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# 1 Healthcare as a driver and casualty of antimicrobial resistance:

# 2 **Opportunities for interventions**

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28 Abstract | Antimicrobial resistance (AMR) is a global health challenge that threatens human, 29 animal and environmental conditions. Evidence is emerging for the role healthcare infrastructure, 30 environments and patient pathways play in promoting and maintaining antimicrobial resistance 31 via direct and indirect mechanisms. Advances in vaccination and monoclonal therapies alongside 32 integrated surveillance, rapid diagnostics, targeted antimicrobial therapy and infection control 33 measures offer opportunities to address healthcare-associated AMR risks more effectively. 34 Additionally, innovations in AI, data linkage and intelligent systems can be employed as 35 overarching methods to better predict and personalise the fight against AMR. In this review, we 36 examine the mechanisms by which healthcare acts as a driver, reservoir and amplifier of AMR 37 contextualised within a One Health framework, and the opportunities and innovative solutions 38 that can be employed to combat AMR throughout the patient journey. We provide a perspective 39 on the current evidence base for interventions designed to mitigate healthcare-associated AMR 40 and promote healthcare resilience within high-income and resource limited settings, in 41 conjunction with the challenges to their implementation.

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#### 45 Introduction

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47 Antimicrobial resistance (AMR) constitutes a present and ongoing risk to human life and 48 healthcare resilience, threatening the safe provision of clinical care with an associated economic 49 and societal impact<sup>1</sup>. Various human, animal and environmental factors play a role in driving AMR <sup>2</sup>, although, to date, the relative proportion of the global epidemiology of AMR attributed to each 50 51 of these One Health sectors remains largely unknown. Variations in healthcare systems, 52 structures, environments and processes, and their design and utilisation by staff and patients may 53 promote the emergence and spread of AMR. The impact of these activities on AMR are seen 54 beyond the frontiers of the healthcare system. For example, individuals acquiring resistant micro-55 organisms during their healthcare pathway serve as reservoirs for human-to-human transmission in healthcare and community settings <sup>3,4</sup>, and antimicrobials and antibiotic-resistant organisms 56 57 (AROs) released from healthcare facility sewage can potentiate the spread of AMR into 58 environmental and animal compartments<sup>5</sup>. In this regard, healthcare can act as a powerful driver 59 and amplifier of AMR globally, with setting-specific risks.

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61 For over two decades, concerted efforts have been made globally to improve infection 62 prevention, diagnostic, and treatment options to mitigate AMR in healthcare. Although these 63 efforts have produced some effect, AMR persists and is even progressing amongst patient 64 populations, hampering our ability to curtail the AMR crisis globally. It is therefore imperative that 65 the key role healthcare plays in the maintenance and transmission of AMR is better appreciated, 66 and interventions, innovations and opportunities to address AMR are considered which also 67 protect the delivery of safe healthcare  $^{6}$ . Within this review, using the patient pathway as a focus, 68 we evaluate the mechanisms by which healthcare acts as a driver, reservoir and amplifier of AMR, 69 and present areas for innovation, illustrating how these can be implemented to prevent AMR, 70 both within healthcare, and beyond healthcare networks. We describe how advances in 71 surveillance, diagnostics, preventative-therapies and targeted antimicrobials, combined with 72 novel approaches to the delivery of care and individualised medicine technologies, can be 73 integrated across the patient journey to protect individuals and populations from healthcare-74 associated AMR. Interventions need to be tailored for the variable contexts globally, and methods 75 to make assesments of relevance and fit are included along with barriers to actions and future 76 challenges. Lastly, we highlight how adoption of these systems and approaches would strengthen 77 our ability to deliver safe healthcare and promote healthcare resilience.

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### 79 [H1] The role of healthcare as a driver, reservoir and amplifier of AMR

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Healthcare is delivered across a range of settings, from informal care/self-care, rural health centres, community and social care facilities to tertiary hospitals. The design of health systems and healthcare infrastructure (Bismark, Beveridge, single/multi-payer national insurance, out-ofpocket or pluralistic) and resulting capacity, differs globally, with variation in financing and care delivery having implications for public/patient health seeking, healthcare professional 86 motivations and behaviours and governance approaches <sup>7</sup>. Healthcare serves as a continuum of 87 processes and activities along a patient journey and can drive AMR through several interlinked

- 88 mechanisms, influenced by a variety of contributing factors (**Figure 1**).
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90 By its nature, healthcare brings people together within shared spaces and along pathways that 91 expose them to risks of AMR transmission, either from patients or staff colonised with AROs<sup>8,9</sup> or contaminated environments <sup>10</sup>. Particular healthcare settings and pathways provide enhanced 92 93 risks, congregating the most vulnerable individuals together, often with increased antibiotic 94 exposures and high levels of environmental contamination <sup>11</sup>. For example, immunosuppressed 95 patients, such as those undergoing chemotherapy for cancer or haematological malignancies frequently require antibiotics for sensitive or resistant infections <sup>12</sup> promoting AMR colonisation 96 97 <sup>13</sup>. Antibiotic-induced overgrowth of ARO (e.g., *Enterobacterales* in the gut microbiota) <sup>14</sup> 98 associated with faecal incontinence and diarrhoea contribute to the subsequent dissemination of 99 AMR into the healthcare environment <sup>15</sup>. Even short courses of antibiotics given to hospitalised 100 patients can select for persistent gut colonisation of ARO over extended periods of time <sup>4,16</sup>. Once 101 exposed to ARO, individual patient factors, such as those affecting the stability of their 102 microbiome (e.g. severity of illness or immunosuppression) can trigger acquisition <sup>17,18</sup>. 103 Modifications of antibiotic therapies (e.g., preferential use of narrower spectrum antibiotics) or 104 therapies reducing the gastric acidity (e.g., avoidance of proton-pump inhibitors) may preserve 105 the human microbiome <sup>17</sup>. Selective pressures exerted by antibiotics can drastically alter the 106 ecological equilibrium of a patient's microbiota. By lowering the bacterial diversity they disrupt 107 the resistance to colonisation of the microbiome <sup>19</sup>. This "dysbiosis" enables the colonisation by 108 AMR organisms, with increased load, and dissemination of antimicrobial resistance genes (ARGs) 109 (i.e., resistome). Antibiotic-resistant opportunistic pathogens and pathobionts can frequently 110 persist asymptomatically within an individual's microbiota, including hard-to-treat pathogens 111 such as carbapenemase-producing Enterobacterales (CPE) and vancomycin-resistant enterococci 112 (VRE) <sup>20,21</sup>. This process of continuous pressure from healthcare-associated antibiotic exposures 113 increases colonisation with ARO and amplifies resistance, leading to local outbreaks of AROs. 114 Globally, neonatal units suffer a high burden from neonatal sepsis with resistant infections, 115 particularly in resource-limited settings, and are increasingly recognised as hotspots for outbreaks 116 of AROs <sup>23</sup>. Similarly, drug-resistant outbreaks are seen in intensive care units <sup>10</sup>, where patients 117 are regularly prescribed broad spectrum antibiotics and frequently colonised with drug-resistant 118 organisms <sup>24</sup>. Nosocomial transmission in these locations further amplifies resistance, and can be 119 associated with factors such as invasive procedures or indwelling devices, mechanical ventilation, 120 levels of staffing and workforce education <sup>25</sup>. Antimicrobial stewardship programmes represent a 121 core element for the overall and individual-level reduction of selective pressure in hospitals<sup>22</sup>.

