent carbene traps. Similarly,
the stability of carbenes is somewhat dependent on the substituents,
as demonstrated by the relative selectivities of the dihalogeno-
carbenes, in which $:CF_2 > :CCl_2 > :CBr_2$.   The greater stability of
$:CF_2$ is due to its increased electron delocalization.   Steric
factors also govern the selectivity of carbenes for different
olefins and acetylenes.   For example, the effect of successive
α-methylation on the addition of dichlorocarbene to 1-butene is
illustrated by the following relative rates: $EtCH=CH_2$, 1;
$^iPrCH=CH_2$, 0.43; $^tBuCH=CH_2$, 0.029.

The addition of carbenes and carbenoids to unsaturated systems
is a versatile method for the synthesis of cyclopropanes and cyclo-
propenes, and consequently, a large number of these compounds has
been prepared in this manner.

The decomposition of benzhydryl 6-diazopenicillanate by $Cu(acac)_2$
catalysis in the presence of styrene and cyclohexene to afford the

corresponding cyclopropanes (7.1) and (7.2) has recently been described by Chan[165]. The isomers of (7.2) were directly analogous to cyclopropanes previously synthesized by Moser[83] by the catalysed decomposition of ethyl diazoacetate in cyclohexene, affording the exo and endo cyclopropanes (7.3) together with a trace amount of the insertion product (7.4). Similarly, the reaction of ethyl diazoacetate with styrene, reported by both Moser[83] and Paulissen[87], gave rise to the corresponding isomeric cyclopropanes (7.5).

(7.1a)

(7.1b)

(7.2a)

(7.2b)

(7.3a)   (7.3b)   (7.4)
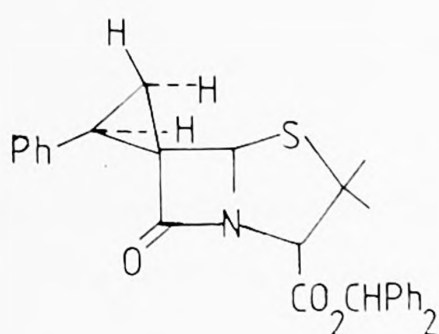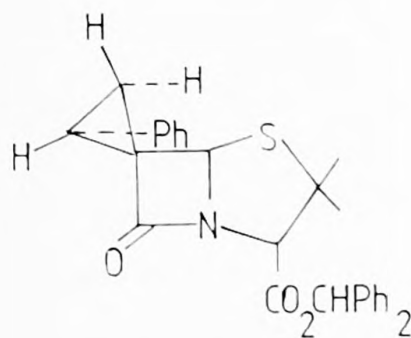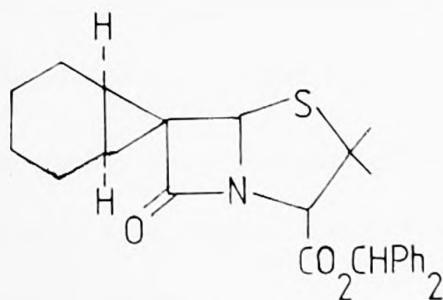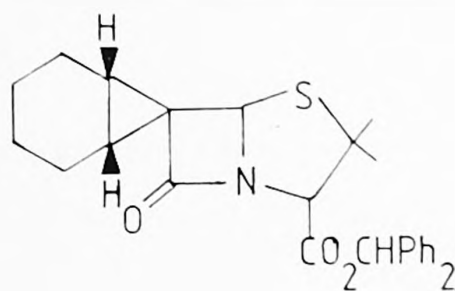
(7.5a)   (7.5b)
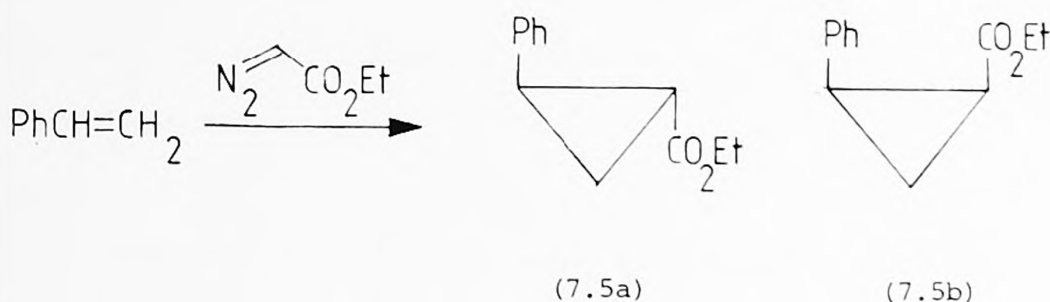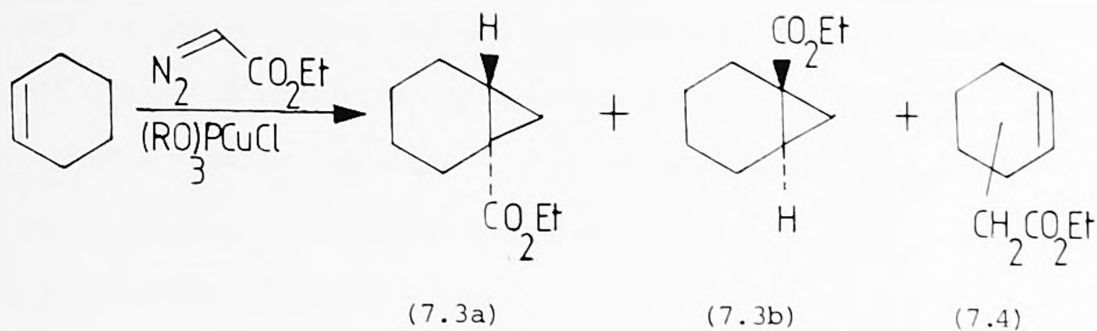
The reaction between 6-diazopenicillanate and ethyl vinyl ether proceeded in the presence of Cu(acac)$_2$, to afford the cyclopropanated penicillanates. However, with methyl acrylate, no loss of nitrogen occurred, thus forming the 5-membered spiropyrazoline structures discussed in Chapter 1[68]. In comparison, Sheehan's report on the reaction of 6-diazopenicillanate with dipolarophiles discussed the sole formation of pyrazolines[50].

In the presence of rhodium carboxylate, acetylenes were observed to react with methyl diazoacetate, affording substituted cyclopropenes (Scheme 1).

Scheme 1

152.
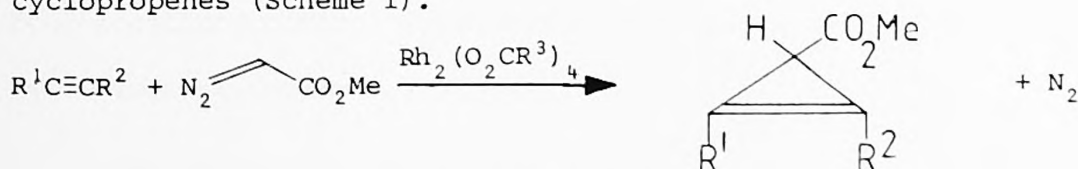
Although steric hindrance of the substituents on the triple bond of the acetylene did not significantly influence the over-all yields of the cyclopropenes, the presence of polar groups caused a drastic reduction. With regards to the mechanism, it seems unlikely that the reaction proceeded with simultaneous coordination of starting materials to the catalyst, due to the availability of only one vacant coordination site. More likely is an intermediate such as that depicted in Scheme 2, in which only the diazo compound is bound to the catalyst at the intermediate stage.
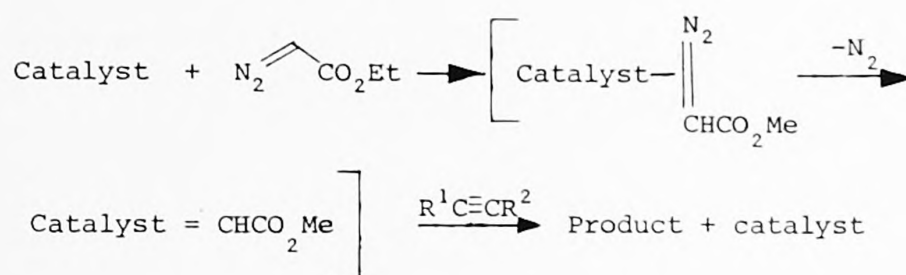
Scheme 2

7.2 The Reaction of p-Nitrobenzyl 6-diazopenicillanate with 1-Cyclohexenyloxytrimethylsilane : Results and Discussion

The reactions outlined above indicate that spirocyclopropyl penicillanates can be obtained by the metal-catalysed reactions of olefins with 6-diazopenicillanates. It was hoped to extend the synthetic utility of this type of reaction by the use of a functionalized olefin which could subsequently be transformed into other structures.

Cyclopropanols, which have a versatile chemistry involving ring opening[167], appeared attractive targets. In principle, the

ethoxy-cyclopropanes (Chapter 1, 148a-d) reported by Campbell

et al.[68] might be regarded as cyclopropanol precursors, but

in practice, the cleavage of the ethyl ether function without

destruction of the β-lactam ring would be difficult. However,

work in the literature indicates that trimethylsilyl enol ethers

are also readily cyclopropanated and are easily cleaved to cyclo-

prapanols, which can then be ring-opened to α-substituted ketones

(e.g. Scheme 3).

(7.6)
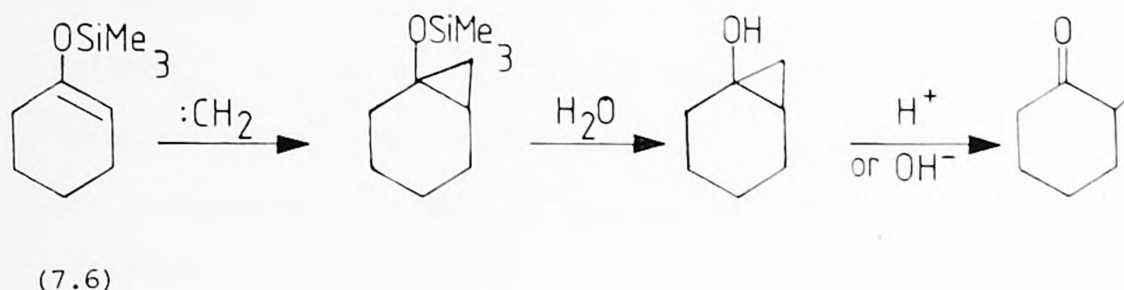
Scheme 3

These considerations led us to examine the cyclopropanation of

trimethylsilyloxy cyclohexene (7.6) with a 6-diazopenicillanate

ester. The silyl enol ether (7.6) was prepared by adapting the

method described by House et al.[168]. Cyclohexanone and chloro-

trimethylsilane were refluxed for 11 hours with triethylamine,

using a ratio of reagents of 5:6:12 respectively. Distillation

of the crude products using a Vigreux column afforded the

required cyclohexene (7.6) as a pure, colourless liquid (Scheme 4).
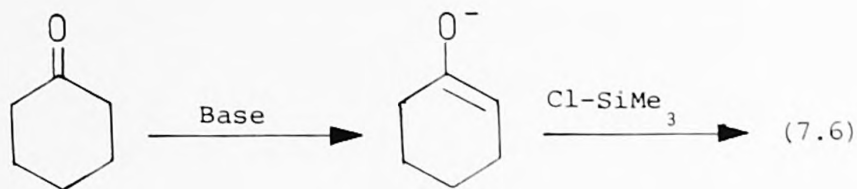
Scheme 4

Subsequently, p-nitrobenzyl 6-diazopenicillanate was decomposed in the presence of the silyl enol ether (7.6) by means of 5% $Cu(acac)_2$ catalyst at $0^{\circ}C$. Total decomposition of the diazo group was shown to have occurred within 4.5 hours by loss of the characteristic stretch in the I.R. spectrum at 2090 cm^{-1}, affording structure (7.7).

The addition of a suspension of silica in methanol to the product mixture in dichloromethane, followed by stirring of the solution for 2 hours, resulted in replacement of the trimethyl-silyloxy group in (7.7) by the hydroxy group (7.8, Scheme 5). Several chromatographic separations were necessary for the isolation of compound (7.8) in poor yield.
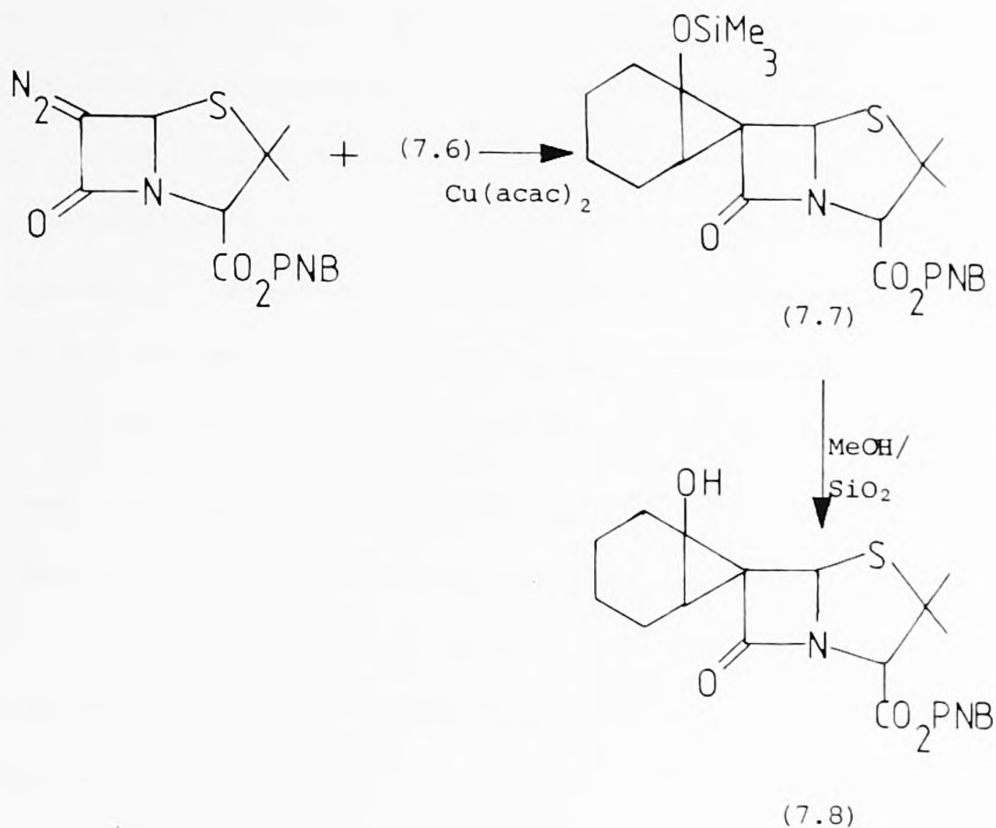
Scheme 5

The exchange of the trimethylsilyloxy group for the alcohol

was indicated by both loss of the strong trimethylsilyl signal

at 0.12δ in the n.m.r. spectrum and the corresponding appear-

ance of the fairly broad alcohol stretch at 3400 cm^{-1} in the

I.R. spectrum.   There was substantial evidence for the formation

of structure (7.8) from its spectra.   For instance, the presence

of the OH stretch at 3,400 cm^{-1}, the aliphatic C-H at 2980 cm^{-1},

the β-lactam carbonyl at 1780 cm^{-1}, and the ester carbonyl at

1750 cm^{-1} in the I.R. spectrum were all consistent with (7.8)

being the structure of the isolated material.   In addition, the

n.m.r. spectrum showed a singlet for the C-5 proton at 5.92δ,

indicating disubstitution at the 6-position, with one substituent

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being electron withdrawing. The presence of the cyclohexane protons between 0.70 and 1.94δ, and the appearance of an ill-defined doublet of doublets at 0.84δ corresponding to a cyclo-propyl proton with an electron-withdrawing group on the α-carbon were all supportive of (7.8). Owing to the inadequate quantity of material, it was not possible to obtain a sample of sufficient purity for microanalysis. However, a mass spectrum produced a peak on the position required for the molecular ion of (7.8).

The poor yield of the required cyclopropane (7.8), which was 2% after repeated chromatographic separations, meant that the reaction was of little synthetic use, and consequently, no further reactions on the cyclopropane to give ring opening were attempted.

7.3   The Reaction of p-Nitrobenzyl 6-diazopenicillanate with 1-Phenyltrimethylsilyloxyethene (7.9): Results and Discussion

As in the previous reaction, the trimethylsilyloxyethene (7.9) was prepared according to the method of House and co-workers[168], in which acetophenone was refluxed with chlorotrimethylsilane and triethylamine, employing DMF as solvent (Scheme 6).
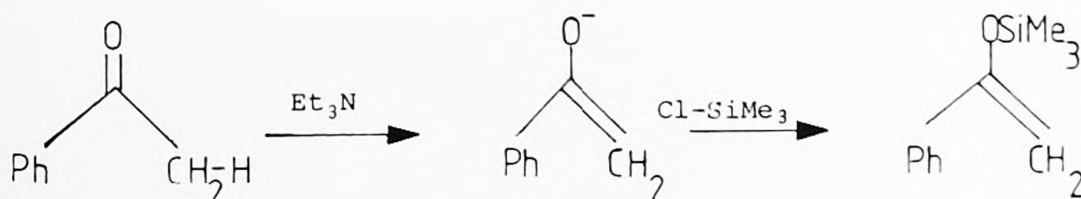
(7.9)

Scheme 6

However, the synthesis of the acetophenone derivative (7.9)
required a much longer refluxing period than that of the analogous
cyclohexanone derivative (7.6). Following extensive washing of
the reaction mixture with sodium bicarbonate solution, ice-cold
1.5M hydrochloric acid and further sodium bicarbonate solution,
an orange, impure material was obtained, containing a mixture of
unreacted acetophenone and the trimethylsilyloxyethene (7.9).
Several distillations through a Vigreux column were necessary in
order to obtain a sample of silyl enol ether free from contamination
by starting material, due to the small separation of boiling points
of the two liquids under reduced pressure. Once this was
achieved, 6-diazopenicillanate was catalytically decomposed in a
solution of the 1-phenyltrimethylsilyloxyethene (7.9) in dichloro-
methane. However, in contrast to the previously described re-
action with 1-cyclohexenyloxytrimethylsilane (7.6), none of the
analogous products (7.10) (7.11) or (7.12) were formed. In fact,
the products of the reaction were a complex mixture from which no
identifiable compounds could be isolated.

Steric interactions involving the bulky trimethylsilyloxy and
phenyl groups during the formation of all three products was the
most likely reason for the failure of the reaction.

(7.10)

158.
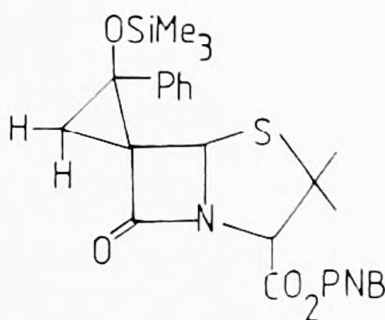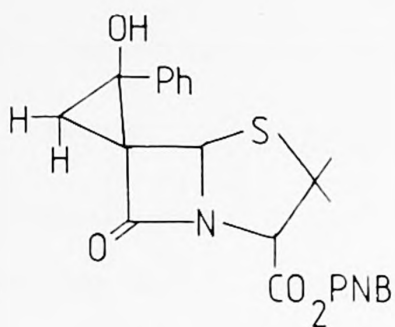
(7.11)
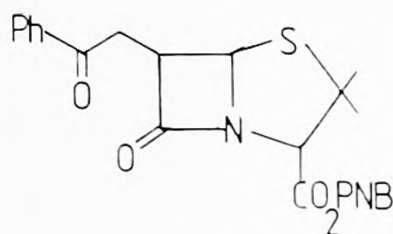
(7.12)

7.4 Formation of the Spiropyrazolines (7.17, 7.18) and the Subsequent Action of Heat

7.4.1 Introduction

The Δ^1-pyrazolines formed by Sheehan et al.(7.13, 7.14)[50] were seen to undergo ready evolution of nitrogen on pyrolysis to produce the corresponding cyclopropanes (7.15, 7.16) (Scheme 7).

(7.13) $R^1 = CO_2CH_2Ph$, $R^2 = H$ (7.15) $R^1 = CO_2CH_2Ph$, $R^2 = H$

(7.14) $R^1 = H$, $R^2 = CO_2CH_2Ph$ (7.16) $R^1 = H$, $R^2 = CO_2CH_2Ph$
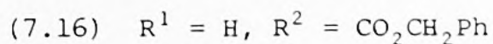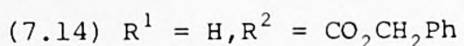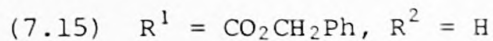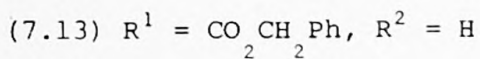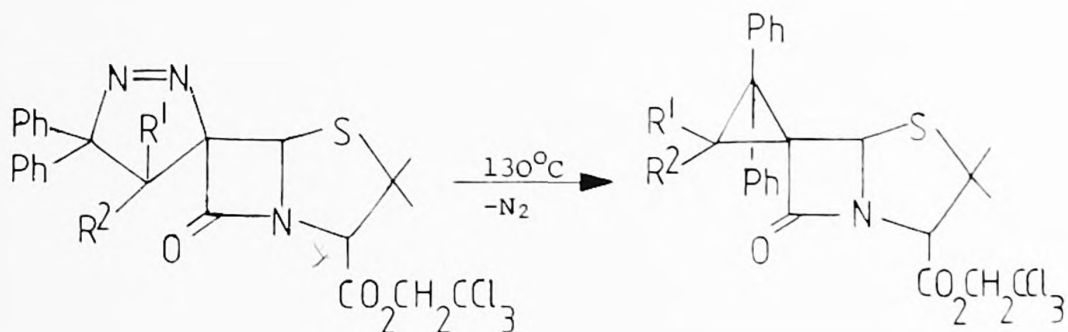
Scheme 7

It would therefore be of interest to examine the analogous Δ^2-pyrazoline prepared by Campbell et al.[68] to observe whether this could also extrude is nitrogen, on heating, to afford the

159.

corresponding cyclopropane. The method employed by Campbell
for the preparation of pyrazolines was adopted.

7.4.2 Results and Discussion

p-Nitrobenzyl 6-diazopenicillanate was stirred in dichloro-
methane with methyl acrylate for 22 hours in the absence of
catalyst. Although Campbell had reported formation of one isomer
only, (7.17), in 75% yield, in this instance there was strong
evidence in support of a second isomer (7.18) produced in the
ratio 5.5:1 (7.17:7.18) in a total yield of 71% (Scheme 8).

(7.17)   (7.18)
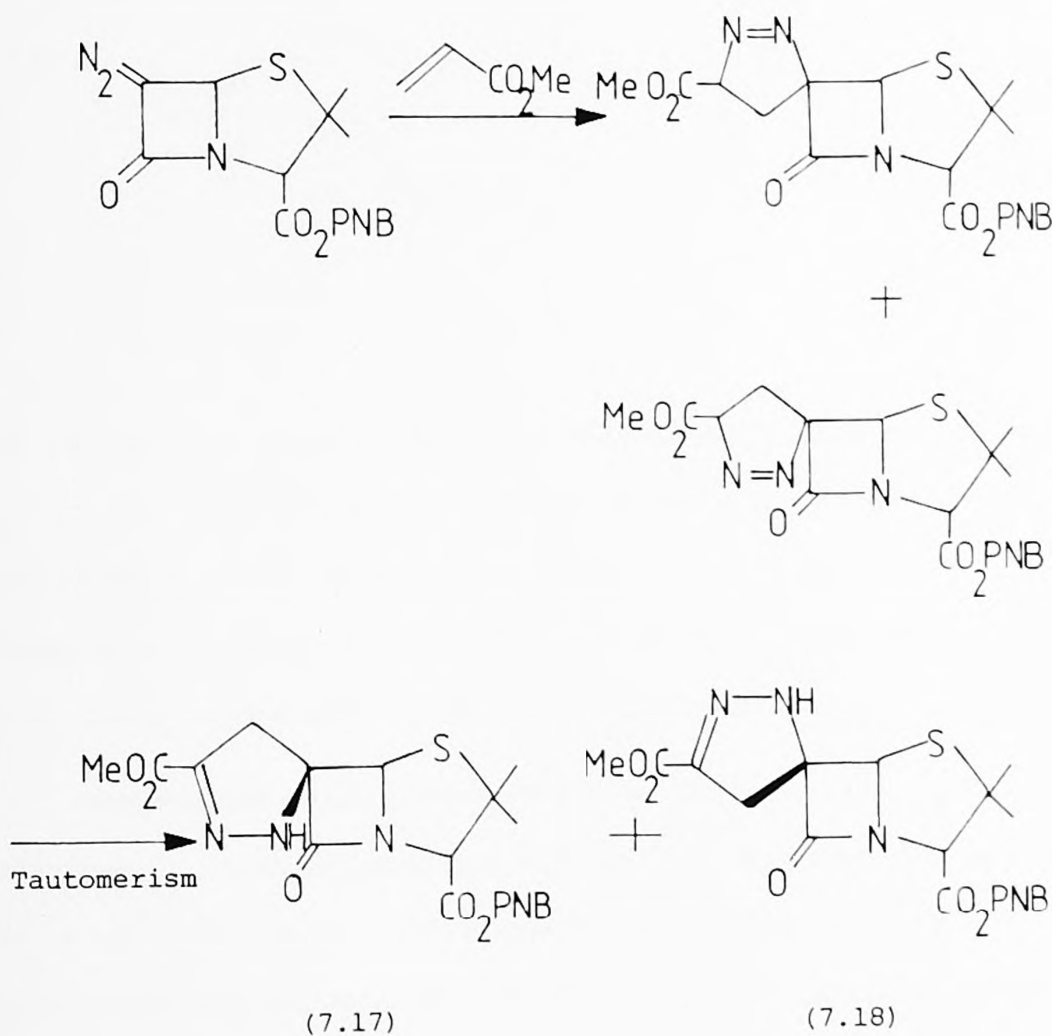
Scheme 8

It was unlikely that the observed difference in products was caused by the substitution of Campbell's benzyl protecting group for the p-nitrobenzyl group.

The preferred mode of addition was, as expected, from the sterically less hindered α-face. These results are consistent with those of Sheehan in which two isomers (7.19) and (7.20) were produced in the reaction of 6-diazopenicillanate with ethyl acrylate, with (7.19) again being the major isomer, forming in the ratio 6:1 (7.19:7.20).
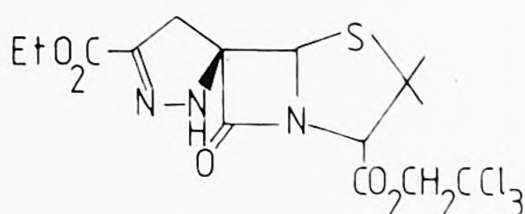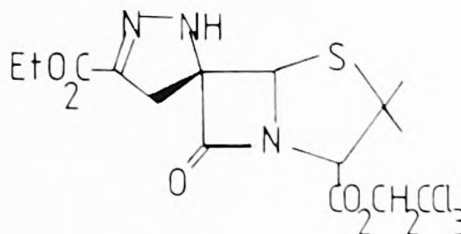
(7.19)   (7.20)

As in Sheehan's report, the major dissimilarity between the spectra of the two isomers was a divergence in the n.m.r. signals of the gem dimethyl groups of the minor isomer. There was also a simultaneous convergence of the doublet of doublets representing the $-CH_2$ group in the pyrazoline ring of the same isomer.

Heating the major isomer (7.17) to $120^{\circ}C$ caused no loss of nitrogen. Stronger heating eventually led to breakdown of the β-lactam. Evidently, re-tautomerisation of the Δ^2- to Δ^1- pyrazoline and nitrogen loss is not a practical possibility for the penicillanate derivative.

161.

7.5 The Reaction of Pivoyloxymethyl 6-diazopenicillanate with Allyl acetate: Results and Discussion.

The production of oxonium ylids during the reactions of 6-diazopenicillanates with both furans and alcohols has been shown to be a strong possibility[75,169]. It is therefore likely that reactions occurring between 6-diazopenicillanates and compounds possessing a carbonyl function could similarly proceed with initial formation of an ylid by donation of the lone pair of electrons from the oxygen of the carbonyl onto the electron-deficient carbenoid at C-6 of the penicillin, following decomposition of the diazo group.

This likelihood was investigated by means of the reaction of pivoyloxymethyl 6-diazopenicillanate with allyl acetate. While there were other possible modes of reaction of the allyl acetate with the carbenoid, this compound was selected with the aim of forming a single C-C bond at C-6 as in (7.22a) via ylid formation, followed by an electrocyclic rearrangement (Scheme 10). Rhodium acetate was employed as catalyst and a large excess of allyl acetate provided both solvent and reagent. The reaction was monitored by I.R.. When complete decomposition of the diazo group had occurred, the products were isolated by preparative T.L.C., affording the rearrangement product (7.21) in 13% yield. No substituted penicillins were isolated. Presumably, formation of (7.21) had resulted from attack at the carbenoid by the oxygen atom of the allyloxy functionality, giving rise to the C-6 anion. This, as seen in several previous examples, had caused ring opening followed by rearrangement to the familiar thiazepine

162.

structure (Scheme 9).
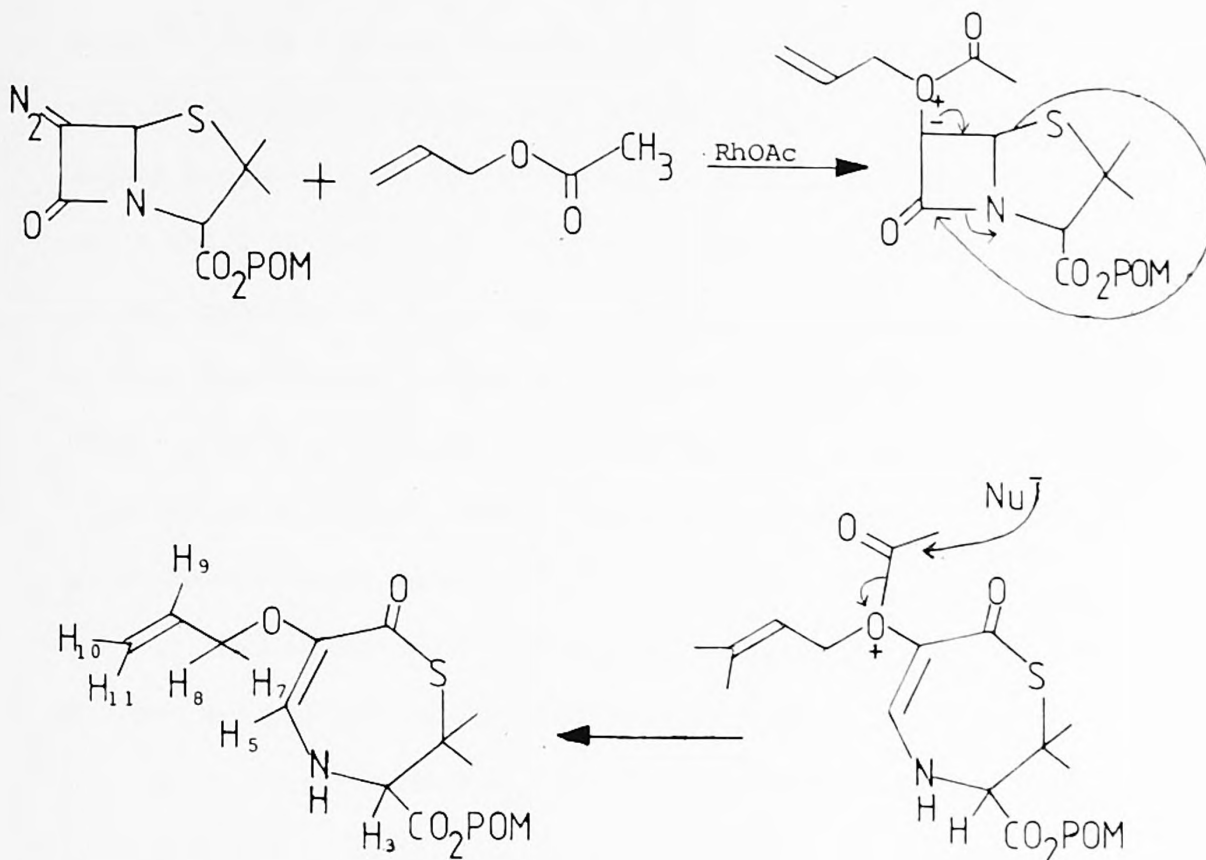
(7.21)

Scheme 9

Matlin and Chan[75] observed the analogous allyloxy thiazepine
as the major product of the reaction between benzhydryl 6-diazo-
penicillanate and allyl alcohol. Confirmation of the structure
of (7.21) was obtained by means of a D_2O exchange experiment
which resulted in the complete disappearance of the N-H multiplet
and collapse of the H-5 and H-3 doublets to singlets.

The recent report by Anciaux et al.[170] claimed rhodium
trifluoroacetate to be a more efficient catalyst than rhodium
acetate for carbenoid reactions. The reaction was therefore repeated
in the presence of rhodium trifluoroacetate in a further attempt

163.

to form the carbonyl ylid. Preparative T.L.C. of the product

mixture again gave (7.21), in 10% yield. However, the major

product of the reaction, formed in 13% yield, was a doubly-

substituted penicillanate whose 360MHz ^{1}H n.m.r. spectrum

showed singlets at δ1.48 and 1.55 (2α- and 2β- methyls), 4.55

(H-3) and 5.67 (H-5). The -CH$_2$ of the protecting group appeared

as two doublets, δ5.72 and 5.83. For the substituents at C-6,

signals were observed at δ1.95(s,3H,COCH$_3$), 1.85(dd,H-7$_A$,J=14Hz,

6Hz), 2.13(dd,H-7$_B$,J=14Hz,10Hz), 4.27(dd,H-9$_A$,J=12Hz,4Hz), 4.65

(dd,H-9$_B$,J=12Hz,2.7Hz), and 5.00(m,H-8). Decoupling experiments

gave the following results:

a) Irradiation of H-8 caused the doublets of doublets for

H-7 and H-9 protons to collapse to doublets.

b) Irradiation of H-9$_A$ caused simplification of the H-8

multiplet and collapse of H-9$_B$ to a doublet.

c) Irradiation of H-9$_B$ similarly simplified H-8 and reduced

H-9$_A$ to a doublet.

d) Irradiation of each of H-7$_A$ and H-7$_B$ caused the other to

collapse to a doublet and also caused H-8 to simplify.

The mass spectrum showed m/e 413.1442 (M$^+$, C$_{19}$H$_{27}$NO$_7$S) and

the I.R. showed a shoulder below 1740cm^{-1} and a stretch at

3060cm^{-1}.

Several structures were considered for this product. The

6-allyl-6-acetoxypenicillanate (7.22a) is untenable because of

the large H-9$_A$ to H-9$_B$ coupling observed. The cyclopropane (7.22b)

was ruled out because of the observed couplings and the low field

positions of H-7 and H-8 protons. The 3-acetyl-tetrahydrofuran

(7.22c) would not show a sufficiently low field chemical shift

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for H-8. The isomeric 2-acetyl-tetrahydrofuran (7.22d) appears
to provide the best fit to the n.m.r. data but it is not easy to
rationalise the formation of such a structure under the reaction
conditions. Further work on this compound will be required in
order to clarify its structure.

(7.22b)

(7.22a)

(7.22c)

(7.22d)

Scheme 10

165.
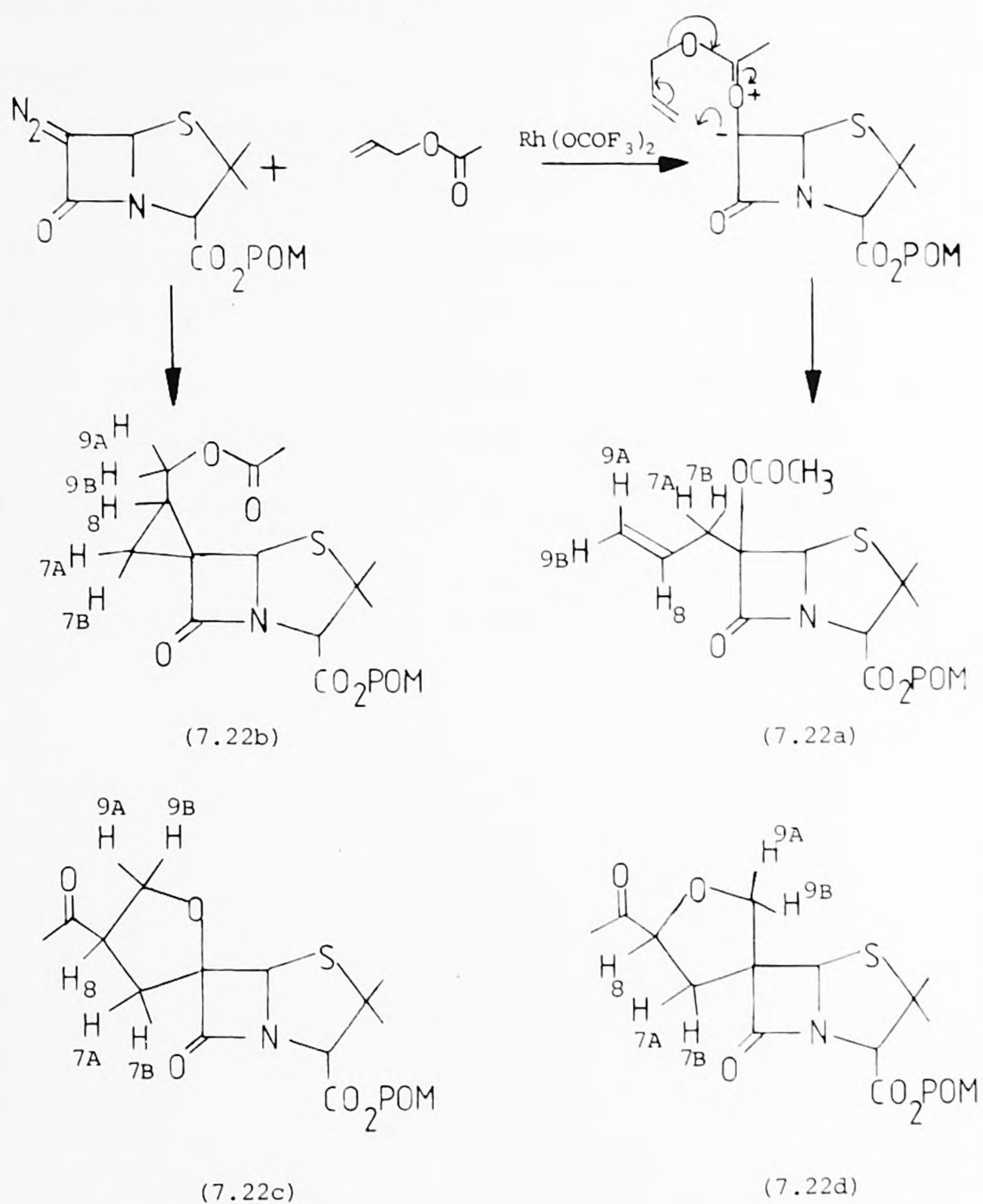
7.6 The Reaction of Pivoyloxymethyl 6-Diazopenicillanate with ^{t}Butyl Isonitrile

7.6.1 Introduction

As previously mentioned, carbenes generally react with olefins to produce the corresponding cyclopropanes[76a]. In contrast, carbenes undergo nucleophilic attack by isocyanides to give, by α-addition, N-substituted ketenimines[171]. For example, with dichlorocarbene, isocyanides initially produce the ketenimines (7.23), which rapidly undergo hydrolysis to afford the corresponding imidates (7.24)(Scheme 11).