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The proximity of individuals to ARO colonised patients represents a first exposure. In a study of patients treated in healthcare from across 41 European countries, 16.6% carried multi-drug resistant organisms (MDROs) varying from 12.1% to 38.1% <sup>26</sup>. Globaly, higher rates of extendedspectrum beta-lactamase (ESBL) *Enterobacterales* colonisation are generally found in healthcare 127 versus community settings <sup>27</sup>, with some studies in low-income settings showing particularily high levels within hospital cohorts <sup>28</sup>. Thus, the exposure and risk of acquisition of ARO can increase 128 129 once admitted in hospital, and vary according to the local epidemiology, the type of setting (i.e., 130 a higher exposure in intensive care unit (ICU)), the type of care required, the severity of illness 131 and the length of stay. Secondly, contamination of staff hands, gowns, gloves and equipment can 132 potentially transmit ARO to patients <sup>29</sup>. Colonisation of healthcare workers (HCWs) with ESBL 133 bacteria have been reported at rates between 4.6%–18.9%, likely to be equivalent to community 134 exposure <sup>30</sup>. However, colonisation of staff with methicillin-resistant *Staphylococcus aureus* 135 (MRSA) ranges from 17% to 20% in some settings and is recognised as a risk factor for patient 136 acquisition. Thirdly, patients acquire bacteria from the environments in which they are situated, 137 such as the hospital room during the first days of admission, and then transmit their own 138 microbiota within the room during their stay<sup>31</sup>. Admission to a location which has previously been 139 occupied by a patient infected or colonised with a specific pathogen therefore becomes a risk 140 factor for acquisition <sup>32</sup>. Distinct ecological niches of microbes and antibiotic resistance genes co-141 exist, characterized by biofilm-forming and human-microbiome-influenced environments <sup>33</sup>. ARO 142 can persist in hospitals for extended periods (>8 years), and opportunistically colonise and infect 143 patients <sup>33</sup>. Some pathogens are predicated for the healthcare environment, colonising key surfaces (e.g., sinks/drains) <sup>34,35</sup> or hospital equipment <sup>10</sup>, and provide an environmental reservoir 144 for AROs with direct links to patient infections <sup>34</sup>. Colonised individuals and contaminated 145 146 healthcare environments can then serve as reservoirs of ARO, and healthcare settings in turn, 147 become distribution centres <sup>36</sup>, amplifying ARO <sup>37</sup>, that can be recycled within healthcare settings <sup>3,10,34,36</sup> or dispersed into the community <sup>4,16</sup>. 148

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150 Ineffectual or sub-optimal hygiene and infection control measures in healthcare settings provide 151 human (i.e., hand-hygiene) and environmental (i.e., contaminated surfaces) factors that promote the exposure, transmission and acquisition of ARO / MDROs <sup>32,34</sup> and HCAIs <sup>10,36</sup>. In resource 152 153 limited settings, underfunding, inadequate staffing, absent or ineffectual infrastructure and lack 154 of access to water sanitation and hygiene (WASH) facilities pose significant challenges to 155 controlling environmental contamination and maintaining IPC practices, increasing the risks of HCAIs <sup>38,39</sup>. HCAIs are also an important driver of antibiotic usage. Within European hospitals, 1 in 156 157 5 antibiotics are prescribed for infections directly acquired within healthcare premises <sup>40</sup>. HCAIs 158 caused by bacteria or fungi, such as staphylococcal, pseudomonal or *Candida* spp. are often highly resistant <sup>10,41</sup>, requiring the use of reserve antibiotics, longer hospital admissions <sup>41,42</sup> and are 159 associated with increased mortality <sup>42</sup>. HCAIs from viral aetiologies such as SARS-CoV-2 or 160 161 influenza are associated with increased antibiotic exposures <sup>43</sup>, contributing to the generation of AMR through antibiotic-induced selection pressures <sup>44</sup>. 162

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164 The movement of people through healthcare settings and across international borders can 165 promote the transmission of AMR <sup>45</sup>. Transferring patients between beds and wards within 166 healthcare can drive nosocomial outbreaks <sup>46</sup>. Nursing home residents, who themselves have high 167 rates of antibiotic usage <sup>47</sup> and colonisation with MDRO, including increasing important fungi such

as Candida auris 48,49 are frequently transferred between hospitals and community settings, and 168 this process can potentiate or sustain epidemics across the healthcare network <sup>3,48</sup>. International 169 170 human movement, whether related to short-term travel, migration or through forcible 171 displacement can be associated with high rates of AMR and HCAIs<sup>50</sup>. Additionally, the operational 172 aspects of healthcare systems can influence the spread of AMR within the healthcare network, 173 especially when services designed to control AMR break down. This is impacted by a broad range 174 of external drivers such as economic and human resource investment, conflict scenarios or the 175 effects of climate change 45,51,52.

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177 The physical architecture and utilisation of the healthcare environment also impacts the spread 178 of resistance <sup>53</sup>. Single rooms can reduce cross-transmission, and temperature, humidity, and 179 hospital water sources effect contamination levels with ARO <sup>31</sup>. Animals, such as flies, 180 cockroaches, rodents or geckos that interact with hospital environments may act as reservoirs or vectors of AMR transmission <sup>54</sup>. Lastly, the dispersal of hospital waste may impact downstream 181 182 AMR risks. Hospital wastewater provides an outflow of resistance-driving chemicals such as 183 antibiotics, biocides, and ARGs and AROs into the broader environment <sup>55</sup>. The precise role that 184 hospital effluent plays in the maintenance and promotion of human AMR is unclear. Nevertheless, 185 the failure of hospital sewage treatment to eradicate resistance-driving chemicals, ARGs and ARO 186 in high-income settings, and limited sewerage treatment in resource-limited settings enable AMR entry into the food chain and contamination of drinking water <sup>55</sup> which may pose risks for onward 187 188 transmission <sup>56</sup>.

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# 190 [H1] Use of vaccines and immunotherapy to prevent healthcare-associated191 infections and minimise AMR.

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### 193 [H2] Vaccines

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195 Vaccines impact AMR through the prevention of infections associated with antimicrobial usage or 196 the reduction in the incidence of disease by sensitive or resistant pathogens, via direct or indirect protection, without major side effects <sup>57,58</sup>. The most common cause for inappropriate 197 198 antimicrobial prescribing comes from vaccine preventable respiratory diseases, such as influenza, 199 COVID-19 or respiratory syncytial virus (RSV) <sup>59</sup>. In the recent pandemic, up to three-quarters of 200 patients with COVID-19 received an antibiotic, despite the absence of data supporting a coexisting 201 bacterial or fungal coinfection <sup>43,60</sup>. Pre-COVID-19 pandemic, RSV accounted for ~10% of antibiotic prescribing in primary care in the UK, amounting to >400,000 antibiotic prescriptions annually <sup>61</sup>. 202 203 Optimisation of vaccination coverage can provide a simple method for preventing attributable 204 deaths, and reducing unnecessary antibiotic use within patients situated in community and 205 healthcare settings, with the largest impact felt in low-resource settings <sup>62</sup>.

207 In low- and middle-income countries (LMICs), inappropriate healthcare-associated antimicrobial 208 prescriptions are also commonly seen in the treatment of malaria, typhoid, and arboviral 209 infections <sup>63,64</sup>. Large-scale campaigns for safe and efficacious typhoid conjugate vaccines, 210 intelligent use of malaria and dengue vaccines are being considered to reduce AMR through inappropriate prescribing <sup>65,66</sup>. Gastrointestinal infections, which account for 29.3% of diarrhoea-211 212 related deaths in young children in resource-limited settings are of key importance <sup>67</sup>. Here, the 213 implementation of rotavirus vaccination protects against severe disease <sup>68</sup> and its rollout is 214 currently estimated to prevent 13.6 million episodes of antibiotic-treated illness annually among 215 children <5 years old <sup>69</sup>. The rotavirus vaccine also provides an excellent example of how vaccines 216 can prevent healthcare attendance and admissions, including indirect protection of non-217 vaccinated individuals within the community <sup>70</sup>. Vaccine-induced reduction in healthcare 218 attendance has been seen for a range of vaccines and can limit exposure risks to HCAI and 219 antibiotic usage, reduce AMR transmission in hospital and community settings, and improve healthcare functionality <sup>59,71</sup> (Figure 2). 220

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222 Vaccines that target bacterial pathogens harbouring drug-resistant strains of global importance, 223 such as Streptococcus pneumoniae and S. aureus, are also available. Pneumococcal conjugate 224 vaccines (PCVs) rollout has been well evidenced to reduce antibiotic usage <sup>72</sup>, lower rates of 225 antibiotic-resistant invasive pneumococcal infections in children, and reduce non-susceptible invasive infections in populations in both high- and low-income countries <sup>73</sup>. However, the effect 226 227 of PCVs on AMR has been complicated by the emergence of non-susceptible serotypes, and high residual carriage rates in low-resource settings <sup>74</sup>. Novel Klebsiella pneumoniae and Neisseria 228 *gonorrhoeae* vaccines are in clinical trials <sup>75</sup>, alongside vaccines in the development pipeline 229 230 against gram-negative bacteria 75,76 or Mycobacterium tuberculosis 76. For Clostridium difficile, the 231 goal of obtaining a preventive vaccine seems to be near <sup>77</sup>, and the results of large advanced phase 232 trials will provide information on their effectiveness, cost and tolerance.

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234 Vaccines offer an effective method to decrease the overall burden of HCAIs and associated use of 235 antimicrobials, whether this is from viral (e.g., influenza and COVID-19), or bacterial (e.g., C. difficile, S. aureus and gram-negative pathogens) aetiologies 78. Vaccination can take place pre-236 admission, throughout attendance or during periods of follow-up. Hospitalisation provides a 237 238 unique opportunity to track unvaccinated cases <sup>79</sup>, especially in vulnerable groups. Targeted 239 vaccination could be incorporated with intelligently-designed surveillance systems, integrated 240 with advances in diagnostic technologies to prevent AMR (Figure 2). Vaccines can also be used in 241 surgical populations to reduce post-operative infections with sensitive or resistant S. aureus and 242 associated antimicrobial usage. Whilst historical trial data on S. aureus vaccines has not yet shown 243 efficacy in reducing S. aureus infections amongst surgical cohorts, the use of novel vaccine 244 candidates (e.g., SA4Ag) in elective populations is still attractive, and ongoing trials of these candidates is warranted <sup>80</sup>. The pre-operative phase is critical, and intelligently designed pre-245 246 operative pathways that integrate screening and targeted-vaccination is needed. This period also

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provides an opportunity to recover missed opportunities for SARS-CoV-2 <sup>81</sup>, influenza virus and pneumococcal vaccinations in vulnerable populations, with pre-operative influenza vaccination shown to reduced episodes of pneumonia and in-hospital mortality <sup>82</sup>. Ultimately, improved vaccine uptake will assist with elective surgical system strengthening and surgical preparedness <sup>83</sup>.

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253 The recent COVID-19 pandemic highlighted how vaccines can limit stresses on a healthcare-254 resources and improve health system resilience. COVID-19 disruptions to antimicrobial 255 stewardship and IPC services impacted the ability to control AMR and provide good clinical care 256 <sup>84</sup>. Occupational exposures to infections lead to negative effects on the health and wellbeing of 257 HCWs<sup>85</sup>, and opportunities to optimise vaccination in this group could avoid preventable disease, avert transmission of HCAIs<sup>86</sup>, and improve heath-system resilience via reduced staff absence 258 259 <sup>85,87</sup>. Overall, innovations that prioritise and improve vaccination availability and uptake in HCWs, 260 given the hesitancy amoungst HCWs, will enhance patient care, reduce HCAIs and associated 261 antimicrobial usage, whilst simultaneously improving healthcare resilience via staff protection 262 and economic efficiencies.