The analogous reactions of alkylidene carbenes (7.25) with isocyanides were recently reported by Stang and Bjork[172] to afford the heterocumulenes (7.26) in a similar manner (Scheme 12).

$$R-N=C \xrightarrow{:CCl_2} R-N=C=CCl_2 \xrightarrow{R^1OH} R-N=\overset{OR^1}{\underset{|}{C}}-CHCl_2$$

$$(7.23) \qquad\qquad (7.24)$$

Scheme 11.

$$\begin{array}{c} R^1 \\ \diagdown \\ C=C: \\ \diagup \\ R^1 \end{array} + R^2-N=C \longrightarrow \begin{array}{c} R^1 \\ \diagdown \\ C=C=C=NR^2 \\ \diagup \\ R^1 \end{array}$$

$$(7.25) \qquad\qquad (7.26)$$
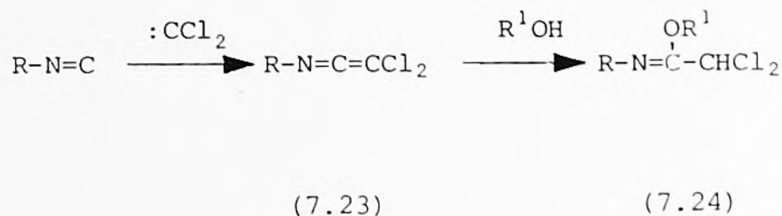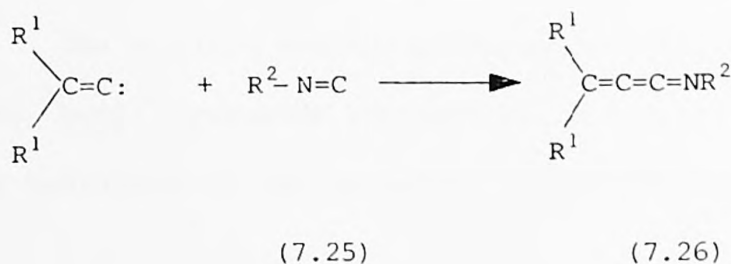
Scheme 12.

More specifically, ethyl-, phenyl-, and 2-ethyl-5-methylphenyl isocyanides were reacted with the alkylidene carbene (7.25), generated from silylvinyltrifluoromethanesulphonate (7.27) via phase transfer procedures, using stoichiometric amounts of benzyltrioctyl ammonium chloride as the phase transfer agent. The appropriately N-substituted acrylamides (7.29) were the sole products of the reaction, having arisen from the hydrolysis of the alkadienylideneimine intermediate (7.28) (Scheme 13).

$$RN{=}C \quad + \quad Me_2C{=}C(OSO_2CF_3)SiMe_3 \quad \longrightarrow \quad \left[RN{=}C{=}C{=}CMe_2\right]$$

(7.27) (7.28)

$$\underset{\displaystyle (7.29)}{\overset{\displaystyle O}{\underset{}{RNH\overset{\|}{C}CH{=}CMe_2}}} \quad \longleftarrow \quad \left[RN{=}C(OH)CH{=}CMe_2\right]$$
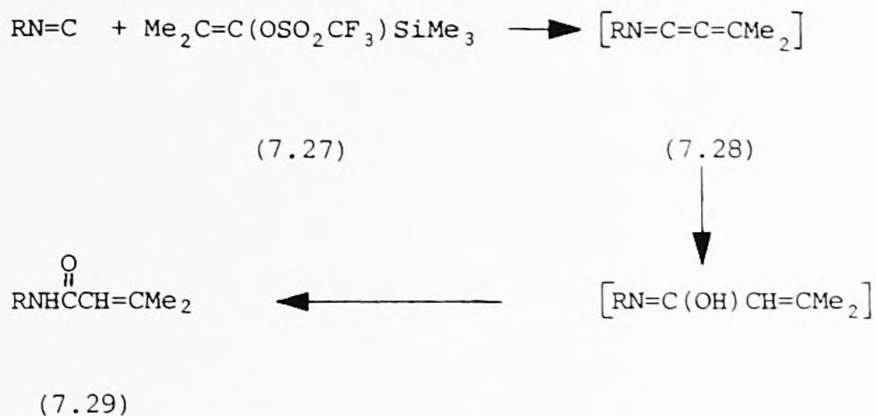
Scheme 13.

7.6.2 Results and Discussion

The reaction between pivoyloxymethyl 6-diazopenicillanate and ^{t}butyl isocyanide was expected to afford the amide (7.31) by hydrolysis of the initially formed ketenimine (7.30) (Scheme 14).
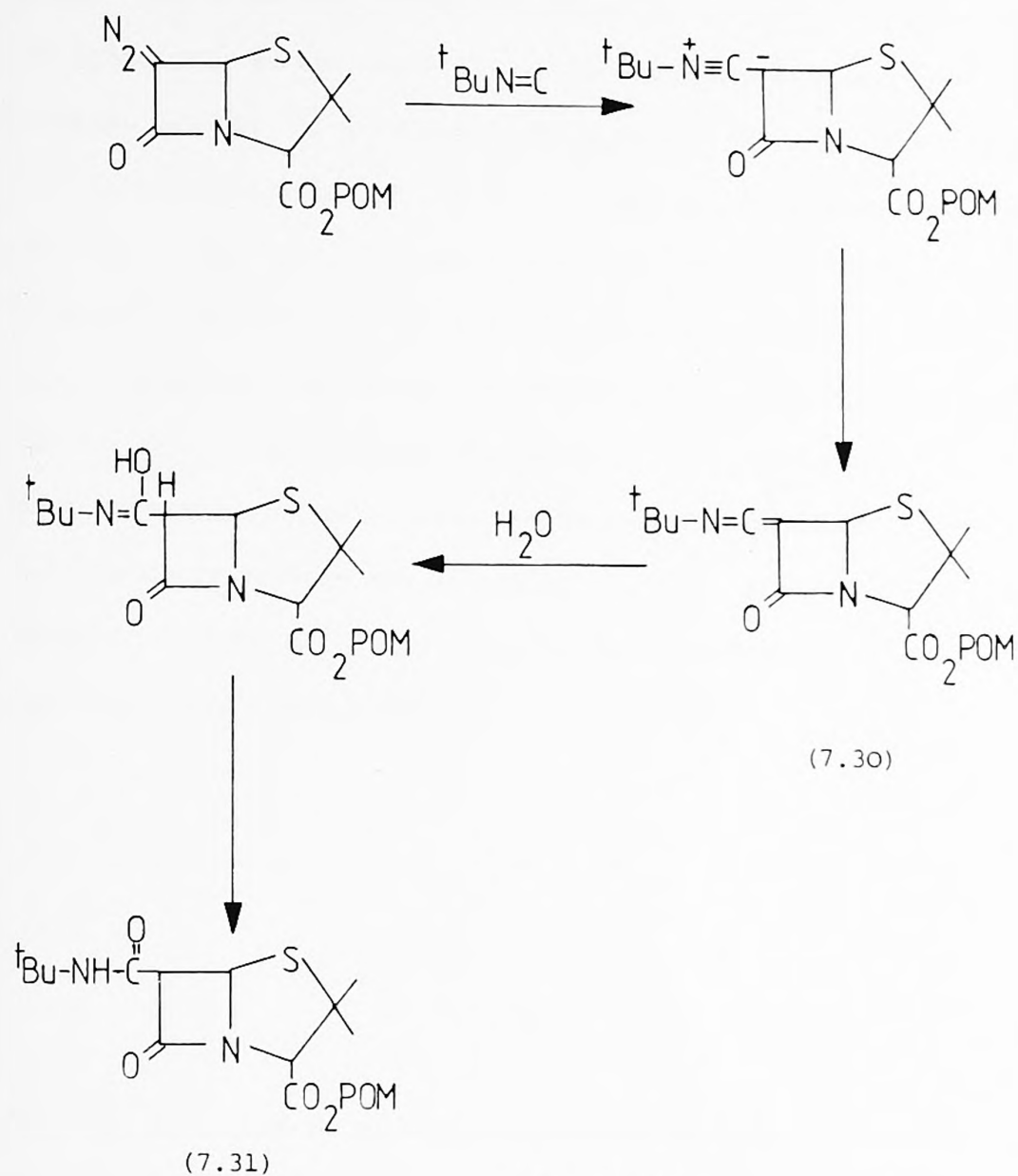
Scheme 14

In theory, reduction of the amide function in (7.31) would provide a convenient route for the synthesis of a versatile intermediate, the further reaction of which could lead to a series of novel substituted penicillins.

6-Diazopenicillanate was stirred in $CDCl_3$ with $Rh_2(OAc)_4$ and two molar equivalents of ^{t}butyl isocyanide at $0^\circ C$. The choice of $CDCl_3$ as solvent enabled an n.m.r. spectrum of the

reaction mixture to be run prior to any work-up procedure, in
order that formation of the ketenimine (7.30) could be observed,
as hydrolysis to the amide (7.31) was expected to occur on
contact with silica during chromatography.   However, the n.m.r.
and subsequently the I.R. spectra showed no identifiable products.
Instead, a new band, in addition to the isocyanide stretch at
2140 cm^{-1}, appeared in the I.R. spectrum at 2180 cm^{-1}.   The
n.m.r. spectrum discounted the possibility of this new band being
due to any ketenimine, and therefore it was supposed that it
must have appeared as a result of self-condensation of the ^{t}butyl-
isocyanide to produce the structure (7.32).   Such 'dimers' were
isolated by Saegusa[173] from the BF$_3$.Et$_2$O catalysed reaction with
tertiary alkyl isocyanides.

$$^{t}Bu - \underset{\underset{CN}{|}}{C} = N - {}^{t}Bu$$
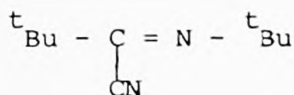
(7.32)

However, there was no evidence for (7.32) in this case, as n.m.r.
showed a symmetric molecule, while a mass spectrum showed only
peaks corresponding to the monomer.   The dimer (7.32) would not
be expected to produce the same monomeric peak in the mass spectrum,
and it was therefore discounted as a possibility.   One theory
for the failure of the reaction was that coordination of the iso-
cyanide to the rhodium acetate catalyst resulted in its inactivation,
but the same result was obtained on changing the catalyst to each
of copper acetylacetonate, copper butanoate and copper acetate.

Varying the concentration of the catalyst was also shown to give

the same result, no substituted penicillins being produced.

Section 2

Chapter 8   The Use of HPLC in the Isolation and Quantitative
            Analysis of Protected Penicillins and Related Compounds

8.1   Introduction

As in the majority of synthetic organic chemistry projects,
chromatographic techniques have been used extensively in examin-
ation of the products of the reactions of 6-diazopenicillanates
described in the foregoing chapters.   However, the most widely
used of these techniques, i.e. classical 'open column' chromat-
ography and analytical and preparative thin layer chromatography,
often proved inadequate.   This was due to three major reasons;

(a)   the instability of a large number of the products,

(b)   reaction product mixtures were frequently very complex and

(c)   these product mixtures often contained very closely related
       or isomeric compounds which consequently were difficult
       to separate.

High Performance Liquid Chromatography, H.P.L.C., was used
to overcome these problems.   The basic system involved pumping
of the eluant at high pressure with a regular, pulse-free flow,
through a tightly packed bed of very small (usually 5μm, spherical)
particles of adsorbent in a stainless steel column.   The eluant
was monitored, as it emerged from the column, by a modified U.V.
spectrophotometer.

The main advantage of H.P.L.C. over the classical liquid
chromatographic techniques is its 'efficiency', i.e. much less
band-spreading occurs per retention volume.   Band-spreading

171.

generally takes place as a result of diffusion, inefficient

mass transfer and poor bed packing during liquid chromatography.

Of these, diffusion is only important at very low flow rates.

Mass transfer of solute molecules from the stationary phase

(adsorbent) to the mobile phase (eluant) is greatly enhanced

in H.P.L.C. by the use of very small particles. Also, bed

packing is much improved as a result of high pressure slurry-

packing techniques and very narrow size ranges of often spherical

particles.

Because the solutes are eluted in much narrower zones, these

zones are easier to separate and thus analysis times can be vastly

reduced. Analysis times are also shortened by pumping the eluant

through the column at high pressures. Typically, dichloromethane

can be pumped through a 250mm x 5mm column of 5μm silica particles

at ca. 1000 p.s.i. to give a flow rate of $2ml.min^{-1}$. Coupled

with the fact that the whole process takes place in a closed, air-

free system, the short analysis time is a significant factor in

the prevention of decomposition of labile reaction products. The

other principal advantage of the efficiency of the chromatography

is that the separation of the various components of a reaction

mixture is less dependent on finding a highly selective solvent

system and therefore the time taken in method development is

minimized.

H.P.L.C. was utilized in both analytical and preparative

modes. Analytically, quantification was carried out by use of

peak heights or areas recorded from the post-column U.V. detector.

The ratio of reaction products to one another could generally be

determined by direct comparison of peak areas, with U.V. detection

172.

at 254nm, as this provided a satisfactory absorbance.   At this

wavelength, the U.V. absorbance of each product would frequently

be due entirely to the presence of the protecting group, and

would therefore be the same for each component.   In other cases,

the U.V. absorbances would be almost identical due to the close

similarity of the groups introduced at the 6-position of the

penicillin molecule.

For absolute quantification of any product, peak areas must

be compared with peak areas of a standard solution of a pure sample

of that product.   Here it was not necessary to combine the use of

such standard solutions with an internal standard, since with the

use of a valve injector, the exact same volume could be injected

each time.   Such quantification is invaluable in the study of a

reaction under various conditions, since it overcomes the necessity

to isolate each reaction product every time.

When the sample load on an analytical H.P.L.C. column is

increased, efficiency is lost.   However, the scale of the

reactions under investigation (usually 100-200 mg) was such

that preparative separation of the products was possible on an

analytical H.P.L.C. column (250 x 5mm) by use of as high a

load as possible while still maintaining base-line separation,

and making repeated injections.   Although it would have been

possible to utilize a larger column, the cost of the quantity

of 5μm silica particles necessary for packing would have been

prohibitive.   Alternatively, a large column packed with 20μm

particles could have been used, as carried out elsewhere[165a],

but which would suffer from two major drawbacks. Firstly, the same separations would not have been achieved without the use of an inordinately large column or without resorting to extensive 'peak shaving' or recycling. Secondly, additional method development would have had to be carried out over and above the analytical method development.

The majority of the work described in the literature in the HPLC of penicillins[174] involved the use of the reverse-phase mode, in which the eluant or mobile phase was aqueous, with an organic modifier, and in which the stationary phase was a hydrophobic alkyl-modified silica. Mostly the work has been carried out by biochemists on penicillins in their unprotected form and which have consequently been quite polar. Such polar molecules are especially suited to reverse phase H.P.L.C., which was first developed as a solution to the problem of peak asymmetry in the straight-phase, i.e. polar adsorbent, non-polar eluant. However, the penicillins used here were much less polar than those in the literature examples, and therefore straight-phase HPLC on silica microparticles could be used. This was very important since many of the reaction products of this study were unstable and would have possibly decomposed in the aqueous mobile phases. Also, it is well established[175] that in the separation of very closely related compounds with only minute electrostatic and steric differences, a non-aqueous chromatographic system can be much more highly selective. For these reasons, straight phase HPLC on silica was the method chosen rather than the more commonly used reverse-phase mode.