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### 264 [H2] Immunotherapy

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Preclinical pipelines for antimicrobials highlight a growing number of monoclonal antibody (mAb) 266 programmes for infectious disease <sup>88</sup>. Reverse vaccinology technology is being used to discover 267 therapeutic mAbs against AMR-bacteria<sup>89</sup>, and more than 20 mAbs against bacterial pathogens 268 are reaching efficacy evaluation <sup>89</sup>, suggesting that mAb may provide a creative alternative in the 269 270 future. Monoclonal antibodies currently in clinical development include those targeted against S. 271 aureus and Pseudomonas aeruginosa for prevention or adjunctive treatment of pneumonia or 272 adjunctive treatment of bloodstream infections <sup>90</sup>. Other antibodies have been approved for clinical use include those active against *Clostridium botulinum*, *C. difficile*<sup>91</sup> and Bacillus anthracis 273 274 90.

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276 Like vaccination, mAbs have the potential to impact AMR via a reduction in HCAIs and the incidence and length of infectivity for diseases associated with antibiotic usage <sup>92</sup> alongside a 277 reduction of ARO colonisation and disease <sup>89,93</sup> (Figure. 2). Monoclonal antibodies have the added 278 279 benefit of being able to generate immediate immune responses, unlike vaccines which require 280 time and competent immune systems; factors which are often lacking in hospitalised patients <sup>94</sup>. The targeted nature of their design also enables them to be less toxic to patients or disruptive to 281 282 the microbiome than small molecules <sup>93</sup>. Therefore, their use as prophylactic or therapeutic 283 treatment for bacterial infection, particularly in key vulnerable groups, provides an exciting 284 avenue for reducing healthcare-associated AMR<sup>31</sup>.

# [H1] Combined advances in rapid diagnostics, screening and surveillance, and intelligently-targeted antimicrobial prophylaxis and therapy.

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289 Point-of-care testing (POCT) and novel molecular diagnostics play a pivotal role in transforming 290 how infections are diagnosed and treated. These technologies enable rapid identification of 291 pathogens<sup>95,96</sup> and their antimicrobial resistance profiles <sup>97</sup>, and offer the promise for healthcare 292 providers to make timely, informed decisions at the bedside. By facilitating quick and accurate 293 diagnoses, these tests contribute to reducing unnecessary antimicrobial usage and enhancing 294 antimicrobial optimisation, thereby mitigating the selective pressure driving AMR <sup>98,99</sup>. POCTs 295 which differentiate between viral and bacterial infections are an example of a proposed solution 296 in combatting AMR, and the use of rapid-diagnostic tests (RDTs) is recommended by a number of 297 authorities <sup>100,101</sup>. The introduction of rapid POCT for respiratory microbes has been shown to 298 reduce prescriptions in viral respiratory illness <sup>102</sup>. Additionally, molecular tests targeting specific 299 pathogens, like MRSA or Streptococcus pyogenes, enable precise identification and tailored treatment, avoiding unnecessary broad-spectrum antibiotics <sup>103,104</sup>. Antimicrobial stewardship is 300 enhanced through the use of rapid POCTs for malaria <sup>63</sup> or arboviruses <sup>105</sup> in travellers and 301 302 endemic settings. Al-guided analysis of radiological imaging can also be implemented to optimise 303 infection diagnosis in low-resource settings <sup>106</sup>, improving antimicrobial stewardship and 304 surveillance. Lastly, the use of POCTs can reduce AMR through indirect mechanisms, and their 305 uptake has been associated with prevention of hospital admissions, helping to control outbreaks 306 and reducing healthcare costs <sup>105,107–109</sup>.

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Within laboratory settings, rapid AMR diagnostics can be used to augment traditional antimicrobial susceptibility testing, and ongoing research in this field is focused on novel techniques, such as microfluidics, spectroscopy, mass-spectrometry and WGS <sup>97,110,111</sup>. POCT and AMR diagnostics can also be fed back to clinical decision-makers through easy-to-interpret innovations, such as smartphone applications or data platforms, further optimising antimicrobial stewardship <sup>112</sup>.

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Advancements in precision medicine offer opportunities to optimise antimicrobial usage through 315 316 tailored therapies and prophylaxis <sup>113</sup>. Tailored antimicrobial prescribing involves the selection of 317 specific agents based on the patient's individual characteristics, including their microbiome, genetic makeup, and susceptibility patterns, and can be targeted at key AMR pathogens in 318 319 hospital settings <sup>114</sup>. This approach ensures that the chosen antimicrobial is effective against the 320 identified pathogen and minimises collateral damage to the patient's commensal flora. This 321 process can also be dovetailed with diagnostic information to guide antimicrobial selection, 322 dosing, and duration using intelligent data linkage systems.

324 Precision antimicrobial prescribing relies on the accurate identification of pathogens and their 325 susceptibility patterns. However, challenges exist in rapidly determining antibiotic concentrations 326 to enable dose optimisation, especially in critically ill or overweight patients <sup>115</sup>. Technological 327 solutions, such as continuous monitoring of antibiotic levels in real-time, are needed to overcome 328 these challenges <sup>116</sup>. Studies have shown that precision prescribing, involving selection, dosing 329 and duration of antibiotic, may help prevent CPEs and associated hospital-acquired infections, 330 and play a role in infection prevention and control strategies <sup>114</sup>. Additionally, innovations in 331 wearable devices, sensors and smart technologies could provide a means to achieve this, ensuring 332 that antibiotic doses are tailored to the patient's specific needs, thereby minimising the risk of 333 under- or over-dosing <sup>117</sup>. Improved targeting of prophylaxis, particularly in pre-surgical settings, 334 can contribute to AMR mitigation. Rather than administering broad-spectrum prophylactic 335 antibiotics routinely, tailored prophylaxis considers the patient's individual risk factors and the 336 anticipated pathogens associated with a specific surgical procedure. This strategy reduces 337 unnecessary exposure to antibiotics and the subsequent risk of resistance development <sup>118</sup>.

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339 To maximize the impact of rapid diagnostics and screening, it is essential to integrate these 340 technologies seamlessly along the patient pathway <sup>11,119</sup>. This integration spans pre-hospital 341 attendance, inpatient treatment algorithms, and community-based screening and surveillance 342 pathways. For instance, pre-hospital diagnostic tools can aid emergency medical personnel in 343 identifying infectious agents promptly, influencing initial treatment decisions even before hospital admission <sup>120</sup>. In hospitals, the incorporation of rapid diagnostics into treatment algorithms 344 345 ensures timely and targeted interventions <sup>121</sup>. Community-based screening and surveillance 346 programs, supported by advanced molecular tests, enhance the early detection of infectious 347 diseases, enabling proactive measures to contain their spread <sup>122</sup>. In LMICs, patient pathways 348 informed by health and/or treatment seeking behaviours contribute to a repetitive cycle of antibiotic consumption, exacerbating AMR <sup>123</sup>. Within these settings, improved access to 349 350 diagnostics and refinement of healthcare pathways are likely to be paramount to address the overuse of antibiotics <sup>123</sup>. Lastly, we should be mindful of the vulnerabilities in patient pathways, 351 352 with regards to fragmented care structures and inefficient resource allocation, and future 353 pathways should be developed that take a whole health economy approach that spans across 354 health sector boundaries <sup>11,124</sup>.

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# [H1] Opportunities to minimise reservoirs, improve IPC and limit environmental contamination.

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The prevention and minimisation of AMR reservoirs among hospitalised patients is paramount. Antimicrobial stewardship which avoids unnecessary antimicrobial therapy, shortens antimicrobial duration as much as possible, and favours antibiotics with low anti-anaerobe activity can prevent dysbiosis, acquisition and overgrowth of ARO <sup>125</sup>. More personalised approaches can be used to emphasise these efforts, such as applying targeted drug delivery approaches to the 364 infected sites <sup>126</sup>, pathogen or species-specific drugs <sup>127</sup>, or drug combinations to antagonise the 365 activity against commensal species. These evolutions are dependent on advances in rapid 366 pathogen detection technologies and big data analytics. Antibiotic adsorbents or administration 367 of enzymes (e.g.,  $\beta$ -lactamase) represent interesting strategies to mitigate the collateral damages of antimicrobial therapy on the gut microbiota <sup>128,129</sup>, and the targeted administration of live 368 369 bacteria can preserve colonisation resistance, favour microbiota resilience or decolonise patients. 370 Faecal microbiota transplantation (FMT) <sup>130</sup> or probiotics have been trialled, to variable effect 371 <sup>131,132</sup>. These modalities have been evidenced to increase gut microbial diversity and improve 372 sustained CPE eradication, although their effectiveness for intestinal decolonisation, applicability, 373 and safety are still to be confirmed. Selective decontamination of the digestive (SDD) tract has 374 shown benefits in lowering rates of ARO infections in low-prevalence settings <sup>133</sup>. The lack of 375 evidence on SDD effectiveness and long-term side effects has led to its use not being 376 recommended in gram-negative Enterobacterales carriers to control the AMR reservoir amongst 377 hospitalised patients <sup>134</sup>. Early evidence exists for bacteriophage therapy providing sustained 378 decolonisation with AROs, such as Carbapenemase-producing Klebsiella pneumoniae<sup>135</sup>. 379 However, further research is needed to examine the role of bacteriophages in decolonisation, 380 alongside the technical capacities and costs to develop these customised therapies. Safer and 381 simpler, the patient diet with high-fibre nutrition seems promising in microbiome resilience, ARO implantation and expansion <sup>136</sup>. 382

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384 The hospital microbiome harbours a significant, uncharacterised diversity of ARG combinations and represents a fertile ground for the evolution of AMR. The microbiota community composition 385 and ARO abundance on hospital surfaces is site-specific <sup>137</sup>, dynamic according to patient 386 387 occupancy, and subject to modifications of the built environment (e.g., renovations) <sup>138</sup>. Sink 388 drains, for example, are a major reservoir for ARO with direct links to patient infections <sup>139</sup>. The 389 rapid development of P. aeruginosa colonisation and its association with patient infections 390 emphasises the need for future work to decrease the spread of AROs in hospital built-391 environments, complemented by efforts towards decolonising and eliminating sink drain AMR 392 reservoirs <sup>139</sup>. Plasmid-mediated transmission accounts for 50% of CPE acquisition in hospitalised patients <sup>140</sup>, and clonal and plasmid-mediated transmission may be differentially affected by 393 394 existing infection prevention and control measures. Plasmid CPE transmission and persistent 395 carbapenemase gene reservoirs in the hospital will need to be considered in future research. 396 Developing and using anti-plasmid agents could be a complementary strategy to curb the spread 397 of ARGs through hospital environments <sup>141</sup>.

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Compliance with environmental cleaning and disinfection processes and reprocessing measures of medical goods (e.g., bed pans) influences the level of environmental contamination <sup>142</sup>. Traditional cleaning methods are notoriously inefficient for decontamination <sup>143</sup>, and innovative technologies such as disinfectants, steam, automated dispersal systems, and antimicrobial surfaces offer an alternative strategy for environmental hygiene purposes <sup>144</sup>. Hydrogen peroxide vapour or ultraviolet devices demonstrate effectiveness in reducing the incidence of *C. difficile*,

405 VRE and hospital-onset gram-negative bloodstream infections <sup>145,146</sup>. Aside from the costs of 406 equipment, the wide variability of impact among hospitals highlights the need for clear 407 implementation strategies that consider the training, management, personnel, and logistical 408 complexities to maximise effectiveness <sup>147</sup>. The utility of antimicrobial surfaces needs urgent and 409 considered attention including evaluation of the resources and economic investment required for 410 installation, durability, possible toxicity, resistance, and allergenic properties, alongside which 411 sites and surfaces to coat, and the relative contribution of self-disinfecting surfaces toward hand 412 contamination. Systematic metagenomic surveys of the hospital microbiome providing detailed 413 measures with reference maps and visualisation of variations in human influence scores may offer 414 an opportunity to target resources and fine-tune cleaning practices.