8.2. <u>The Use of Analytical H.P.L.C. in the Reaction of 6-Diazo-</u>
<u>penicillanate with 3,4-Dimethylthiophene</u>

On several occasions in which separation of product mixtures
was effected by preparative thin layer chromatography, the degree
of purity of the individual components was investigated by analy-
tical H.P.L.C. For example, following the reaction between 6-diazo-
penicillanate and 3,4-dimethylthiophene, preparative thin layer
chromatography of the crude products afforded five fractions on
elution with dichloromethane/acetonitrile (95:5). The n.m.r.
spectrum of fraction 3 indicated the formation of a 1:1 adduct,
and subsequent re-examination by analytical H.P.L.C. confirmed
the presence of one single pure compound. A variety of mobile
phases of gradually decreasing polarity were investigated, all
of which showed only one component to be present in the solution.
Optimum retention time and peak shape were achieved by elution
using a mixture of dichloromethane/hexane/acetonitrile (21:77.5:1.5)
(Figure 1).
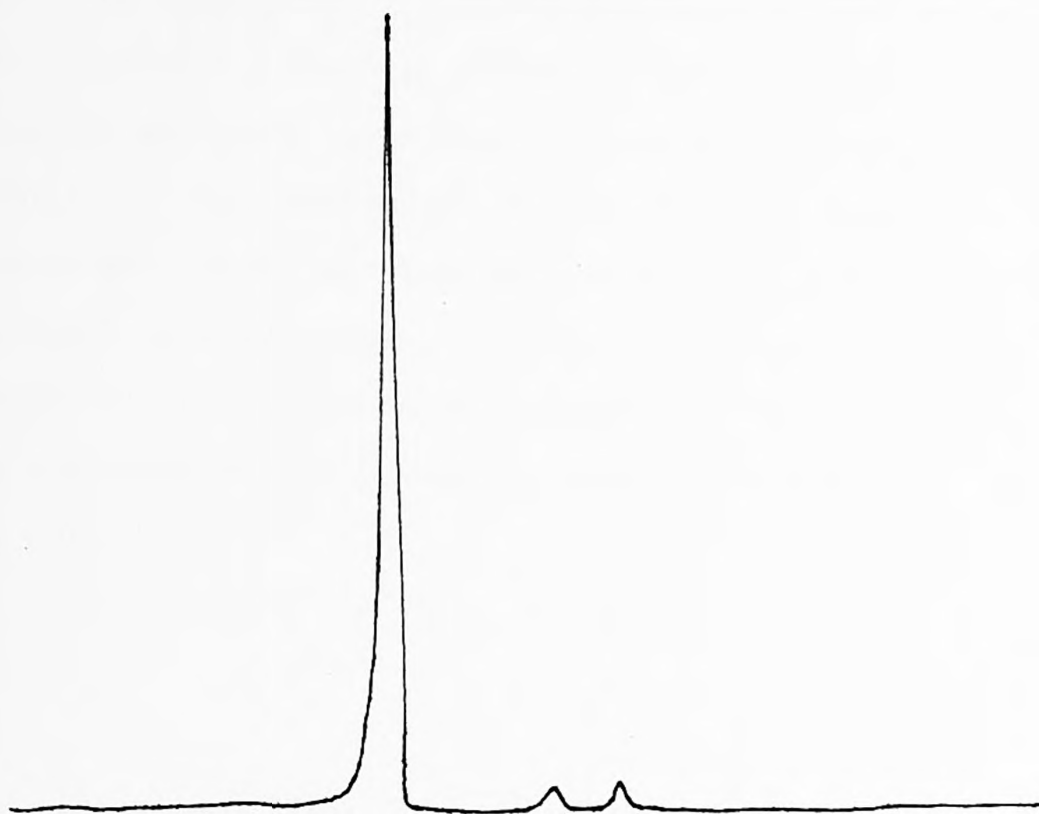
Figure 1   The investigation of product purity

                  by the use of analytical H.P.L.C.

8.3 Investigation of the Product Ratios of the Reaction between Ethyl Diazoacetate and Furan by means of Analytical HPLC

Analytical HPLC was successfully employed to compare and contrast the products of the reactions of ethyl diazoacetate with furan, in the presenc of rhodium acetate and copper acetylacetonate catalysts respectively. Following completion of each reaction, an injection of a sample of reaction mixture into the HPLC system, with the subsequent comparison of chromatograms, indicated very little difference between the products of the two reactions. Furthermore, as the reactions had been conducted on the same scale, injection of equal volumes of each solution showed comparable quantities of the major product (Figure 2). The tedious work-up procedures of the two reactions were thus avoided by the use of HPLC.

Figure 2a)   The reaction of ethyl diazoacetate with furan using rhodium acetate as catalyst
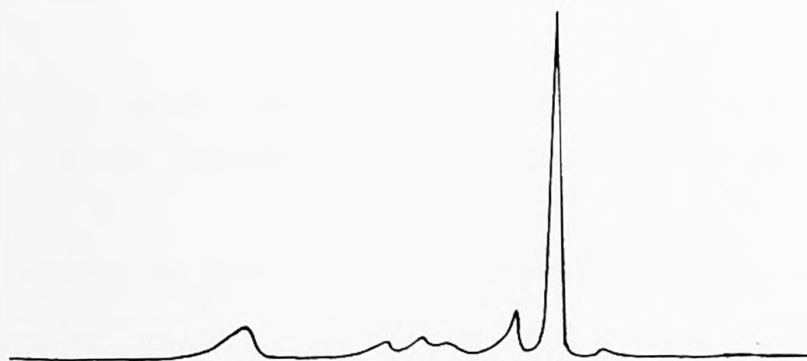
Figure 2b)   The reaction of ethyl diazoacetate with furan using copper acetylacetonate as catalyst

8.4   The Examination of the Products of the Reaction of 6-Diazo-
penicillanate with N-Methylpyrrole by Use of Analytical HPLC.

During the reactions of 6-diazopenicillanate with N-methyl-
pyrrole and the parent pyrrole, repeated attempts to isolate and
identify individual components of the reaction mixtures by preparative
thin layer chromatography had continually failed, owing to the de-
composition of the products of an already complex mixture at some
stage in the procedure.   Separation of the products was therefore
attempted by means of H.P.L.C.   An analytical injection of a sample
of the crude reaction mixture from the decomposition of 6-diazo-
penicillanate in N-methylpyrrole,, using a mobile phase of dichloro-
methane/acetonitrile (95:5), indicated the presence of at least
nineteen closely-running components (Figure 3).   Attempts were
made to collect the two major constituents of the complex mixture
by repeated injections onto the analytical column, using the maximum
loading possible without loss of separation.   However, subsequent
re-examination of these two fractions showed that some decomposition
had occurred by the appearance of new peaks in the chromatogram.
Decomposition, whether on or off the silica column, was occurring
too rapidly to allow any isolation of pure materials, even by use
of HPLC, and consequently, no positive identifications could be
made.

8.5   The Use of Preparative HPLC for Separation of the Products
of the Reaction between 6-Diazopenicillanate and N-Methyl-
indole

Again, by increasing the loading of material onto the
analytical column to the maximum level without serious loss of
base-line separation, semi-preparative separations could be obtained

Figure 3: The crude products of the reaction of
6-diazopenicillanate with N-methylpyrrole.

injection point

with no need for further method development.

The products of the reaction between 6-diazopenicillanate and N-methyl indole were initially separated into five fractions by means of preparative thin layer chromatography. The n.m.r. spectrum of fraction 2 showed the presence of two closely-related compounds, although only 1 spot was apparent on T.L.C. Analytical HPLC confirmed the presence of two components but separation was minimal (Figure 4). Several solvent systems were examined in an attempt to resolve the two products, and base-line separation was eventually achieved using dichloromethane/hexane/acetonitrile (43:56:1) (Figure 5). As expected, some resolution was lost on increasing the quantity of injected material for the preparative run (Figure 6), resulting in each compound being contaminated with a small quantity of the other. Reinjection of each sample afforded one component completely free from contamination, whereas the second component could not be isolated without the presence of a trace of the first. Identification, however, was not seriously affected by this small amount of contaminant.

8.6.   Purification of the Products of the Reaction of 6-Diazo-
        penicillanate with 2,5-Dimethylfuran by Preparative HPLC

Further preparative HPLC was necessary for the separation of the products of the reaction between 6-diazopenicillanate and 2,5-dimethylfuran. Initial separation by preparative thin layer chromatography afforded 6 fractions, only one of which contained any substituted penicillins. An n.m.r. spectrum showed that the fraction was not homogeneous,despite the appearance of only 1 spot on reanalysis by TLC. Analytical HPLC identified five closely-
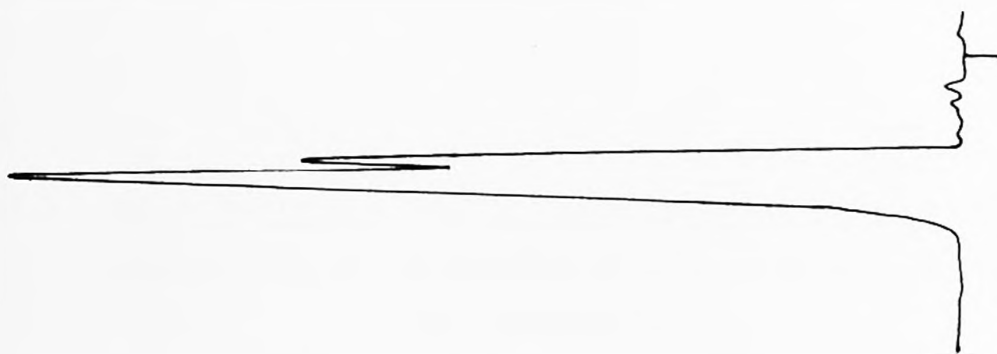
Figure 4: <u>The identification</u>

of 2 closely-running components

Figure 5: <u>Achievement of satisfactory</u>

base-line separation
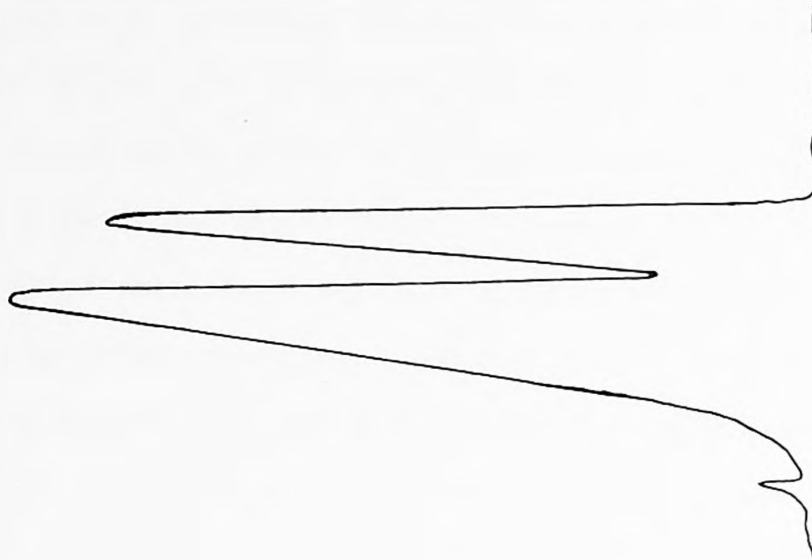
Figure 6: <u>Partial loss of resolution</u>

on increasing sample load

running components , (Figure 7), which were isolated from the
analytical column on a semi-preparative scale as in the previous
cases.   A mixture of dichloromethane/acetonitrile (97:3)
provided a convenient mobile phase.   Compound (5.11), the
final yield of which was a mere 2.5%, was isolated sufficiently
pure to enable characterization, although partial loss of
resolution on increasing the sample load had been incurred.

Figure 7:   The identification of 5 closely

running components

8.7   Use of Analytical HPLC to investigate the nature of the
       Intermediate of the Reaction between 6-Diazopenicillanate
       and Tributylborane

The ability of the HPLC system to produce rapid results

proved invaluable during the reaction which took place between
6-diazopenicillanate and tributylborane. Repeated injections
of the reaction mixture containing the proposed enol-borinate
intermediate (4.15) afforded irreproducible results, in which
the peak corresponding to the intermediate possessed a different
retention time on each injection (Figure 8). It was suggested
in Chapter 4 that this difference was due to the length of time
the intermediate survived on the silica column. The addition of
triethylamine to the reaction mixture prior to any work-up
procedure was seen to have a stabilizing effect on the intermediate,
thus providing a reproducible peak and preventing formation of
the rearrangement product (4.17) (Figure 9).

8.8   Conclusions

The examples on the preceding pages demonstrate a few of
the broad range of ways in which H.P.L.C. may be utilized. Several
other reactions were also successfully investigated by means of
H.P.L.C.

The techniques of analytical and preparative H.P.L.C. will
clearly play a major rôle in the future development of β-lactam
chemistry, and also of many other areas of organic chemistry.
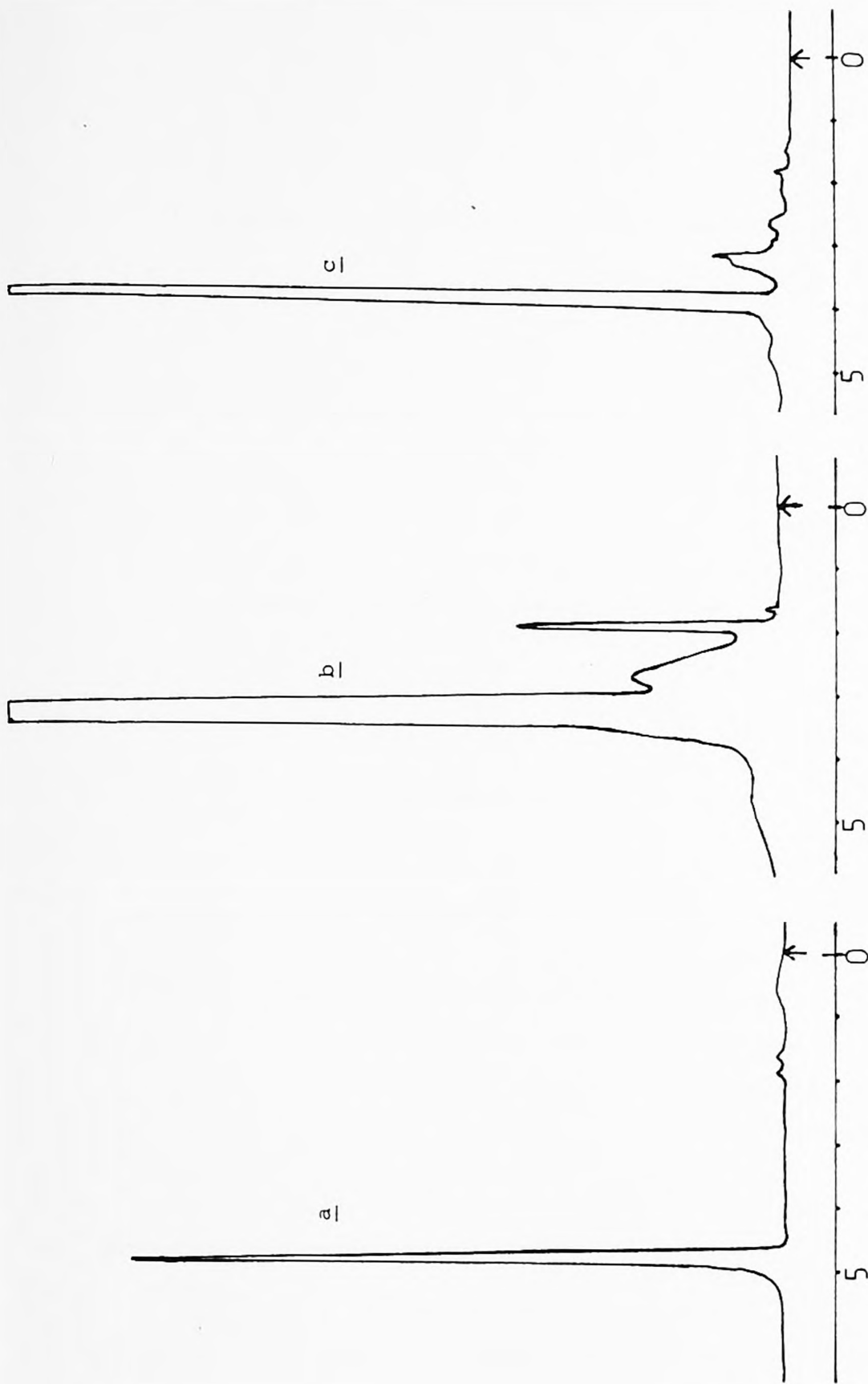
Figure 8: Injection of

a) standard thiazepine   b) crude reaction products   c) crude reaction products
   solution   (repeat)

184.
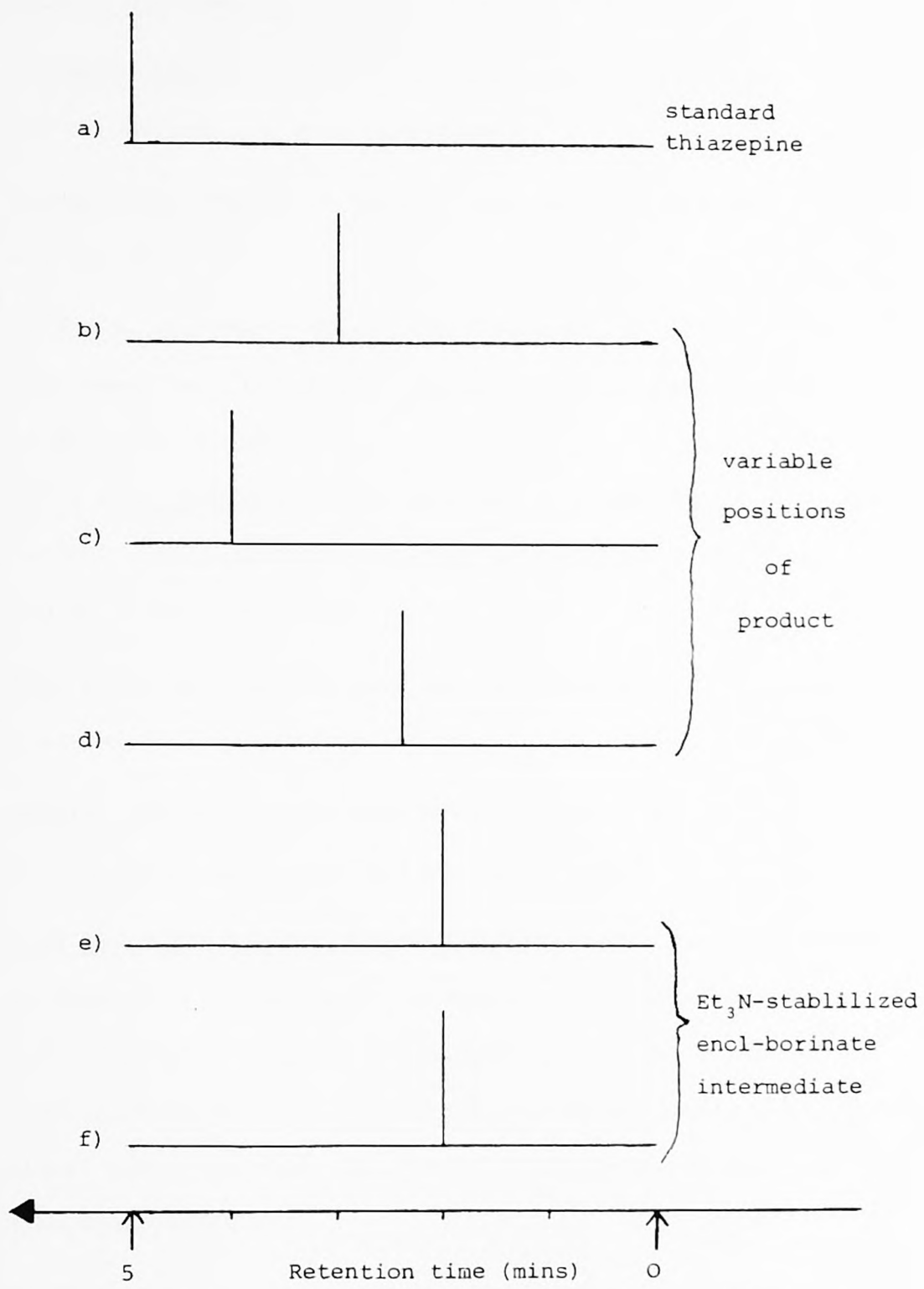
Figure 9: The diagrammatic representation of the variation in

the retention time of the intermediate

Section 2

Chapter 9 : Experimental

GENERAL EXPERIMENTAL DETAILS

INFRARED SPECTRA:- These were recorded on a Perkin-Elmer 157G, 457 or 599 Infrared Spectrophotometer.