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416 Compliance of staff with standard precautions (mainly hand hygiene and excreta management) 417 and personal hygiene by patients are universally applied to reduce a broad range of risks, including 418 the hidden ARO reservoir <sup>142</sup>. The use of alcohol-based hand rub has been shown to improve HCW compliance, reducing HCAI rates and ARO transmission <sup>148</sup>. Despite significant improvements, 419 420 innovative methods addressing both the quantitative and the qualitative aspects of hand hygiene 421 are required <sup>149</sup>. Reduction in the burden of ARO present on patients' skin may reduce 422 transmission by decreasing the contamination on health care workers' hands. Multiple large trials 423 have shown that universal body surface decolonisation of patients with chlorhexidine bathing and 424 nasal mupirocin can significantly reduce ARO prevalence in high-risk settings and long-term acute 425 care facilities <sup>150</sup>. However, its widespread use over many decades, has raised questions about 426 chlorhexidine resistance, coincident biocide resistance and clinically relevant antibiotic resistance <sup>151</sup>. Importantly, the implementation of standard precautions requires an adequate built 427 428 environment with IPC and WASH factors providing complementary opportunities for 429 interventions at health facilities, both during outbreaks and in non-outbreak situations<sup>39</sup>.

430

431 Surveillance of ARO in healthcare facilities is complicated by the diffuse nature of AMR 432 epidemiology, pathogen heterogeneity and predilection for particular subtypes transmitting and 433 persisting within hospitals <sup>152</sup>. The real-time use of whole genome sequencing (WGS) in healthcare 434 may allow IPC teams to identify transmission, target interventions, and provide surveillance and infection control benchmarking <sup>153</sup>. The use of patient-level microbiome or metabolome data to 435 436 predict and assess an AMR reservoir in hospitals is of utmost importance. Functional 437 metagenomics and long-read sequencing offer perspectives to better characterise the 438 proliferation of mobile gene elements within (human) and across (human and environment) 439 microbiomes, and presents new opportunities and challenges for pathogenome and resistome 440 monitoring <sup>154</sup>. They are an invaluable resource as we move toward a future of microbiome-441 directed methods of identifying and preventing the spread of AMR and infection (e.g., routine 442 assessment of patient's microbiome before antimicrobial therapies, abundance, and clearance of 443 ARO carriage). Targeted screening, for example, in travellers and patients repatriated from endemic regions can be a beneficial strategy <sup>155</sup>. The prompt identification of these patients and 444 445 rapid assessment of the risk of ARO carriage may prevent in-hospital transmission and 446 amplification of the AMR. Additionally, patients who acquire ARO during hospitalisation can contribute to onward spread in the community <sup>156</sup>, with a high frequency of acquisition and 447 448 transmission events occurring early after discharge. Educating ARO colonised patients and carers 449 about key hygiene measures may prevent transmission in community settings. Equally, admission 450 screening for patients known to have ARO colonised household or nursing home contacts should 451 be considered, given intra-household community transmission <sup>157</sup>. The current gap in predicting 452 ARO carriage at hospital admission may be solved by the availability of artificial intelligence (AI) 453 and health data hubs <sup>158</sup>.

454

455 New methods based on the microbiologic analysis of wastewater appear a promising option to 456 accurately identify, quantify and describe the epidemiology of the AMR in healthcare settings <sup>159</sup>. 457 Sewage monitoring represents a valuable modality for early warning of emerging ARO in low prevalence settings <sup>160</sup>, and might be able to estimate the prevalence of ARO at a population level, 458 459 simultaneously sampling healthcare, nursing homes and community-associated populations <sup>161</sup>; 460 avoiding biases in current surveillance methods. Additionally, large amounts of antimicrobials and 461 ARO are dispersed in wastewater and the broader environment. Whilst their contribution to the 462 global ARO epidemiology still needs to be ascertained, innovative and cheap wastewater 463 treatment processes should be developed to eliminate ARO from hospital effluents.

464

Finally, measures that assist in coordination of IPC measures alongside oversight and management of antibiotic use, healthcare facility connectivity and patient transfers at a regional scale are important avenues for innovation <sup>162</sup>. Coordinated responses in the prevention of ARO spread across interconnected healthcare facilities has been shown to reduce ARO acquisitions over time <sup>163</sup>. Mathematical models of healthcare networks may also serve to identify facilities which serve as reservoirs for the spread of ARO at a large scale <sup>162</sup>, and inform decision-makers on priorities for the surveillance and IPC efforts.

472

### 473 [H1] Innovations in data linkage, intelligent systems and AI

474

475 Intelligent systems provide many avenues to benefiting AMR in healthcare (Figure 3). Access to 476 healthcare data has dramatically increased with the use of electronic health record (EHR) systems 477 and interconnected devices, giving rise to the age of "big data" <sup>164</sup>. The complex nature of AMR in 478 healthcare requires linking data of drug-resistant pathogens, characteristics of patients, social 479 demographic environments, and health systems and services contexts. At the patient level, 480 routine EHRs can be linked with antimicrobial usage and AMR data for hospitalised inpatients. Population-level linkage of administrative data and EHRs have started to emerge <sup>165</sup>, and more 481 482 recently, whole genome sequencing data has been linked with laboratory and clinical data to form national-level integrated genomic surveillance of AMR <sup>166</sup>. These linked datasets not only allow 483 484 genotypic and phenotypic characterisation of AMR, but also enable the assessment of emergence 485 and transmission pathways, care quality and outcomes for drug-resistant infections, and social and health-system determinants of AMR burden. Although data protection must be addressed,
 risk/benefit evaluations should be performed to ensure that data access and availability is
 preserved.

489

490 Ideally, AMR data would be integrated across settings of human health systems (i.e., primary, 491 secondary, and social care), across One Health domains (i.e., human, animal, agricultural, and 492 aquaculture), and across data types (i.e., isolate-based genomic and pathogen data, patient-based 493 demographic and clinical data). Examples of data integration are seen in recent research 494 methodologies <sup>167</sup> including the World Health Organisation-funded Tricycle project, which 495 provides a holistic, multisectoral approach to monitor ESBL-producing Escherichia coli derived 496 from human, food, and environmental sources using whole genome sequencing <sup>168</sup>. Research 497 must be done to understand and develop the minimum dataset prior to implementation, and 498 novel methods, such as simulation and systems dynamics modelling, allow the development and 499 piloting of the minimum dataset in an iterative manner by engaging policy makers, data 500 generators and users <sup>169</sup>. To overcome ethical issue regarding AMR data sharing, online platforms 501 such as Trusted Research Environments (TREs) provide real-time feeds from local laboratories, 502 patient and hospital operational data enabling the rapid reporting of AMR and HCAI trends to 503 local IPC personnel <sup>170</sup>. TREs can access and analyse de-identified data, and benefit from AI-driven 504 automated pseudonymisation processes, minimising time delays, and enabling cloud-based 505 solutions for data storage and accessibility in low-resourced settings <sup>171</sup>.