ULTRA-VIOLET SPECTRA:- Spectra were recorded on a Perkin-Elmer 402 instrument.

^{1}H N.M.R. SPECTRA:- Spectra were recorded on a Jeol 100MHz continuous wave instrument, unless otherwise stated, using TMS as an internal standard.

^{13}C N.M.R. SPECTRA:- These were run on a Jeol FX60 instrument, as $CDCl_3$ solutions containing TMS as an internal standard, and D_2O as an external lock.

MASS SPECTRA:- Spectra were recorded on a Kratos MS30 Mass Spectrometer, operating at an ionization voltage of 70 or 20 eV.

MELTING POINTS:- These were determined on a Koffler hot-stage melting point apparatus, and are uncorrected.

HIGH PERFORMANCE LIQUID CHROMATOGRAPHY:- This was carried out by means of a Waters 6000 A pump, a Cecil variable wavelength U.V. detector, a Linseis Strip-chart recorder and a Rheodyne 7125 valve injector. Columns were stainless steel, packed with either Lichrosorb Si60 10µ irregular particles, or Hypersil 5µ spherical particles.

SOLVENTS FOR H.P.L.C.:- These were either H.P.L.C. grade or were distilled prior to use.

<u>PREPARATIVE T.L.C. PLATES</u>:-   5 plates measuring 20cm x 20cm

were coated with a slurry of silica (130g, Merck Silica Gel

^{60}GF$_{254}$) in water (240ml), to produce a film of silica of 1mm

thickness.   The plates were allowed to stand at room temper-

ature for 1 hour before activation at 120°C in an oven over-

night.

Section 2

Chapter 9

Experimental

<u>p-Nitrobenzyl 6-aminopenicillanate, tosic acid salt (3.5)</u>

(i) <u>Protection of NH$_2$ group using Trityl Chloride</u>[137]. 6-APA
(21g, 0.0972 moles), triethylamine (31g) and CHCl$_3$ (500 ml) were
stirred for 10 minutes until the 6-APA had dissolved. Trityl
chloride (32g) was added to the mixture and stirring continued
at room temperature for 17 hours. The solvent was removed
under reduced pressure and the product was partitioned between
ether (400 ml) and water (400 ml). The trityl 6-APA dissolved
in the aqueous phase due to the presence of the base. The
layers were separated and the ether layer washed with water
(400 ml). The two aqueous phases were combined and acidified
with 5N HCl to pH 4 while stirring at 0°C. The product was
rapidly extracted into ether (400 ml), dried (MgSO$_4$) and eva-
porated to give a yellow foam in 64% yield.

I.R. (CHCl$_3$) ν_{max} 3,400-2800 cm^{-1} (OH), 1780 cm^{-1} (β-lactam C=O),
1730 cm^{-1} (acid C=O).

(ii) <u>Protection of acid function using p-nitrobenzyl chloride</u>[136].
Trityl 6-APA (28.5g, 0.062 moles), triethylamine (6.28g, 0.062 moles)
and p-nitrobenzyl chloride (10.63g, 0.062 moles) were stirred in
DMF (200 ml) for 24 hours. The solvent was removed under reduced
pressure until 40% of the original volume remained. This was
dissolved in ethyl acetate (150 ml) and partitioned with water
(150 ml). The triethylamine hydrogen chloride dissolved in the

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aqueous phase, while the product extracted into the ethyl acetate. The layers were separated, and the ethyl acetate solution dried ($MgSO_4$) and concentrated to 25% of its volume. The product (3.4) crystallized out as a white solid, which was recrystallized from ethyl acetate. Yield = 50%;

M.pt 176°C;

I.R. ($CHCl_3$) $\nu_{max.}$ 1520 cm^{-1}, 1345 cm^{-1}, 850 cm^{-1} (NO_2)

(iii)   Removal of the Trityl Group.   The doubly-protected ester (3.4) (18.4g, 0.0311 moles) was stirred in analar acetone (150 ml) and to this solution was added toluene sulphonic acid (5.9g, 0.0311 moles). The mixture was stirred at room temperature for 1 hour, after which the solvent was removed under reduced pressure. The products were taken up in a small amount of chloroform and pre-cipitated out of solution with petroleum ether.   The brown solid so formed was stirred at 0°C in ethyl acetate until the p-nitro-benzyl 6-APA crystallized out as its tosyl salt (3.5). Yield - 34%.

M.pt = 145°C.

I.R. ($CHCl_3$) $\nu_{max.}$ = 3,400 cm^{-1} (-NH); 3,000-2,900 cm^{-1} (C-H); 2,700-2,600 cm^{-1} (NH_3^+); 1790 cm^{-1} (β-lactam C=O); 1750 cm^{-1} (ester C=O); 1600 cm^{-1} (NH); 1520 cm^{-1} (NO_2); 13500^{-1} (NO_2) : 850 (NO_2)

N.m.r. ($CDCl_3$) δ 1.30 (s, 3H, CH_3); 1.44 (s, 3H, CH_3); 2.32 (s, 3H, CH_3), 4.52 (s, 1H, H-3); 5.06 (d, 1H, J=4Hz, H-5), 5.24 (s, 2H, $-CH_2$); 5.46 (d, 1H, J=4Hz, H-6); 7.10 (d, 2H, J27.5Hz, tosyl), 7.50 (d, 2H, J=7.5Hz, benzyl); 7.76 (d, 2H, J=7.5Hz, tosyl); 8.19 (d, 2H, J=7.5Hz, benzyl).

p-Nitrobenzyl 6-diazopenicillanate (3.7)[67]

Method 1

The tosic acid salt of p-nitrobenzyl 6-aminopencillanate (3.5) can be used without initial removal of the tosic acid group, due to liberation of the free amine in the aqueous medium.

The salt (3.5) (0.1988 g, 3.801×10^{-4} moles) was dissolved in ice-cold water (20 ml) and partitioned with dichloromethane (20 ml). To this mixture were added $NaNO_2$ (0.0603 g, 8.7423×10^{-4} moles) and $HClO_4$ (7.6 ml, 0.1M, 7.6×10^{-4} moles). The solution was stirred over an ice bath for 2 hours. The organic phase was separated, washed with ice-cold NaCl solution (20 ml), and dried ($MgSO_4$). Evaporation of solvent afforded a yellow oil which crystallized on standing, and which was recrystallized from CH_2Cl_2/ petroleum ether at $-50^\circ C$, giving pure (3.7) in 90% yield. M.pt = $39-42^\circ C$.

I.R. (CHC_3) $\nu_{max.}$ = 2080 cm^{-1} (diazo group); 1780 cm^{-1} (β-lactam C=O); 1747 cm^{-1} (ester C=O).

N.m.r. $(CDCl_3)$ δ 1.40 (s,3H,Me), 1.64(s,3H,Me), 4.43 (s,1H,H-3), 5.25(s,2H,-CH$_2$), 6.14 (s,1H,H-5), 7.56 (d,2H,J=7.5Hz, aromatic), 8.19 (d,2H,J=7.5Hz, aromatic).

Method 2

The tosic acid salt (3.5), (2g, $5.5 248 \times 10^{-3}$ moles) was dissolved in ice-cold water (150 ml) and partitioned with ice-cold CH_2Cl_2 (100 ml). The mixture was stirred rapidly over an ice bath while $NaNO_2$ (4.4g) and p-toluene sulphonic acid (1g) were added. Stirring was continued for 8 minutes, then the organic layer was separated and washed with ice-cold NaCl solution (100 ml). After drying, the CH_2Cl_2 was removed under reduced pressure to afford the yellow 6-diazopenicillanate (3.7) which was characterised as above. Yield = 90%.

<u>p-Nitrobenzyl hydrazone (3.8)</u>[139]. Hydrazine hydrate (20g) was cooled and stirred over an ice bath, and to this was added p-nitrobenzaldehyde (30.2g). The temperature was maintained at $40^{\circ}C$ once the addition was complete. The reaction was followed by T.L.C, and when over, the solution was extracted with ether (30 ml), dried briefly over pulverized KOH and evaporated under reduced pressure. The solid so formed was recrystallized from ethanol. M.pt = $134^{\circ}C$ (Lit.$134^{\circ}C$).

<u>p-Nitrobenzyldiazomethane (3.9)</u>[140]. Oxidation of p-nitrobenzyl-hydrazone (3.8) was attempted using HgO, Ag_2O and NiO_2. Of these only the NiO_2 gave reasonable results.

p-Nitrobenzylhydrazone (0.5g) was dissolved in dichloromethane (100 ml) and added over 15 minutes to NiO_2 (2g, 8 equivalents) in dichloromethane (30 ml). The solution was stirred at room temperature for 9 hours, after which an I.R. spectrum showed a strong diazo stretch at 2060 cm^{-1}, as reported in the literature[140], which showed that oxidation had occurred.

<u>p-Nitrobenzyl 6-aminopenicillanate(3.6)</u> 6-APA (0.648 g, 0.003 moles) together with p-nitrobenzyldiazomethane (0.49g, 0.003 moles) were stirred over an ice-bath in dichloromethane (100 ml) and methanol (33 ml). The reaction was monitored by T.L.C. After stirring overnight, no further diazo group remained and the solvent was then removed under reduced pressure, and the products separated by preparative T.L.C. Elution with chloroform afforded 8 bands of which band 7 was the required protected penicillin (3.6). Yield = 8.1%.

I.R. $(CHCl_3) \nu_{max.}$ 3350 cm^{-1} (NH), 1770 cm^{-1} (β-lactam C=O),

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1745 cm^{-1} (ester C=O).

N.m.r. (CDCl$_3$) δ 1.46 (s,3H,CH$_3$), 1.65(s,3H,CH$_3$), 4.48(s,1H,H-3),

4.61 (d,1H, J=4Hz, H-5), 5.30 (s,2H,-CH$_2$-), 5.52 (d,1H, J=4Hz,H-6),

7.54 (d,2H, J=8Hz, aromatic), 8.25 (d,2H, J=8Hz, aromatic).

Pivoyloxymethyl 6-aminopenicillanate (3.10)[141].   6-APA (10g) was

stirred in DMF (80 ml) at 50°C with triethylamine (10 ml) for 20

minutes until dissolved.   To this solution was added pivoyloxy-

methylchloride (14 ml) and the mixture was stirred for a further

4 hours at 45°C.   Ethyl acetate (100 ml) was added to dilute the

solution and to bring about the precipitation of the triethylamine

hydrochloride which formed as a by-product, and which was subsequently

filtered off.   The solution was washed (3 x 100 ml H$_2$O) to remove

any further triethylamine hydrochloride, dried (MgSO$_4$) and evaporated

to 50% of its volume under reduced pressure.   A solution of ethyl

acetate (100 ml) containing p-toluene sulphonic acid (8.8g)   was

added with stirring, causing precipitation of the product in the

form of its tosic acid salt (3.11).   This was filtered and washed

with ethyl acetate followed by ether, affording a pure white solid

in 81% yield.   M.pt = 150-151°C (Lit. mpt 150-1°C).

I.R. (CHCl$_3$) $\nu_{max.}$ 2700-2600 cm^{-1} (NH$_3^+$), 1750 cm^{-1} (broad,β-lactam

and ester carbonyls), 1680 cm^{-1} protecting group carbonyl).

N.m.r. (CDCl$_3$) δ 1.22(s,9H,^{t}Bu), 1.21(s,3H,CH$_3$), 1.28(s,3H,CH$_3$)

2.36 (s,3H,CH$_3$), 4.48 (s,1H,H-3), 5.00 (d,1H, J=3Hz, H-5), 5.40

(d,1H,J = 3Hz, H-6), 5.79 (dd,2H,-CH$_2$-), 7.15(d,2H, J=7.5Hz, aromatic),

7.78 (d,2H,J=7.5Hz, aromatic).

Pivoyloxymethyl 6-diazopenicillanate (3.12).   Pivoyloxymethyl

6-aminopenicillanate tosic acid salt (3.11) (1g) was stirred in H$_2$O

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(75 ml) and partitioned with CH_2Cl_2 (50 ml). The solution was stirred vigorously over an ice-bath. To the solution were added $NaNO_2$ (2.2g) and p-toluene sulphonic acid (0.5g). After 8 minutes, the stirring was stopped and the organic phase separated, washed with ice-cold NaCl solution, dried ($MgSO_4$) and evaporated. The yellow foam so produced was approximately 95% pure from its n.m.r. spectrum. Yield = 94%.

I.R. ($CHCl_3$) $\nu_{max.}$ 2960-2880 cm^{-1} (C-H), 2080 cm^{-1} (diazo group), 1780-1973 cm^{-1} (broad, β-lactam and ester carbonyls).

N.m.r. ($CDCl_3$) δ 1.24(s,9H,^{t}Bu), 1.48(s,3H,CH_3), 1.65(s,3H,CH_3), 4.40 (s,1H,H-3), 5.81 (dd,2H,-CH_2), 6.19 (s,1H,H-5).

p-Nitrobenzyl penicillanate (4.9). A 100 ml three-necked flask fitted with 2 dropping funnels was flushed with dry, oxygen-free nitrogen. p-Nitrobenzyl 6-diazopenicillanate (0.274g, 0.8 mmol) was dissolved in freshly distilled, dry THF (20 ml) and placed in one dropping funnel. The reaction flask was charged with THF (10 ml), and a further solution of THF (20 ml) containing borane-THF etherate (1.6ml, 1M) was placed in the second dropping funnel. The apparatus was cooled to -65°C over a cardice/acetone bath. Water (50µl) was added to the dropping funnel containing the borane solution, and both solutions were added dropwise to the reaction flask over 5 minutes, whilst stirring. The temperature was maintained at -65°C for 1 hour, after which a T.L.C. showed that only unreacted diazo compound was present. The mixture was allowed to warm to -30°C and was maintained at this temperature for a further 2 hours. Again T.L.C. showed only unreacted starting material. Borane-THF was added (0.8 ml) but without effect. Addition of the borane solution was continued until 15 molar equivalents were

193.

present (12 ml). The temperature was raised to $-20^{\circ}C$, at which

time bubbles of nitrogen began to evolve. Stirring was continued

for a further 3 hours, followed by the addition of 50% aqueous

THF (10 ml). The mixture was partitioned between water (50 ml)

and diethyl ether (50 ml), and the ether layer separated, washed

with 5% $NaHCO_3$ solution (2 x 50 ml), dried ($MgSO_4$), and evaporated

under reduced pressure. The mixture was separated by preparative

T.L.C., using chloroform as eluant, and affording p-nitrobenzyl

penicillanate (4.9) as a white solid in 60% yield.

M.pt = $139-141^{\circ}C$.

I.R. ($CHCl_3$): $\nu_{max.}$ 1760 cm^{-1}(β-lactam and ester carbonyls); 1600 (M);

1525 (M); 1350 (M).

N.m.r. ($CDCl_3$): δ 1.48 (s,3H,CH_3), 1.72(s,3H,CH_3), 3.16(dd,1H,

J=1.5 and 17Hz, H-6β), 3.70(dd,1H, J=4 and 17Hz, H-6α),4.76(s,1H,H-3),

5.44(s,2H,$-CH_2-$, also dd,1H,J=1.5 and 4Hz), 7.76(d,2H,J=7Hz, aromatic),

8.44 (d,2H, J=7Hz, aromatic).

M/e 33.0737(M^+);

Elemental Analysis: Found C 53.09%,H 4.80%,N 7.75%.

Calculated C 53.57%,H 4.76%,N 8.33%

Attempted Aldol Condensation of Intermediate (4.10) with Benzaldehyde.

The apparatus was assembled as above and flushed with dry, oxygen-free

nitrogen. p-Nitrobenzyl 6-diazopenicillanate (611mg, 1.66 mmol)

was dissolved in freshly distilled THF (25 ml) and placed in one

dropping funnel. The reaction flask was charged with THF (10 ml) and

the apparatus cooled to $-65^{\circ}C$ over a cardice/acetone bath. Borane-

THF (3.2 ml, 1M, 3.2 mmol) was dissolved in THF (25 ml) and placed

in the other dropping funnel. The contents of both funnels were

then added dropwise over 5 minutes into the reaction flask whilst

stirring. The flask was allowed to reach -20°C, and freshly
distilled benzaldehyde (0.88g, 8.3 mmol) was added. Nitrogen
began to evolve. The temperature was raised to 20°C, dichloro-
methane was added (50 ml) and the mixture washed with NaCl solution
(3 x 25 ml). After drying (MgSO$_4$), the solvent was removed under
reduced pressure, yielding a brown oil. The n.m.r. of this oil
showed no aldol condensation product. p-Nitrobenzyl penicillanate
(4.9) was present, together with what appeared to be structure
(4.13) from its spectral data.

I.R. (CHCl$_3$) 3,400 cm^{-1} (NH); 1735 cm^{-1} (ester and thiolactone
carbonyl);

N.m.r. (CDCl$_3$) δ 6-7.5 (m, vinyl protons).

M/e 336 (reduced form of molecular ion (4.14)).

Reaction of p-Nitrobenzyl 6-diazopenicillanate with Tributylborane

The apparatus was carefully dried and flushed with oxygen-free
dry nitrogen. The reaction vessel, containing dry THF (15 ml)
was cooled to -65°C over a cardice/acetone bath. With stirring,
two solutions of a) p-nitrobenzyl 6-diazopenicillanate (0.4895g,
0.001352 moles) in THF (25 ml) and b) Bu$_3$B (2.7 x 10^{-3} moles of 1M
solution in THF) in THF (25 ml) containing H$_2$O (0.1 ml) were added
to the reaction vessel over 5 minutes. The temperature was raised
to -45°C and 30% H$_2$O$_2$ (20 drops) was added. On reaching -15°C,
the solution was washed with NaCl solution (50 ml) and diluted with
dichloromethane (100 ml). After drying (MgSO$_4$), the solution was
evaporated under reduced pressure and chromatographed on silica
plates, affording the thiazepine (4.17) in 60% yield as a yellow
oil.

I.R. (CHC$_3$) $\nu_{max.}$ 3,400-3,300 cm^{-1} (NH), 1735 cm^{-1} (ester C=O and

195.

thiolactone C=O), 1625 cm^{-1} (unsaturated thiolactone).

N.m.r. (CDCl$_3$) δ 0.90, 1.39 (2m,9H,Bu), 1.48(s,3H,Me), 1.55

(s,3H,Me), 4.46 (d,1H, J= 6Hz), 5.39 (s,2H,-CH$_2$-),5.76(m,1H,N-H),

6.83 (d,1H, J=7.5Hz), 7.64 (d,2H, J=8Hz, aromatic), 8.32 (d,2H,

J=8Hz,aromatic).

Elemental Analysis: Found C 58.05%, H6.42%, N 6.82%, Calculated

C 58.15%, H 6.16%, N7.14%.

M/e 392 (M$^+$, 11.9%), 364 (49.8%), 335(0.5%, M$^+$-Bu), 256 (7.0%,

M$^+$-PNB), 136 (27.6%, PNB), 82(100%).