506

507 Optimal analysis and interpretation of complex health datasets can be enhanced by supervised or unsupervised machine learning (ML) tools <sup>172</sup> providing patient-specific assessments to better 508 509 predict and prevent HCAI or to optimise antimicrobial treatment with personalised approaches for better individual outcomes and lesser impact from AMR<sup>173</sup>. Precision infection-prevention is 510 the next frontier in infection prevention <sup>174</sup>. ML algorithms that incorporate EHRs and surveillance 511 512 data can efficiently identify demographic data, select laboratory values, diagnoses, medications 513 and vital signs, and help prioritise IPC interventions for patients at greatest risk of HCAI <sup>175</sup>. AI 514 hand-hygiene applications can deliver behaviour change, though it requires further evaluation in 515 different clinical settings <sup>176</sup>. ML tools using dynamic contact networks are able to identify patients who are most at risk of developing COVID-19 or *C. difficile* infections while in hospital <sup>177</sup>. In clinical 516 517 practice, a ML-based tool could support prophylactic measures, earlier diagnosis, and timelier implementation of IPC measures <sup>178</sup>. In addition to improving effectiveness, the implementation 518 519 of AI may significantly reduce the time spent by IPC team reviewing clinical records manually for HCAI surveillance <sup>179</sup>. 520

521

Innovations in pathogen and genomic surveillance can be enhanced by ML systems <sup>180</sup>, and their application in AMR surveillance is of vital importance <sup>181</sup>. ML systems have shown promise in analysing metagenomic data to predict antibiotic-resistant genes from humans and environmental sources, such as hospital wastewater <sup>182</sup>, which could provide improved surveillance of ARG dissemination and transmission pathways. Al can provide source identification and early warning of AMR outbreaks in healthcare networks <sup>183</sup>. Al-assisted automated data mining of EHRs is able to detect hidden transmissions and outbreaks of AMR pathogens early and cost-effectively <sup>184</sup>. These methods have even shown the resolution to identify a specific source,
 for example, a contaminated gastroscope in the case of an *Pseudomonas aeruginosa* outbreak <sup>185</sup>.
 These measures could be further explored to improve efficiencies and targeting of IPC services in
 healthcare.

533

534 The use of ML to improve pathogen identification and phenotypic or genotypic AMR profiles is 535 attractive <sup>186</sup>. To date, a number of ML systems have been shown to predict antibiotic resistance 536 from genomic and metagenomic data for a number of key bacterial species, such as E. coli and 537 MRSA <sup>182</sup>. Additionally, ML technology has been linked with matrix-assisted laser 538 desorption/ionization-time of flight (MALDI-TOF) mass spectra data in the laboratory to rapidly 539 identify AMR in clinically important pathogens, accelerating timing of antimicrobial optimisation 540 <sup>187</sup>. Low-resource options for AI integration into the laboratory also exist. This is exemplified by the use of AI-based mobile phone technology which enables automatic reading of disk diffusion 541 542 antibiotic susceptibility testing, highlighting that AI technologies already have a global reach <sup>188</sup>. 543 These systems can be integrated into cloud-based technologies, automatically forwarding to 544 international systems of pathogen surveillance, enhancing the tracking of global AMR in 545 healthcare.

546

ML-Clinical Decision Support Systems (ML-CDSS) have been trialled to improve choices of 547 antimicrobials in sepsis <sup>189</sup> primary care prescriptions <sup>190</sup>, and hospital AMS programs <sup>191</sup>. These 548 549 systems are still in their infancy with limitations in their utility relating to the quality of information 550 inputted (e.g, absence of clinical or therapeutic history, isolate antimicrobial susceptibility or local 551 resistance epidemiology) and the outputs generated (e.g. need for dose, duration and allergy 552 status). Nevertheless, their ability to identify inappropriate prescriptions compared to expert systems have been shown <sup>191</sup>, and further trailing of newer ML-CDSS in real-world clinical settings 553 554 should be explored, with reporting of clinical and/or microbiological outcomes and their impact 555 on AMR in healthcare.

556

557 Recognizing that much of healthcare is delivered in environments without experts in infectious 558 diseases, antimicrobial stewardship, microbiology and infection prevention, virtual platforms 559 based on ML and AI may be leveraged to assist rural environments and low-resource settings 560 through real-time, expert consultation <sup>192</sup>.

561

## 562 [H1] Feasibility, tailoring to context, and prioritising

Health and wider systems present variable barriers and opportunities which decision-makers must assess through engagement with relevant stakeholders across One Health sectors, depending on the scale of implementation and innovation type <sup>193</sup> Most innovations require behavioural change or are behavioural in nature, and require trial and tailoring <sup>194</sup>.

567

568 Defining and measuring impact must also be tested for feasibility and appropriate structures 569 strengthened. Prioritisation of AMR outcomes of interest (e.g., AMR transmission, AMO, 570 morbidity, mortality, economic and ecological) is necessary to inform policy. Many AMR domains, 571 such as antimicrobial optimisation require an interdisciplinary consensus to achieve equitable, 572 scalable, economically viable and contextually appropriate interventions, that can utilise data across a range of healthcare settings <sup>195</sup>. Additionally, sub-optimal healthcare infrastructure, 573 574 resources, staffing-levels, laboratory capabilities and data management systems preclude the 575 generation of key AMR data needed to initiate, optimise or measure these outcomes of 576 interventions. Such eonomic constraints can lead to further global inequalities, and international 577 bodies have called for urgent improvements in access and infrastructure in LMIC settings, 578 alongside advancements in data networks to improve the functionality and real-time evaluation 579 of healthcare systems<sup>194</sup>. Opportunities for creative solutions in diagnostics, immunotherapy, intelligent-pathway development, laboratory surveillance and genomic sequencing may provide 580 581 leapfrog technologies that can overcome key barriers in resource-limited settings <sup>196</sup>. Ethical and 582 legal issues surrounding the regulation of AI and data privacy provide additional challenges to 583 AMR interventions <sup>197</sup>. Improved international collaborations and the use of secure online 584 platforms with user accountability may enhance regulation standards and dissuade negative 585 public opinions <sup>171</sup>.