U.V. (CH$_2$Cl$_2$) $\lambda_{max.}$ = 264 nm, ε=1.3066 x 10^4; $\lambda_{max.}$ = 309 nm,ε=9.6548

x 10^3.

Reaction of Enol Borinate (4.15) with Bromine.

At -65°C under

nitrogen, p-nitrobenzyl 6-diazopenicillanate (0.1221g, 0.33 mmol)

was stirred in dry THF (5 ml) and Bu$_3$B (0.67 ml of IM THF solution)

was added.   After stirring for 5 minutes, an H.P.L.C. injection

showed the presence of the enol-borinate intermediate (4.15).

Bromine (1.3509 mmol, 0.2161g, 0.07 ml) in THF (5 ml) was added,

and after a further 5 minutes, a second H.P.L.C. injection showed

new products which eluted at the solvent front.   The reaction

was stopped, washed with saturated NaCl solution (10 ml) and then

H$_2$O (2 x 10 ml), dried (MgSO$_4$) and evaporated under reduced pressure.

The products were purified by preparative T.L.C. but none of the

6-bromo, 6-butylpenicillanate was present.

Reaction of Enol Borinate (4.15) with Acetaldehyde.

p-Nitrobenzyl

6-diazopenicillanate (150 mg, 0.4144 mmol) was stirred in THF

(5 ml) under nitrogen at -65°C. Bu$_3$B (0.8287 mmol, 0.82 ml IM THF

solution) was added to the solution, and after 5 minutes, acet-

aldehyde (1.6574 mmol, 0.0729g) was also added. The solution was stirred for 10 minutes more, followed by an aqueous wash (2 x 10 ml). Drying (MgSO$_4$) and evaporation under reduced pressure afforded butyl thiazepine (4.17) as the major product.

Reaction of Enol Borinate (4.15) with Acetic Acid. p-Nitrobenzyl 6-diazopenicillanate (73mg, 0.2017 mmol) was stirred in THF (5 ml) at -65°C under a stream of nitrogen. Bu$_3$B (0.403 mmol, 0.4 ml IM THF solution) was added, and after stirring for 10 minutes, an H.P.L.C. injection showed the presence of the intermediate (4.15). Acetic acid (0.8068 mmol, 0.048g) was added to the solution and stirring continued for a further 15 minutes. The solution was neutralized with NaHCO$_3$ solution (2 x 10 ml), washed with H$_2$O (2 x 10 ml), dried (MgSO$_4$), and evaporated. Again, the only identifiable product was the thiazepine (4.17).

Attempted Synthesis of 6-Butylpenicillanate (4.16).

a) p-Nitrobenzyl 6-oxopenicillanate (4.18)[62]. p-Nitrobenzyl 6-diazo-penicillanate (0.8g, 2.2099 mmol) was dissolved in dichloromethane (80 ml) and to this was added Ph$_3$P (0.579g, 2.2099 mmol). The solution was stirred at 0°C. To this solution was added a second solution containing NaNO$_2$ (0.76g) and trifluoroacetic acid (1.5g) in DMSO (40 ml). Stirring was continued for a further 1.75 hours. The solution was then washed extensively with H$_2$O, 5% NaHCO$_3$ solution and saturated NaCl solution. The organic phase was dried (MgSO$_4$) and evaporated to give (4.18).

I.R. (CHCl$_3$) $\nu_{max.}$ 1780 cm^{-1}, 1750 cm^{-1} (β-lactam carbonyls).

N.m.r. (CDCl$_3$) δ 4.96 (s,1H,H-3), 5.90(s,1H,H-5).

(b) Butyl Triphenylphosphonium Bromide. Ph_3P (8g) was refluxed
with BuBr (5 ml) in dry DMF (60 ml) for 1 hour. The product
crystallized out as a white solid, which was filtered and washed
with ether.   The n.m.r. spectrum compared well with that in the
Aldrich n.m.r. library.

N.m.r. (CDCl$_3$) 0.26 (m,3H,CH$_3$-), 1.16(m,4H, -CH$_2$-CH$_2$-), 3.00
(m,2H,-CH$_2$-P), 7.39(m, 15H,Ph$_3$P-).

c) Butylidenetriphenylphosphorane (4.19) and addition of 6-oxo-
     penicillanate. The apparatus was oven-dried and flushed
with dry nitrogen. Butyl triphenylphosphonium bromide (1.3007g,
0.326 mmol) was suspended in sodium-dried ether (10 ml), and, while
stirring, BuLi (2 ml. 1.6M hexane solution, 3.26 mmol) was added.
The solution was stirred at room temperature for 1.5 hours, turn-
ing first orange and then yellow. 6-Oxo-penicillanate (1.6320 mmol)
was added, and stirring continued for a further 16 hours under a
stream of nitrogen.   The solvent was removed under reduced pressure
and the products separated by preparative T.L.C.   It was difficult
to determine whether 6-butylidenepenicillanate had been produced
and therefore the fraction most likely to be this material was
subjected to hydrogenation.   The fraction (43mg, 0.1128 mmol) was
stirred in methanol (15 ml) containing palladium on charcoal
catalyst (large excess).   A volume of hydrogen was taken up (3.2 ml),
but on running I.R. and n.m.r. spectra it was shown to have cleaved
the p-nitrobenzyl protecting group.   The C=C bond was still present,
and hydrogenation was therefore continued, but without success.   The
reaction was repeated, but no t-butylpenicillanate could be produced.

Attempted Synthesis of Triphenylphosphonium ylid (4.21), and

the Subsequent Addition of Benzaldehyde.   Into a 3-necked flask

fitted with a dropping funnel were placed Ph_3P (0.1848g, 0.7055 mmol),

rhodium acetate (2%,2mg) and dry THF (30 ml).   The system was

flushed with nitrogen and stirred at $-65^\circ C$ over a cardice/acetone

bath.   6-Diazopenicillanate(0.255g, 0.70552 mmol) was added drop-

wise into the reaction vessel from the dropping funnel as a

solution in dry THF (20ml).   After 1.5 hours, benzaldehyde

(1.4 mmol, 0.1496g) in dry THF (5 ml) was added, and stirring was

continued for 2 hours.   The solvent was removed under reduced

pressure and the mixture separated by preparative T.L.C.   The major

product was the azine (4.24), which formed as a yellow crystalline

solid. M.pt $132-4^\circ C$.

I.R. $(CHCl_3)$ $\nu_{max.}$ 2910 cm^{-1}, (C-H);   1780 cm^{-1},(β-lactam C=O);

1750 cm^{-1} (ester C=O).

N.m.r $(CDCl_3)$ δ 1.40 (s,3H,Me), 1.58 (s,3H,Me), 4.64 (s,1H,H-3),

5.23 (s,2H,-CH_2-), 5.92 (s,1H,H-5), 7.42 (m,5H, aromatic),

7.98 (m,2H, aromatic), 8.16 (d,2H, aromatic), 8.46 (s,1H,Ph-$C\underline{H}$=N).

M/e 452 (M^+),434, 407, 348(M^+-PhCHN), 295.

U.V. (CH_3CN) $\lambda_{max.}$ 223 nm, $\varepsilon = 1.26 \times 10^4$

                $\lambda_{max.}$ 285 nm, $\varepsilon = 2.25 \times 10^4$

The reaction was repeated, but the solvent was removed prior to

addition of benzaldehyde, affording the phosphazine (4.23). Attempts

to remove the nitrogen and produce the ylid (4.21) were made by

refluxing in CH_2Cl_2, THF and finally toluene, but all proved unsuccess-

ful.

<u>p-Nitrobenzyl 6-(4'-oxobut-2'-enylidene) penicillanates (5.2b)</u>

(5.3b). p-Nitrobenzyl 6-diazopenicillanate (127 mg) was stirred

in furan (5 ml) with rhodium acetate catalyst (2mg). The

reaction proceeded with the rapid evolution of nitrogen, and

after 1.5 hours, T.L.C. showed that the reaction had reached

completion. An n.m.r. spectrum of the crude products showed

the formation of two isomeric dienals (5.2b)(5.3b) in almost

quantitative yield.

I.R. (CHCl$_3$)$\nu_{max.}$ 1780-1750 cm^{-1} (β-lactam and ester carbonyls),

1680 cm^{-1} (aldehyde carbonyl).

N.m.r. (CDCl$_3$) δ 1.29(s,9H,^{t}Bu), 1.58(s,3H,Me), 1.66 (s,3H,Me),

4.71(s,1H,H-3), 5.94 (m,3H,H-5 and -CH$_2$-), 6.25, 6.52 (m,m,1-H,H$_3$),

7.39-7.80 (m,2H,H$_1$,H$_2$), 10.35, 10.40 (d,d,1H,H$_4$).

<u>Pivoyloxymethyl 6-(4'-oxobut-2'-enylidene) pencillanates (5.2c)</u>

(5.3c). Pivoyloxymethyl 6-diazopenicillanate (110 mg) was stirred

in furan (5 ml) in the presence of rhodium acetate catalyst (2mg).

The reaction had reached completion after 100 minutes, shown by

T.L.C. and I.R. An n.m.r. spectrum of the crude reaction mixture,

following removal of the excess furan by evaporation, showed the

formation of two isomeric dienals, which were separated by pre-

parative T.L.C. with a solvent system of dichloromethane/ethyl

acetate (95:5). The n.m.r. spectra showed them to be the Z/E

and Z/Z dienals (5.2c)(5.3c) produced in the ratio 1.5:1. The

total yield prior to separation was 90%.

(5.2c)(Z/E) I.R. (CHCl$_3$) $\nu_{max.}$ 1780 cm^{-1} (β-lactam C=O), 1750 cm^{-1}

(ester C=O's), 1680 cm^{-1} (aldehyde C=O).

N.m.r. (CDCl$_3$) δ 1.23 (s,9H,-CH$_2$-CO$_2$-^{t}Bu), 1.52 (s,3H,Me),

1.60 (s,3H,Me), 4.45 (s,1H,H-3), 5.77 (s,1H,H-5), 5.79(dd,2H,-CH$_2$-

$CO_2{}^t Bu$, J=4.5, 4.5Hz), 6.08(dd,1H, J=7.5Hz, 7.5Hz, H_3), 7.20 (d, 1H,

J=13.5Hz, H_1), 7.56(dd, perturbed, 1H, J=13.5Hz, 7.5Hz, H_2), 10.4

(d,1H, J=7.5Hz, H_4).

M/e 381 (M^+, 5.63%), 222 (12%), 167 (11.2%), 138 (16.9%), 85(43.9%),

57(100%).

Elemental Analysis Found C.56.37%, H 6.16%, N 3.55%

Calculated C.56.68%, H 6.08%, N 3.67%

U.V. (CH_2Cl_2) $\lambda_{max.}$ 281nm, ε = 2.97 x 10^4

<u>(5.3c)(Z/Z)</u> I.R. ($CHCl_3$) $\nu_{max.}$ 1780 cm^{-1} (β-lactam C=O), 1760 cm^{-1}

(ester C=O'S), 1680 cm^{-1} (aldehyde C=O).

N.m.r.($CDCl_3$) δ 1.25 (s,9H, ^tBu), 1.53 (s,3H,Me),

1.60 (s,3H,Me), 4.56(s,1H,H-3), 5.84(m,3H,$-CH_2-$, H-5),6.18 (dd,1H,

J=7.5Hz, 7.5Hz, H_3),6.80 (dd,perturbed, 1H, J=7.5Hz, 12Hz,H_2),

7.50(d,1H, J=12Hz, H_1), 10.12 (d,1H, J=7.5Hz, H_4).

M/e 381 (M^+,0.7%), 222(1.9%), 193(1.8%), 138 (1.3%), 85(13.7%),

57(100%).

Elemental Analysis Found C.56.69%, H.5.98% N 3.67%

Calculated C.56.68%, H.6.08% N 3.67%

U.V. (CH_2Cl_2) λ_{max} = 280 nm, ε = 2.97 x 10^4.

Reaction of Pivoyloxymethyl 6-diazopenicillanate with various concentrations of furan

a) <u>1 equivalent of furan</u> Pivoyloxymethyl 6-diazopenicillanate

(0.135g, 0.3959 mmol) was stirred in dichloromethane(2ml), and to

this solution were added furan (0.0296g, .3959 mmol) and rhodium

acetate catalyst (2mg). The reaction mixture was stirred at

room temperature for 1 hour, after which T.L.C. showed that the

reaction had reached completion. The solvent was removed under

reduced pressure, and although the I.R. and n.m.r. spectra of the crude products showed the formation of two new aldehyde isomers, as required, the integral of the n.m.r. spectrum showed that the aldehydes were responsible for only 30% of the yield of products.

b) 2 equivalents of furan. Pivoyloxymethyl 6-diazopenicillanate (150 mg, 0.4349 mmol.) was stirred with furan (59 mg, 0.8698 mmol,) and rhodium acetate (2 mg) in dichloromethane (2 ml) for 1 hour. The solvent was removed under reduced pressure, and an n.m.r. spectrum of the crude reaction products indicated a dienal yield of 80%.

c) 5 equivalents of furan. Pivoyloxymethyl 6-diazopenicillanate (57 mg, 0.167 mmol) was stirred with furan (57 mg, 0.836 mmol) and rhodium acetate (2 mg) in dichloromethane (2 ml). After stirring at room temperature for 1 hour an I.R. spectrum showed total loss of the diazo stretch, indicating completion of the reaction. Both solvent and excess furan were removed under reduced pressure, and an n.m.r. spectrum of the crude products showed an 83% yield of dienal isomers.

d) 10 equivalents of furan. Pivoyloxymethyl 6-diazopenicillanate (112 mg, 0.328 mmol) was stirred in dichloromethane (2 ml) with furan (200 mg, 3.28 mmol) in the presence of rhodium acetate catalyst (2mg). After 1 hour, the reaction was shown to have reached completion by the total absence of the diazo band in the I.R. spectrum. An n.m.r. spectrum showed that the dienals had been produced in 85-90% yield.

<u>Furfuryloxythiazepine (5.9)</u>. Pivoyloxymethyl 6-diazopenicillanate

(121 mg, 0.355 mmol) and furfuryl alcohol (103.3 mg, 1.0645 mmol)

were stirred in the presence of rhodium acetate (3 mg) in dichloro-

methane (3 ml) for 45 minutes at room temperature. The solvent

was removed under reduced pressure and the mixture was separated

by preparative T.L.C., eluting with dichloromethane containing 5%

ethyl acetate. The major product, the thiazepine (5.9), was

isolated in 30% yield. No substituted penicillins were identified.

I.R. (CHCl$_3$) $\nu_{max.}$ 1750 cm^{-1} (ester and thiolactone C=O), 1630 cm^{-1}

(unsaturated thiolactone).

N.m.r. (CDCl$_3$) δ 1.24 (s,9H,^{t}Bu), 1.44 (s,3H,Me), 1.47(s,3H,Me),

4.22 (d,1H,J=4.5Hz,H$_3$), 4.76 (s,2H,H$_7$), 5.36 (m,1H,N-H), 5.80

(dd,2H,J=4Hz, 4Hz, H$_8$H$_9$), 6.31(s,2H,-CO$_2$CH$_2$-CO$_2$), 6.70 (d,1H,J=7.5Hz,H$_5$)

7.38 (s,1H,H$_{10}$).

M/e 411 (M$^+$, 0.14%), 274 (13.7%), 149 (25.7%), 98 (4.8%), 81 (10%)

Elemental Analysis :   Found   C.56.11%, H. 6.60%, N 3.42%

Calculated C.55.46% H. 6.12%, N 3.40%

U.V. (MeOH) $\lambda_{max.}$ 219 nm, ε = 5.48 x 10^3; $\lambda_{max.}$ 213 nm, ε = 3.65 x 10^3;

$\lambda_{max.}$ 329 nm, ε = 4.47 x 10^3

<u>Pivoyloxymethyl 6-(3'-methoxycarbonylprop-2'-enylidene) penicil-</u>
<u>lanate (5.10)</u>. 2-Methoxyfuran (82.5 mg, 0.838 mmol) and pivoy-

loxymethyl 6-diazopenicillanate (143 mg, 0.419 mmol) were stirred

at 0°C in dichloromethane (2 ml) with rhodium acetate catalyst (2 mg).

After 1 hour, the reaction had reached completion and the products

were separated, after removal of solvent under reduced pressure,

by preparative T.L.C. Dichloromethane was employed as eluant,

affording the dienone isomers (5.10), in 26% yield.

(5.10) Z,Z-isomer

I.R. (CHCl$_3$) $\nu_{max.}$ 1780-1750 cm^{-1} (β-lactam and ester C=O's),

1720 cm^{-1} (new ester C=O).

N.mr. (CDCl$_3$) δ 1.24 (s,9H^{t}Bu), 1.52 (s,3H,Me), 1.60 (s,3H,Me),

3.80 (s,3H,OMe), 4.60 (s,1H,H-3), 5.86 (m,3H,-CH$_2$ and H-5 over-

lapping), 6.08 (d,1H,J=12Hz,H$_1$), 6.52 (dd, perturbed, 1H,J=10.5Hz,

12Hz,H$_2$), 7.88 (d,1H,J=10.5Hz,H$_3$).

M/e 411.1333 (M$^+$, 1.7%), 380 (0.3%, M$^+$-CMe), 252 (1.8%), 167 (4.7%),

119 (3.0%), 82 (100%), 57 (8.4%).

Elemental Analysis : Found C 55.59%,H 6.31% N 3.22%

Calculated C55.46%, H 6.12% N 3.40%

U.V. (CH$_2$Cl$_2$)$\lambda_{max.}$ = 276 nm, ε = 3.25 x 10^4

Yield = 22%

(5.10) Z,E-isomer

I.R. (CHCl$_3$) $\lambda_{max.}$ 1780-1750 cm^{-1} (β-lactam and ester C=O's),

1720 cm^{-1} (new ester C=O).

N.m.r. (CDCl$_3$) δ 1.30 (s,9H,^{t}Bu), 1.56 (s,3H,Me), 1.64(s,3H,Me),

3.84 (s,3H,OMe), 4.60 (s,1H,H-3), 5.86 (m,3H,-CH$_2$ and H-5 over-

lapping), 6.00 (d,1H, J=10.5Hz,H$_1$), 7.34 (dd,1H,J=10.5Hz, 10.5Hz,H$_2$)

7.72 (d,1H, J=10.5Hz,H$_3$).

M/e 411.1395 (M$^+$.7.6%), 252 (1.8%), 169 (7.4%), 168 (100%),

149 (10.4%),99 (18.4%), 95 (1.6%), 57(20.5%).

U.V. (MeOH) $\lambda_{max.}$ 274 nm, ε = 1.075 x 10^4.

Yield = 4%

p-Nitrobenzyl 6-(1'-methyl, 4'-acetylbut-2'-enylidene) penicillanate

(5.11). p-Nitrobenzyl 6-diazopenicillanate (0.3468g, 0.958 mmol)

was stirred at 0°C for 2 hours with 2,5-dimethylfuran (0.0919g,0.958 mmol)

and rhodium acetate (2 mg) in dichloromethane (5 ml). T.L.C. showed complete decomposition of the diazopenicillanate, and the solvent was removed under reduced pressure. The products were separated by preparative T.L.C., eluting with dichloromethane/ ethyl acetate (98:2). Chromatography had to be employed several times to obtain a reasonably pure sample of the required dienone (5.11). The final purification was effected by preparative H.P.L.C. on a silica column, eluting with dichloromethane/ acetonitrile (97:3). No further substituted penicillins were isolated from the complex reaction mixture. Final yield = 2.5%.

I.R. (CHCl$_3$) 1760-1740 cm^{-1} (β-lactam and ester C=O's), 1690 cm^{-1} (ketone C=O).

N.m.r. (CDCl$_3$) δ 1.44 (s,3H,Me), 1.59 (s,3H,Me), 1.95 (s,3H, C=CC$\underline{H}_3$), 2.31 (s,3H,CH$_3$CO), 4.60 (s,1H,H-3), 5.34 (s,2H,-CH$_2$-), 5.79 (s,1H,H-5), 6.31 (d,1H, J=12Hz, C$\underline{H}$-C(CH$_3$)), 6.84 (d,1H, J=12Hz, CH$_3$COC$\underline{H}$), 7.62 (d,2H, J=7.5Hz, aromatic) 8.32 (d,2H, J=7.5Hz, aromatic).

M/e 430.1179 (M$^+$, 5.6%), 387 (40.4%, M$^+$-CH$_3$C=O), 374 (0.2%, M$^+$- CH$_3$-COCH), 361 (M$^+$-CH$_3$COCH=CH), 294 (4.3%, M$^+$-PNB), 250 (2.2% M$^+$- CO$_2$PNB), 152 (100%), 96 (11.7% CH$_3$COCH=CHCCH$_3$), 46 (1.3%, NO$_2$).

U.V. (CH$_2$Cl$_2$) $\lambda_{max.}$ 273 nm, ε = 1.96 x 10^4.

Pivoyloxymethyl 6-(4'-acetylbut-2'enylidene) penicillanate (5.16).

Pivoyloxymethyl 6-diazopenicillanate (141 mg, 0.4152 mmol) and 2-methylfuran (1.246 mmol, 0.1234ml) were stirred in dichloromethane (2ml). To this was added rhodium acetate (2 mg), and stirring was continued at room temperature for 3 hours, during which a steady rate of nitrogen evolution occurred. The reaction was shown to have reached

completion by the loss of the diazo stretch in the I.R. spectrum.
The products were separated by preparative T.L.C., eluting with
dichloromethane/ethyl acetate (90:10) and affording two isomers
of the dienone (5.16). Further chromatography was necessary to
obtain purer samples, and the final yield was 27%. The isomers
were assigned as the Z,E and Z,Z. isomers by comparison with the
unsubstituted dienals.

Z,E-isomer

I.R. (CHCl$_3$) $\nu_{max.}$ 1760 cm^{-1} (β-lactam and ester C=O's), 1690 cm^{-1}
(new ketone C=O).

N.m.r. (CDCl$_3$) δ 1.25 (s,9H,^{t}Bu), 1.52 (s,3H,Me), 1.60 (s,3H,Me),
2.30 (s,3H, CO<u>Me</u>), 4.59 (s,1H,H-3), 5.80 (s,1H,H-5), 5.89 (dd,2H,
J=4.5Hz,4.5Hz,-CH$_2$-), 6.34(d,1H,J=11Hz, H^3), 7.20 (dd, perturbed,
1H, J=11Hz, 12Hz, H^2), 7.68 (d,1H, J=12Hz, H^1),

M/e 395.1384 (M$^+$, 0.1%), 168 (8.1%), 117 (1%), 99 (2.7%), 82 (100%),
57 (3.7%).

Elemental Analysis : Found C 56.48% H 6.55%, N 3.66%

Calculated C 57.71% H 6.37%, N 3.54%.

U.V. (MeOH) $\lambda_{max.}$ 278 nm, ε = 3.623 x 10^4.

Z,Z-isomer

I.R. (CHCl$_3$) $\nu_{max.}$ 1770 cm^{-1} (β-lactam and ester C=O's), 1690 cm^{-1}
(ketone).

N.m.r. (CDCl$_3$) δ 1.25 (s,9H,^{t}Bu), 1.53 (s,3H,Me), 1.60 (s,3H,Me),
2.32 (s,3H,COMe), 4.63 (s,1H,H-3), 5.90 (m,3H,-CH$_2$- and H-5 over-
lapping), 6.30 (d,1H,J=12Hz, H^3), 6.89 (dd,1H, J=10.5Hz, 12Hz, H^2),
7.88 (d,1H, J=10.5Hz, H^1),

M/e 396 (M$^+$,^{13}C isotope, 0.2%), 395.1389 (M$^+$, 0.8%), 352 (0.7%),

236 (0.8%), 198 (0.7%), 183 (1.1%), 181 (1.4%), 168 (2.1%),

117 (2.5%), 82 (100%), 57 (4%).

Elemental Analysis : Found   C.56.80%, H 6.42%,N 3.82%

                 Calculated   C 57.71% H 6.37%,N 3.54%.

U.V. (MeOH) : $\lambda_{max.}$ 281 nm, $\varepsilon = 3.43 \times 10^4$.

Pivoyloxymethyl 6-(2'-methyl,4'-oxobut-2'-enylidene)penicillanate

and Pivoyloxymethyl 6-(3'-methyl,4'-oxobut-2'-enylidene) penicil-

lanate (5.17)(5.18,5.19). 3-Methylfuran (0.30g, 3.758 mmol) and

pivoyloxymethyl 6-diazopenicillanate (0.6408g, 1.8791 mmol) were

stirred in dichloromethane (2 ml) together with rhodium acetate

catalyst (3 mg). Nitrogen began to evolve immediately. The reaction

had reached completion within 10 minutes, shown by the loss of diazo

stretch and the formation of a new carbonyl stretch in the I.R.

spectrum. The solvent was removed under reduced pressure and the

mixture separated by preparative T.L.C., eluting with dichloromethane/

acetonitrile (98.5/1.5). Two fractions were isolated, one containing

the single isomer (5.17) and the other containing a mixture of the two

isomers (5.18,5.19). Further chromatography afforded separation of

the isomers (5.18) and (5.19).

Isomer (5.17).

I.R. (CHCl$_3$) $\nu_{max.}$ 1780-1750 cm^{-1} (β-lactam and ester C=O's), 1680 cm^{-1}

(aldehyde).

N.m.r.(CDCl$_3$) δ 1.25 (s,9H,^{t}Bu), 1.55 (s,3H,Me), 1.62 (s,3H,Me),

1.98 (s,3H,COCMe), 4.60 (s,1H,H-3), 5.80(s,1H,H-5), 5.86 (dd,2H,J=4.5Hz,

-CH$_2$-), 7.28 (d,1H,J=12Hz, vinylic), 7.68 (d,1H,J=12Hz, vinylic), 10.34

(S,1H,CHO).

M/e 396 (M$^+$,^{13}C isotope, 0.6%). 395.1364 (M$^+$, 1.8%), 366 (0.5%),

236 (2.4%), 207 (1.7%), 181 (2.5%), 139 (4%), 122 (2.7%),

82 (100%), 57 (19.6%).

Elemental Analysis : Found C 58.60%, H 6.86%, N 3.40%

Calculated C 57.70%, H 6.37%, N 3.54%.

U.V. (CH$_2$Cl$_2$) $\lambda_{max.}$ 291 nm, ε = 1.88 x 10^4.

Isomer (5.18).

I.R. (CHCl$_3$) $\nu_{max.}$ 1780-1750 cm^{-1} (β-lactam and ester C=O's

1670 cm^{-1} (aldehyde).

N.m.r. (CDCl$_3$) δ 1.25 (s,9H,^{t}Bu), 1.53 (s,3H,Me), 1.64 (s,3H,Me),

2.13 (s,3H,CH=C<u>Me</u>), 4,66 (s,1H,H-3), 5.88 (dd,2H,J=4.5Hz,4.5Hz,

-CH$_2$-), 5.96 (s,1H,H-5), 6.12(d,1H,J=8Hz, CHO-C<u>H</u>), 7.19 (s,1H,MeC-C<u>H</u>),

10.06 (d,1H,J=8Hz,C<u>H</u>O).

M/e 395.1496 (M$^+$,0.4%), 366 (0.2%), 252 (0.2%), 117 (1.3%), 116

(0.9%), 82 (100%), 57 (5.7%).

Elemental Analysis - The sample was insufficiently stable.

U.V. (CH$_2$Cl$_2$) $\lambda_{max.}$ 286 nm, ε = 2.70 x 10^4.

Although isomer (5.19) was separated, a small amount of isomer

(5.18) prevented a complete characterization. However, an I.R.

and n.m.r. spectrum were obtained.

I.R. (CHCl$_3$) $\nu_{max.}$ 1780-1750 cm^{-1} (β-lactam and ester C=O's),

1670 cm^{-1} (aldehyde).

N.m.r. (CDCl$_3$) δ 1.25 (s,9H,^{t}Bu), 1.53 (s,3H,Me), 1.64 (s,3H,Me),

2.13 (s,3H,Me),4.66 (s,1H,H-3),5.88 (dd,2H,J=4.5Hz, J=4.5Hz,-CH$_2$-),

5.96 (s,1H,H-5), 6.16(d,1H,J=8Hz,CHO-C<u>H</u>), 7.64 (s,1H,MeC-C<u>H</u>),

10.21 (d,1H,J=8Hz,C<u>H</u>O).

Ethyl diazoacetate

To a stirred solution of glycine ethyl ester hydrochloride (13.02g, 0.0933 moles) and NaOAc(65.1mg, 0.794 x 10^{-3} moles) in H_2O (15ml, $18^{\circ}C$) was added an ice cold solution of $NaNO_2$(9.77g, 0.142 moles) in H_2O (15ml), giving a yellow/green solution. Diethyl ether (10ml)(previously washed with conc. $CaCl_2$ to remove EtOH), was added to the mixture. To this stirred solution was added dropwise 10% H_2SO_4(1.55ml), and the mixture was stirred for 15 min. at $18^{\circ}C$. The mixture was separated and the ether layer poured immediately into excess 10% Na_2CO_3 solution, washed, and tested for neutrality. The ether layer was stored in an ice-cold flask while fresh diethyl ether (10ml) and 10% H_2SO_4(1.55ml) were added to the retained aqueous phase and stirring continued for 15 more minutes. The layers were separated and the ether fraction poured into excess 10% Na_2CO_3 solution, washed and combined with the previous ether layer. This organic fraction was washed (brine), dried and evaporated to yield a yellow liquid.

I.R. ($CHCl_3$) 2120 cm^{-1} diazo group.

N.m.r. ($CDCl_3$) δ 1.3 (t,3H), 4.24 (q,2H), 4.8 (s,1H).

Reactions between Ethyl diazoacetate and Furan using $Rh_2(OAc)_4$ and $Cu(acac)_2$

Ethyl diazoacetate (0.6g, 5.2631 x 10^{-3} moles) was divided equally between 2 flasks, and to each was added furan (3ml, 4.4 x 10^{-2} moles). To flask 1 was added rhodium acetate (2%, 6mgs), and to flask 2 was added copper acetylacetonate (2%, 6mgs). The rhodium acetate catalysed reaction began extremely rapidly with the evolution of nitrogen, and was consequently cooled to $0^{\circ}C$ to reduce the reaction

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rate. The reaction had reached completion within 1 hour, following which the solvent was removed under reduced pressure and an n.m.r. of the crude products was obtained.

With the copper-catalysed reaction, the diazo group was present for 24 hours, after which the solvent was removed under reduced pressure. An n.m.r. spectrum of the crude products showed the same pattern as obtained in the rhodium-catalysed reaction. The products of the two reactions were combined, and separated by chromatography on silica into the cyclopropane (5.22) and dienal isomers (5.23)(5.24). The three products were assigned on the basis of their n.m.r. spectra.(5.22):-

N.m.r. (CDCl$_3$) δ 0.99 (d,1H,J=3Hz,H$_c$),1.30 (t,3H,J=7.5Hz,H$_a$)

2.80 (quin,1H,H$_d$), 4.15 (q,2H,J=7.5Hz,H$_b$),

4.85 (d,1H,J=6Hz,H$_e$), 5.48(dd,1H,J=2Hz,3Hz,H$_f$)

6.41 (d,1H,J=2Hz,H$_g$).

Although there appeared to be no splitting between H$_c$ and H$_e$, an expansion showed a very small splitting of $\sim$1Hz.

M/e 154(M$^+$).

(5.23)

I.R. (CHCl$_3$) $\nu_{max.}$ 1720 cm^{-1}(ester),1680 cm^{-1}(aldehyde).

N.m.r. (CDCl$_3$) δ 1.33 (t,3H,J=7.5Hz,CH$_3$), 4.25(q,2H,J=7.5Hz,-CH$_2$-),

6.06 (d,1H,J=8Hz,H$_a$), 6.21 (s,1H,H$_d$), 7.52 (dd,

severely perturbed, 1H,J=11Hz, 11Hz,H$_c$), 8.16(dd,

severely perturbed,1H,J=11Hz, 11Hz,H$_b$).

U.V. (CH$_2$Cl$_2$) $\lambda_{max.}$ = 272 nm.

M/e   154(M$^+$)

(5.24)

I.R. (CHCl$_3$) $\nu_{max.}$ 1720 cm^{-1}(ester),1680 cm^{-1}(aldehyde).

N.m.r. (CDCl$_3$) δ 1.32 (t,3H,J=7.5Hz,CH$_3$), 4.26 (q,2H,J=7.5Hz,-CH$_2$-),

6.12 (dd, severely perturbed ,1H,J=8Hz,H$_d$),

6.20 (d,1H,J=15Hz, H$_a$), 7.00 (dd,severely

perturbed,1H,J=11Hz, 13Hz,H$_c$), 8.08 (dd,1H,

J=13Hz,15Hz,H$_b$),10.27 (d,1H,J=8Hz,H$_e$).

U.V. (CH$_2$Cl$_2$) $\lambda_{max.}$ = 272 nm.

M/e   154 (M$^+$)

Action of heat on Ethyl 2-oxabicyclo[3.1.0]hex-3-ene-6-carboxylate

(5.22).

The cyclopropane (50mgs) was dissolved in CDCl$_3$(0.5ml) and placed

in an n.m.r. tube which was then sealed, and heated in a metal tube

at 150°C for 2 hours.   The tube was opened and an n.m.r. spectrum

run, showing the trans -trans-dienal (5.25) in quantitative yield.

N.m.r. (CDCl$_3$) δ 1.36 (t,3H,J=7.5Hz,CH$_3$), 4.28 (q,2H,J=7.5Hz,-CH$_2$-),

6.36 (m,severely pertured AB system, 2H,H$_b$,H$_c$),

7.08,7.50 (d,d,2H,J=12Hz,12Hz,H$_d$,H$_e$),9.62 (d,1H,

J=7.5Hz,H$_a$).

M/e   154 (M$^+$)

U.V. (MeOH) $\lambda_{max.}$ = 265nm.

Reaction of Pivoyloxymethyl 6-diazopenicillanate with Benzofuran

Benzofuran (0.2422g, 2.0527 x 10^{-3} moles) and rhodium acetate (3mg)

were stirred in CH$_2$Cl$_2$(4ml) at room temperature, and to this solution

was added pivoyloxymethyl 6-diazopenicillanate (0.350g, 1.0263 x 10^{-3}

moles).   After 1 hour, the mixture was separated by chromatography

on silica with an initial solvent system of CCl$_4$/CH$_2$Cl$_2$(40:60) to

remove the unreacted benzofuran, followed by a gradual increase in

CH$_2$Cl$_2$ concentration to resolve the products.   The major product, the

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pyran (5.31) was isolated as a bright yellow oil in 10-15% yield.

I.R. (CHCl$_3$) $\nu_{max.}$ 1780 cm^{-1}(β-lactam carbonyl),1750 cm^{-1}(ester)

n.m.r. (CDCl$_3$) δ 1.24(s,9H,^{t}Bu), 1.52(s,3H,Me), 1.62(s,3H,Me),

4.55(s,1H,H-3), 5.56(s,1H,H-5),5.90 (dd,2H,

J=2Hz,-CH$_2$), 5.95(d,1H,J=10Hz,H$_b$),6.76(d,1H,

J=10Hz,H$_a$),6.87-7.24 (m,4H,aromatic).

M/e   431(M$^+$,14.5%), 244(4.1%), 176(11%), 175(10%).

130 (8.3%), 82(100%), 57(20.9%).

Elemental Analysis: Found C 69.98 H 6.21 N 3.08

Required C 61.24 H 5.84 N 3.25

U.V. (MeOH) $\lambda_{max.}$ = 226 nm ϵ = 3460

$\lambda_{max.}$ = 275 nm   = 2500

^{13}C n.m.r.(CDCl$_3$) ppm 25.9(Me), 26.9(^{t}Bu), 32.9(Me),

63.8(C$_2$), 68.4(C$_3$), 79.9($\underline{C}$H$_2$),

115.9, 116.5 (vinylic), 122.4, 127.5

127.7, 130.3 (aromatic),152.2 (C=O),

166.4(C=O), 177.2 (C=O).

The quarternary carbons C$_6$, C$_{20}$ and C$_{21}$ were difficult to identify due to the high level of noise, but occurred in the region 91.5-142.8 ppm.

The proton coupled spectrum showed 6 doublets at 116(2d,J=170Hz), 123(d,J=170Hz), 128(2d,J=170Hz), 130(d,J=170Hz) each with a coupling constant characteristic of sp^2 hybridized carbons.

The stereochemistry at C-6 was determined by n.O.e. difference spectroscopy, as described in Chapter 5.

Ethyl 3,4-benzo-2-oxa-bicyclo[3.1.0]hex-3-ene-6-carboxylate
(5.33).

Benzofuran (0.3000g, 2.5423×10^{-3} moles) was stirred in CH_2Cl_2

(1ml) with rhodium acetate (4mgs) at $0^{\circ}C$. To this solution was

added ethyl diazoacetate (0.5796g, 5.0847×10^{-3} moles) drop-

wise over 2 hours. T.L.C. showed no reaction had occurred, and

so the temperature was allowed to reach $20^{\circ}C$, and a further

quantity of rhodium acetate (2mg) was added. After 1 hour, an

I.R. spectrum showed complete decomposition of the diazo group.

The reaction mixture was separated by preparative T.L.C. on

silica, affording two main fractions, one of which was the un-

reacted benzofuran, and the other the cyclopropane adduct (5.33).

Yield after purification = 40%.

I.R.($CHCl_3$) $\nu_{max.}$ 2990-2900 cm^{-1}(C-H stretches),1710 cm^{-1}(C=O).

N.m.r. ($CDCl_3$) δ 1.19(d,1H,H_3,J=3Hz), 1.25(t,3H,-CH_3,J=7Hz),

3.22(m,1H,H_4), 4.12(q,2H,-CH_2-, J=7Hz),

5.02(d,1H,H_5,J=6Hz), 6.76-7.36(m,4H,aromatic).