586

587 At the national level, understanding the political context is important, but recent assessments 588 have perhaps overlooked wider technological and economic factors needed to make informed decisions <sup>198</sup> However, there are number of frameworks that can help to prioritise and stagger 589 590 innovations at the national level according to the maturity of AMR National Action Plans; notably 591 the AMR Policy Accelerator SMART CHOICE process, a tool to help prioritise national level 592 interventions through facilitated engagement with local stakeholders to identify 'Quick-wins, 593 Best-buys, Game-changers, and Equity-drivers' <sup>199</sup>. From the health systems perspective the level 594 of integration of infection prevention and control and antimicrobial stewardship across the 595 system can be used as marker for readiness to adopt as well as informing roll out plans <sup>124</sup>. 596 Specifically, the level of integration of IPC and AMS programmes within the wider health system 597 along the dimensions of stewardship and governance, financing, planning, service delivery, monitoring and evaluation, demand generation <sup>124</sup>. The approach to governance needs to be 598 599 politically and culturally acceptable and feasible and heterogeneity of approaches is found even 600 within high income countries <sup>200</sup>.

601

Lastly, future challenges to the resilience of healthcare may arise from global threats from
 pandemics or climate factors <sup>52</sup>, and innovations should be designed and optimised to account for
 evolving threats.

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609 [H1] Conclusion

610

In high-income and resource-limited settings, healthcare infrastructure, pathways, processes, and systems play a key role in maintaining and driving AMR transmission beyond the frontiers of healthcare via a range of modalities. In a vicious circle, the global acceleration of AMR is threatening the safe functioning of healthcare systems. To address this crisis, it is imperative we consider innovative solutions to combat the direct and indirect drivers of AMR in healthcare that span across the patient journey that can also promote healthcare resilience. Innovations should be tailored within a global context and able to adapt and respond to future challenges.

618

619 Uncertainty and knowledge gaps surround many AMR interventions. The feasibility and success 620 of implementing putative interventions is further hampered by structural, economic, 621 technological and behavioural barriers, compounded by challenges relating to local and national 622 leadership, external policy environments and international barriers to action. International, 623 transdisciplinary consensus on the desired impact of AMR interventions might enable cross-624 evaluation, prioritisation and resource allocation. Collaborations are required at all scales 625 between experts and non-experts, in high- and low-income settings, to share knowledge and 626 expertise and develop reciprocal, long-term partnerships built on principles of systems 627 approaches and capacity strengthening.

628

Despite the challenges that exist, opportunities to develop integrated healthcare pathways that embed novel approaches to preventative therapies (e.g., vaccination), improved diagnostics, antimicrobial optimisation, IPC and surveillance methodologies should be implemented to minimise AMR risks to patients. Innovations in these areas should be urgently considered as research priorities to address the burden of AMR and enhance the protection of healthcare.

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### 635 [H1] References

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- 1164 **[H1] Author contributions**
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#### 1165 1166 D.C, G.B. J.R.M, N.Z, R.A and A.H contributed to the discussion, research, writing, 1167 reviewing/editing of this manuscript. K.J and A.S.L. contributed to discussion, writing and 1168 reviewing/editing the manuscript before submission.

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### 1170 Competing interests statement

The authors declare no competing interests.

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## 1175 [H1] Display Items

1176

1177 Figure 1| Healthcare as a driver, reservoir and amplifier of antimicrobial resistance.

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Healthcare settings congregate people within shared spaces and along pathways exposing them to antibiotic-resistant organisms (AROs), selection pressures (i.e., antibiotic exposure) and healthcare-associated factors that promote AMR transmission. Colonised or infected individuals and contaminated healthcare environments serve as reservoirs of AMR organisms, and healthcare settings become distribution centres, amplifying AROs and antibiotic-resistant genes that are recycled within healthcare settings or dispersed into the community via people and wastewater; leading to onward cycles of AMR transmission before readmission into healthcare (Figure 1A).

A broad range of factors contribute to driving AMR in healthcare settings including patient and staff level characteristics such as co-morbidities, vaccination status, attitudes and behaviours and colonisation status (Figure 1B). AMR colonisation is influenced by antimicrobial usage, which is in turn influenced by antibiotic stewardship and antimicrobial optimisation measures. Here, suboptimal access to improved diagnostics, irregular supply chains, or the absence of clear antimicrobial prescribing policy can lead to inappropriate antimicrobial prescriptions, increasing AMR. Additionally, healthcare infrastructure, patient pathways and IPC practices play a key role in AMR transmission events and environmental contaminated with AROs, illustrating their role infacilitating AMR in healthcare.

1195

Healthcare-associated reservoirs of AMR include (i) the microbiota of medical staff and patients and animals present on healthcare grounds, and (ii) surface biofilms on key environments (e.g., sinks), especially in settings with high levels of ARO colonised patients (e.g., ITUs), medical equipment and hospital waste and wastewater.

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Healthcare can amplify AMR via (i) patents pathways that congregate vulnerable patients with high levels of antimicrobials (ii) outbreaks of AROs within hospitals and care homes, (iii) the movement of colonised patients between locations and within healthcare networks and (iv) the dispersal of contaminated hospital wastewater into the environment.

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### 1207 Figure 2| The use of vaccines and immunotherapy to reduce AMR and protect healthcare.

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1209 Vaccines (or monoclonal antibodies) targeted at viral or bacterial aetiologies can limit disease 1210 and/or colonisation at an individuals and population level, preventing healthcare exposure, 1211 reducing unnecessary antibiotic usage, and limiting transmission outside of healthcare settings 1212 and reducing transmission events, HCAIs and outbreaks within healthcare settings. In turn, 1213 improved antimicrobial optimisation and a reduction in transmission events from vaccination and 1214 immunotherapies will limit the burden of AMR and improve healthcare resilience. These 1215 measures can be integrated with vaccination records, surveillance and diagnostic data through 1216 improved AI and data linkage systems to personalise and target therapies pre-admission and 1217 during healthcare attendance.

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1219

### 1220 Figure 3 | Schematic illustrating the power of AI and data-linkage technology to reduce AMR and 1221 improve healthcare resilience.

1222

1223 Different types of healthcare and non-healthcare data are available via a spectrum of data 1224 collection systems (A). Current advances in data technologies permits the integration of complex 1225 datasets and allows for AI-driven analysis (B). These methodologies can be utilised to provide a 1226 number of AMR specific applications (C), which can deliver data driven outputs to healthcare staff 1227 and regulators that protect individuals, populations and health systems (D).