M/e   204(M^+,11.2%), 131(100%), 77(23.6%),51(10.7%).

^{13}C($CDCl_3$)ppm

14.3(C_{13}), 23.6(C_3 or C_{10}), 30.3(C_{10} or C_3),61.6(C_{12})

67.2(C_2), 110.8(C_7), 121.5 (C_5 or C_4), 124.7(C_4 or C_5)

128.2(C_6),128.5(C_9), 159.8(C_8), 172.2(C_{11}).

3,4-Dimethylthiophene.[166] 2,3-Dimethyl-buta-1,3-diene (25g, 0.305 mole)

in dichloromethane (50 ml) was stirred at $0^{\circ}C$ and to this was added

an excess of sulphur dichloride (50g, 0.485 mole) dropwise. An

excess of triethylamine was present to remove HCl as it was formed.

The solution was stirred for 1 hour, and then washed with H_2O (2x50 ml).

After drying (Na_2SO_4), the solvent was removed under reduced pressure

and the products distilled to give a clear liquid, which was
re-dried (Na$_2$SO$_4$) and redistilled. B.pt. = 137-9°C. Yield= 12.5g,
37%.

N.m.r. (CDCl$_3$) δ 9.4 (s,2H,vinyls), 2.19 (s,6H,methyls).

Reaction of 3,4-Dimethylthiophene with Pivoyloxymethyl 6-diazo-
penicillanate. Pivoyloxymethyl 6-diazopenicillanate (0.7014g,
2mmol) was stirred with 3,4-dimethylthiophene (0.5g, 2 equivalents)
in dichloromethane (5ml), and to this solution was added rhodium
acetate (2%, 14mg) in dichloromethane (1ml). The solution was
stirred at 20°C for 2 hours, and the solvent removed under reduced
pressure. Purification of the products by preparative T.L.C.
eluting with dichloromethane/acetonitrile (95:5) afforded (6.4) in
5% yield as an oil.

I.R. (CHCl$_3$) $\nu_{max.}$ 1790-1750 cm^{-1} (β-lactam and ester carbonyls),
1595 cm^{-1}(C=C).

N.m.r.(CDCl$_3$)(360MHz) δ 1.24(s,9H,^{t}Bu), 1.44(s,3H,α-Me), 1.58(s,3H,β-Me),
1.63(s,3H,C$_{10}$-Me), 1.90(s,3H,C$_9$-Me), 3.44(fine dd,1H,H-7),4.20(s,1H,H-3),
4.70(fine d,1H,H-5), 5.70(fine dd,1H,H-8).

M/e 425.1292 (M$^+$,7.0%), 266(6.1%), 170(12%), 169(56%), 124(53%),
82.9(73%),57(100%).

U.V. (CH$_3$CN) $\lambda_{max.}$ 231 nm, ε = 425
$\lambda_{max.}$ 250 nm, ε = 346

Reaction of Pivoyloxymethyl 6-diazopenicillanate with N-Methylindole.

A solution containing pivoyloxymethyl 6-diazopenicillanate (0.3138g,
0.902 mmol), N-methylindole (0.2411g, 1.8 mmol) and rhodium acetate
(3 mg) in dichloromethane(3ml) was stirred for 15 minutes. An I.R.
spectrum showed that the reaction had reached completion, and a T.L.C.

of the brown mixture showed several components. Separation by

preparative T.L.C. using dichloromethane as eluant afforded two

indole-substituted penicillanates (6.7),(6.8) as a mixture, to-

gether with the rearrangement product (6.9).

Total yield = 33%, approximate ratio = 1:1:1.

Compounds (6.7) and (6.8) were extremely difficult to separate,

but separation was eventually achieved by means of preparative

H.P.L.C. on a silica column with a solvent system comprising

hexane /dichloromethane /acetonitrile (56:43:1). Some material

was lost as a result of peak shaving.

(6.7)

I.R. (CHCl$_3$) $\nu_{max.}$ 1780-1750 cm^{-1} (β-lactam and ester carbonyls).

N.m.r. (360 MHz)(CDCl$_3$) 1.22(s,9H,^{t}Bu), 1.54(s,3H,Me), 1.72(s,

3H,Me), 3.78(s,3H,N-Me),4.65 (s,1H,H-3), 4.67(d,1H,H-6,J=1.5Hz),

5.39(d,1H,H-5,J=1.5Hz), 5.81, 5.88 (d,d,2H,-CH$_2$-, J=6Hz,6Hz), 7.06

(s,1H,H-7), 7.15(t,1H,J=7 Hz,H-10), 7.28(t,d,2H,J=7Hz,7Hz,H-9,H-11),

7.68 (d,1H,J=6Hz,H-8).

M/e 444.1714 (M$^+$,0.5%), 205 (0.7%), 172 (12.1%), 171(100%), 170(0.4%),

143 (5%), 142(0.4%) 115(0.5%), 69(0.7%).

U.V. (CH$_3$CN) $\lambda_{max.}$ = 225 nm,ε = 5.33 x 10^4; $\lambda_{max.}$ = 275 nm,ε = 3.3 x 10^4

(6.8)

I.R. (CHCl$_3$)$\nu_{max.}$ 1780-1750 cm^{-1} (β-lactam and ester carbonyls).

N.m.r. (CDCl$_3$) δ 1.22(s,9H,^{t}Bu), 1.49(s,3H,Me), 1.62(s,3H,Me),

3.78(s,3H,N-Me), 4.45 (s,1H,H-3), 5.18(d,1H,J=4Hz,H-6), 5.74(d,1H,

J=4Hz,H-5),5.78,5.92 (d,d,2H,J=6Hz,6Hz,CH$_2$), 7.12(t,1H,J=6Hz,H-10),

7.21(S,1H,H-7), 7.28(d,t,J=6Hz,H-9,H-11),7.42(d,1H,J=6Hz,H-8).

Elemental analysis : Found C 61.92% H 6.47% N 6.12%

Calculated C 62.12% H 6.35% N 6.30%

M.pt = $119^{\circ}-123^{\circ}$C

U.V. (CH_3CN) $\lambda_{max.}$ = 225 nm, ϵ = 4.39 x 10^4

$\qquad$ $\lambda_{max.}$ = 275 nm, ϵ = 1.04 x 10^4

(6.9)

I.R. $(CHCl_3)$ $\nu_{max.}$ 3400 cm^{-1}(N-H), 1750-1735 cm^{-1} (ester and thiolactone carbonyls), 1620 cm^{-1}(unsaturated thiolactone).

N.m.r. $(CDCl_3)$ δ 1.23(s,9H^{t}Bu), 1.50(s,3H,Me), 1.61(s,3H,Me), 3.74(s,3H,N-Me), 4.52(d,1H,J=7.0Hz,H-3) 5.80(m,1H,NH), 5.81,5.96 (d,d,2H,J=6Hz,6Hz,-CH_2-),7.04(s,1H,H-7), 7.09(d,d,2H,J=7.5Hz, 7.5Hz,H-5,H-11), 7.18,7.26(t,t,2H,H-9,H-10), 7.45(d,1H,J=7.5Hz, H-8).

M/e 444(M^+,5.6%), 178(16.0%), 84(74%), 82(100%).

U.V. (CH_3CN) $\lambda_{max.}$ = 231 nm, ϵ = 7.86 x 10^3

$\qquad$ $\lambda_{max.}$ = 274 nm, ϵ = 5.89 x 10^3

1-Cyclohexenyloxytrimethylsilane(7.6)[168]. All reagents,solvents and glassware were carefully dried before use. Cyclohexanone (12.96ml, 0.125 moles) was dissolved in dimethylformamide (50ml), and to this solution were added triethylamine (41.74 ml, 0.3 moles) and chlorotrimethylsilane(19.01 ml, 0.15 moles). The mixture was refluxed for 11 hours, and filtered to remove triethylaminehydro-chloride. The solution was diluted with $40^{\circ}-60^{\circ}$C petroleum ether (100ml, immiscible), and washed with saturated sodium bicarbonate solution (3 x 150ml). The organic phase was rapidly washed with ice-cold hydrochloric acid solution (150 ml,1.5M) followed by an ice-cold sodium bicarbonate solution (150 ml). The solution was dried $(MgSO_4)$ and evaporated at reduced pressure to yield a dark orange oil. Fractional distillation of the products through a Vigreux

column afforded the cyclohexene (7.6) as a colourless liquid.

b.pt 57-60°C, 18mm Hg. (lit.[168]64-65°C, 15mm).

I.R.(film), $\nu_{max.}$ 2910 cm^{-1}(cyclic C-H stretches), 2840 cm^{-1}(CH$_3$),

1665 cm^{-1}(C=C),1100 cm^{-1}(Si-O)

N.m.r. (CDCl$_3$) δ 0.15(s,9H,SiMe$_3$), 1.54, 1.94(m,m,8H,cyclohexane),

4.82(t,1H,vinyl).

Reaction of 1-Cyclohexenyloxytrimethylsilane (7.6) with p-Nitro-
benzyl 6-diazopenicillanate. 6-Diazopenicillanate (0.6560g,

1.812 mmoles) was dissolved in dichloromethane (30ml). The solution

was stirred at 0°C while cyclohexene (0.3080g, 1.812 mmoles) and

copper acetylacetonate (5%, 0.0328g) were added. Stirring was

continued for 4.5 hours, after which an I.R. spectrum showed that

the diazo group was no longer present. Dry methanol (20ml) and

T.L.C. grade silica (2g) were added to the reaction mixture, and

stirring continued for a further 4 hours. The solution was

filtered to remove the silica and evaporated under reduced pressure,

yielding a dark brown oil, which was subsequently dissolved in chloro-
form (5ml) and precipitated with hexane (50 ml). The products were

filtered, whereby the unreacted cyclohexene, soluble in hexane,

was removed. The light brown solid was redissolved in chloroform

and separated into its five individual components by preparative

thin layer chromatography, using dichloromethane as eluant. The

most polar fraction contained the required adduct (7.8) as a white

solid. Yield = 14.1 mg, 2%, after purification.

M.pt 105-6°C.

I.R. (CHCl$_3$) $\nu_{max.}$ 3400 cm^{-1}(OH), 2980 cm^{-1}(cyclic CH), 1770 cm^{-1}

(β-lactam carbonyl), 1750 cm^{-1}(ester carbonyl).

N.m.r. (CDCl$_3$) δ 0.70-1.94 series of multiplets including:

0.84(m,1H,H-7), 1.40(s,3H,Me), 1.56(s,3H,Me);

4.66(s,1H,H-3), 5.31(s,2H,$^-$CH$_2$CO$_2$PNB),5.92(s,1H,

H-5), 7.58(d,2H,J=8Hz,PNB), 8.26(d,2H,J=8Hz,PNB).

M/e   4.32(M$^+$,0.4%), 295(0.3%), 180(0.2%,CO$_2$PNB),

136(3.9%,PNB), 100(0.1%, cyclohexanol).

1-Phenyl-1-trimethylsilyloxyethene (7.9)[168]. Acetophenone (20g,

0.1667 moles) was refluxed in DMF (60 ml) with trimethylsilyl

chloride (0.3334 moles, 36.17g)and triethylamine (70ml, 0.5001

moles) for 46 hours. The triethylamine hydrochloride was

filtered off and the filtrate diluted with petroleum ether (50ml).

The solution was washed with NaHCO$_3$ solution (3 x 150ml) to remove

the DMF. The organic phase was then washed with ice-cold 1.5M HCl

followed by ice-cold NaHCO$_3$ solution, dried (MgSO$_4$)and evaporated.

The yellow liquid was fractionally distilled to give two fractions,

the first of which was unreacted acetophenone (b.pt $_{12mm}$ 84-85°C),

and the second the ethene (7.9) (b.pt $_{12mm}$93-94°C , lit.b.pt $_{12mm}$

89-91°C). Further distillation was necessary in order to obtain

a sample of product free from acetophenone. Yield = 20%.

I.R.(film) $\nu_{max.}$ 3100-2900cm^{-1}(aromatic and aliphatic CH), 1630cm^{-1}(C=C)

N.m.r. (CDCl$_3$) δ 0.24(s,9H,3Me), 4.44, 4.92 (d,d,2H,J=2Hz,2Hz,=CH$_2$)

7.25, 7.61(m,m, 5H,Ph).

Reaction of p-Nitrobenzyl 6-diazopenicillanate with Methyl acrylate[68].

p-Nitrobenzyl 6-diazopenicillanate (0.2277g, 0.629mmol) was dis-

solved in dichloromethane (40ml) and methyl acrylate (0.0811g,

0.944mmol) was added. The solution was stirred for 22 hours out

of light, and the solvent was removed under reduced pressure. The

products were isolated by preparative T.L.C., eluting with dichloro-

methane/diethyl ether (9:1), giving rise to the pyrazolines (7.17)

(7.18) in 59% and 11% yields respectively.

(7.17)

m.pt. 77-79°C.

I.R. (CHCl₃) ν$_{max.}$ 3310 cm^{-1}(NH), 3010-2950 cm^{-1}(aromatic and

aliphatic C-H), 1780 cm^{-1}(β-lactam C=O), 1745-1710 cm^{-1}(ester C=O's)

N.m.r. (CDCl₃) δ 1.44 (s,3H,Me), 1.55(s,3H,Me), 3.50 (q,2H,J=20Hz,

-CH₂-), 3.88(s,3H,OMe), 4.55 (s,1H,H-3), 5.30,5.31(2s,3H,-CH₂-,H-5),

7.16(s,1H,N-H), 7.54(d,2H,J=8Hz, aromatic), 8.22(d,2H,J=8Hz,aromatic)

M/e 448(M$^+$)

U.V. (CH₂Cl₂) λ$_{max.}$ = 274 nm

Elemental Analysis : Found C 50.86%, H 4.42%, N 12.42%

Calculated C 50.88%, H 4.50%, N 12.49%

(7.18)

m.pt 157-8°C

I.R. (CHCl₃) ν$_{max.}$ 3320 cm^{-1}(N-H), 3010-2950 cm^{-1}(aromatic and aliphatic

C-H),1780 cm^{-1}(β-lactam C=O),1745 cm^{-1}(ester C=O), 1710 cm^{-1}(ester C=O).

N.m.r. (CDCl₃) δ 1.47(s,3H,Me), 1.64(s,3H,Me), 3.5 (ill-defined

dd,2H,-CH₂), 3.88 (s,3H,OMe), 4.60(s,1H,H-3), 5.32 (s,3H,-CH₂-,H-5),

7.07 (s,1H,N-H), 7.55(d,2H,J=8Hz, aromatic), 8.25(d,2H,J=8Hz,

aromatic).

M/e 448(M$^+$).

U.V. (CH₂Cl₂) λ$_{max.}$ = 274 nm.

Elemental Analysis : Found C 50.73%, H 4.66%, N 12.36%

Calculated C 50.88%, H 4.50%, N 12.49%

Action of heat on spiropyrazoline (7.17). The spiropyrazoline

(7.17)(50mg) was heated for 30 minutes in an oven at 120°C. I.R.

and n.m.r. spectra of the material showed that no change had

occurred.

Reaction of Pivoyloxymethyl 6-diazopenicillanate with Allyl

acetate. Pivoyloxymethyl 6-diazopenicillanate (190mg, 05572 mmol)

was stirred in allyl acetate (2ml) with rhodium acetate catalyst

(2mg,1%). Bubbles of nitrogen began to evolve immediately. After

1 hour, an I.R. spectrum showed total decomposition of the diazo

group had occurred, and the excess allyl acetate was removed under

reduced pressure. The products were isolated by preparative T.L.C.,

eluting with dichloromethane/ethyl acetate (9:1), and giving (7.21)

in 13% yield.

I.R. (CHCl$_3$) $\nu_{max.}$ 3460-3410 cm^{-1}(N-H), 1755 cm^{-1}(thiolactone and

ester C=O's).

N.m.r. (CDCl$_3$)(360 MHz) δ,1.17(s,9H,^{t}Bu), 1.39(s,3H,Me), 1.45(s,3H,Me),

4.21(m,3H,H-3,H-7,H-8), 5.12(m,1H,NH), 5.18(m,2H,H-10,H-11), 5.72,

5.86 (d,d,2H,-CH$_2$CO$_2$), 5.92(m,1H,H-9), 6.94(d,1H,H-5,J=7Hz).

D$_2$O exchange caused the N-H signal at 5.12δ to disappear, and the

doublets of H-3(4.21δ) and H-5(6.94δ) to collapse to singlets.

M/e 372 (M$^+$,^{13}C isotope, 3.33%), 371.1425(M$^+$, 12.55%), 274(25.7%),

244(18.4%), 160(17.1%), 82(38%), 57(100%).

U.V. (MeOH) $\lambda_{max.}$ 260 nm,ϵ = 2349.

$\lambda_{max.}$ 329 nm,ϵ = 2349.

Elemental Analysis : Found C 54.60%, H 6.92%, N 3.50%

Calculated C 54.97%, H 6.78%, N 3.77%

Reaction of Pivoyloxymethyl 6-diazopenicillanate with Allyl acetate

using Rhodium trifluoroacetate. Pivoyloxymethyl 6-diazopenicillanate

(352mg, 1.0322mmol) was stirred in allyl acetate (2ml) with rhodium

trifluoroacetate (2%,7mg). The rate of reaction was much slower

than the analogous reaction using rhodium acetate. After 16 hours,

an I.R. showed total decomposition of the diazo group, and
the excess allyl acetate was evaporated under reduced pressure.
The products were isolated by means of preparative T.L.C. using
dichloromethane/ethyl acetate (9:1) as eluant, and eluting twice
to obtain optimum separation. Compounds (7.21) and (7.22) were
produced in 10% and 13% yields respectively.

(7.22)

I.R. (CHCl$_3$) $\nu_{max.}$ 3060 cm^{-1} (aliphatic C-H), 2995-2880 cm^{-1}
(aliphatic C-H), 1790 cm^{-1} (β-lactam C=O), 1760-1740 cm^{-1} (ester
C=O's).

N.m.r (CDCl$_3$) (360 MHz) δ 1.19(s,9H,^{t}Bu), 1.48(s,3H,Me), 1.55(s,3H,Me),
1.85(dd,1H,J=14Hz,6Hz,H-7$_A$), 1.95(s,3H,CH$_3$CO), 2.13(dd,1H,J=14Hz,10Hz,
H-7$_B$), 4.27(dd,1H,J=12Hz,4Hz,H-9$_A$), 4.55(s,1H,H-3), 4.65(dd,1H,J=12Hz,
2.7Hz,H-9$_B$), 5.00(m,1H,J=2.7Hz,4Hz,10Hz,6Hz,H-8), 5.67(s,1H,H-5),
5.72,5.83(d,d,2H,-CH$_2$-CO$_2$).

M/e 413.1442 (M$^+$, 1.53%), 339(1.2%), 326(2.1%), 274(3.8%), 225(4.6%),
212(9.4%), 175(10.3%), 139(19.1%), 57(100%).

U.V. (CH$_3$CN), $\lambda_{max.}$ = 224 nm, ϵ = 2,767
$\lambda_{max.}$ = 330 nm, ϵ = 248.

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